

# 1.1.5 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

## OSC STAFF NOTICE 11-739 (REVISED)

### POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2017 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

#### Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

#### Reformulation

Instrument	Title	Status
	None	

#### New Instruments

Instrument	Title	Status
51-404	Considerations for Reducing Regulatory Burden for Non-Investment Fund Reporting Issuers	<i>Published for comment April 6, 2017</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments	<i>Published April 6, 2017</i>
94-101	Mandatory Central Counterparty Clearing of Derivatives	<i>Ministerial approval published April 6, 2017</i>
93-101	Derivatives: Business Conduct	<i>Published for comment April 6, 2017</i>
11-335	Notice of Local Amendments and Changes in Certain Jurisdictions	<i>Published April 13, 2017</i>
45-323	Update on Use of the Rights Offering Exemption in National Instrument 45-106 Prospectus Exemptions	<i>Published April 20, 2017</i>
52-403	Auditor Oversight – Issues in Foreign Jurisdictions	<i>Published for comment April 27, 2017</i>
24-101	Institutional Trade Matching and Settlement – Amendments	<i>Commission approval published April 27, 2017</i>
91-102	Prohibition of Binary Options	<i>Published for comment April 27, 2017</i>
81-102	Investment Funds – Amendments	<i>Published for comment April 27, 2017</i>
31-350	Guidance on Small Firms Compliance and Regulatory Obligations	<i>Published May 18, 2017</i>
33-319	Status Report on CSA Consultation Paper 33-404 Proposals to Enhance the Obligations of Advisers, Dealers, and Representatives Toward Their Clients	<i>Published June 1, 2017</i>
45-106	Prospectus Exemptions – Amendments relating to Reports of Exempt Distribution	<i>Published for comment June 8, 2017</i>
93-301	Derivatives Business Conduct Rule – No Overlap with Derivatives Registration Rule Comment Period	<i>Published June 22, 2017</i>

**New Instruments**

<b>Instrument</b>	<b>Title</b>	<b>Status</b>
51-530	Extension of Consultation Period – CSA Consultation Paper 51-404 Considerations for Reducing Regulatory Burden for Non-Investment Fund Reporting Issuers	<b><i>Published June 22, 2017</i></b>
11-777	Notice of Statement of Priorities for Financial Year to End March 31, 2018	<b><i>Published June 29, 2017</i></b>
45-102	Resale of Securities – Amendments	<b><i>Published for comment June 29, 2017</i></b>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	<b><i>Published for comment June 29, 2017</i></b>
11-206	Process for Cease to be a Reporting Issuer Applications – Amendments	<b><i>Published for comment June 29, 2017</i></b>
72-503	Distributions Outside Canada	<b><i>Published for comment June 29, 2017</i></b>

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