

13.3 Clearing Agencies

13.3.1 Notice of Commission Approval – Material Amendments to CDS Procedures – Trade Confirmation and Matching Compliance as per IIROC Dealer Member Rules 800.49 and 200.1(h) changes

CDS CLEARING AND DEPOSITORY SERVICES INC.

MATERIAL AMENDMENTS TO CDS PROCEDURES

**TRADE CONFIRMATION AND MATCHING COMPLIANCE AS PER
IIROC DEALER MEMBER RULES 800.49 AND 200.1(h) CHANGES**

NOTICE OF COMMISSION APPROVAL

In accordance with the Rule Protocol between the Ontario Securities Commission (Commission) and CDS Clearing and Depository Services Inc. (CDS), the Commission approved on July 5, 2013, amendments filed by CDS to its procedures relating to its compliance reporting system, provided that no fees associated with the described new services are charged by CDS before the fees are approved by the Commission. The Amendments reflect changes to CDS' Broker-to-Broker Trade Matching Service, which in turn have been triggered by recent amendments to IIROC Dealer Member Rules 800.49 and 200.1(h). A copy and description of the procedural amendments were published for comment on May 09, 2013 at (2013) 36 OSCB 5026. No comments were received.