

Ontario Securities Commission

Rule 31-505

Unofficial consolidation current to 2009-09-28.

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Any forms referenced in this document are available separately on the Ontario Securities Commission website.

**ONTARIO SECURITIES COMMISSION RULE 31-505
*CONDITIONS OF REGISTRATION***

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Exemption

**PART 1
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**PART 2
GENERAL DUTIES**

General Duties

2.1 (1) A registered dealer or adviser shall deal fairly, honestly and in good faith with its clients.

(2) A representative of a registered dealer or registered adviser shall deal fairly, honestly and in good faith with his or her clients.

(3) [Repealed]

**PART 3
[REPEALED]**

**PART 4
EXEMPTION**

Exemption

4.1 The Director may grant an exemption to this Rule, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.