

## 1.1.2 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

## OSC STAFF NOTICE 11-739 (REVISED)

## POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2020 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

## Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

## Reformulation

Instrument	Title	Status
51-405	Consideration of an Access Equals Delivery Model for Non-Investment Fund Reporting	<i>Published for comment January 9, 2020</i>
23-325	Trading Fee Rebate Pilot Study	<i>Published January 23, 2020</i>
11-739	Policy Reformulation of Concordance and List of New Instruments (Revised)	<i>Published January 30, 2020</i>
52-112	Proposed NI 52-112 Non-GAAP and Other Financial Measures Disclosure	<i>Published for comment February 13, 2020</i>
94-102	Customer Clearing and Protection of Customer Collateral and Positions	<i>Notice of Ministerial Approval published on February 13, 2020</i>
23-326	Order Protection Rule: Market Share threshold for the period April 1, 2020 to March 31, 2021	<i>Published February 13, 2020</i>
81-502	Restrictions on the Use of the Deferred Sales Charge Option for Mutual Funds	<i>Published for comment February 20, 2020</i>
21-328	Regulatory Approach to Foreign Marketplaces Trading Fixed Income Securities	<i>Published March 5, 2020</i>
43-310	Confidential Pre-File Review of Prospectuses (for non-investment fund issuers)	<i>Published March 5, 2020</i>
31-103	Amendments to NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations to Enhance the Protection of Older and Vulnerable Clients	<i>Published for comment March 5, 2020</i>
45-110	Start-up Crowdfunding Registration and Prospectus Exemptions	<i>Published for comment March 5, 2020</i>
24-102	Amendments to NI 24-102 Clearing Agency Requirements	<i>Commission approval published on March 19, 2020</i>
25-502	Temporary Exemption from Certain Reporting Requirements for Regulated Entities Carry on Business in Ontario – General Order	<i>Published March 26, 2020</i>
81-503	Extension of Certain Filing, Delivery and Prospectus Renewal Requirements of Investment Funds – General Order	<i>Published March 26, 2020</i>

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31-510	Temporary Exemption from Certain Financial Statement and Information Delivery Requirements for Registrants and Unregistered Capital Markets Participants – General Order	<b><i>Published March 26, 2020</i></b>
51-502	Temporary Exemption from Certain Corporate Finance Requirements – Notice of General Order	<b><i>Published March 26, 2020</i></b>
24-101	Amendments to NI 24-101 Institutional Trade Matching and Settlement – Notice of Amendment	<b><i>Commission approval published on March 26, 2020</i></b>
31-357	Blanket Orders/Class Orders in respect of Certain Client Focused Reforms Provisions of NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations	<b><i>Published April 16, 2020</i></b>
31-103	Relief in respect of Client Focused Reforms Relationship Disclosure Information Provisions of NI 31-103 Registration requirements, Exemptions and Ongoing Registrant Obligations	<b><i>Published April 16, 2020</i></b>
13-504	Temporary Relief from Accrual of Late Fees Charged under OSC Rule 13-502 Fees – Notice of General Order	<b><i>Published April 23, 2020</i></b>
13-505	Temporary Relief from Accrual of Late Fees Charged under OSC Rule 13-503	<b><i>Published April 23, 2020</i></b>
81-504	Temporary Exemption from Borrowing Limit to Accommodate Redemption Requests of Mutual Funds Investing in Fixed Income Securities	<b><i>Published April 23, 2020</i></b>
11-788	Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to end March 31, 2021	<b><i>Published for Comment April 30, 2020</i></b>
51-360	Frequently Asked Questions Regarding Filing Extension Relief Granted by Way of a Blanket Order in Response to COVID-19	<b><i>Published May 7, 2020</i></b>
51-504	Temporary Exemptions from Certain Requirements to File or Send Securityholder Materials	<b><i>Published May 7, 2020</i></b>
51-360	Frequently Asked Questions Regarding Filing Extension Relief Granted by Way of a Blanket Order in Response to COVID-19 (Revised)	<b><i>Published May 21, 2020</i></b>
51-505	Ontario Instrument 51-505 Temporary Exemption from Certain Corporate Finance Requirements with Deadlines during the Period from June 2 to August 31, 2020 – General Order	<b><i>Published May 28, 2020</i></b>
81-505	Extension of Certain Filing, Delivery and Prospectus Renewal Requirements of Investment Funds with Deadlines during the Period from June 2, to September 30, 2020	<b><i>Published May 28, 2020</i></b>
13-506	Ontario Instrument 13-506 Temporary Relief from Accrual of Late Fees Charged under OSC Rule 13-502 Fees, No. 2 – General Order	<b><i>Published June 4, 2020</i></b>
13-507	Ontario Instrument 13-507 Temporary Relief from Accrual of Late Fees Charged under OSC Rule 13-503 Fees No. 2	<b><i>Published June 4, 2020</i></b>
43-311	Review of Mineral Resource Estimates in Technical Reports	<b><i>Published June 4, 2020</i></b>

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45-505	Relief in Respect of the Distribution of Securities Through a Funding Portal Operated by Silver Maple Ventures Inc.- General Order	<b><i>Published June 4, 2020</i></b>
21-101	Mandatory Post-Trade Transparency of Trades in Government Debt Securities, Expanded Transparency of Trades in Corporate Debt Securities and Amendments to NI 21-101 Marketplace Operation	<b><i>Commission approval published June 4, 2020</i></b>
24-101	Amendments to NI 24-101	<b><i>Notice of Ministerial Approval published June 4, 2020</i></b>
44-102	Amendments to NI 44-102 Shelf Distributions – Related to at-the-Market Distributions	<b><i>Commission approval published on June 4, 2020</i></b>
24-102	Amendments to NI 24-102 Clearing Agency Requirement	<b><i>Notice of Ministerial Approval published June 18, 2020</i></b>
21-101	Amendments to NI 21-101 Marketplace Operation	<b><i>Commission approval published on June 28, 2020</i></b>
11-789	Notice of Statement of Priorities for Financial Year to end March 31, 2021	<b><i>Published June 25, 2020</i></b>

For further information, contact:

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