Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.1 SROs

13.1.1 IIROC – Amendments to Universal Market Integrity Rule 6.6 – Notice of Commission Approval

NOTICE OF COMMISSION APPROVAL

THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

AMENDMENTS TO UNIVERSAL MARKET INTEGRITY RULE 6.6

The Ontario Securities Commission approved amendments to IIROC Universal Market Integrity Rule 6.6. The Amendments confirm that the provision of price improvement by a Dark Order is not required if the order that it executes against is an odd-lot order. The Amendments help ensure that requirements respecting the execution of odd-lot orders are applied in a consistent manner on all marketplaces that support the execution of odd-lot orders. A copy of the IIROC Notice was also published on our website at http://www.osc.gov.on.ca.