

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.2 Marketplaces

13.2.1 Aequitas Neo Exchange Inc. – Amendments to Trading Policies – Notice of Approval

AEQUITAS NEO EXCHANGE INC.

NOTICE OF APPROVAL

AMENDMENTS TO TRADING POLICIES

In accordance with the Process for the *Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto*, Aequitas NEO Exchange (NEO Exchange) filed, and the OSC approved amendments to the Trading Policies of NEO Exchange (the Amendments).

The Amendments relate to:

- revisions to closing call functionality;
- the addition of an odd lot trader concept;
- revisions to the definition of Latency Sensitive Trader;
- the addition of a definition of Opening Call;
- revisions to price band description; and
- clarification of mid-point functionality availability.

The Amendments were published for comment on January 7, 2016 for 30 days. No comments were received.