## **Ontario Securities Commission**

## Form 55-102F6

# Unofficial consolidation current to 2017-04-13

This document is not an official statement of law or policy and should be used for reference purposes only.

### FORM 55-102F6 **INSIDER REPORT** (See instructions on the back of this report)

Notice - Collection and Use of Personal Information: The personal information required under this form is collected on behalf of and used by the securities regulatory authorities set out below for purposes of the administration and enforcement of certain provisions of the securities legislation in British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Northwest Territories, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Yukon. Some of the required information will be made public pursuant to the securities legislation in each of the jurisdictions. indicated above. Other required information will remain confidential and will not be disclosed to any person or company except to any of the securities regulatory authorities or their authorized representatives. If you have any questions about the collection and use of this information, you may contact the securities regulatory authority in any jurisdiction(s) in which the required information is filed, at the address(es) or telephone number(s) set out on the back of this report.

BOX 4.

#### BOX 1. NAME OF THE REPORTING ISSUER (BLOCK LETTERS)

#### BOX 3. NAME, ADDRESS AND TELEPHONE NUMBER OF THE INSIDER (BLOCK LETTERS)

JURISDICTION(S) WHERE THE ISSUER IS A REPORTING ISSUER OR THE EQUIVALENT FAMILY NAME OR CORPORATE NAME GIVEN NAMES STREET APT NO. ALBERTA PRINCE EDWARD **BOX 2. INSIDER DATA** 🗖 BRITISH COLUMBIA 🗖 ISLAND RELATIONSHIP(S) TO REPORTING YY CITY DD MM ☐ MANITOBA □ NORTHWEST ISSUER TERRITORIES NEW BRUNSWICK ONTARIO DATE OF LAST POSTAL CODE PROV NEWFOUNDLAND REPORT FILED QUÉBEC OR CHANGE IN DD MM YY IF INITIAL NOVA SCOTIA BUSINESS TELEPHONE NUMBER RELATIONSHIP YES REPORT, DATE □ SASKATCHEWAN FROM LAST ON WHICH YOU CHANGE IN NAME, EXT REPORT BECAME AN ADDRESS OR BUSINESS FAX NUMBER YUKON INSIDER TELEPHONE NUMBER FROM YES -LAST REPORT

BOX 5. INSIDER HOLDINGS AND CHANGES (IF INITIAL REPORT, COMPLETE SECTIONS A D E AND F ONLY. SEE ALSO INSTRUCTIONS TO BOX 5)

A	B					C TRANSAC	TIONS			D	E	E
DESIGNATION OF CLASS OF SECURITIES	BALANCE OF CLASS OF SECURITIES ON LAST REPORT	DD	DATE MM	YY	NATURE	NUMBER/VALUE ACQUIRED	NUMBER/VALUE DISPOSED OF	UNIT PRICE / EXERCISE PRICE	\$US	PRESENT BALANCE OF CLASS OF SECURITIES HELD	DIRECT / INDIRECT OWNERSHIP / CONTROL OR DIRECTION	IDENTIFY THE REGISTERED HOLDER WHERE OWNERSHIP IS INDIRECT OR WHERE CONTROL OR DIRECTION IS EXERCISED

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### BOX 6. REMARKS

ATTACHMENT

□ YES □ NO

This form is used as a uniform report for the insider reporting requirements under all provincial securities Acts. The terminology used is generic to accommodate the various Acts.

CORRESPONDENCE □ ENGLISH □ FRENCH The undersigned certifies that the information given in this report is true and complete in every respect. It is an offence to submit information that, in a material respect and at the time and in the light of the circumstances in which it is submitted, is misleading or untrue.

BOX 7. SIGNATURE

KEEP A COPY FOR YOUR FILE

NAME (BLOCK	LETTERS)	SIGNATURE	DD	MM	YY
		DATE OF THIS REPORT			

OSC 55-102F6 Rev. 2017 / 04 / 13 VERSION FRANCAISE DISPONIBLE SUR DEMANDE

	INSTRUC		
	Reports in English and French are available from Manitoba, Ontario, Québec and New	List of Codes	
	ck. If you are a corporate insider in the province of Québec, you will receive ondence in French. Individuals in the province of Québec will receive, upon request,	BOX 5  BO	
	ondence in English.	General	
		Acquisition or disposition in the public market	
	an insider of a reporting issuer does not own or have control or direction over securities of orting issuer, or where an insider's ownership or direction or control over securities of the	Acquisition or disposition carried out privately	
	g issuer remains unchanged from the last report filed, a report is not required. Insider	Acquisition or disposition under a prospectus Acquisition or disposition under a prospectus exemption	
	are not required to be filed in Nunavut.	Acquisition or disposition pursuant to a take-over bid, merger or acquisition	
	· · · · · · · · · · · · · · · · · · ·	Acquisition or disposition under a purchase/ownership plan	
	ave any questions about the form you should be using to file your report, see National	Stock dividend	
strum	ent 55-102 System for Electronic Disclosure by Insiders (SEDI).	Conversion or exchange Stock split or consolidation	
<b>X</b> 1	Name of reporting issuer	Redemption/retraction/cancellation/repurchase	
	Provide the full legal name of the reporting issuer. Use a separate report for each	Short sale	
	reporting issuer.	Compensation for property	
<u>-</u>	Insider data	Compensation for services Acquisition or disposition by gift	
JA 2		Acquisition by inheritance or disposition by bequest	
	Indicate all of your relationship(s) to the reporting issuer using the following codes:		
	Reporting issuer that has acquired securities issued by itself	Issuer Derivatives Grant of options	
		Exercise of options	
		Expiration of options	
	Security holder who beneficially owns or who exercises control or direction over	Grant of warrants	
	more than 10% of the securities of the reporting issuer (Québec Securities Act – 10% of a class of shares) to which are attached voting rights or an unlimited right to a	Exercise of warrants Expiration of warrants	
	share of the profits and to its assets in case of winding up 3	Grant of rights	
	Director of a reporting issuer 4	Exercise of rights	
	Senior officer of a reporting issuer 5	Expiration of rights	
	Director or senior officer of a security holder referred to in 3 6	Exercise for cash	
	Director or senior officer of an insider or subsidiary of the reporting issuer, other than 7	Third Party Derivatives	
	in 4, 5 and 6 Deemed insider – 6 months before becoming an insider 8	Acquisition or disposition (writing) of third party derivative	
	g	Exercise of third party derivative Other settlement of third party derivative	
you ha nangeo	ave filed a report before, indicate whether your relationship to the reporting issuer has d.	Expiration of third party derivative	
-		Miscellaneous	
	the date of the last report you filed, and if it is an initial report, the date on which you an insider.	Change in nature of ownership	
		Other	
OX 3 I	Name, address and telephone number of the insider	Correction of information	
	Provide your name, address and business telephone number.	Indicate the number of securities, or for debt securities, the aggregate nominal of the class held, directly and indirectly, after the transaction that is being reported.	
<b>JX 4</b> .	Jurisdiction	Indicate the nature of ownership, control or direction of the class of securities h	helc
	Indicate each jurisdiction where the issuer is a reporting issuer or the equivalent.	using the following codes:	
02 5 1	nsider holdings and changes	Direct ownership	
57.51		Indirect ownership (identify the registered holder)	
	Show direct and indirect holdings separately, both in the initial report and where a transaction is reported. Indicate only one transaction per line.	Control or direction (identify the registered holder)	
		For securities that are indirectly held, or over which control or direction is exerc	cise
or an i	nitial report complete only:	identify the registered holder.	
desig	gnation of class of securities held	BOX 6 Remarks	
pres	- ent balance of class of securities held	Add any explanation necessary to make the report clearly understandable.	
•		If space provided for any item is insufficient, additional sheets may be used	
	re of ownership (see List of Codes)	Additional sheets must refer to the appropriate Box and must be properly id	
ident	ification of the registered holder where ownership is not direct	and signed.	u <del>0</del> 1
you ad	equired or disposed of securities while an insider, complete sections ${f Z}$ to ${f F}$ :	Office staff are not permitted to alter a report.	
	ate a designation of the securities traded that is sufficient to identify the class, including		
	, series, maturity.	BOX 7 Signature and filing	
Indic	ate the number of securities, or for debt securities, the aggregate nominal value, of the	Sign and date the report.	
	s held, directly and indirectly, before the transaction that is being reported.	File one copy of each of the report in each jurisdiction in which the issuer is reporting within the time limits prescribed by the applicable laws of that juris	
Indic	ate for each transaction:		SUI
•	the date of the transaction (not the settlement date)	Manually sign the report.	
	the nature of the transaction (see List of Codes)	Legibly print or type the name of each individual signing the report.	
	the number of securities acquired or disposed of, or for debt securities, the aggregate nominal value	If the report is filed on behalf of a company, partnership, trust or other entity	y, le
	nominal value the unit price paid or received on the day of the transaction, excluding the commission	print or type the name of that entity after the signature.	<b>4</b> :1 -
	if the report is in American dollars, check the space under "\$ US"	If the report is signed on behalf of an individual by an agent, there shall be f each jurisdiction in which the report is filed a duly completed power of attor	
		If the report is filled by facsimile in accordance with National instrument 55-	-
		System for Electronic Disclosure by Insiders (SEDI), the report should be s	

Alberta Securities Commission Suite 600, 250 0 5<sup>th</sup> Street SW Calgary, AB, T2P 0R4 Attention: Information Officer \* Telephone: (403) 297-6156 Facsimile: (403) 297-6156

British Columbia Securities Commission PO Box 10142, Pacific Centre 701 West Georgia Street Vancouver, BC, V7Y 1L2 Attention: Supervisor, Insider Reporting \* Telephone: (604) 899-6500 or (800) 373-6393 (in BC) Facsimile: (604) 899-6550

The Manitoba Securities Commission 500 – 400 St. Mary Avenue Winnipeg, MB, R3C 4K5 Attention: Continuous Disclosure \* Telephone: (204) 945-2548 Facsimile (204) 945-0330

Financial and Consumer Services Commission 85 Charlotte Street, Suite 300 Saint John, NB, E2L 2J2 Attention: Corporate Finance Officer Telephone: (506) 658-3060 or (866) 933-2222 (in New Brunswick)

Securities Commission of Newfoundland P.O. Box 8700, 2nd Floor West Block Confederation Building St. John's, NFLD, A1B 4J6 Attention: Director of Securities \* Telephone: (709) 729-4189 Facsimile: (709) 729-6187 Superintendent of Securities Department of Justice Government of the Northwest Territories 1st Floor, Stuart M. Hodgson Building 5009-49th Street P.O. Box 1320 Yellowknife, Northwest Territories, X1A 2L9 Attention: Deputy Superintendent of Securities Tel: (867) 920-3318 Facsimile: (867) 873-0243

Nova Scotia Securities Commission 2nd Floor, Joseph Howe Building 1690 Hollis Street, P.O. Box 458 Halifax, NS, B3J 3J9 Attention: FOI Officer \* Telephone: (902) 424-7768 Facsimile: (902) 424-4625

Government of Nunavut Office of Superintendent of Securities P.O. Box 100, Station 570 1st Floor, Brown Building Iqaluit, Nunavut X0A 0H0 Contact person: Superintendent of Securities Tel: (867) 975-6590 Fax: (867) 975-6595 Email: <u>securities@gov.nu.ca</u>

Ontario Securities Commission Suite 1903, Box 55, 20 Queen Street West Toronto, ON, M5H 3S8 Attention: FOI Coordinator \* Telephone: (416) 593-8314 Facsimile: (416) 593-3666

Superintendent of Securities Government of Prince Edward Island 4th Floor, Shaw Building 95 Rochford Street P.O. Box 2000 Charlottetown PE C1A 7N8 Tel: (902) 368-4550 Autorite des marches financiers\*\* Stock Exchange Tower P.O. Box 246, 22nd Floor 800 Victoria Square Montreal, PQ, H4Z 1G3 Attention: Responsable de l'accès à l'information Telephone: (514) 940-2150 or (800) 361-5072 (in Québec) Facsimile: (514) 873-3120

Saskatchewan Financial Services Commission Securities Division 6<sup>th</sup> Floor, 1919 Saskatchewan Drive Regina, SK, S4P 3V7 Attention: Director \* Telephone: (306) 787-5645 Facsimile: (306) 787-5899

Yukon Securities Office Yukon Government Law Centre, 3<sup>rd</sup> Floor 2130 Second Avenue (PO Box 2703) Whitehorse, YT Y1A 5H6 Attn: Superintendent of Securities Tel: (867) 667-5466 Fax: (867) 393-6251

- For questions about the collection and use of personal information
- \*\* in Québec questions about the collection and use of personal information may also be addressed to the Commission d'accès à l'information du Québec (1-888-528-7741)

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