## 1.1.2 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

# **OSC STAFF NOTICE 11-739 (REVISED)**

## POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2016 has been posted to the OSC Website at www.osc.gov.on.ca.

## Table of Concordance

Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

### Reformulation

Instrument	Title	Status
	None	

#### **New Instruments**

Instrument	Title	Status
21-316	Information Processor for Corporate Debt Securities	Published January 7, 2016
45-106	Prospectus Exemptions – Amendments	<i>Ministerial approval published January 7, 2016</i>
11-742	Securities Advisory Committee (Revised)	Ministerial approval published January 7, 2016
52-107	Acceptable Accounting Principles and Auditing Standards – Amendments	Ministerial approval published January 7, 2016
45-102	Resale of Securities – Amendments	Ministerial approval published January 7, 2016
11-203	Process for Exempt Relief Applications in Multiple Jurisdictions - Amendments	Commission approval published January 7, 2016
11-501	Electronic Delivery of Documents to the Ontario Securities Commission – Amendments	<i>Ministerial approval published January 7, 2016</i>
13-502	Fees – Amendments	Ministerial approval published January 7, 2016
45-501	Ontario Prospectus and Registration Exemptions – Amendments	Ministerial approval published January 7, 2016
11-739	Policy Reformulation – Table of Concordance and List of New Instruments – Revised	Published January 14, 2016
52-306	Non-GAAP Financial Measures – Revised	Published January 14, 2016
45-108	Crowdfunding	Ministerial approval published January 14, 2016
11-501	Electronic Delivery of Documents to the Ontario Securities Commission – Amendments	Ministerial approval published January 14, 2016
45-501	Ontario Prospectus and Registration Exemptions – Amendments	Ministerial approval published January 14, 2016

#### **New Instruments**

Instrument	Title	Status
94-102	Derivatives Customer Clearing and Protection of Customer Collateral and Positions	Published for comment January 21, 2016
45-314	Updated List of Current CSA Exempt Market Initiatives (Revised)	Published January 28, 2016
81-729	Summary Report for Investment Fund and Structured Product Issuers	Published February 18, 2016
51-726	Report on Staff's Review of Insider Reporting and User Guides for Insiders and Issuers	Published February 18, 2016
24-102	Clearing Agency Requirements	Ministerial approval published February 18, 2016
94-101	Mandatory Central Counterparty Clearing of Derivatives and Proposed Companion Policy CP Mandatory Central Counterparty Clearing of Derivatives	Published for comment February 25, 2016
43-101CP	Standards of Disclosure for Mineral Projects - Amendments	Published February 25, 2016
62-104	Take-Over Bids and Issuer Bids – Amendments	<i>Commission approval published February 25, 2016</i>
62-203	Take-Over Bids and Issuer Bids - Amendments	Commission approval published February 25, 2016
11-102	Passport System – Amendments	Commission approval published February 25, 2016
13-102	System Fees for SEDAR and NRD – Amendments	Commission approval published February 25, 2016
43-101	Standards of Disclosure for Mineral Projects – Amendments	<i>Commission approval published February 25, 2016</i>
55-104CP	Insider Reporting Requirements and Exemptions – Amendments	<i>Commission approval published February 25, 2016</i>
61-101	Protection of Minority Security Holders in Special Transactions – Amendments	<i>Commission approval published February 25, 2016</i>
61-101CP	Protection of Minority Security Holders in Special Transactions	<i>Commission approval published February 25, 2016</i>
62-103	The Early Warning System and Related Take-Over Bid and Insider Reporting Issues – Amendments	<i>Commission approval published February 25, 2016</i>
62-504	Take-Over Bids and issuer Bids – Repeal	Commission approval published February 25, 2016
13-502	Fees – Amendments	Commission approval published February 25, 2016
14-501	Definitions – Amendments	Commission approval published February 25, 2016
48-501	Trading During Distributions, Formal Bids and Share Exchange Transactions – Amendments	Commission approval published February 25, 2016
71-801	Implementing the Multijurisdictional Disclosure System – Amendments	Commission approval published February 25, 2016

## **New Instruments**

Instrument	Title	Status
71-802	Implementing National Instrument 71-103 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers – Amendments	<i>Commission approval published February 25, 2016</i>
91-502	Trades in Recognized Options – Amendments	<i>Commission approval published February 25, 2016</i>
11-206	Process for Cease to be a Reporting Issuer Applications	Commission approval published March 3, 2016
11-207	Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions	Commission approval published March 3, 2016
12-202	Revocation of Certain Cease Trade Orders (replacing current NP 12-202)	Commission approval published March 3, 2016
12-203	Management Cease Trader Orders (replacing current NP 12-203)	Commission approval published March 3, 2016
11-774	Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2017	Published for comment March 10, 2016
54-304	Final Report on Review of the Proxy Voting Infrastructure and Request for Comments on Proposed Meeting Vote Reconciliation Protocols	Published for comment March 31, 2016

For further information, contact: Darlene Watson Project Specialist Ontario Securities Commission 416-593-8148

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