

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.2 Marketplaces

13.2.1 Neo Exchange Inc. – Trading Policies Amendments – Notice of Approval

NEO EXCHANGE INC.

TRADING POLICIES AMENDMENTS

NOTICE OF APPROVAL

Approval of Trading Policies Amendments

In accordance with the *Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto*, Neo Exchange Inc. ("**NEO Exchange**") has adopted and the Ontario Securities Commission has approved Public Interest Rule Amendments to the NEO Exchange Trading Policies.

On February 10, 2022, NEO Exchange published for comment the Public Interest Rule Amendments relating to the introduction of a new volatility parameter, Closing Price Threshold for a Closing Call Eligible Security. For additional detail, please refer to the Request for Comments published on February 10, 2022. No comments were received.

A copy of the Trading Policies can be found on the NEO Exchange website.

NEO is planning to implement the Public Interest Rule Amendments on April 29, 2022.