Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 IOSCO Enhanced Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information – Notice of Coming into Effect

NOTICE OF COMING INTO EFFECT

IOSCO ENHANCED MULTILATERAL MEMORANDUM OF UNDERSTANDING CONCERNING CONSULTATION AND COOPERATION AND THE EXCHANGE OF INFORMATION

On July 11, 2018, the Ontario Securities Commission (the Commission) became a signatory to the IOSCO Enhanced Multilateral Memorandum of Understanding Concerning Consultation and Cooperation and the Exchange of Information (the IOSCO Enhanced MMoU).

The IOSCO Enhanced MMoU came into effect on September 17, 2018 pursuant to section 143.10 of the Securities Act (Ontario).

The IOSCO Enhanced MMoU will foster greater cross-border enforcement cooperation and mutual assistance among securities regulators, enabling them to respond to the risks and challenges posed by globalization and advances in technology. The IOSCO Enhanced MMoU expands on the forms of assistance available under the IOSCO Multilateral Memorandum of Understanding (the IOSCO MMoU), which came into effect on December 5, 2002. The new arrangement was established to ensure continued effectiveness of securities regulators in safeguarding market integrity and stability, protecting investors and deterring misconduct and fraud in the capital markets.

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