

# Chapter 1

## Notices / News Releases

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### 1.1 Notices

#### 1.1.1 OSC Staff Notice 11-737 (Revised) – Security Advisory Committee – Vacancies

##### OSC STAFF NOTICE

##### SECURITIES ADVISORY COMMITTEE – VACANCIES

The Securities Advisory Committee (“SAC”) is a committee of industry experts established by the Commission to advise it and its staff on a variety of matters including policy initiatives and capital markets trends. The Commission seeks four prospective candidates to serve on SAC beginning in January 2017 for a three-year term ending December 2019. There is a one-third turnover of SAC membership each calendar year.

SAC members generally meet on a monthly basis and provide advice on a variety of matters, including legal and regulatory initiatives, as well as market implications of Commission rules, policies, operations, and administration. SAC members are also invited to provide their perspectives on emerging trends in the marketplace. Those who make a commitment to serve on SAC must be in a position to devote the time necessary to attend meetings and be an active participant at those meetings.

SAC members are expected to have excellent technical abilities and a strong interest in the development of securities regulatory policy. This includes having in-depth knowledge of the legislation and policies for which the Commission is responsible, as well as a significant practice and experience in the securities field. Expertise in an area of special interest to the Commission at the time of an appointment will also be a factor in selection. Diversification of membership on SAC continues to be a Commission priority in order to promote a broad perspective on the development of securities regulatory policy. In addition to candidates engaged in private practice, we continue to welcome the submission of applications from in-house counsel practicing in the securities area at an exchange, institutional investor or dealer.

Qualified individuals who have the support of their firms/employers for the commitment required to effectively participate on SAC, are invited to apply in writing for membership on SAC to the General Counsel’s Office of the Commission, indicating areas of practice and relevant experience. Prospective candidates are encouraged to review OSC Policy 11-601 for further information about SAC.

SAC members whose terms continue past December 2016 are:

- Sheldon Freeman                      Goodmans LLP
- Mindy Gilbert                            Davies Ward Phillips & Vineberg LLP
- Blair Cowper-Smith                    OMERS Administration Corporation
- Kathleen Ritchie                        Gowling Lafleur Henderson LLP
- Thomas Fenton                         Aird & Berlis LLP
- Eric Moncik                                Blake, Cassels & Graydon LLP
- Ramandeep Grewal                      Stikeman Elliott LLP
- Thomas Yeo                                Torys LLP

The Commission wishes to thank the following members whose terms will expire at the end of December 2016:

- Julie Shin                                 Toronto Stock Exchange
- Judy Cotte                                 RBC Global Asset Management Inc.
- Diana Wisner                              Bank of Montreal
- Ian Michael                                Bennett Jones

The Commission is very grateful to outgoing members for their able assistance and valuable input.

Applications for SAC membership will be considered if received on or before November 25, 2016. Applications should be submitted by email to:

James Sinclair  
General Counsel  
Ontario Securities Commission  
20 Queen Street West, 22th Floor  
Toronto, Ontario, M5H 3S8  
Tel: (416) 263-3870  
[jsinclair@osc.gov.on.ca](mailto:jsinclair@osc.gov.on.ca)