

Chapter 13

SROs, Marketplaces and Clearing Agencies

13.2 Marketplaces

13.2.1 TSX Inc – OSC Staff Notice of Proposed Changes and Request for Comment – Form 21-101F1 – Mixed Lot and Odd Lot Volumes

TSX INC.

OSC STAFF NOTICE OF PROPOSED CHANGES AND REQUEST FOR COMMENT

TSX Inc. (TSX) has announced plans to implement changes to its Form 21-101F1 on or about 45 days after approval, and is publishing the Notice of Proposed Changes in accordance with the “Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto”.

The changes will introduce enhancements to self-trade order features offered by the TSX and will allow TSX to accept mixed lot and odd lot volumes for specialty priced crosses.

A copy of the TSX Notice including the proposed changes was published on our website at www.osc.gov.on.ca.