13.1.3 CNQ - Revised Trader Approval Form

CNQ Notice 2006-006 Pure Trading Notice 2006-004 September 21, 2006

REVISED TRADER APPROVAL FORM

CNQ has revised the form for new applications to become Approved Traders. The new form is effective immediately as a "housekeeping" amendment as the only changes are to add a contact phone number in Vancouver and to add columns indicating whether approval is sought for the CNQ listed market, the Pure Trading Market or both.

The form must be signed by the CNQ Representative. The CNQ Representative may by letter addressed to CNQ authorize another person(s) to make applications.

A copy of the amended form is attached. Clean copies are available on the CNQ website at www.cnq.ca under "Info for Dealers."

For further information, please contact Mark Faulkner, Director, Listings and Regulation, at 416.572.2000 x2305 (Mark.Faulkner@cnq.ca).

CNQ REQUEST TO ADD TRADERS

This form must be completed and sent back or faxed to Account Services (F: 416-572-4160 <u>or 604-408-2303</u>) in order to add new traders to an existing CNQ Dealer application and agreement. The named traders must complete the CNQ Trader Training before they will become approved to trade the CNQ listed market. This form must be signed by the individual appointed as the CNQ Representative.

- 1. Please list the name, address, phone number, and email address of all traders requiring access to the CNQ marketplace.
- 2. Please indicate which order entry vendor system the trader will use and what their 7 digit ID number is for that machine.
- 3. Place a check mark in the appropriate box to indicate if the trader is an Approved Trader on the TSX or TSX Venture Exchange and/or whether the trader has completed the CSI Trader Training Course (check all that apply).
- 4. Confirmation of registration in either Ontario or the province the trader is located in must also be provided for each trader.

Name	Location	Phone	Email	Order Entry Vendor	7 Digit ID Number	TSX	TSX-V	<u>CNQ</u>	<u>Pure</u>	CSI Trader Training	Registration

Dated at_____ this _____ day of _____

By

Print Name of Partner or Senior Officer appointed as CNQ Representative

Title

Signature