

# Chapter 1

## Notices

### 1.1 Notices

#### 1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

##### OSC STAFF NOTICE 11-739 (REVISED)

##### POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of September 30, 2020 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

##### Table of Concordance

###### Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

##### Reformulation

Instrument	Title	Status
31-358	Guidance on Registration Requirements for Chief Compliance Officers and Request for Comments	<i>Published for comment on July 2, 2020</i>
25-402	Consultation on the Self-Regulatory Organization Framework	<i>Published for comment on July 2, 2020</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments	<i>Published July 16, 2020</i>
45-106	Amendments to National Instrument 45-16 Prospectus Exemptions and National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations- Syndicated Mortgages	<i>Commission approval and request for comment published August 6, 2020</i>
11-342	Notice of Local Amendments and Changes in Certain Jurisdictions	<i>Published August 6, 2020</i>
45-506	Start-Up Crowdfunding Registration and Prospectus Exemptions (Interim Class Order)	<i>Published August 6, 2020</i>
48-501	Amendments to OSC Rule 48-501 – Trading During Distributions, Formal Bids and Share Exchange Transactions	<i>Published for comment on August 6, 2020</i>
51-102	Amendments to NI 51-102 Continuous Disclosure Obligations and Changes to Certain Policies Related to the Business Acquisition Report Requirements	<i>Commission approval published August 20, 2020</i>
23-327	Update on Internalization within the Canadian Equity Market	<i>Published August 20, 2020</i>
44-102	Amendments – Shelf Distributions	<i>Ministerial approval published August 27, 2020</i>
21-101	Amendments - Marketplace Operation	<i>Ministerial approval published August 27, 2020</i>

**Notices**

31-359	OBSI Joint regulators Committee Annual Report for 2019	<b><i>Published August 27, 2020</i></b>
94-101	Amendments – Mandatory Central Counterparty Clearing of Derivatives	<b><i>Published for comment September 3, 2020</i></b>
21-101	Amendments – Marketplace Operation	<b><i>Ministerial approval published September 10, 2020</i></b>
21-706	Variation of Exchange Recognition Orders, ATS Protocol, and Revocation of OSC Staff Notice	<b><i>Published September 10, 2020</i></b>
33-751	Summary Report for Dealers, Advisers and Investment Fund Managers	<b><i>Published September 17, 2020</i></b>
81-105	Amendments- Mutual Fund Sales Practices and Related Consequential Amendments Prohibition of Mutual Fund Trailing Commissions Where No Suitability Determination was required	<b><i>Commission approval published September 17, 2020</i></b>

For further information, contact:

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