

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.2 Marketplaces

13.2.1 Aequitas NEO Exchange Inc. – Amendments to Trading Policies – Notice of Approval

AEQUITAS NEO EXCHANGE INC.

NOTICE OF APPROVAL

AMENDMENTS TO TRADING POLICIES

In accordance with the *Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto*, Aequitas NEO Exchange (NEO Exchange) filed, and the OSC approved, amendments to the Trading Policies of NEO Exchange (the Amendments).

The Amendments are related to the introduction of the auto execution facility (AEF).

The Amendments were published for comment on June 2, 2016 at (2016), 39 OSCB 5151. Two comment letters were received.

Aequitas' Notice and response to comments is published on our website at <http://www.osc.gov.on.ca>.