

**1.1.3 IDA Proposed Amendments to Policy No. 11 -  
Research Restrictions and Disclosure  
Requirements - Notice of Commission  
Approval**

**THE INVESTMENT DEALERS ASSOCIATION OF  
CANADA (IDA)**

**PROPOSED AMENDMENTS TO POLICY NO. 11 –  
RESEARCH RESTRICTIONS  
AND DISCLOSURE REQUIREMENTS**

**NOTICE OF COMMISSION APPROVAL**

The Ontario Securities Commission approved proposed amendments to Policy No. 11 – Research Restrictions and Disclosure Requirements. In addition, the Alberta Securities Commission approved and the British Columbia Securities Commission did not object to the amendments. The purpose of the amendments is to update the reference to reflect the name change of AIMR to the Chartered Financial Analyst Institute (CFA Institute). The amendments are housekeeping in nature. The description and a copy of the amendment is contained in Chapter 13 of this Ontario Securities Commission Bulletin.