

## Chapter 13

# SRO Notices and Disciplinary Proceedings

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### 13.1.1 IDA Regulation 200.1(h) - Confirmation for Externally Managed Account Transactions - Withdrawal of Proposed Rule Amendment

#### INVESTMENT DEALERS ASSOCIATION OF CANADA

#### REGULATION 200.1(H) - CONFIRMATION FOR EXTERNALLY MANAGED ACCOUNT TRANSACTIONS

#### WITHDRAWAL OF PROPOSED RULE AMENDMENT

##### I Overview

On November 11, 2005, the Ontario Securities Commission published for comment proposed rule amendments to Regulation 200.1(h) with respect to the provision of trade confirmations for externally managed accounts.

##### II Withdrawal

The Association has informed the Canadian Securities Administrators (CSA) that the Association has withdrawn the proposed rule amendments and that a revised version of these proposals will be submitted for CSA approval in the near future.

Questions may be referred to:

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