

The Ontario Securities Commission

# OSC Bulletin

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The Ontario Securities Commission administers the *Securities Act* of Ontario (R.S.O. 1990, c. S.5) and the *Commodity Futures Act* of Ontario (R.S.O. 1990, c. C.20)

**The Ontario Securities Commission**

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## Chapter 1

# Notices

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### 1.1 Notice

#### 1.1.1 Notice of Correction – CSA Notice of Amendments to National Instrument 81-105 Mutual Fund Sales Practices and Related Consequential Amendments Prohibition of Mutual Fund Trailing Commissions Where No Suitability Determination Was Required – Annex F

The following Annex F was omitted from the original publication of *CSA Notice of Amendments to National Instrument 81-105 Mutual Fund Sales Practices and Related Consequential Amendments Prohibition of Mutual Fund Trailing Commissions Where No Suitability Determination Was Required*, published at (2020) 43 OSCB 7299, September 17, 2020.

#### ANNEX F

#### LOCAL MATTERS

In Ontario, the Amendments, as well as other required materials, will be delivered to the Minister of Finance on or about October 8, 2020. The Minister may approve or reject these Amendments or return them for further consideration. If the Minister approves the Amendments or does not take any further action, the Amendments to 81-101 *Mutual Fund Prospectus Disclosure* and National Instrument 41-101 *General Prospectus Requirements* will come into force on December 31, 2020, and the Amendments to National Instrument 81-105 *Mutual Fund Sales Practices* will come into force on June 1, 2022, with the exception of the “suitability determination” definition in section 1.1 of National Instrument 81-105 *Mutual Fund Sales Practices*, which will come into force on December 31, 2020.

1.1.2 OSC Staff Notice 11-737 (Revised) – Securities Advisory Committee – Vacancies

OSC STAFF NOTICE 11-737 (Revised)

SECURITIES ADVISORY COMMITTEE – VACANCIES

The Securities Advisory Committee (“SAC”) is a committee of industry experts established by the Commission to advise it and its staff on a variety of matters including policy initiatives and capital markets trends. The Commission seeks four prospective candidates to serve on SAC beginning in January 2021 for a three-year term ending December 2023. There is a one-third turnover of SAC membership each calendar year.

SAC members generally meet every month and provide advice on a variety of matters, including legal and regulatory initiatives, as well as market implications of Commission rules, policies, operations, and administration. SAC members are also invited to provide their perspectives on emerging trends in the marketplace. Those who make a commitment to serve on SAC must be in a position to devote the time necessary to attend meetings and be an active participant at those meetings.

SAC members are expected to have excellent technical abilities and a strong interest in the development of securities regulatory policy. This includes having in-depth knowledge of the legislation, rules and policies for which the Commission is responsible, as well as a significant practice and experience in the securities field. Expertise in an area of special interest to the Commission at the time of an appointment will also be a factor in selection. Diversification of membership on SAC continues to be a Commission priority in order to promote a broad perspective on the development of securities regulatory policy. In addition to candidates engaged in private practice, we continue to welcome the submission of applications from in-house counsel practicing in the securities area at an exchange, institutional investor or dealer.

Qualified individuals who have the support of their firms/employers for the commitment required to effectively participate on SAC, are invited to apply in writing for membership on SAC to the General Counsel’s Office of the Commission, indicating areas of practice and relevant experience. Prospective candidates are encouraged to review OSC Policy 11-601 for further information about SAC.

SAC members whose terms continue past December 2020 are:

- Kathryn J. Daniels Canadian Pension Plan Investment Board
- Linda Fuerst Norton Rose Fulbright Canada LLP
- Desmond Lee Osler, Hoskin & Harcourt LLP
- Jennifer F. Longhurst Davies Ward Phillips and Vineberg LLP
- Julie Mansi Borden Ladner Gervais LLP
- Leila Rafi McMillan LLP
- Rima Ramchandani Torys LLP
- Ora Wexler Dentons Canada LLP

The Commission wishes to thank the following members whose terms will expire at the end of December 2020:

- Deanna Dobrowsky Toronto Stock Exchange
- Margaret Gunawan BlackRock Asset Management
- Barbara Hendrickson Bax Toronto Stock Exchange Securities Law
- Blair Wiley Wealthsimple

The Commission is very grateful to outgoing members for their able assistance and valuable input.

Applications for SAC membership will be considered if received on or before **November 6, 2020**. Applications should be submitted by email to:

James Sinclair  
General Counsel  
Ontario Securities Commission  
20 Queen Street West, 22nd Floor  
Toronto, Ontario, M5H 3S8  
Tel: (416) 263-3870  
[jsinclair@osc.gov.on.ca](mailto:jsinclair@osc.gov.on.ca)

**1.2 Notices of Hearing**

**1.2.1 Bardya Ziaian – ss. 8, 21.7**

**FILE NO.:** 2020-34

**IN THE MATTER OF  
BARDYA ZIAIAN**

**NOTICE OF HEARING**

Sections 8 and 21.7 of the *Securities Act*, RSO 1990, c S.5

**PROCEEDING TYPE:** Hearing and Review

**HEARING DATE AND TIME:** October 9, 2020 at 3:30 p.m.

**LOCATION:** By videoconference

**PURPOSE**

The purpose of this proceeding is to consider the Application dated September 30, 2020 made by the party named above to review a decision of the Investment Industry Regulatory Organization of Canada dated September 24, 2020.

The hearing set for the date and time indicated above is the first attendance in this proceeding, as described in subsection 6(1) of the Commission's *Practice Guideline*.

**REPRESENTATION**

Any party to the proceeding may be represented by a representative at the hearing.

**FAILURE TO ATTEND**

**IF A PARTY DOES NOT ATTEND, THE HEARING MAY PROCEED IN THE PARTY'S ABSENCE AND THE PARTY WILL NOT BE ENTITLED TO ANY FURTHER NOTICE IN THE PROCEEDING.**

**FRENCH HEARING**

This Notice of Hearing is also available in French on request of a party. Participation may be in either French or English. Participants must notify the Secretary's Office in writing as soon as possible if the participant is requesting a proceeding be conducted wholly or partly in French.

**AVIS EN FRANÇAIS**

L'avis d'audience est disponible en français sur demande d'une partie, que la participation à l'audience peut se faire en français ou en anglais et que les participants doivent aviser le Bureau du secrétaire par écrit dès que possible si le participant demande qu'une instance soit tenue entièrement ou partiellement en français.

Dated at Toronto this 6th day of October, 2020.

"Grace Knakowski"  
Secretary to the Commission

**For more information**

Please visit [www.osc.gov.on.ca](http://www.osc.gov.on.ca) or contact the Registrar at [registrar@osc.gov.on.ca](mailto:registrar@osc.gov.on.ca).

**1.4 Notices from the Office of the Secretary**

**1.4.1 First Global Data Ltd. et al.**

**FOR IMMEDIATE RELEASE  
October 1, 2020**

**FIRST GLOBAL DATA LTD.,  
GLOBAL BIOENERGY RESOURCES INC.,  
NAYEEM ALLI,  
MAURICE AZIZ,  
HARISH BAJAJ, AND  
ANDRE ITWARU,  
File No. 2019-22**

**TORONTO** – Take notice that an attendance in the above-named matter is scheduled to be heard on October 1, 2020 at 1:00 p.m.

OFFICE OF THE SECRETARY  
GRACE KNAKOWSKI  
SECRETARY TO THE COMMISSION

For Media Inquiries:

[media\\_inquiries@osc.gov.on.ca](mailto:media_inquiries@osc.gov.on.ca)

For General Inquiries:

1-877-785-1555 (Toll Free)  
[inquiries@osc.gov.on.ca](mailto:inquiries@osc.gov.on.ca)

**1.4.2 Aurelio Marrone**

**FOR IMMEDIATE RELEASE  
October 1, 2020**

**AURELIO MARRONE,  
File No. 2020-16**

**TORONTO** – The Commission issued an Order in the above named matter.

A copy of the Order dated October 1, 2020 is available at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

OFFICE OF THE SECRETARY  
GRACE KNAKOWSKI  
SECRETARY TO THE COMMISSION

For Media Inquiries:

[media\\_inquiries@osc.gov.on.ca](mailto:media_inquiries@osc.gov.on.ca)

For General Inquiries:

1-877-785-1555 (Toll Free)  
[inquiries@osc.gov.on.ca](mailto:inquiries@osc.gov.on.ca)

**1.4.3 Joseph Debus**

**FOR IMMEDIATE RELEASE  
October 1, 2020**

**JOSEPH DEBUS,  
File No. 2019-16**

**TORONTO** – The Commission issued an Order in the above named matter.

A copy of the Order dated October 1, 2020 is available at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

OFFICE OF THE SECRETARY  
GRACE KNAKOWSKI  
SECRETARY TO THE COMMISSION

For Media Inquiries:

[media\\_inquiries@osc.gov.on.ca](mailto:media_inquiries@osc.gov.on.ca)

For General Inquiries:

1-877-785-1555 (Toll Free)  
[inquiries@osc.gov.on.ca](mailto:inquiries@osc.gov.on.ca)

**1.4.4 Derek F.C. Elliott**

**FOR IMMEDIATE RELEASE  
October 2, 2020**

**DEREK F.C. ELLIOTT,  
File No. 2020-31**

**TORONTO** – Take notice that an attendance in the above named matter is scheduled for October 9, 2020 at 9:00 a.m.

OFFICE OF THE SECRETARY  
GRACE KNAKOWSKI  
SECRETARY TO THE COMMISSION

For Media Inquiries:

[media\\_inquiries@osc.gov.on.ca](mailto:media_inquiries@osc.gov.on.ca)

For General Inquiries:

1-877-785-1555 (Toll Free)  
[inquiries@osc.gov.on.ca](mailto:inquiries@osc.gov.on.ca)

**1.4.5 Bardya Ziaian**

**FOR IMMEDIATE RELEASE  
October 6, 2020**

**BARDYA ZIAIAN,  
File No. 2020-34**

**TORONTO** – The Office of the Secretary issued a Notice of Hearing to consider the Application dated September 30, 2020 made by the party named above to review a decision of the Investment Industry Regulatory Organization of Canada dated September 24, 2020.

The hearing will be held on October 9, 2020 at 3:30 p.m.

A copy of the Notice of Hearing dated October 6, 2020 and the Application dated September 30, 2020 are available at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

OFFICE OF THE SECRETARY  
GRACE KNAKOWSKI  
SECRETARY TO THE COMMISSION

For Media Inquiries:

[media\\_inquiries@osc.gov.on.ca](mailto:media_inquiries@osc.gov.on.ca)

For General Inquiries:

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[inquiries@osc.gov.on.ca](mailto:inquiries@osc.gov.on.ca)

## Chapter 2

# Decisions, Orders and Rulings

## 2.1 Decisions

### 2.1.1 Russell Investments Canada Limited

#### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Relief granted to combine the simplified prospectus of an alternative mutual fund with the simplified prospectus of a conventional mutual fund.

#### Applicable Legislative Provisions

National Instrument 81-101 Mutual Fund Prospectus Disclosure, ss. 5.1(4) and 6.1.

September 29, 2020

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF  
APPLICATIONS  
IN MULTIPLE JURISDICTIONS**

**AND**

**IN THE MATTER OF  
RUSSELL INVESTMENTS CANADA LIMITED  
(the Filer)**

**DECISION**

#### Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of Russell Investments Yield Opportunities Pool (the **Existing Alternative Fund**) and any alternative mutual fund established in the future and managed by the Filer or an affiliate of the Filer (the **Future Alternative Funds**, and together with the Existing Alternative Fund, the **Alternative Funds**) for a decision under the securities legislation of the Jurisdiction of the principal regulator (the **Legislation**) that grants relief to the Alternative Funds from the requirement in subsection 5.1(4) of National Instrument 81-101 *Mutual Fund Prospectus Disclosure* (**NI 81-101**) which states that a simplified prospectus for an alternative mutual fund must not be consolidated with a simplified prospectus of another mutual fund if the other mutual fund is not an alternative mutual fund (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in each of the other provinces and territories of Canada (together with Ontario, the **Canadian Jurisdictions**).

#### Interpretation

Terms defined in National Instrument 14-101 *Definitions*, MI 11-102 and National Instrument 81-102 *Investment Funds* (**NI 81-102**).

#### Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation incorporated under the laws of the Province of Ontario with its head office located in Toronto, Ontario.
2. The Filer currently is registered under the securities legislation in:
  - (a) the Canadian Jurisdictions in the categories of investment fund manager, portfolio manager and exempt market dealer;
  - (b) Ontario as a commodity trading manager and as a mutual fund dealer exempt from membership in the Mutual Fund Dealers Association of Canada; and
  - (c) Manitoba as an advisor (commodities).
3. The Filer, or an affiliate of the Filer, is, or will be, the investment fund manager of each Alternative Fund.
4. The Filer is not in default of the securities legislation in any of the Canadian Jurisdictions.
5. Each Alternative Fund is, or will be, established under the laws of Ontario or Canada as a mutual fund that is a trust or a class of shares of a mutual fund corporation and is, or will be, a reporting issuer in one or more of the Canadian Jurisdictions.

6. The Existing Alternative Fund is not in default of the securities legislation in any of the Canadian Jurisdictions.
7. The securities of each Alternative Fund are, or will be, qualified for distribution in one or more of the Canadian Jurisdictions using a simplified prospectus, annual information form, fund facts documents and/or ETF facts documents, prepared and filed in accordance with the securities legislation of such Canadian Jurisdictions. Each Alternative Fund is, or will be, subject to the requirements of NI 81-101 and NI 81-102.
8. If an Alternative Fund offers both securities which are listed on a stock exchange and securities which are not listed on a stock exchange, the Alternative Fund has received permission to distribute such securities using a simplified prospectus rather than a long form prospectus pursuant to National Instrument 41-101 *General Prospectus Requirements (NI 41-101)*.
9. The Filer wishes to combine the simplified prospectus of the Alternative Funds with the simplified prospectus of mutual funds existing today or created in the future (i) that are reporting issuers to which NI 81-101 and NI 81-102 apply, (ii) that are not alternative mutual funds, and (iii) for which the Filer, or an affiliate of the Filer, acts as the investment fund manager (**Conventional Funds**) in order to reduce renewal, printing and related costs. Offering the Alternative Funds using the same simplified prospectus and annual information form as the Conventional Funds would facilitate the distribution of the Alternative Funds in the Canadian Jurisdictions under the same prospectus disclosure and enable the Filer to streamline disclosure across the Filer's fund platform.
10. Even though the Alternative Funds are, or will be, alternative mutual funds, they share, or will share, many common operational and administrative features with the Conventional Funds and combining them in the same simplified prospectus will allow investors to more easily compare the features of the Alternative Funds and the Conventional Funds.
11. Investors will continue to receive the fund facts document(s) or ETF facts document(s), as applicable, when purchasing securities of the Alternative Funds or Conventional Funds as required by applicable securities legislation. The form and content of the fund facts document(s) and ETF facts document(s) of the Alternative Funds and Conventional Funds will not change as a result of the Exemption Sought. The simplified prospectus and/or annual information form of the Alternative Funds and Conventional Funds will continue to be provided to investors, upon request, as required by applicable securities legislation.

12. NI 41-101 does not contain a provision equivalent to subsection 5.1(4) of NI 81-101. Accordingly, an investment fund manager that manages exchange-traded funds (**ETFs**) is permitted to consolidate a prospectus under NI 41-101 for its ETFs that are alternative mutual funds with a prospectus for its ETFs that are conventional mutual funds. There is no reason why mutual funds filing a prospectus under NI 81-101 should be treated differently from ETFs filing a prospectus under NI 41-101.

**Decision**

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted.

"Darren McKall"  
Investment Funds and Structured Products  
Ontario Securities Commission

**2.1.2 Purpose Investments Inc. and Purpose Structured Equity Yield Portfolio**

each of the other provinces and territories of Canada (together with Ontario, the **Jurisdictions**).

**Headnote**

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Relief granted to fund for extension of the lapse date of prospectus – Filer will incorporate a new fund into an existing prospectus that qualifies units of an existing fund for distribution – Extension of lapse date will not affect the currency or accuracy of the information contained in the prospectuses.

**Applicable Legislative Provisions**

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 62(5).

**September 28, 2020**

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF  
APPLICATIONS  
IN MULTIPLE JURISDICTIONS**

**AND**

**IN THE MATTER OF  
PURPOSE INVESTMENTS INC.  
(the Filer or Manager)**

**AND**

**IN THE MATTER OF  
PURPOSE STRUCTURED EQUITY YIELD PORTFOLIO  
(the Fund)**

**DECISION**

**Background**

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of the Fund for a decision under the securities legislation of the Jurisdiction (the **Legislation**) that the time limits for the renewal of the simplified prospectus of the Fund (the **Prospectus**) be extended to those time limits that would apply if the lapse date was October 23, 2020 (the **Requested Relief**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (i) the Ontario Securities Commission is the principal regulator for this application; and
- (ii) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in

**Interpretation**

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision unless otherwise defined.

**Representations**

This decision is based on the following facts represented by the Filer.

1. The Manager is a corporation amalgamated under the laws of the Province of Ontario. The Manager's head office is located in Toronto, Ontario.
2. The Manager is registered as a portfolio manager in British Columbia, Ontario and Québec, an exempt market dealer in Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Nova Scotia, Ontario, Prince Edward Island, Québec and Saskatchewan, a commodity trading manager in Ontario and an investment fund manager in Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Nova Scotia, Ontario, Prince Edward Island, Québec and Saskatchewan. The Manager is the investment fund manager of the Fund.
3. The Fund is an open-end mutual fund established under the laws of Ontario, and is a reporting issuer as defined in the securities legislation of each of the Jurisdictions.
4. Neither the Manager nor the Fund are in default of securities legislation in any of the Jurisdictions.
5. The Fund currently distributes securities in the Jurisdictions under the Prospectus.
6. Pursuant to subsection 62(1) of the Act, the lapse date of the Prospectus is October 2, 2020 (the **Lapse Date**). Accordingly, under subsection 62(2) of the Act, the distribution of securities of the Fund would have to cease on the Lapse Date unless: (i) the Fund files a pro forma prospectus at least 30 days prior to the Lapse Date; (ii) the final prospectus is filed no later than 10 days after the Lapse Date; and (iii) a receipt for the final prospectus is obtained within 20 days following the Lapse Date.
7. The Manager is proposing to create a new fund (the **New Fund** and together with the Fund, the **Funds**) and to file a combined preliminary and pro forma simplified prospectus and annual information form for the Funds that will be a pro forma filing for securities of the Fund and a preliminary filing for securities of the New Fund. The Manager considered issues associated with the structure of the New Fund and needed

additional time to complete its internal review of these issues before filing the preliminary simplified prospectus and annual information form. The Manager filed a combined preliminary and pro forma simplified prospectus and annual information form for the Funds on September 14, 2020.

8. The Manager wishes to offer the Funds under one simplified prospectus in order to reduce renewal and related costs of the Funds. Offering the Funds under one simplified prospectus would facilitate the distribution of the securities of the Funds in the Jurisdictions under the same prospectus and enable the Manager to streamline disclosure across the Funds. As the Fund and the New Fund are both managed by the Manager, offering the Funds under the same prospectus will allow investors to compare their features more easily.
9. The Requested Relief will permit the renewal simplified prospectus of the Fund to include the New Fund.
10. There have been no material changes in the affairs of the Fund since the date of the Prospectus other than those for which amendments have been filed. Accordingly, the Prospectus, annual information form and current Fund Facts of the Fund represent current information regarding the Fund.
11. Given the disclosure obligations of the Filer and the Fund, should any material change in the business, operations or affairs of the Fund occur, the Prospectus, annual information form and current Fund Facts of the Fund will be amended as required under the Legislation.
12. New investors in the Fund will receive delivery of the most recently filed Fund Facts of the Fund. The current Prospectus will remain available to investors upon request.
13. The Requested Relief will not affect the accuracy of the information contained in the Prospectus, annual information form or Fund Facts of the Fund, and will therefore not be prejudicial to the public interest.

**Decision**

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Requested Relief is granted.

“Darren McKall”  
Manager, Investment Funds and Structured Products  
Ontario Securities Commission

### 2.1.3 Summit Industrial Income REIT et al.

#### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Application for exemptive relief to permit issuer and underwriters, acting as agent for the issuer, to enter into an equity distribution agreement to make “at the market” (ATM) distributions of trust units over the facilities of the TSX or other Canadian marketplace – ATM distributions to be made pursuant to shelf prospectus procedures in Part 9 of NI 44-102 Shelf Distributions – issuer will issue a press release and file agreements on SEDAR – application for relief from prospectus delivery requirement – delivery of prospectus not practicable in circumstances of an ATM distribution – relief from prospectus delivery requirement has effect of removing two-day right of withdrawal and remedies of rescission or damages for non-delivery of the prospectus – application for relief from certain prospectus form requirements – relief granted to permit modified forward-looking certificate language – relief granted on terms and conditions set out in decision document – decision will terminate 25 months after the issuance of a receipt for the shelf prospectus – decision and application also held in confidence by decision makers until the earlier of a public announcement of an ATM distribution, entrance into of an equity distribution agreement, waiver of confidentiality or 90 days from the date of the decision.

#### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 71 and 147.

National Instrument 44-101 Short Form Prospectus Distributions, Part 8 and Item 20 of Form 44-101F1.

National Instrument 44-102 Shelf Distributions, ss. 5.5, 6.3 and 6.7, Part 9, and ss. 2.1 and 2.2 of Appendix A.

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions.

August 7, 2020

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
SUMMIT INDUSTRIAL INCOME REIT  
(the Issuer),

AND

BMO NESBITT BURNS INC.  
AND  
DESJARDINS SECURITIES INC.  
(collectively, the Agents and, together with the Issuer, the Filers)

DECISION

#### Background

The Ontario Securities Commission (the **Decision Maker**), being the principal regulator in the Jurisdiction, has received an application (the **Application**) from the Filers for a decision under the securities legislation of the Jurisdiction (the **Legislation**) for the following relief (the **Exemption Sought**):

- (a) that the requirement that a dealer, not acting as agent of the purchaser, who receives an order or subscription for a security offered in a distribution to which the prospectus requirement applies, send or deliver to the purchaser the latest prospectus (including the applicable prospectus supplement(s) in the case of a base shelf prospectus), and any amendment to the prospectus (the **Prospectus Delivery Requirement**) does not apply to the Agents or any other TSX participating organization or other marketplace participant acting on behalf of the Agents as a selling agent (each, a **Selling Agent**) in connection with any “at-the-market distribution” (each, an **ATM Distribution**), as defined in National Instrument 44-102 *Shelf Distributions* (**NI 44-102**), of trust

units (**Units**) of the Issuer pursuant to one or more substantially identical equity distribution agreements (each, an **Equity Distribution Agreement**) to be entered into by the Issuer and the Agents;

- (b) that the requirements to include in a prospectus supplement or an amendment thereto, each of the following:
- i. a forward-looking issuer certificate of the Issuer in the form specified in section 2.1 or section 2.4, as applicable, of Appendix A to NI 44-102;
  - ii. a forward-looking underwriter certificate in the form specified in section 2.2 or section 2.4, as applicable, of Appendix A to NI 44-102; and
  - iii. a statement respecting purchasers' statutory rights of withdrawal and remedies of rescission or damages in substantially the form prescribed by Item 20 of Form 44-101F1 *Short Form Prospectus*,

(collectively, the **Prospectus Form Requirements**) do not apply to the Prospectus Supplement (as defined below) or an amendment thereto provided that the Issuer includes in the Prospectus Supplement or an amendment thereto the form of issuer certificate and form of underwriter certificate and includes in the Prospectus Supplement or an amendment thereto the revised description of a purchaser's statutory rights of withdrawal and remedies for rescission or damages described below, in each case (other than with respect to the underwriter certificate) superseding and replacing the corresponding language in the Shelf Prospectus (as defined below) solely with regards to an ATM Distribution.

The Decision Maker has also received a request from the Filers for a decision that the Application and this decision (together, the **Confidential Material**) be kept confidential and not be made public until the earliest of: (i) the date on which the Filers publicly announce an ATM Distribution; (ii) the date on which the Filers first enter into an Equity Distribution Agreement; (iii) the date the Filers advise the Decision Maker that there is no longer any need for the Confidential Material to remain confidential; and (iv) the date that is 90 days after the date of this decision (the **Confidentiality Relief**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) pursuant to subsection 3.6(3)(b) National Policy 11-203 *Process for Exemptive Relief Applications in Multiple Jurisdictions*, as the Issuer's head office is located in Ontario, the Ontario Securities Commission is the principal regulator for the Application;
- (b) the Filers have provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, Northwest Territories, Nunavut and Yukon Territory (collectively and together with the Jurisdiction, the **Reporting Jurisdictions**); and
- (c) this decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority.

### Interpretation

Terms defined in MI 11-102, National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)*, National Instrument 14-101 *Definitions* or NI 44-102 have the same meaning if used in this decision, unless otherwise defined herein. All dollar figures in this decision refer to Canadian dollars.

### Representations

This decision is based on the following facts represented by the Filers:

#### *The Issuer*

1. The Issuer is an unincorporated open-ended limited purpose real estate investment trust established under and governed by the laws of the Province of Ontario. The head office of the Issuer is located in Brampton, Ontario.
2. The Issuer is a reporting issuer in each of the provinces and territories of Canada and is not in default of any requirements under applicable securities legislation in any jurisdiction of Canada.
3. The Units are equity securities as defined in section 1.1 of National Instrument 41-101 *General Prospectus Requirements* and are listed on the Toronto Stock Exchange (the **TSX**) under the trading symbol "SMU.UN".

*The Agents*

4. BMO Nesbitt Burns Inc. is a corporation incorporated under the laws of Canada with its head office located in Toronto, Ontario.
5. Desjardins Securities Inc. is a corporation incorporated under the laws of Canada with its head office located in Montreal, Québec.
6. Each Agent is (i) registered as an investment dealer under the securities legislation of each of the provinces and territories of Canada, (ii) a member of the Investment Industry Regulatory Organization of Canada, and (iii) a participating organization of the TSX.
7. Each Agent is not in default of any requirements under applicable securities legislation in any jurisdiction of Canada.

*Proposed ATM Distributions*

8. Subject to mutual agreement on terms and conditions, the Filers propose to enter into one or more substantially identical Equity Distribution Agreements for the purpose of ATM Distributions involving the periodic sale of Units by the Issuer through the Agents, as agents, under the shelf prospectus procedures prescribed by Part 9 of NI 44-102.
9. The Issuer filed a short form base shelf prospectus in each of the Reporting Jurisdictions on May 28, 2019 providing for the distribution from time to time of Units and such other securities as the Issuer deems appropriate (the **Shelf Prospectus**). The Decision Maker issued a receipt for the Shelf Prospectus on May 29, 2019, which receipt was deemed pursuant to MI 11-102 to have been issued by the securities regulatory authority in each of the other Reporting Jurisdictions. Prior to making an ATM Distribution, the Issuer will have filed in each of the Reporting Jurisdictions a prospectus supplement describing the terms of the applicable ATM Distribution, including the terms of the applicable Equity Distribution Agreement and supplementing the disclosure in the Shelf Prospectus (the **Prospectus Supplement**, and together with the Shelf Prospectus as supplemented or amended and including any documents incorporated by reference therein (which shall include any Designated News Release, as defined below), the **Prospectus**).
10. Upon entering into an Equity Distribution Agreement, the Issuer will immediately:
  - (a) issue and file a news release pursuant to section 3.2 of NI 44-102 announcing the applicable Equity Distribution Agreement and indicating that the Shelf Prospectus and the Prospectus Supplement have been filed on SEDAR and disclosing where and how purchasers under the applicable ATM Distribution may obtain copies; and
  - (b) file the Equity Distribution Agreement on SEDAR.
11. The Issuer will conduct ATM Distributions only through the Agents, as agents, directly, or through a Selling Agent, and only through the methods constituting “at-the-market distributions” within the meaning of NI 44-102, including sales made on the facilities of the TSX or another “marketplace” within the meaning of National Instrument 21-101 *Marketplace Operation* upon which the Units are listed, quoted or otherwise traded (each, a **Marketplace**).
12. The Agents will act as the sole agents of the Issuer in connection with an ATM Distribution directly or through one or more Selling Agents on a Marketplace, and will be the sole entities paid an agency fee or commission by the Issuer in connection with such sales. If sales are effected through a Selling Agent, the Selling Agent will be paid a seller’s commission for effecting the trades on behalf of the Agents. The Agents will sign an underwriter certificate, in the form set out in paragraph 28 below, in the Prospectus Supplement. A purchaser’s rights and remedies under applicable securities legislation against the Agents, as agents of an ATM Distribution through a Marketplace, will not be affected by a decision to effect the sale directly or through a Selling Agent.
13. Under the proposed Equity Distribution Agreements, the Issuer may conduct one or more ATM Distributions subject to the 10% limitation set out in subsection 9.1(1) of NI 44-102.
14. Each Equity Distribution Agreement will provide that, at the time of each sale of Units pursuant to an ATM Distribution, the Issuer will represent to the Agents that the Prospectus contains full, true and plain disclosure of all material facts relating to the Issuer and the Units being distributed. The Issuer will, therefore, be unable to proceed with sales pursuant to an ATM Distribution when it is in possession of undisclosed information that would constitute a material fact or a material change in respect of the Issuer or the Units.
15. During the period after the date of the applicable Prospectus Supplement and before the termination of any ATM Distribution, if the Issuer disseminates a news release disclosing information that, in the Issuer’s determination, constitutes a “material fact” (as such term is defined in the Legislation), the Issuer will identify such news release as a

“designated news release” for the purposes of the Prospectus. This designation will be made on the face page of the version of such news release filed on SEDAR (any such news release, a **Designated News Release**). Each Prospectus Supplement will provide that any such Designated News Release will be deemed to be incorporated by reference into the Prospectus. A Designated News Release will not be used to update disclosure in the Prospectus by the Issuer in the event of a “material change” (as such term is defined in the Legislation).

16. If, after the Issuer delivers a sell notice to the Agents directing the Agents to sell Units on the Issuer’s behalf pursuant to the applicable Equity Distribution Agreement (a **Sell Notice**), the sale of Units specified in the Sell Notice, taking into consideration prior sales under previous ATM Distributions, would constitute a material fact or material change, the Issuer will suspend sales under the Equity Distribution Agreement until either: (i) it has filed a Designated News Release or material change report, as applicable, or amended the Prospectus; or (ii) circumstances have changed such that the sales would no longer constitute a material fact or material change.
17. In determining whether the sale of the number of Units specified in a Sell Notice would constitute a material fact or material change, the Issuer will take into account a number of factors, including, without limitation: (i) the parameters of the Sell Notice, including the number of Units proposed to be sold and any price or timing restrictions that the Issuer may impose with respect to the particular ATM Distribution; (ii) the percentage of the outstanding Units that the number of Units proposed to be sold pursuant to the Sell Notice represents; (iii) the trading volume and volatility of the Units; (iv) recent developments in the business, affairs and capital structure of the Issuer; (v) sales under earlier Sell Notices; and (vi) prevailing market conditions generally.
18. In addition, the Agents will monitor closely the market’s reaction to trades made on any Marketplace pursuant to an ATM Distribution in order to evaluate the likely market impact of future trades. Each Agent has experience and expertise in managing sell orders to limit downward pressure on trading prices. If the Agents have concerns as to whether a particular sell order placed by the Issuer may have a significant effect on the market price of the Units, the Agents will recommend against effecting the trades pursuant to the applicable Sell Notice at that time. It is in the interest of both the Issuer and the Agents to minimize the market impact of sales under an ATM Distribution.

*Disclosure of Units Sold in ATM Distributions*

19. The Issuer will disclose in its annual and interim financial statements and management discussion and analysis filed on SEDAR in respect of that financial period, the number and average price of Units sold pursuant to ATM Distributions during that annual or interim financial period, as well as total gross proceeds, commissions paid or payable and net proceeds.

*Prospectus Delivery Requirement*

20. Pursuant to the Prospectus Delivery Requirement, a dealer effecting a trade of securities offered under a prospectus is required to deliver a copy of the prospectus (including the applicable prospectus supplement(s) in the case of a base shelf prospectus) to the purchaser within prescribed time limits.
21. The delivery of a prospectus is not practicable in the circumstances of an ATM Distribution, as neither the Agents nor a Selling Agent effecting the trade will know the identity of the purchasers.
22. The Prospectus will be filed and readily available to all purchasers electronically via SEDAR. As stated in paragraph 10 above, the Issuer will issue a news release that specifies where and how copies of the Prospectus can be obtained.
23. The liability of an issuer or an underwriter (or others) for a misrepresentation in a prospectus pursuant to the civil liability provisions of the Legislation will not be affected by the grant of an exemption from the Prospectus Delivery Requirement, because purchasers of securities offered by a prospectus during the period of distribution have a right of action for damages or rescission, without regard to whether the purchaser relied on the misrepresentation or in fact received a copy of the prospectus.

*Withdrawal Right and Right of Action for Non-Delivery*

24. Pursuant to the Legislation, an agreement to purchase securities in respect of a distribution to which the prospectus requirement applies is not binding on the purchaser if a dealer receives, not later than midnight on the second day (exclusive of Saturdays, Sundays and holidays) after receipt by the purchaser of the latest prospectus or any amendment to the prospectus, a notice in writing that the purchaser does not intend to be bound by the agreement of purchase (the **Withdrawal Right**).
25. Pursuant to the Legislation, a purchaser of securities to whom a prospectus was required to be sent or delivered in compliance with the Prospectus Delivery Requirement, but was not so sent or delivered, has a right of action for rescission or damages against the dealer who did not comply with the Prospectus Delivery Requirement (the **Right of Action for Non-Delivery**).

26. Neither the Withdrawal Right nor the Right of Action for Non-Delivery is workable in the context of an ATM Distribution because of the impracticability of delivering the Prospectus to a purchaser of Units thereunder.

*Prospectus Form Requirements*

27. To reflect the fact that the ATM Distribution is a continuous distribution, the Prospectus Supplement and any amendment thereto will include the following issuer certificate in substitution for the certificate prescribed by the Prospectus Form Requirements (with appropriate modifications in respect of the filing of an amendment prescribed by section 2.4 of Appendix A to NI 44-102), such issuer certificate to supersede and replace the issuer certificate in the Shelf Prospectus, solely with regards to the ATM Distribution:

“The short form prospectus, together with the documents incorporated in the prospectus by reference, as supplemented by the foregoing, will, as of the date of a particular distribution of securities under the prospectus, constitute full, true and plain disclosure of all material facts relating to the securities offered by the prospectus and the supplement as required by the securities legislation of each of the provinces and territories of Canada.”

28. To reflect the fact that the ATM Distribution is a continuous distribution, the Prospectus Supplement and any amendment thereto will include the following underwriter certificate (with appropriate modifications in respect of the filing of an amendment prescribed by section 2.4 of Appendix A to NI 44-102) in substitution for the certificate prescribed by the Prospectus Form Requirements:

“To the best of our knowledge, information and belief, the short form prospectus, together with the documents incorporated in the prospectus by reference, as supplemented by the foregoing, will, as of the date of a particular distribution of securities under the prospectus, constitute full, true and plain disclosure of all material facts relating to the securities offered by the prospectus and this supplement, as required by the securities legislation of each of the provinces and territories of Canada.”

29. A different statement of purchasers' rights than that required by the Legislation is necessary so that the Prospectus Supplement will accurately reflect the relief granted from the Prospectus Delivery Requirement. Accordingly, the Prospectus Supplement will state the following with the date reference completed, in substitution for the language prescribed by the Prospectus Form Requirements:

“Securities legislation in some provinces and territories of Canada provides purchasers of securities with the right to withdraw from an agreement to purchase securities and with remedies for rescission or, in some jurisdictions, revisions of the price, or damages if the prospectus, prospectus supplement, and any amendment relating to securities purchased by a purchaser are not sent or delivered to the purchaser. However, purchasers of Units distributed under an at-the-market distribution by the REIT do not have the right to withdraw from an agreement to purchase the Units and do not have remedies of rescission or, in some jurisdictions, revisions of the price, or damages for non-delivery of the prospectus, prospectus supplement, and any amendment relating to the Units purchased by such purchaser because the prospectus, prospectus supplement, and any amendment relating to the Units purchased by such purchaser will not be sent or delivered, as permitted under either (i) a decision dated [●], 2020 granted pursuant to National Policy 11-203 *Process for Exemptive Relief Applications in Multiple Jurisdictions*; or (ii) Part 9 of National Instrument 44-102 *Shelf Distributions*, as applicable.

Securities legislation in some provinces and territories of Canada further provides purchasers with remedies for rescission or, in some jurisdictions, revisions of the price or damages if the prospectus, prospectus supplement, and any amendment relating to securities purchased by a purchaser contains a misrepresentation. Those remedies must be exercised by the purchaser within the time limit prescribed by securities legislation. Any remedies under securities legislation that a purchaser of Units distributed under an at-the-market distribution by the REIT may have against the REIT or its agents for rescission or, in some jurisdictions, revisions of the price, or damages if the prospectus, prospectus supplement, and any amendment relating to securities purchased by a purchaser contain a misrepresentation will remain unaffected by the non-delivery of the prospectus, and the decision referred to above (if applicable).

A purchaser should refer to applicable securities legislation and the decision referred to above (if applicable) for the particulars of these rights and should consult a legal adviser.”

30. The Prospectus Supplement will disclose that, solely with regards to the ATM Distribution, the statement in paragraph 29 above supersedes and replaces the statement of purchasers' rights contained in the Shelf Prospectus.

**Decision**

The Decision Maker is satisfied that this decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Maker under the Legislation is that the Exemption Sought is granted provided that:

- (a) during the 60-day period ending not earlier than 10 days prior to the commencement of an ATM Distribution, the Units have traded, in total, on one or more Marketplaces, as reported on a consolidated market display:
  - i. an average of at least 100 times per trading day; and
  - ii. with an average trading value of at least \$1,000,000 per trading day;
- (b) the Issuer complies with the disclosure requirements set out in paragraphs 19, 27, 28, 29, and 30; and
- (c) the Issuer and the Agents respectively comply with the representations made in paragraphs 10, 11, 12, 13, 14, 15, 16, 17 and 18.

This decision will terminate on the expiry or withdrawal of the Shelf Prospectus (currently expected to be June 29, 2021, being the date that is 25 months from the date of the receipt for the Shelf Prospectus).

The further decision of the Decision Maker is that the Confidentiality Relief in respect of the Exemption Sought is granted.

As to the Exemption Sought from the Prospectus Delivery Requirement and the Confidentiality Relief:

“Cecilia Williams”  
Commissioner  
Ontario Securities Commission

“Mary Anne De Monte-Whelan”  
Commissioner  
Ontario Securities Commission

As to the Exemption Sought from the Prospectus Delivery Requirement, the Prospectus Form Requirements and the Confidentiality Relief:

“Jo-Anne Matear”  
Manager, Corporate Finance  
Ontario Securities Commission

## 2.1.4 SEI Investments Canada Company and Richardson GMP Limited

### Headnote

National Policy 11-203 – Process for Exemptive Relief Applications in Multiple Jurisdictions – Revocation of prior relief – relief from the requirement in subsections 5.2(1), (3) and (4) of NI 81-101 to allow dealer to physically deliver or electronically send in a single email attachment or single document accessible through a hyperlink one document containing the fund facts documents of all of the funds in a model portfolio, along with a cover page, in respect of purchases of securities of the funds made pursuant to a model portfolio program, subject to certain conditions – National Instrument 81-101 Mutual Fund Prospectus Disclosure.

### Applicable Legislative Provisions

National Instrument 81-101 Mutual Fund Prospectus Disclosure, ss. 3.2.01(1), 5.2(1), 5.2(3) and 5.2(4), and 6.1.

August 19, 2020

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
SEI INVESTMENTS CANADA COMPANY  
(SEI)

AND

IN THE MATTER OF  
RICHARDSON GMP LIMITED  
(the Representative Dealer, and, together with SEI, the Filers)

DECISION

### Background

The principal regulator in the Jurisdiction has received an application from SEI on behalf of the Funds (as defined below) and the Representative Dealer for a decision under the securities legislation of the Jurisdiction (the **Legislation**):

- (a) revoking the decision granted by the principal regulator (the **Revocation**) on July 15, 2020 (the **Prior Decision**); and
- (b) exempting each Dealer (as defined below) from:
  - (i) subsection 5.2(1) of National Instrument 81-101 *Mutual Fund Prospectus Disclosure* (**NI 81-101**), which prohibits a fund facts document (**Fund Facts**), if being delivered under the pre-sale delivery requirement for Fund Facts (the **Fund Facts Delivery Requirement**), from being combined with any other materials or documents;
  - (ii) subsection 5.2(3) of NI 81-101, which prohibits multiple Fund Facts, if being delivered electronically at the same time, from being combined into a single email attachment or a single document accessible through a hyperlink; and
  - (iii) subsection 5.2(4) of NI 81-101, which prohibits a Fund Facts, if being delivered or sent under certain exceptions from the Fund Facts Delivery Requirement, from being combined with any other materials or documents, except for certain exceptions (collectively, the **Bundling Restrictions**)

in order to physically deliver or electronically send, in a single email attachment or a single document accessible through a hyperlink, one document containing the Fund Facts for all the Funds (as defined below) forming part of an SEI Portfolio (as

defined below), along with a cover page, in respect of purchases of securities of the Funds under the Service (as defined below) (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filers have provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Nova Scotia, Prince Edward Island, Québec, Saskatchewan and Yukon (the **Other Jurisdictions**, and together with Ontario, the **Canadian Jurisdictions**).

### **Interpretation**

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

### **Representations**

This decision is based on the following facts represented by the Filers:

#### *SEI and the Funds*

1. SEI is an unlimited liability company organized under the laws of the Province of Nova Scotia. The registered office of SEI is located in Toronto, Ontario.
2. SEI is registered as a portfolio manager and an exempt market dealer in each of the Canadian Jurisdictions, and as an investment fund manager in Ontario, Newfoundland and Labrador, and Québec. SEI is also registered under the *Commodity Futures Act* (Ontario) as an adviser in the category of commodity trading manager.
3. SEI is the investment fund manager of certain mutual funds (the **Existing Funds**) that form part of the SEI Portfolios model portfolio service described below (the **Service**). SEI may, in the future, also become the manager of additional mutual funds (the **Future Funds** and, together with the Existing Funds, the **Funds**) that will also form part of the Service.
4. Each of the Funds is, or will be, a reporting issuer in one or more of the Canadian Jurisdictions, and subject to the requirements of National Instrument 81-102 *Investment Funds* (**NI 81-102**). Securities of the Funds are, or will be, qualified for sale pursuant to a simplified prospectus, annual information form and Fund Facts that have been, or will be, prepared and filed in accordance with NI 81-101.
5. The Funds are, or will be, open-ended mutual funds established under the laws of Ontario.
6. Except as described in representation 16 below, SEI is not in default of securities legislation in any of the Canadian Jurisdictions. The Funds are not in default of securities legislation in any of the Canadian Jurisdictions.

#### *The Dealers*

7. Securities of the Funds are, or will be, distributed through dealers that are unaffiliated with SEI, including the Representative Dealer (the **Dealers**, and each, a **Dealer**).
8. The Representative Dealer is registered as an investment dealer and is a member of the Investment Industry Regulatory Organization of Canada (**IIROC**).
9. Each Dealer is, or will be: (a) registered in the applicable Canadian Jurisdictions as a dealer in the category of mutual fund dealer and, other than mutual fund dealers registered in Québec, is, or will be, a member of the Mutual Fund Dealers Association; or (b) registered in the applicable Canadian Jurisdictions as a dealer in the category of investment dealer and is, or will be, a member of IIROC.
10. Except as described in representation 17 below, the Representative Dealer is not in default of securities legislation in any of the Canadian Jurisdictions.

#### *The Service*

11. Through the Service, SEI constructs and makes available to investors, through Dealers, asset allocation portfolios which are invested exclusively in various combinations of the Funds (the **SEI Portfolios**, and each, an **SEI Portfolio**).

## Decisions, Orders and Rulings

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12. The Service offers a number of SEI Portfolios, each of which is comprised of a selection of Funds and corresponds to a different investment objective, investment horizon and risk profile. The SEI Portfolios are designed to meet a wide range of investor goals, from capital preservation to maximum growth, and span a broad risk-return spectrum.
13. Each SEI Portfolio is, and will be, comprised entirely of Funds for which SEI acts as investment fund manager.
14. Each SEI Portfolio has a specified target fund allocation that defines the percentage of the portfolio to be invested (the **Target Weighting**) in each Fund.
15. Each investor in an SEI Portfolio must accept all of the Funds in the SEI Portfolio and the Targeted Weighting of each of those Funds.
16. SEI has received exemptive relief to address compliance with the dealer registration, know-your-client, suitability and account reporting requirements in the Legislation in respect of the Service.
17. SEI and the Representative Dealer, on behalf of each Dealer, have applied for exemptive relief to address compliance with the Fund Facts Delivery Requirement in the Legislation in respect of the Service.

### **The Exemption Sought**

18. Each investor in an SEI Portfolio makes one investment decision, which is the selection of their chosen SEI Portfolio, causing the investor to invest in multiple Funds comprising their chosen SEI Portfolio.
19. The Filer believes that combining all of the Fund Facts for the Funds in an SEI Portfolio in one document, in paper or electronic form in a single email attachment or a single document accessible through a hyperlink, along with a cover page, rather than delivering or sending separate multiple Fund Facts documents:
  - (a) will allow investors to better understand that an SEI Portfolio is composed of several Funds with their own characteristics;
  - (b) will facilitate the review of information provided in the Fund Facts of each Fund forming part of the selected SEI Portfolio; and
  - (c) will not be so extensive as to cause a reasonable person to conclude that the combination prevents the information from being presented in a simple, accessible and comparable format.
20. In the absence of the Exemption Sought, a Dealer would be required to comply with the Bundling Restrictions.
21. The Filers previously obtained relief from the Bundling Restrictions in respect of purchases of securities of the Funds under the Service in the Prior Decision. Due to inadvertence, the Prior Decision did not include conditions 2(b) and (c) below. The Revocation and issuance of a new decision in respect of the Exemption Sought will address this issue.

### **Decision**

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that:

1. the Revocation is granted; and
2. the Exemption Sought is granted provided that:
  - (a) when a Fund Facts is required to be delivered or sent to the purchaser, for all the Funds forming part of an SEI Portfolio under the Service, the Dealer physically delivers or electronically sends, in a single email attachment or single document accessible through a hyperlink, one document containing only the following:
    - (i) the Fund Facts for all the Funds in the SEI Portfolio; and
    - (ii) a cover page containing:
      - a. the name of the particular SEI Portfolio;
      - b. a statement about the delivery of the Fund Facts that make up the SEI Portfolio;
      - c. the name of each Fund comprising the SEI Portfolio; and

- d. the specified Target Weighting for each Fund in the SEI Portfolio;
- (b) SEI provides to the principal regulator, on an annual basis, beginning 60 days after the date upon which the Exemption Sought is first relied upon by a Dealer, either:
  - (i) a current list of all such Dealers that are relying on the Exemption Sought; or
  - (ii) an update to the list of such Dealers or confirmation that there has been no change to such list; and
- (c) prior to a Dealer relying on the Exemption Sought, SEI provides to the Dealer a disclosure statement informing the Dealer of the implications of this decision.

“Stephen Paglia”  
Manager  
Investment Funds and Structured Products  
Ontario Securities Commission

**2.1.5 Summit Industrial Income REIT – s. 5.1 of OSC Rule 48-501 Trading During Distributions, Formal Bids and Share Exchange Transactions**

**Headnote**

Application for a decision, pursuant to section 5.1 of OSC Rule 48-501, exempting the Filer from trading restrictions imposed by section 2.2(a) of OSC Rule 48-501. Decision granted. Decision and application also held in confidence by the decision makers until the earliest of (i) the date on which the Filer publicly announces an ATM Distribution, (ii) the date on which the Filer first enters into an Equity Distribution Agreement as described below, (iii) the date on which the Filer advises the Decision Maker that there is no longer any need for the Confidential Material to remain confidential, and (iv) the date that is 90 days after the date of this decision.

**Rule Cited**

Ontario Securities Commission Rule 48-501 – Trading During Distributions, Formal Bids and Share Exchange Transactions.

August 7, 2020

IN THE MATTER OF  
THE *SECURITIES ACT*,  
R.S.O. 1990, CHAPTER S.5, AS AMENDED  
(the Act)

AND

IN THE MATTER OF  
ONTARIO SECURITIES COMMISSION RULE 48-501  
*TRADING DURING DISTRIBUTIONS, FORMAL BIDS AND SHARE EXCHANGE TRANSACTIONS*  
(the Rule)

AND

IN THE MATTER OF  
SUMMIT INDUSTRIAL INCOME REIT  
(the Filer)

DECISION  
(Section 5.1 of the Rule)

**Background**

The securities regulator in the Jurisdiction (the **Decision Maker**) has received an application (the **Application**) from the Filer pursuant to the procedures set forth in OSC Policy 2.1 *Applications to the Ontario Securities Commission* for a decision under the securities legislation of the Jurisdiction (the **Legislation**) that the requirement in section 2.2(a) of the Rule do not apply to insiders of the Filer (the **Insiders**) in connection with any ATM Distributions (as defined below) made by the Filer under an Equity Distribution Agreement (as defined below) (the **Exemptive Relief Sought**).

The Decision Maker has also received a request from the Filer for a decision that the Application and this decision (together, the **Confidential Material**) be kept confidential and not be made public until the earliest of: (i) the date on which the Filer publicly announces an ATM Distribution, (ii) the date on which the Filer first enters into an Equity Distribution Agreement as described below, (iii) the date on which the Filer advises the Decision Maker that there is no longer any need for the Confidential Material to remain confidential, and (iv) the date that is 90 days after the date of this decision (together, the **Confidentiality Relief**).

**Interpretation**

Terms defined in National Instrument 14-101 *Definitions*, National Instrument 21-101 *-Marketplace Operation* and National Instrument 13-101 *System for Electronic Document Analysis and Retrieval (SEDAR)* or the Rule have the same meaning if used in this decision, unless otherwise defined herein. All dollar figures in this decision refer to Canadian dollars.

**Representations**

This decision is based on the following facts represented by the Filer:

**The Filer**

1. The Filer is an unincorporated open-ended limited purpose real estate investment trust established under and governed by the laws of the Province of Ontario. The head office of the Filer is located in Brampton, Ontario.
2. The Filer is a reporting issuer in each province and territory of Canada and is not in default of securities legislation in any jurisdiction of Canada.
3. The Filer's trust units (the **Units**) are listed on the Toronto Stock Exchange (the **TSX**) under the trading symbol "SMU.UN".
4. The Units meet the requirements in the Rule to be considered a "highly-liquid security".

**Proposed ATM Distributions**

5. The Filer filed a short form base shelf prospectus in each of the provinces and territories of Canada on May 28, 2019 providing for the distribution from time to time of Units and such other securities as the Filer deems appropriate (the **Shelf Prospectus**).
6. The Filer intends to conduct one or more "at-the-market distributions" of Units in Canada (**ATM Distributions**) within the meaning of, and pursuant to the shelf prospectus procedures prescribed in, Part 9 of National Instrument 44-102 *Shelf Distributions (NI 44-102)*, to be made pursuant to the terms and conditions of one or more substantially identical equity distribution agreements (each, an **Equity Distribution Agreement**) to be entered into between the Filer and certain agents (the **Agents**).
7. Subject to mutual agreement on terms and conditions, the Filer is proposing to enter into one or more Equity Distribution Agreements with the Agents, providing for the sale of Units from time to time by the Filer through the Agents pursuant to an ATM Distribution under the shelf prospectus procedures prescribed by Part 9 of NI 44-102.
8. Prior to making an ATM Distribution, the Filer will have filed in each of the provinces and territories of Canada a prospectus supplement describing the terms of an ATM Distribution, including the terms of the applicable Equity Distribution Agreement and otherwise supplementing the disclosure in the Shelf Prospectus (the **Prospectus Supplement**, and together with the Shelf Prospectus as supplemented or amended and including any documents incorporated by reference therein, the **Prospectus**).
9. Each Equity Distribution Agreement will provide that, at the time of each sale of Units pursuant to an ATM Distribution, the Filer will represent to the Agents that the Prospectus contains full, true and plain disclosure of all material facts relating to the Filer and the Units being distributed. It is therefore likely that a significant portion of the sales activity under ATM Distributions will occur during periods that coincide with the Filer's typical Trading Window (as defined below) under its Trading Policy (as defined below).

**Unit Ownership Policy**

10. Under the Filer's current minimum Unit ownership policy (the **Ownership Policy**), the Chief Executive Officer (the **CEO**) of the Filer must, within three years of becoming CEO, hold at least three times the value of his or her base salary averaged over the three most recent years in Units and/or deferred trust units, and each trustee of the Filer (each a **Trustee**) must, within five years of becoming a Trustee, hold at least three times the value of his or her base retainer averaged over the three most recent years.
11. The Filer believes that the Ownership Policy is in-line with best corporate governance practices and that it is in the Filer's best interest to avoid imposing any unnecessary restrictions on the ability of Insiders to increase their equity stake in the Filer.
12. Under the terms of the Filer's trading policy for employees and Insiders (the **Trading Policy**), Trustees and officers of the Filer, as well as certain other individuals, may only trade Units while the Filer is not in a "blackout" period (a **Trading Window**). Regularly scheduled blackout periods commence on the last day at the end of each fiscal quarter of the Filer and end at the conclusion of the second business day following the issuance of a news release in respect of the Filer's quarterly or annual financial results. If the Filer puts in place an ATM Distribution, distributions by the Filer may occur during a Trading Window.
13. Pursuant to section 2.2(a) of the Rule, an insider of a reporting issuer is prohibited from bidding on or purchasing securities of that reporting issuer during the period commencing on the date that is two trading days prior to the day the offering price is determined for a prospectus offering of that reporting issuer, and ending on the date the selling process ends and all stabilization arrangements relating to the offered security are terminated (the **Insider Purchasing Restriction**).

14. These restrictions in the Rule were not designed in contemplation of ATM Distributions. Subsection 1.2(5)(a)(i) of the Rule provides the following interpretative guidance:

the selling process shall be considered to end, in the case of a prospectus distribution, if a receipt has been issued for the final prospectus, the dealer has allocated all of its portion of the securities to be distributed under the prospectus and all selling efforts have ceased

however such guidance does not apply in the context of an ATM Distribution, where the receipt is obtained before the distribution begins, the dealers do not allocate a position (but rather simply trade on a “marketplace”, within the meaning of National Instrument 21-101 *Marketplace Operation*) and no selling efforts are made (only ordinary trading activity).

15. Similarly, the exemption in section 3.2(e) of the Rule, in respect of “a subscription for or purchase of an offered security pursuant to a prospectus distribution”, is not possible to apply in the context of an ATM Distribution, given that insiders purchasing on a marketplace during an ATM Distribution would have no knowledge as to whether they are purchasing under an ATM Distribution or otherwise from a counterparty unrelated to the Filer.
16. The stated policy rationale for the Rule is to prohibit “purchases of or bids for restricted securities in circumstances where there is heightened concern over the possibility of manipulation by those with an interest in the outcome of the distribution or transaction”.<sup>1</sup>
17. In the case of the Filer, given that:
- (a) the Units constitute a “highly liquid security” and are liquid to such a degree that it would be virtually impossible for an Insider to manipulate the trading price of the Units through purchases;
  - (b) most Insiders will, in any event, be unaware of when each ATM Distribution begins and ends and discrete sales of Units thereunder occur; and
  - (c) any Insider that is purchasing Units on the market during an ATM Distribution will not know whether it is purchasing under an ATM Distribution or from another counterparty unrelated to the Filer;

there is no policy rationale for applying the Insider Purchasing Restriction to Insiders in the context of an ATM Distribution.

18. In the absence of an exemption from the Insider Purchasing Restriction, Insiders would be restricted from bidding on and purchasing Units during a period of time prior to and during each ATM Distribution by the Filer, which could overlap with the Trading Windows and unduly and unnecessarily impede Trustees and officers of the Filer from making purchases of Units, including for the purposes of complying with the Ownership Policy.

### **Confidentiality**

19. The Filer submits that the Confidentiality Relief is warranted in the circumstances as there is otherwise a risk of the public being misled into believing, on the basis of the Confidential Material being available to the public before the earliest of the proposed expiration dates, that implementation of the contemplated ATM Distribution arrangement by the Filer is imminent when in fact the parties have not yet come to a definitive agreement and the Filer may decide not to proceed with an ATM Distribution in the near term, or at all, depending on market conditions and other factors outside of the Filer’s control. Such premature disclosure could cause confusion and uncertainty in the market and would be contrary to the public interest.
20. In recognition of the general principles of access under the Act, however, the Filer proposes that the Confidentiality Relief be limited to a maximum duration of 90 days from the date of this decision. This period is believed to provide the Filer with sufficient time within which to negotiate a definitive Equity Distribution Agreement or otherwise make a final determination on the matter, and strikes an appropriate balance between the Filer’s legitimate concerns about premature disclosure and principles of public access to filed materials.
21. Upon a definitive Equity Distribution Agreement being settled between the Filer and the Agents, the Filer’s ordinary disclosure obligations will apply and news of the proposed ATM Distribution arrangement would be disseminated in the ordinary course.

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<sup>1</sup> OSC Request for Comment on Changes to Proposed OSC Rule 48-501 – *Trading During Distributions, Formal Bids and Share Exchange Transactions* (2nd Publication) and Proposed Companion Policy 48-501CP to OSC Rule 48-501 and Proposed Rescission of OSC Policy 5.1, Paragraph 26 and OSC Policy 62-601 – *Securities Exchange Take-Over Bids – Trades in the Offeror’s Securities* (September 10, 2004).

**Decision**

The Decision Maker is satisfied that the decision meets the test set out in the Legislation.

The decision of the Decision Maker under the Legislation is that the Exemptive Relief Sought is granted.

DATED this 7th day of August, 2020.

“Tracey Stern”  
Manager, Market Regulation Branch  
Ontario Securities Commission

## 2.1.6 Evolve Funds Group Inc.

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Relief granted from subsection 6.1(1) of NI 81-102 to permit alternative mutual funds and other mutual funds to exclude the aggregate market value of proceeds from outstanding short sales of portfolio securities held by the borrowing agent, from the limits of 25% of an alternative mutual fund's net asset value and 10% of a mutual fund's that is not an alternative mutual fund's net asset value that may be deposited with a borrowing agent that is not the fund's custodian or sub-custodian as security in connection with a short sale – subject to routine conditions.

### Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 6.1(1) and 19.1.

September 15, 2020

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
EVOLVE FUNDS GROUP INC.  
(the Filer)

DECISION

### Background

The principal regulator in the Jurisdiction has received an application from the Filer on behalf of Evolve Gold Miners Fund (**GLC**), any alternative mutual fund established in the future and managed by the Filer or an affiliate of the Filer (collectively with GLC, the **Alternative Mutual Funds**) and any current or future mutual fund, other than an Alternative Mutual Fund, managed by the Filer or an affiliate of the Filer (each, a **Mutual Fund** and, together with the Alternative Mutual Funds, the **Funds**) for a decision under the securities legislation of the principal regulator (the **Legislation**) exempting the Funds from the requirement set out in subsection 6.1(1) of National Instrument 81-102 *Investment Funds (NI 81-102)* that provides that, except as provided in section 6.8, 6.8.1 and 6.9 of NI 81-102, all portfolio assets of an investment fund must be held under the custodianship of one custodian that satisfies the requirements of section 6.2, in order to permit a Fund to deposit portfolio assets with a borrowing agent that is not the Fund's custodian or sub-custodian in connection with a short sale of securities, if the aggregate market value of the portfolio assets held by the borrowing agent after such deposit, excluding the aggregate market value of the proceeds from outstanding short sales of securities held by the borrowing agent, does not:

- (a) in the case of each Mutual Fund, exceed 10% of the net asset value (**NAV**) of the Mutual Fund at the time of deposit; and
- (b) in the case of each Alternative Mutual Fund, exceed 25% of the NAV of the Alternative Mutual Fund at the time of deposit,

(the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for the application; and
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in all of the provinces and territories of Canada other than the Jurisdiction (collectively with the Jurisdiction, the **Jurisdictions**).

## Interpretation

Terms defined in National Instrument 14-101 *Definitions*, MI 11-102 and NI 81-102 have the same meaning if used in this decision, unless otherwise defined.

**Prime Broker** means any entity that acts as, among other things, a borrowing agent to one or more investments funds.

## Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is registered as (a) an investment fund manager in Newfoundland and Labrador, Ontario and Québec; (b) a commodity trading manager in Ontario; and (c) a portfolio manager in Ontario.
2. The Filer or an affiliate of the Filer is, or will be, the investment fund manager of each of the Funds.
3. GLC (currently called "Gold Miners Split Corp.") is currently structured as a split share corporation, and is authorized to issue an unlimited number of preferred shares, Class A shares and common shares. The preferred shares and Class A shares of GLC were distributed pursuant to an initial offering under a long form prospectus prepared in accordance with the requirements of National Instrument 81-101 *Mutual Fund Prospectus Disclosure (NI 81-101)* and National Instrument 41-101 *General Prospectus Requirements (NI 41-101)* dated April 26, 2019 in all of the Jurisdictions.
4. GLC is currently undergoing a restructuring, pursuant to which, among other things, the preferred shares of GLC will be redeemed and cancelled, and the Class A shares of GLC will be re-designated as exchange traded fund shares (the **ETF Shares**) of a new non-cumulative, redeemable, non-voting class of shares to be called "Evolve Gold Miners Fund" (collectively, the **Restructuring**).
5. The Filer has filed a preliminary prospectus and preliminary ETF Facts document dated August 17, 2020 in all of the Jurisdictions with respect to the ETF Shares. Following the completion of the Restructuring, GLC will become subject to the requirements of NI 81-102 that relate to alternative mutual funds.
6. Each Fund is, or will be, a reporting issuer in one or more Jurisdictions and distributes its units or shares, as applicable, to the public pursuant to disclosure documents prepared and filed in accordance with NI 81-101 or NI 41-101.
7. None of the Filer, GLC, or an existing Fund is in default of securities legislation in any Jurisdiction.
8. In connection with, among other things, the short sale of securities that the Funds will or may engage in, each Fund is permitted to grant a security interest in favour of, and deposit pledged portfolio assets with, the entity that acts as, among other things, a Prime Broker to it, whether the Fund is an Alternative Mutual Fund or a Mutual Fund.
9. Effective as of January 3, 2019, NI 81-102 was amended to include alternative mutual funds. The ability of alternative mutual funds to participate in leverage transactions, such as to borrow cash and to sell short securities, more extensively than other investment funds governed by NI 81-102 has led to the increased involvement of Prime Brokers in the operations of these alternative mutual funds. While the prime brokerage model works well in the exempt investment fund space, the prime brokerage community and investment fund managers are experiencing greater difficulties in applying that model to alternative mutual funds and other investment funds under NI 81-102.
10. Under section 6.8.1 of NI 81-102, if a Mutual Fund engages as its Prime Broker an entity that is not its custodian or sub-custodian, then it may only deliver to its Prime Broker portfolio assets having a market value, in the aggregate, of not more than 10% of the NAV of the Mutual Fund at the time of deposit. If an Alternative Mutual Fund engages as its Prime Broker an entity that is not its custodian or sub-custodian, then it may only deliver to its Prime Broker portfolio assets having a market value, in the aggregate, of not more than 25% of the NAV of the Alternative Mutual Fund at the time of deposit.
11. A Prime Broker may not wish to act as borrowing agent for a Mutual Fund that wants to sell short securities having an aggregate market value of up to 20% of the Mutual Fund's NAV if the Prime Broker is only permitted to hold as security for such transactions portfolio assets, including the proceeds from the short sale, having an aggregate market value that is not in excess of 10% of the NAV of the Mutual Fund.
12. The issue is even greater in the context of an Alternative Mutual Fund, as a Prime Broker will not want to act as borrowing agent for an Alternative Mutual Fund that wants to sell short securities having an aggregate market value of up to 50% of the Alternative Mutual Fund's NAV if the Prime Broker is only permitted to hold as security for such transactions portfolio assets, including the proceeds from the short sale, having an aggregate market value that is not in excess of 25% of the NAV of the Alternative Mutual Fund.

## Decisions, Orders and Rulings

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13. The prime brokerage operational and pricing models in the context of short selling are premised on the ability of the Prime Broker to retain, as collateral for the obligations of the applicable Fund, the proceeds from the short sales, whether such proceeds are cash or are used by the Fund to purchase other portfolio assets. These models are also based on the ability of the Prime Broker to hold additional assets of the Fund as collateral for those obligations.
14. Many Prime Brokers that are qualified to act as a custodian or sub-custodian under NI 81-102 are not widely appointed as custodians or sub-custodians under NI 81-102 as it can be both operationally challenging and costly to appoint them to act in such capacity.
15. Given the typical collateral requirements that Prime Brokers impose on their customers that engage in the short sale of securities, if the 10% and 25% of NAV limitations set out in section 6.8.1 of NI 81-102 apply, then the Funds will need to retain two or more Prime Brokers in order to sell short securities to the extent permitted under section 2.6.1 of NI 81-102. This will result in inefficiencies for the Funds, increase their costs of operations, reduce returns and negatively impact investors.
16. While the collateral limits for the short sale of securities is currently topical in the context of alternative mutual funds, the Filer submits that there is no policy reason to differentiate between Alternative Mutual Funds and Mutual Funds to the extent that Mutual Funds also engage in the short selling of securities.
17. The Filer submits that it is not prejudicial to the public interest to grant the Exemption Sought.

### Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Exemption Sought is granted provided that the Funds otherwise comply with subsections 6.8.1(2) and (3) of NI 81-102.

“Darren McCall”  
Manager  
Investment Funds and Structured Products Branch  
ONTARIO SECURITIES COMMISSION

2.1.7 I.G. Investment Management, Ltd.

**Headnote**

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – exemption from section 2.1(1) of National Instrument 81-102 – Investment Funds to invest in debt securities issued by the Federal National Mortgage Association (Fannie Mae) and the Federal Home Loan Mortgage Corporation (Freddie Mac) – relief is required to allow funds to invest more than 10 percent of their net asset value in Fannie Mae and Freddie Mac – Fannie Mae and Freddie Mac are implicitly guaranteed by the U.S. government – Fannie Mae and Freddie Mac are government sponsored entities in the U.S. – Fannie Mae and Freddie Mac has a U.S. government equivalent credit rating – relief subject to certain conditions.

**Applicable Legislative Provisions**

National Instrument 81-102 Investment Funds, ss. 2.1(1) and 19.1.

September 22, 2020

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
I.G. INVESTMENT MANAGEMENT, LTD.  
[referred to as “IGIM” and Collectively with:  
PIMCO – IG Global Bond Pool and IG PIMCO Global Bond Fund (“Current Funds”)  
referred to as the “Filer”]

**DECISION**

**Background**

The securities regulatory authority or regulator in each of the Jurisdictions has received an application from the Filer on behalf of the Current Funds and any future mutual funds the Manager may launch (the **Future Funds** and, together with the Current Funds, the **Funds** and, individually, a **Fund**) that are sub-advised by PIMCO Canada Corp. or its affiliates (PIMCO and its affiliates are collectively referred to herein as **PIMCO**), for a decision under the securities legislation of the Jurisdiction (the **Legislation**) that grants exemptive relief to the Filer and the Fund from subsection 2.1(1) of National Instrument 81-102 *Investment Funds (NI 81-102)* to permit each Fund that is a mutual fund, other than an alternative mutual fund, to purchase a security of an issuer, enter into a specified derivative transaction or purchase index participation units (each a **Purchase**) when, immediately after the Purchase, more than 10 percent of the net asset value of the Fund would be invested in debt obligations issued or guaranteed by either the Federal National Mortgage Association (**Fannie Mae**) or the Federal Home Loan Mortgage Corporation (**Freddie Mac**) (the **Requested Relief**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Manitoba Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 Passport System (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Quebec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, Yukon, Nunavut and the Northwest Territories; and
- (c) the decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

**Interpretation**

Terms defined in National Instrument 14-101 *Definitions*, MI 11-102, and NI 81-102 have the same meaning if used in this decision, unless otherwise defined. In addition:

**1940 Act** means the United States *Investment Company Act of 1940*, as amended from time to time;

**Fannie and Freddie Securities** means debt obligations issued or guaranteed by either Fannie Mae or Freddie Mac including, without limitation, bonds and mortgage-backed securities and **Fannie or Freddie Security** means any one such debt obligation;

**Minimum Rating** means a credit rating of BBB- assigned by Standard & Poor's Rating Service or an equivalent rating by one or more other designated rating organizations; and

**U.S. Government Equivalent Rating** means a credit rating assigned by Standard & Poor's Rating Services (Canada), or an equivalent rating assigned by one or more other designated rating organizations, to a Fannie or Freddie Security that is not less than the credit rating then assigned by such designated rating organization to the debt of the United States government of approximately the same term as the remaining term to maturity of, and denominated in the same currency as, the Fannie or Freddie Security.

### Representations

This decision is based on the following facts represented by the Filer:

#### *IGIM*

1. IGIM is a corporation continued under the laws of Ontario. It is the trustee, the portfolio advisor and the manager of the Fund. The head office of IGIM is in Winnipeg, Manitoba.
2. IGIM is registered as a Portfolio Manager and an Investment Fund Manager in Manitoba, Ontario, and Quebec and as an Investment Fund Manager in Newfoundland and Labrador.
3. IGIM and the mutual funds it manages or advises are not in default of any of the requirements of securities legislation of any of the provinces and territories of Canada.

#### *The Funds*

4. The Funds are mutual fund subject to NI 81-102, subject to any exemptions therefrom that have been, or may be, granted by the applicable securities regulatory authorities. The Funds distribute its securities under a simplified prospectus and annual information form prepared in accordance with National Instrument 81-101 - *Mutual Fund Prospectus Disclosure*. The Funds are or will be reporting issuers in one or more of the provinces and territories of Canada and are or will be sub-advised by PIMCO.
5. The investment objective of the PIMCO – IG Global Bond Pool is to provide interest income by investing primarily in bonds and debentures of non-Canadian issuers.
6. The investment objective of the IG PIMCO Global Bond Fund is to provide interest income by investing primarily in bonds and debentures of non-Canadian issuers. The Fund aims to achieve this exposure by investing primarily in Underlying Funds and/or directly in fixed income investments.
7. The Requested Relief for the Current Funds are sub-advised by PIMCO. PIMCO has obtained the Requested Relief for a number of Canadian mutual funds it manages that currently hold Fannie and Freddie Securities with more than 10% of the mutual fund's net assets. As the investment sub-adviser to each Current Fund, PIMCO has experience in investing more than 10% of their net assets in Fannie and Freddie Securities and have utilized the Requested Relief in Canada to the benefit of their unit holders. PIMCO will use this experience to invest in Fannie and Freddie Securities in a similar manner.
8. The Fund may engage in securities lending, repurchase and reverse repurchase transactions, and use derivatives. These transactions and derivatives will be used in conjunction with the Fund's other investment strategies in a manner considered most appropriate to achieving the Fund's overall investment objectives and enhancing the Fund's returns as permitted by securities rules
9. Fannie Mae is a financial services corporation originally established by the United States Congress in 1938 to provide United States federal government money to local banks to finance home mortgages during the Great Depression. Its business includes borrowing money in the debt markets by selling bonds and providing liquidity to mortgage originators by purchasing whole loans which it then securitizes by issuing mortgage- backed securities. Fannie Mae also earns guarantee fees for assuming the credit risk on mortgage loans.
10. Freddie Mac is a financial services corporation that was created by the United States Congress in 1970 to expand the secondary market for mortgages in the United States. It was established to provide competition to Fannie Mae. Similar to Fannie Mae, the business of Freddie Mac includes buying mortgages in the secondary market, pooling them, and issuing mortgage-backed securities, as well as earning guarantee fees for assuming the credit risk on mortgage loans.

11. Fannie and Freddie Securities provide a substantial portion of the financing for residential mortgages in the United States.
12. Originally, the obligations of Fannie Mae were explicitly guaranteed by the United States government. The explicit guarantee was removed as part of a reorganization of Fannie Mae in 1968. Like Fannie Mae, there is no explicit guarantee of the obligations of Freddie Mac by the United States government.
13. Notwithstanding the absence of an explicit guarantee, it is widely assumed that there is an implied guarantee of the obligations of both Fannie Mae and Freddie Mac by the United States government. This assumption is based on the view that Fannie Mae and Freddie Mac each are considered to be “too big to fail” due to the critical roles they play as instrumentalities of the United States government existing to support the liquidity of the residential real estate mortgage market. Accordingly, it is widely believed that the United States government implicitly guarantees the obligations of Fannie Mae and Freddie Mac. This is reflected in Fannie and Freddie Securities currently having a U.S. Government Equivalent Rating.
14. The implied guarantee was evidenced during the 2008 financial crisis. At that time, Fannie Mae and Freddie Mac together owned or guaranteed approximately half of the United States’ US\$12 trillion mortgage market and were at risk of defaulting on their obligations. Such a default would have increased the cost of obtaining mortgage financing from other sources, thereby exacerbating the decline in the U.S. residential real estate market, as well as negatively impacting investors (including retirement funds and money market funds) that held Fannie and Freddie Securities. As a result, on September 7, 2008, Fannie Mae and Freddie Mac were placed into conservatorship of the United States Federal Housing Financing Agency in order to stabilize them. The United States government avoided creating an explicit guarantee of the obligations of Fannie Mae and Freddie Mac due to the negative impact it would have had on the United States Treasury. Fannie Mae and Freddie Mac were expressly excluded from the bail-in regime created under Title II of the United States Dodd-Frank Wall Street Reform and Consumer Protection Act to preclude future U.S. government bail-outs of large financial companies. It is expected that a further act of the U.S. Congress would be required to remove the implied guarantee of Fannie and Freddie Securities as part of a larger reform of the U.S. residential real estate market. No such initiative currently is a priority of the U.S. Congress.
15. Under the 1940 Act, an investment company registered with the United States Securities and Exchange Commission (the **SEC**) seeking to qualify as a “diversified company” is required, among other matters, to invest at least 75% of its total assets in a manner whereby not more than 5% of the value of its total assets is invested in the securities of any single issuer. This restriction is analogous to the diversification requirement imposed on public mutual funds in Canada by subsection 2.1(1) of NI 81-102 on public mutual funds in Canada. Similar to paragraph 2.1(2)(a) of NI 81-102, the 1940 Act excludes a “government security” from the 5% limit described.
16. The definition of “government security” in the 1940 Act differs from that contained in NI 81-102 by including any security issued by a person controlled or supervised by and acting as an instrumentality of the Government of the United States pursuant to authority granted by the Congress of the United States (a **U.S. government instrumentality**). Each of Fannie Mae and Freddie Mac is considered to be a U.S. government instrumentality and Fannie and Freddie Securities therefore are “government securities” under the 1940 Act.
17. The definition of “government security” in NI 81-102 does not include U.S. government instrumentalities. Accordingly, the only United States securities which qualify as government securities are those directly issued by, or fully and unconditionally guaranteed by, the United States government. Fannie and Freddie Securities do not meet this definition since their obligations are not explicitly fully and unconditionally guaranteed by the United States government.
18. As a result, the restriction in subsection 2.1(1) applies to each investment by a Fund in Fannie and Freddie Securities. Fannie and Freddie Securities represent a large, attractive and unique category of investment that cannot be replicated by any other issuer. For this reason, it is important to the Funds that they be entitled to maximize their opportunity to invest in Fannie and Freddie Securities.
19. Investments in Fannie and Freddie Securities are considered by PIMCO to be more prudent than investments in equivalent bonds and mortgage-backed securities of other issuers due to the implied guarantee by the United States government. Accordingly, if the Requested Relief is granted, each Fund will have the opportunity to maintain a more prudent portfolio through greater exposure to securities implicitly guaranteed by the United States government.
20. PIMCO has experience in investing client assets in Fannie and Freddie Securities and continuously researches and monitors the investment attributes and trading operations for Fannie and Freddie Securities. Such ongoing research and monitoring includes monitoring proposals to restructure the U.S. residential housing market that may impact the implied guarantee of Fannie and Freddie Securities by the U.S. government. If, the U.S. Congress proposes legislation to change or remove the implied guarantee and the Filer determines in its judgement that, as a result of the announced proposed legislation, there is a significant risk that the Fannie and Freddie Securities held by the Funds could cease to have a U.S. Government Equivalent Rating or their credit ratings could decline below a Minimum Rating, the Funds will

take steps that are reasonably required to dispose of their Fannie and Freddie Securities in an orderly and timely fashion such that the Fannie and Freddie Securities held by the Fund comply with subsection 2.1(1) of NI 81-102.

**Decision**

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator is that the Requested Relief is granted provided that:

- (a) at the time of Purchase, the Fannie or Freddie Security has a U.S. Government Equivalent Rating and a rating not less than the Minimum Rating;
- (b) the simplified prospectus and annual information form of each Fund:
  - (i) discloses that the Fund has received permission to invest more than 10% of its net assets in each of Fannie Mae and Freddie Mac provided the Fannie and Freddie Securities maintain a U.S. Government Equivalent Rating and a rating not less than the Minimum Rating;
  - (ii) discloses (in the case of a prospectus or simplified prospectus, under the heading or sub-heading “Investment Strategies”) the maximum amount the Fund may invest in Fannie and Freddie Securities; and
  - (iii) the simplified prospectus contains risk factors that:
    - (A) the U.S. government may not guarantee payment of Fannie and Freddie Securities; and
    - (B) describe the risks associated with the Fund investing more than 10% of its net assets in securities of Fannie Mae or Freddie Mac,

provided that in the case of a Fund that is a mutual fund currently distributing its securities, the information required by this condition (b) may instead be included in the simplified prospectus of the Fund when it is next renewed or amended;

- (c) if the rating of a Fannie or Freddie Security held by a Fund ceases to have a U.S. Government Equivalent Rating or declines below the Minimum Rating, the Fund will take the steps that are reasonably required to dispose of such Fannie or Freddie Security in an orderly and timely fashion such that the Fannie and Freddie Securities of such issuer held by the Fund comply with subsection 2.1(1) of NI 81-102; and
- (d) if the U.S. Congress:
  - (i) proposes legislation intended to change or remove the implied guarantee by the U.S. government of Fannie Mae and/or Freddie Mac and the Filer determines in its judgement that, as a result of the announced proposed legislation, there is a significant risk that the Fannie and Freddie Securities held by the Funds could cease to have a U.S. Government Equivalent Rating or their credit ratings could decline below the Minimum Rating; or
  - (ii) enacts legislation that:
    - (A) removes the implied guarantee by the U.S. government of Fannie Mae and/or Freddie Mac; or
    - (B) specifies a future effective date on which the implied guarantee by the U.S. government of Fannie Mae and/or Freddie Mac will end,

the Funds will take the steps that are reasonably required to dispose of such Fannie and Freddie Securities in an orderly and timely fashion such that the Fannie and Freddie Securities held by the Funds comply with subsection 2.1(1) of NI 81-102.

“Chris Besko”  
Director, General Counsel  
The Manitoba Securities Commission

**2.1.8 I.G. Investment Management, Ltd.**

**Headnote**

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – exemption from sections 2.8(1)(d) and 2.8(1)(f)(i) of National Instrument 81-102 – Investment Funds to permit the Funds, when they open or maintain a long position in a standardized future or forward contract or when they enter into or maintain an interest rate swap position and during the periods when the Funds are entitled to receive payments under the swap, to use as cover, a right or obligation to sell an equivalent quantity of the underlying interest of the standardized future, forward or swap, subject to certain conditions.

**Applicable Legislative Provisions**

National Instrument 81-102 Investment Funds, ss. 2.8(1)(d), 2.8(1)(f)(i), and 19.1.

**September 22, 2020**

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF  
APPLICATIONS  
IN MULTIPLE JURISDICTIONS**

**AND**

**IN THE MATTER OF  
I.G. INVESTMENT MANAGEMENT, LTD.  
(the Filer)**

**DECISION**

**Background**

The securities regulator authority in each of the Jurisdictions (“Decision Makers”) has received an application from the Filer on behalf of all existing and future mutual funds managed by the Filer that are subject to National Instrument 81-102 *Mutual Funds (NI 81-102)*, other than money market funds as defined in NI 81-102 (the **Fund(s)**), for a decision under the securities legislation of the Jurisdictions (the **Legislation**) for an exemption, pursuant to section 19.1 of NI 81-102, from sections 2.8(1)(d) and 2.8(1)(f)(i) of NI 81-102 (the **Exemption Sought**), when:

- (i) a Fund opens or maintains a long position in a debt-like security that has a component that is a long position in a forward contract or in a standardized future or forward contract; or
- (ii) a Fund enters into or maintains a swap position and during the periods when the Fund is entitled to receive payments under the swap,

to permit each of the Funds to use as cover a right or obligation to sell an equivalent quantity of the underlying interest of the standardized future, forward or swap.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Manitoba Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Québec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland and Labrador, the Northwest Territories, Nunavut and Yukon (the **Other Jurisdictions**); and
- (c) the decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

**Interpretation**

Terms defined in NI 81-102, National Instrument 14-101 *Definitions*, and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

**Representations**

This decision is based on the following facts represented by the Filer:

*The Filer*

1. The Filer is a corporation continued under the laws of Ontario. It is or will be the trustee, the portfolio advisor and the manager of the Funds. The head office of the Manager is in Winnipeg, Manitoba.
2. IGIM is registered as a Portfolio Manager and an Investment Fund Manager in Manitoba, Ontario, and Quebec and as an Investment Fund Manager in Newfoundland and Labrador.

*The Funds*

1. The Funds are mutual fund subject to NI 81-102, subject to any exemptions therefrom that have been, or may be, granted by the applicable securities’ regulatory authorities, that has filed a simplified prospectus and annual information form prepared in accordance with National Instrument 81-101 - *Mutual Fund Prospectus Disclosure*. The Funds are registered as a reporting issuer in each of the provinces and territories of Canada.
2. The Filer and the existing Funds are not in default of securities legislation in any of the provinces and territories of Canada.

*Exemption Sought*

1. Sections 2.8(1)(d) and 2.8(1)(f)(i) of NI 81-102 do not permit covering the position in long positions in standardized future and forward contracts or a position in a swap for a period when a Fund is entitled to receive payments under the swap, in whole or in part, with a right or obligation to sell an equivalent quantity of the underlying interest of the future, forward or swap. Accordingly, these sections of NI 81-102 do not permit the use of put options or short future, forward or swap positions to cover long future, forward or swap positions.
2. By not recognizing the hedging properties of options for long positions evidenced by standardized futures or forwards or in respect of swaps where a fund is entitled to receive payments from the counterparty, Regulation 81-102 effectively imposes the requirement to overcollateralize, since the maximum liability to the fund under the scenario described is equal to the difference between the market value of the long position and the exercise price of the option. Overcollateralization imposes a cost on a mutual fund.
3. Section 2.8(1)(c) of Regulation NI 81-102 permits a mutual fund to write a put option and to cover it by buying a right or obligation to sell an equivalent quantity of the underlying interest of the written put option. This position has similar risks as a debt-like security that has a component that has long position in a forward or a standardized future or forward contract as contemplated by paragraph 2.8(1)(d) or a swap as contemplated by subparagraph 2.8(1)(f)(i) and therefore, the Filer submits that the Funds should be permitted to cover a long position in a future, forward or swap with a put option or an offsetting short position.
4. The simplified prospectus and annual information form, upon renewal, will include disclosure of the nature of the Exemption Sought.
5. Without the Exemption Sought, the Funds will not have the flexibility to enhance yield and to manage more effectively any exposure they may have under specified derivatives.

**Decision**

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Maker under the Legislation is that the Exemption Sought is granted provided that:

- (a) when a Fund enters into or maintains a swap position for periods when the Fund would be entitled to receive fixed payments under the swap, the Fund holds:
  - (i) cash cover in an amount that, together with margin on account for the swap and the market value of the swap, is not less than, on a daily mark-to-market basis, the underlying market exposure of the swap;
  - (ii) a right or obligation to enter into an offsetting swap on an equivalent quantity and with an equivalent term and cash cover that, together with margin on account for the position, is not less than the aggregate amount, if any, of the obligations of the Fund under the swap less the obligations of the Fund under such offsetting swap; or
  - (iii) a combination of the positions referred to in subparagraphs (i) and (ii) that is sufficient, without recourse to other assets of the Fund, to enable the Fund to satisfy its obligations under the swap;

- (b) when a Fund opens or maintains a long position in a debt-like security that has a component that is a long position in a forward contract, or in a standardized future or forward contract, the Fund holds:
  - (i) cash cover in an amount that, together with margin on account for the specified derivative and the market value of the specified derivative, is not less than, on a daily mark-to-market basis, the underlying market exposure of the specified derivative;
  - (ii) a right or obligation to sell an equivalent quantity of the underlying interest of the future or forward contract, and cash cover that, together with margin on account for the position, is not less than the amount, if any, by which the market price of the future or forward contract exceeds the strike price of the right or obligation to sell the underlying interest; or
  - (iii) a combination of the positions referred to in subparagraphs (i) and (ii) that is sufficient, without recourse to other assets of the Fund, to enable the Fund to satisfy its obligations under the future or forward contract;

- (c) a Fund will not (i) purchase a debt-like security that has an option component or an option; or (ii) purchase or write an option to cover any positions under section 2.8(1)(b), (c), (d), (e) or (f) of NI 81-102 if, immediately after the purchase or writing of such option, more than 10% of the net asset value of the Fund, taken at market value at the time of the transaction, would be in the form of (A) purchased debt-like securities that have an option component or purchased options, in each case,

held by the Fund for purposes other than hedging, or (B) options used to cover any position under section 2.8(1)(b), (c), (d), (e) or (f) of NI 81-102;

- (d) this decision will terminate on the coming into force of any securities legislation relating to the use as cover of a right or obligation to sell an equivalent quantity of the underlying interest of the standardized future, forward or swap in compliance with section 2.8 of NI 81-102.

“Chris Besko”  
Director, General Counsel  
The Manitoba Securities Commission

## 2.1.9 Hamilton Capital Partners Inc. and Hamilton Canadian Bank 1.25x Leverage ETF

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – An alternative mutual fund that uses leverage to invest in a portfolio consisting of the six largest Canadian banks based on a factor index following a transparent methodology granted relief from the concentration restriction in NI 81-102, subject to conditions.

### Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 2.1(1.1) and 19.1.

October 5, 2020

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF  
APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
HAMILTON CAPITAL PARTNERS INC.  
(the Filer)

AND

HAMILTON CANADIAN BANK 1.25X LEVERAGE ETF  
(HCAL or the Fund)

DECISION

### Background

The principal regulator in Ontario has received an application from the Filer on behalf of the Fund for a decision under the securities legislation of Ontario (the **Legislation**) for exemptive relief (the **Exemption Sought**) relieving the Fund from subsection 2.1(1.1) of National Instrument 81-102 – *Investment Funds (NI 81-102)*, which prohibits an alternative mutual fund from purchasing a security of an issuer, entering into a specified derivatives transaction or purchasing an index participation unit if, immediately after the transaction, more than 20% of the net assets of the mutual fund, taken at market value at the time of the transaction, would be invested in securities of any issuer (the **Concentration Restriction**) to permit HCAL to replicate a 1.25 times multiple of the performance of a rules-based, variable-weight Canadian bank index (the **Bank Index**).

Under National Policy 11-203 - *Process for Exemptive Relief Applications in Multiple Jurisdictions (NP 11-203)*:

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 - *Passport System (MI 11-102)* is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Newfoundland and Labrador, Nova Scotia, Prince Edward Island, Northwest Territories, Nunavut and Yukon (together with Ontario, the **Jurisdictions**).

### Interpretation

Terms defined in National Instrument 14-101 - *Definitions*, NI 81-102 or in MI 11-102 have the same meaning if used in this decision, unless otherwise defined herein.

### Representations

The decision is based on the following facts represented by the Filer:

#### General

1. The Filer is a corporation organized under the laws of Ontario with a head office in Toronto.
2. The Filer will be the trustee, portfolio manager and investment fund manager of the Fund.
3. The Filer is not in default of securities legislation in any of the Jurisdictions.
4. The Filer is registered as: (i) an investment fund manager in Ontario, Quebec and Newfoundland & Labrador; (ii) an exempt market dealer in Ontario; and (iii) a portfolio manager in Ontario.
5. The Fund will be an exchange traded mutual fund trust governed by the laws of Ontario and a reporting issuer under the laws of the Jurisdictions.
6. The Fund will also be an "alternative mutual fund", as such term is defined in NI 81-102.
7. The Filer has filed a preliminary long form prospectus on behalf of the Fund with the securities regulatory authority in each of the Jurisdictions.
8. The Fund will be subject to NI 81-102, subject to any exemptions therefrom that may be granted by the securities regulatory authorities
9. The Fund will also be subject to National Instrument 81-107 *Independent Review Committee for Investment Funds*.
10. Units of the Fund will (subject to satisfying the Toronto Stock Exchange's (the **TSX**) original listing requirements) be listed on the TSX.

#### Hamilton Canadian Bank 1.25x Leverage ETF

11. The investment objective of the Fund will be to replicate, to the extent reasonably possible and before the deduction of fees and expenses, a multiple of the performance of a rules-based, variable-weight Canadian bank index. Specifically, the Fund will seek to replicate a 1.25 times multiple of the Solactive Canadian Bank Mean Reversion Index (or any successor thereto).
12. The Fund will use leverage in order to seek to achieve its investment objective. Leverage will be created through the use of cash borrowings or as otherwise permitted under applicable securities legislation for alternative mutual funds.
13. The constituent securities of the Bank Index are the top six Canadian banks listed on the Toronto Stock Exchange or other recognized exchange in Canada by market capitalization (the **Banks** and each a **Bank**). Currently, the constituents of the Bank Index are Bank of Montreal, The Bank of Nova Scotia, Canadian Imperial Bank of Commerce, National Bank of Canada, Royal Bank of Canada and The Toronto-Dominion Bank.
14. The Bank Index uses a rules-based mean reversion strategy. The Bank Index will rebalance the portfolio once a month (an **Index Rebalance Date**) based on the percent difference between each Bank's stock price and its 50-day average price. On an Index Rebalance Date: (i) the three Banks with the lowest percentage difference between their current trading price and their 50-day average price will be "over-weighted" at approximately 26.5% each of the Bank Index; and (ii) the three Banks with the highest percentage difference between their current trading price and their 50-day average price will be "under-weighted" at approximately 6.5% each of the Bank Index. Such portfolio weightings will be maintained until the next Index Rebalance Date, at which point the rebalancing process is repeated.
15. HCAL will seek to achieve its investment objective by borrowing cash to invest in and hold a proportionate share of, or a sampling of the constituent securities of, the Bank Index in order to track approximately 1.25x the performance of the Bank Index. As an alternative to, or in conjunction with investing in and holding the constituent securities, HCAL may also invest in other securities, including other mutual funds or exchange traded funds to obtain exposure to the constituent securities of the Bank Index in a manner that is consistent with HCAL's investment objective. HCAL may also hold cash and cash equivalents or other money market instruments in order to meet its obligations.
16. The maximum aggregate exposure of the Fund to cash borrowing, short selling and specified

derivatives will not exceed approximately 125% of its net asset value (**NAV**).

17. In order to ensure that a unitholder's risk is limited to the capital invested, the Fund's leverage ratio will rebalanced in certain circumstances and when the leverage ratio breaches certain bands. Specifically, the Fund's leverage will be rebalanced back to 125% of the Fund's NAV within two business days (a **Leverage Rebalance Date** and together with an Index Rebalance Date, a **Rebalance Date**) of the Fund's leverage ratio moving 2% away from its target leverage ratio of 125% (i.e., if the leverage ratio is less than 123% or if the leverage ratio is greater than 127%).

18. Following a Rebalance Date, the Fund will generally acquire and/or dispose of the appropriate number of securities in order to track the stated, approximate, multiple of the portfolio weighting of the Bank Index. As a result: (i) units of the Fund may be issued, or cash may be paid, in consideration for constituent securities making up the Bank Index and to be acquired by the Fund, as determined by its portfolio adviser; and (ii) units may be exchanged in consideration for those securities that the portfolio adviser determines should be sold by the Fund, or cash may be paid as determined by the portfolio adviser. Generally, such transactions may be implemented by a transfer of constituent securities to the Fund that the portfolio adviser determines should be acquired by the Fund or a transfer of those securities that the portfolio adviser determines should be sold by the Fund.

19. Outside of a Rebalance Date, any investments by the Fund (owing, for example, to subscriptions received in respect of Units of the Fund), if any, will be such that securities are acquired up to the same weights as such securities exist in the Fund's portfolio, based on their relative market values, at the time of such investment.

20. On a leveraged basis, the Fund may therefore invest up to approximately: (i) 33.3% of its NAV in a Bank security that is over-weighted in the Bank Index; and (ii) 8.3% of its NAV in a Bank security that is underweighted in the Bank Index.

21. In order to achieve its investment objective, and based on the proposed investment strategy, HCAL therefore wishes to be able to invest in a portfolio of Banks, such that immediately after a purchase, more than 20% of HCAL's NAV may be invested in any one Bank for the purposes of determining compliance with the Concentration Restriction.

22. The investment objective and investment strategy of HCAL, as well as the risk factors associated therewith, including concentration risk, will be disclosed in the prospectus of HCAL, as may be renewed or amended from time to time. The names of the Banks will also be disclosed in the

prospectus of HCAL, as may be renewed or amended from time to time.

23. The common shares of the Banks are listed on the TSX.

24. The Banks are among the largest public issuers in Canada.

*Rationale for Investment*

25. The Filer notes that, in respect of the Fund, its strategy to acquire securities of an applicable Bank will be transparent, passive and fully disclosed to investors. The Fund will not invest in securities other than Bank securities (or securities designed to gain exposure to the Bank securities as described herein). In addition, in respect of the Fund, the names of the Banks to be invested in will be listed in the Fund's prospectus. Consequently, unitholders of the Fund will be fully aware of the risks involved with an investment in the securities of the Fund.

26. Given the proposed composition of the Fund's portfolio, it would be impossible for the Fund to achieve its investment objective and pursue its investment strategy without obtaining relief from the Concentration Restriction.

27. The units of the Fund will be highly liquid securities, as designated brokers act as intermediaries between investors and the Fund, standing in the market with bid and ask prices for the units of the Fund to maintain a liquid market for the units of the Fund. The majority of trading in units of the Fund will occur in the secondary market.

28. If required to facilitate distributions or pay expenses of the Fund, securities of the Bank securities will be sold pro-rata across the Fund's portfolio according to their relative market values at the time of such sale.

29. Future subscriptions for Fund securities, if any, will be used to acquire securities of each Bank up to the same weights as the Bank securities exist in the Fund's portfolio, based on their relative market values at the time of such subscription.

30. In view of the Filer, the Fund is also akin to a "fixed portfolio investment fund", as such term is defined in NI 81-102, in that it will: (a) have fundamental investment objectives that include holding and maintaining a fixed portfolio of publicly traded equity securities of one or more issuers, the names of which are disclosed in its prospectus; and (b) trade the securities referred to in paragraph (a) only in the circumstances disclosed in its prospectus. The Fund will not be a "fixed portfolio investment fund" as it is an alternative mutual fund and will be in continuous distribution.

31. The Filer further notes that a “fixed portfolio investment fund” is exempt from the Concentration Restrictions, provided purchases of securities are made in accordance with its investment objectives.
32. With respect to the Banks, the Banks are among the largest public issuers in Canada. The common shares of the Banks are some of the most liquid equity securities listed on the TSX and are less likely to be subject to liquidity concerns than the securities of other issuers.
33. The liquidity of the common shares of the Banks is evidenced by the markets for options in connection therewith. A liquid market for options on the common shares of the Banks is provided by the Montreal Exchange.

#### Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator is that the Exemption Sought is granted for so long as:

- (a) the investment in a Bank is made in accordance with HCAL’s investment objectives and investment strategies to replicate a 1.25 times multiple of the performance of the Bank Index;
- (b) HCAL’s investment strategies disclose that, as of a Rebalance Date, HCAL will invest in the Banks up to the stated maximum percentages described at representation 20, above. Outside of a Rebalance Date, any investments by HCAL, if any, will be such that securities of each Bank are acquired up to the same weights as the Bank securities exist in HCAL’s portfolio, based on their relative market values at the time of such investment;
- (c) HCAL’s investment strategies disclose that HCAL’s portfolio will be rebalanced as of each Rebalance Date; and
- (d) HCAL includes in its final prospectus: (i) disclosure regarding the Exemption Sought under the heading “Exemptions and Approvals”; and (ii) a risk factor regarding the concentration of HCAL’s investments in the Banks and the risks associated therewith.

“Darren McCall  
Manager  
Investment Funds & Structured Products Branch  
Ontario Securities Commission

## 2.2 Orders

### 2.2.1 Aurelio Marrone

File No. 2020-16

#### IN THE MATTER OF AURELIO MARRONE

Lawrence P. Haber, Commissioner and Chair of the Panel

October 1, 2020

#### ORDER

**WHEREAS** on October 1, 2020, the Ontario Securities Commission held a hearing by teleconference;

**ON HEARING** the submissions of the representatives for Staff of the Commission (**Staff**) and for Aurelio Marrone (**Marrone**);

#### IT IS ORDERED THAT:

1. Marrone shall file and serve a witness list, and serve a summary of each witness’s anticipated evidence on Staff, and indicate any intention to call an expert witness, by no later than November 2, 2020; and
2. an attendance in this matter is scheduled for November 30, 2020 at 9:00 a.m., by teleconference, or on such other date and time as may be agreed to by the parties and set by the Office of the Secretary.

“Lawrence P. Haber”

2.2.2 Joseph Debus

File No. 2019-16

IN THE MATTER OF  
JOSEPH DEBUS

M. Cecilia Williams, Commissioner and Chair of the Panel

October 1, 2020

ORDER

**WHEREAS** on September 30, 2020, the Ontario Securities Commission (the **Commission**) held a hearing by videoconference;

**ON HEARING** the submissions of the representatives for Joseph Debus (**Debus**), Staff of the Investment Industry Regulatory Organization of Canada (**IIROC**) and Staff of the Commission;

**IT IS ORDERED** that:

1. by no later than October 16, 2020, Debus shall advise via email to the Registrar, copying all parties, whether
  - (a) he intends to rely on documents or things not included in the record of the original proceeding that he previously gave notice of intention to rely on and disclosed, and, if so, identify them;
  - (b) he intends to call any of the witnesses he identified on his witness list and for whom he provided witness summaries, and if so, identify which witnesses he intends to call;
2. if IIROC or Commission Staff wish to object to Debus relying on documents or things not included in the record of the original proceeding and/or the anticipated evidence of the witnesses Debus intends to call, then that motion shall be heard in writing, and the schedule for the motion shall be as follows,
  - (a) by no later than November 6, 2020, each moving party shall serve and file a motion, motion record, if any, and a memorandum of fact and law;
  - (b) by no later than November 13, 2020, Debus shall serve and file responding affidavits, if any, and a responding memorandum of fact and law;
  - (c) by no later than November 16, 2020, each moving party shall serve and file a reply memorandum of fact and law, if any;
3. by no later than January 20, 2021, Debus shall serve and file reply written submissions for the Application for Hearing and Review, if any; and
4. the hearing of the Application for Hearing and Review will be held by videoconference on January 27, 2021 and shall continue on January 28, 2021, commencing at 10:00 a.m. on each scheduled day, or on such other dates or times as may be agreed to by the parties and set by the Office of the Secretary. These dates are preemptory on Mr. Debus.

"M. Cecilia Williams"

## Chapter 4

# Cease Trading Orders

### 4.1.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke
THERE IS NOTHING TO REPORT THIS WEEK.				

### Failure to File Cease Trade Orders

Company Name	Date of Order	Date of Revocation
Hello Pal International Inc.	September 21, 2020	October 1, 2020
Hi Ho Silver Resources Inc.	January 30, 2020	October 5, 2020
Lendified Holdings Inc.	July 9, 2020	October 1, 2020

### 4.2.1 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order	Date of Lapse
Mountain Valley MD Holdings Inc.	September 17, 2020	October 1, 2020
Sproutly Canada, Inc.	September 16, 2020	September 30, 2020

### 4.2.2 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
Performance Sports Group Ltd.	19 October 2016	31 October 2016	31 October 2016		

Company Name	Date of Order	Date of Lapse
Agrios Global Holdings Ltd.	September 17, 2020	
Mountain Valley MD Holdings Inc.	September 17, 2020	October 1, 2020
Sproutly Canada, Inc.	September 16, 2020	September 30, 2020

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# Chapter 7

## Insider Reporting

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The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI® (the System for Electronic Disclosure by Insiders).<sup>1</sup> The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).<sup>2</sup>

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### Guide to Codes

#### Relationship of Insider to Issuer (Rel'n)

1	Issuer
2	Subsidiary of Issuer
3	10% Security Holder of Issuer
4	Director of Issuer
5	Senior Officer of Issuer
6	Director or Senior Officer of 10% Security Holder
7	Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6)
8	Deemed Insider – 6 Months before becoming Insider

#### Nature of Transaction (T/O)

00	Opening Balance-Initial SEDI Report
10	Acquisition or disposition in the public market
11	Acquisition or disposition carried out privately
15	Acquisition or disposition under a prospectus
16	Acquisition or disposition under a prospectus exemption
22	Acquisition or disposition pursuant to a take-over bid, merger or acquisition
30	Acquisition or disposition under a purchase/ ownership plan
35	Stock dividend
36	Conversion or exchange
37	Stock split or consolidation
38	Redemption, retraction, cancellation, repurchase
40	Short sale
45	Compensation for property
46	Compensation for services
47	Acquisition or disposition by gift
48	Acquisition by inheritance or disposition by bequest
50	Grant of options
51	Exercise of options
52	Expiration of options
53	Grant of warrants
54	Exercise of warrants
55	Expiration of warrants
56	Grant of rights
57	Exercise of rights
59	Exercise for cash
70	Acquisition or disposition (writing) of third party derivative
71	Exercise of third party derivative
72	Other settlement of third party
73	Expiration of third party derivative
90	Change in nature of ownership
97	Other
99	Correction of Information

Note: The asterisk in the "Date/Month End Holding" column indicates the insider disagreed with the system calculated balance when the transaction was reported.

1 SEDI® is a registered trademark owned by CDS INC.

2 ©CDS INC.

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
01 Communique Laboratory Inc.	Common Shares	Kissack, Gary	4	2020-09-25	10	0.245	200,000	-100,000
01 Communique Laboratory Inc.	Common Shares	Loo, Gee Gee	5	2020-10-01	10	0.295	329,800	-35,000
01 Communique Laboratory Inc.	Common Shares	Train, William, Archibald	4	2020-09-25	10	0.25	360,000	-40,000
01 Communique Laboratory Inc.	Common Shares	Train, William, Archibald	4	2020-09-29	10	0.25	340,000	-20,000
1933 Industries Inc	Common Shares	Helgason, Alexia	5	2020-09-29	10	0.06	43,000	25,000
1933 Industries Inc	Common Shares	rosen, paul	5	2020-06-15	00			
1933 Industries Inc	Common Shares	rosen, paul	5	2020-06-15	00		130,000	
1933 Industries Inc	Common Shares	rosen, paul	5	2020-09-29	10	0.045	2,310,000	100,000
1933 Industries Inc	Common Shares	Ruiz, Eugene	5	2020-09-29	10	0.045	989,171	56,293
1933 Industries Inc	Common Shares	Ruiz, Eugene	5	2020-09-30	10	0.045	1,014,171	25,000
4Front Ventures Corp.	Options - Class A Subordinate Voting Shares	DORSEY, NICOLLE	5	2020-03-27	00			
4Front Ventures Corp.	Options - Class A Subordinate Voting Shares	DORSEY, NICOLLE	5	2020-09-15	50	0.86	250,000	250,000
4Front Ventures Corp.	Options - Class A Subordinate Voting Shares	Krane, Kristopher Tutundjian	3, 5	2019-07-31	00			
4Front Ventures Corp.	Options - Class A Subordinate Voting Shares	Krane, Kristopher Tutundjian	3, 5	2020-09-15	50	0.86	250,000	250,000
4Front Ventures Corp.	Options - Class A Subordinate Voting Shares	Thut, Andrew Fisher	3, 5	2019-07-31	00			
4Front Ventures Corp.	Options - Class A Subordinate Voting Shares	Thut, Andrew Fisher	3, 5	2020-09-15	50	0.86	500,000	500,000
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-09-28	38	1.763	11,517	6,817
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-09-28	38		6,817	-4,700
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-09-29	38	1.7505	13,734	6,917
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-09-29	38		6,917	-6,817
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-09-30	38	1.7836	13,017	6,100
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-10-01	38	1.7856	19,934	6,917
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-10-01	38		13,017	-6,917
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-10-02	38	1.78	19,934	6,917
5N Plus Inc.	Common Shares	5N Plus Inc.	1	2020-10-02	38		13,834	-6,100
79North Inc.	Common Shares	Ellingham, Elaine	4	2020-09-28	10	0.14	280,000	40,000
A&W Revenue Royalties Income Fund	Trust Units	Mindell, David Allen	4	2020-09-30	10	26.9	44,219	163
A&W Revenue Royalties Income Fund	Trust Units	Mindell, David Allen	4	2020-09-30	10	26.9	2,112	7
A&W Revenue Royalties Income Fund	Trust Units	Senecal, Susan	6	2020-09-30	10	27.9	10,588	30
A&W Revenue Royalties Income Fund	Trust Units	Senecal, Susan	6	2020-09-30	10	26.9	10,595	7
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Boehner, John Andrew	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Boehner, John Andrew	4	2020-09-23	37		20,241	20,241
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Boehner, John Andrew	4	2018-11-14	00			

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Boehner, John Andrew	4	2020-09-23	37		47,230	47,230
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Boehner, John Andrew	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Boehner, John Andrew	4	2020-09-23	37		12,500	12,500
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Boehner, John Andrew	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Boehner, John Andrew	4	2020-09-23	37		29,166	29,166
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Boehner, John Andrew	4	2020-09-23	37		0	-41,667
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Boehner, John Andrew	4	2020-09-23	37		0	-67,472
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Daino, Robert James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Daino, Robert James	5	2020-09-23	37		151,366	151,366
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Daino, Robert James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Daino, Robert James	5	2020-09-23	37		353,189	353,189
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options	Daino, Robert James	5	2020-09-23	37		0	-240,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Daino, Robert James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Daino, Robert James	5	2020-09-23	37		72,000	72,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Daino, Robert James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Daino, Robert James	5	2020-09-23	37		168,000	168,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Daino, Robert James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Daino, Robert James	5	2020-09-23	37		327,785	327,785

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Daino, Robert James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Daino, Robert James	5	2020-09-23	37		764,831	764,831
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Daino, Robert James	5	2020-09-23	37		0	-1,092,617
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Daino, Robert James	5	2020-09-23	37		0	-504,556
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Doherty, James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Doherty, James	5	2020-09-23	37		71,664	71,664
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Doherty, James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Doherty, James	5	2020-09-23	37		167,216	167,216
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options	Doherty, James	5	2020-09-23	37		0	-240,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Doherty, James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Doherty, James	5	2020-09-23	37		72,000	72,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Doherty, James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Doherty, James	5	2020-09-23	37		168,000	168,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Doherty, James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Doherty, James	5	2020-09-23	37		310,285	310,285
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Doherty, James	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Doherty, James	5	2020-09-23	37		723,998	723,998
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Doherty, James	5	2020-09-23	37		0	-1,034,284

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Doherty, James	5	2020-09-23	37		0	-238,880
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Hardardt, Steven	5	2019-07-29	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Hardardt, Steven	5	2020-09-23	37		3,603	3,603
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Hardardt, Steven	5	2019-07-29	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Hardardt, Steven	5	2020-09-23	37		8,408	8,408
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Hardardt, Steven	5	2019-07-29	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Hardardt, Steven	5	2020-09-23	37		120,000	120,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Hardardt, Steven	5	2019-07-29	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Hardardt, Steven	5	2020-09-23	37		280,000	280,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Hardardt, Steven	5	2020-09-23	37		0	-400,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Hardardt, Steven	5	2020-09-23	37		0	-12,012
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Leibowitz, Glen	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Leibowitz, Glen	5	2020-09-23	37		330	330
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Leibowitz, Glen	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Leibowitz, Glen	5	2020-09-23	37		57,587	57,587
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Leibowitz, Glen	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Leibowitz, Glen	5	2020-09-23	37		770	770
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Leibowitz, Glen	5	2018-11-14	00			

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Leibowitz, Glen	5	2020-09-23	37		134,371	134,371
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options	Leibowitz, Glen	5	2020-09-23	37		0	-240,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Leibowitz, Glen	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Leibowitz, Glen	5	2020-09-23	37		72,000	72,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Leibowitz, Glen	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Leibowitz, Glen	5	2020-09-23	37		168,000	168,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Leibowitz, Glen	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Leibowitz, Glen	5	2020-09-23	37		310,285	310,285
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Leibowitz, Glen	5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Leibowitz, Glen	5	2020-09-23	37		723,998	723,998
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Leibowitz, Glen	5	2020-09-23	37		0	-1,034,284
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Leibowitz, Glen	5	2020-09-23	37		0	-1,100
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Leibowitz, Glen	5	2020-09-23	37		0	-191,959
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	MacDonald, James Tyson	5	2019-04-19	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	MacDonald, James Tyson	5	2020-09-23	37		23,265	23,265
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	MacDonald, James Tyson	5	2019-04-19	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	MacDonald, James Tyson	5	2020-09-23	37		54,285	54,285
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options	MacDonald, James Tyson	5	2020-09-23	37		0	-125,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	MacDonald, James Tyson	5	2019-04-19	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	MacDonald, James Tyson	5	2020-09-23	37		37,500	37,500
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	MacDonald, James Tyson	5	2019-04-19	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	MacDonald, James Tyson	5	2020-09-23	37		87,500	87,500
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	MacDonald, James Tyson	5	2019-04-19	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	MacDonald, James Tyson	5	2020-09-23	37		306,475	306,475
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	MacDonald, James Tyson	5	2019-04-19	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	MacDonald, James Tyson	5	2020-09-23	37		715,110	715,110
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	MacDonald, James Tyson	5	2020-09-23	37		0	-1,021,586
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	MacDonald, James Tyson	5	2020-09-23	37		0	-77,550
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Maine, Douglas, Lynn	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Maine, Douglas, Lynn	4	2020-09-23	37		8,580	8,580
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Maine, Douglas, Lynn	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Maine, Douglas, Lynn	4	2020-09-23	37		20,022	20,022
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options	Maine, Douglas, Lynn	4	2020-09-23	37		0	-160,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Maine, Douglas, Lynn	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Maine, Douglas, Lynn	4	2020-09-23	37		48,000	48,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Maine, Douglas, Lynn	4	2018-11-14	00			

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Maine, Douglas, Lynn	4	2020-09-23	37		112,000	112,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Maine, Douglas, Lynn	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Maine, Douglas, Lynn	4	2020-09-23	37		31,163	31,163
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Maine, Douglas, Lynn	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Maine, Douglas, Lynn	4	2020-09-23	37		72,714	72,714
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Maine, Douglas, Lynn	4	2020-09-23	37		0	-103,878
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Maine, Douglas, Lynn	4	2020-09-23	37		0	-28,603
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Mulroney, Brian	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Mulroney, Brian	4	2020-09-23	37		32,223	32,223
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Mulroney, Brian	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Mulroney, Brian	4	2020-09-23	37		75,189	75,189
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options	Mulroney, Brian	4	2020-09-23	37		0	-280,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Mulroney, Brian	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Mulroney, Brian	4	2020-09-23	37		84,000	84,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Mulroney, Brian	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Mulroney, Brian	4	2020-09-23	37		196,000	196,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Mulroney, Brian	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Mulroney, Brian	4	2020-09-23	37		76,949	76,949

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Mulroney, Brian	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Mulroney, Brian	4	2020-09-23	37		179,547	179,547
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Mulroney, Brian	4	2020-09-23	37		0	-256,497
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Mulroney, Brian	4	2020-09-23	37		0	-107,413
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		50,400	50,400
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		235,947	185,547
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		195,000	195,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		1,162,224	1,162,224
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		117,600	117,600
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		550,543	432,943
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		455,000	455,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		2,711,856	2,711,856

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Multiple Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		0	-168,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		0	-540,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		162,000	162,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		378,000	378,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Proportionate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		0	-16,250
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Proportionate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		0	-96,852
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		236,375	236,375
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Murphy, Kevin Patrick	3, 4, 5	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		551,541	551,541
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		0	-787,917
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Murphy, Kevin Patrick	3, 4, 5	2020-09-23	37		0	-618,490
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Van Faasen, William, Cornelius	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Van Faasen, William, Cornelius	4	2020-09-23	37		59,676	59,676
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class D Subordinate Voting Shares	Van Faasen, William, Cornelius	4	2020-09-23	37		74,908	15,232
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Van Faasen, William, Cornelius	4	2018-11-14	00			

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Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Van Faasen, William, Cornelius	4	2020-09-23	37		139,244	139,244
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Class E Subordinate Voting Shares	Van Faasen, William, Cornelius	4	2020-09-23	37		174,786	35,542
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options	Van Faasen, William, Cornelius	4	2020-09-23	37		0	-160,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Van Faasen, William, Cornelius	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class D SVS	Van Faasen, William, Cornelius	4	2020-09-23	37		48,000	48,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Van Faasen, William, Cornelius	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Options Class E SVS	Van Faasen, William, Cornelius	4	2020-09-23	37		112,000	112,000
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Proportionate Voting Shares	Van Faasen, William, Cornelius	4	2020-09-23	37		0	-4,973
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Van Faasen, William, Cornelius	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Van Faasen, William, Cornelius	4	2020-09-23	37		31,163	31,163
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Van Faasen, William, Cornelius	4	2018-11-14	00			
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Right Restricted Share Units (RSU)	Van Faasen, William, Cornelius	4	2020-09-23	37		72,714	72,714
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Rights Restricted Shares Units (RSU)	Van Faasen, William, Cornelius	4	2020-09-23	37		0	-103,878
Acreage Holdings, Inc. (formerly Applied Inventions Management Corp.)	Subordinate Voting Shares	Van Faasen, William, Cornelius	4	2020-09-23	37		0	-50,775
AcuityAds Holdings Inc.	Common Shares	Pollack, Jonathan	5	2020-09-25	10	3.31	556,900	-63,100
AcuityAds Holdings Inc.	Common Shares	Pollack, Jonathan	5	2020-09-28	10	3.37	320,000	-236,900
AcuityAds Holdings Inc.	Common Shares	Pollack, Jonathan	5	2020-09-28	51	0.64	653,333	333,333
AcuityAds Holdings Inc.	Options	Pollack, Jonathan	5	2020-09-28	51	0.64	291,667	-333,333
ADF Group Inc.	Options	BOURSIER, Jean-Francois	5	2020-09-25	52	1.88	0	-50,000
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Angevine, Jill Terilee	4	2020-09-30	56		98,256	2,976
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Balog, Stephen	4	2020-09-30	56		107,371	2,976

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Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Clague, Donald M.	4	2020-09-30	56		10,200	2,976
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Fagerheim, Grant Bradley	4	2020-09-30	56		81,996	1,488
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	Haggis, Paul	4	2020-09-30	56		118,951	3,274
Advantage Oil & Gas Ltd.	Rights Deferred Share Units	McIntosh, Ronald A	4	2020-09-30	56		163,956	4,524
Aether Catalyst Solutions, Inc.	Common Shares	Conation Capital Corp.	3	2020-09-29	16	0.15	22,460,863	421,685
Aether Catalyst Solutions, Inc.	Warrants	Conation Capital Corp.	3	2019-03-31	00			
Aether Catalyst Solutions, Inc.	Warrants	Conation Capital Corp.	3	2020-09-29	16		210,842	210,842
Aether Catalyst Solutions, Inc.	Common Shares	Woodward, Paul John Courtney	3, 4, 6, 5	2020-09-29	16	0.15	2,988,867	66,667
Aether Catalyst Solutions, Inc.	Warrants	Woodward, Paul John Courtney	3, 4, 6, 5	2020-09-29	16		33,333	33,333
Africa Energy Corp.	Common Shares	Africa Oil Corp.	3	2020-09-24	11	0.3382		20,000,000
Africa Energy Corp.	Common Shares	Africa Oil Corp.	3	2020-09-30	11	0.3382	276,982,414	20,000,000
Africa Energy Corp.	Common Shares	Lorito Holdings (Guernsey) Limited	3	2020-09-30	16		16,869,467	856,467
Africa Energy Corp.	Common Shares	Soden, Garrett	5	2020-09-25	11	0.45		50,000
Africa Energy Corp.	Common Shares	Soden, Garrett	5	2020-09-30	11	0.45	2,750,000	50,000
Africa Energy Corp.	Common Shares	Zebra Holdings and Investments (Guernsey) Limited	3	2020-09-30	16		72,584,351	3,682,807
Aimia Inc.	Deferred Share Units	Basian, Karen	4	2020-10-01	56	3.97	46,932	8,501
Aimia Inc.	Deferred Share Units	Frischer, Charles Lawrence	4	2020-10-01	56	3.97	86,178	17,002
Aimia Inc.	Deferred Share Units	Hanington, Sandra Lynn	4	2020-10-01	56	3.97	22,343	4,408
Aimia Inc.	Common Shares	Leonard, Steven Clark	5	2020-09-30	10	3.92	20,439	3,800
Aimia Inc.	Deferred Share Units	Rosenkrantz, David Aubrey	4	2020-10-01	56	3.97	35,906	7,083
Aimia Inc.	Deferred Share Units	Teramo, Jordan Gregg	4	2020-10-01	56	3.97	32,716	6,455
AION THERAPEUTIC INC. (formerly, Osoyoos Cannabis Inc.)	Common Shares	Horwitz, Larry	4	2020-01-16	00			
AION THERAPEUTIC INC. (formerly, Osoyoos Cannabis Inc.)	Common Shares	Horwitz, Larry	4	2020-09-18	16	0.15		475,000
AION THERAPEUTIC INC. (formerly, Osoyoos Cannabis Inc.)	Common Shares	Horwitz, Larry	4	2020-09-18	16	0.055	475,000	475,000
AION THERAPEUTIC INC. (formerly, Osoyoos Cannabis Inc.)	Warrants	Horwitz, Larry	4	2020-01-16	00			
AION THERAPEUTIC INC. (formerly, Osoyoos Cannabis Inc.)	Warrants	Horwitz, Larry	4	2020-09-18	16	0.15	237,500	237,500
AIRBOSS OF AMERICA CORP.	Rights - Deferred Stock Units	Antenucci, Anita Margaret	4	2020-10-01	56	18.05	8,803	983
AIRBOSS OF AMERICA CORP.	Rights - Deferred Stock Units	Camilleri, David Brian	4	2020-10-01	56	18.05	6,340	983
AIRBOSS OF AMERICA CORP.	Common Shares	Flatt, James Gordon	3	2020-09-29	10	18.75	4,932,300	300,000
AIRBOSS OF AMERICA CORP.	Rights - Deferred Stock Units	Matthews, Mary	4	2020-10-01	56	18.05		346

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AIRBOSS OF AMERICA CORP.	Rights - Deferred Stock Units	Matthews, Mary	4	2020-10-01	56	18.05	12,657	346
AIRBOSS OF AMERICA CORP.	Rights - Deferred Stock Units	McLeish, Robert	4	2020-10-01	56	18.05	21,684	706
AIRBOSS OF AMERICA CORP.	Rights - Deferred Stock Units	Robbins, Brian Andrew	4	2020-10-01	56	18.05	33,105	1,122
AIRBOSS OF AMERICA CORP.	Rights - Deferred Stock Units	Watson, Alan JD	4	2020-10-01	56	18.05	14,471	492
Akumin Inc.	Common Shares	Cameron, Matthew	5	2020-10-01	30		171	15
Akumin Inc.	Common Shares	Fernandes, Leigh Anne	7	2020-10-01	30		257	18
Akumin Inc.	Common Shares	Fitzgerald, Christopher Gifford	5	2020-10-01	30		348	4
Akumin Inc.	Common Shares	Kassa, Laura Mae	5	2020-10-01	30		991	13
Akumin Inc.	Common Shares	Luckey, Michael	5	2020-10-01	30		899	13
Akumin Inc.	Common Shares	Meredith, Michael	5	2020-10-01	30		302	11
Akumin Inc.	Common Shares	Navani, Rohit Kishin	5	2020-10-01	30		335	15
Akumin Inc.	Common Shares	Richardson, Jason	5	2020-10-01	30		992	13
Alamos Gold Inc.	Rights Rights Performance Share Units	Barwell, Christine	5	2020-09-30	30		76,142	130
Alamos Gold Inc.	Rights Rights Performance Share Units	Bostwick, Christopher John	5	2020-09-30	30		76,142	130
Alamos Gold Inc.	Rights Rights Performance Share Units	Chavez - Martinez, Mario Luis	5	2020-09-30	30		76,061	130
Alamos Gold Inc.	Rights Rights Performance Share Units	Engelstad, Nils Frederik Jonas	8	2020-09-30	30		76,143	130
Alamos Gold Inc.	Rights Rights Performance Share Units	Fisher, Gregory S.	5	2020-09-30	30		76,142	130
Alamos Gold Inc.	Rights Rights Performance Share Units	MacPhail, Peter	5	2020-09-30	30		152,291	259
Alamos Gold Inc.	Rights Rights Performance Share Units	McCluskey, John	4, 5	2020-09-30	30		359,948	613
Alamos Gold Inc.	Rights Rights Performance Share Units	Parsons, Scott Kyle	5	2020-09-30	30		76,143	130
Alamos Gold Inc.	Rights Restricted Share Units	Parsons, Scott Russell Gordon	5	2020-09-30	30		32,903	56
Alamos Gold Inc.	Rights Restricted Share Units	PAULSE, ADRIAN	5	2020-09-30	30		27,892	47
Alamos Gold Inc.	Rights Rights Performance Share Units	PAULSE, ADRIAN	5	2020-09-30	30		12,197	21
Alamos Gold Inc.	Rights Rights Performance Share Units	Porter, James	5	2020-09-30	30			259
Alamos Gold Inc.	Rights Rights Performance Share Units	Porter, James	5	2020-09-30	30		152,286	259
Alamos Gold Inc.	Rights Deferred Share Units	Prichard, John Robert Stobo	4	2020-09-30	30		47,026	399
Alamos Gold Inc.	Rights Rights Performance Share Units	Thompson, Rebecca	5	2020-09-30	30		21,617	37
Alamos Gold Inc.	Rights Rights Performance Share Units	Webster, Colin	5	2020-09-30	30		76,322	130
Alchemist Mining Incorporated	Common Shares	Hofer, Michael John	3	2020-09-15	00		14,285,714	
Algonquin Power & Utilities Corp.	Deferred Share Units	Ball, Christopher James	4	2020-09-30	56	18.984	73,991	1,756

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Algonquin Power & Utilities Corp.	Deferred Share Units	Barnes, Melissa Stapleton	4	2020-09-30	56	18.984	35,224	1,757
Algonquin Power & Utilities Corp.	Deferred Share Units	Huskilson, Christopher	4	2020-09-30	56	18.984	5,870	1,756
Algonquin Power & Utilities Corp.	Deferred Share Units	Laney, Randy David	4	2020-09-30	56	18.984	27,615	1,757
Algonquin Power & Utilities Corp.	Deferred Share Units	Moore, Kenneth	4	2020-09-30	56	18.984	207,352	2,415
Algonquin Power & Utilities Corp.	Deferred Share Units	Saidi, Masheed Hegi	4	2020-09-30	56	18.984	45,187	1,932
Algonquin Power & Utilities Corp.	Deferred Share Units	Samil, Dilek	4	2020-09-30	56	18.984	46,049	1,976
Algonquin Power & Utilities Corp.	Deferred Share Units	Steeves, George Lester	4	2020-09-30	56	18.984	84,665	1,932
Alimentation Couche-Tard Inc.	Deferred Share Units	Anderton, Niall	5	2020-09-25	35	45.51	1,409	2
Alimentation Couche-Tard Inc.	Performance Share Units	Anderton, Niall	5	2020-09-25	35	45.51	9,654	14
Alimentation Couche-Tard Inc.	Performance Share Units	Bednarz, Brian John	5	2020-09-25	35	45.51	17,362	27
Alimentation Couche-Tard Inc.	Restricted Share Units	Bednarz, Brian John	5	2020-09-25	35	45.51		27
Alimentation Couche-Tard Inc.	Restricted Share Units	Bednarz, Brian John	5	2020-09-25	35	45.51	2,327	3
Alimentation Couche-Tard Inc.	Performance Share Units	Bouchard, Alain	4, 6, 7, 5	2020-09-25	35	45.51	171,807	264
Alimentation Couche-Tard Inc.	Restricted Share Units	Bouchard, Alain	4, 6, 7, 5	2020-09-25	35	45.51	22,603	34
Alimentation Couche-Tard Inc.	Performance Share Units	Cunnington, Kathy	5	2020-09-25	35	45.51	16,410	30
Alimentation Couche-Tard Inc.	Restricted Share Units	Cunnington, Kathy	5	2020-09-25	35	45.51	2,375	3
Alimentation Couche-Tard Inc.	Performance Share Units	Davis, Darrell J.	7	2020-09-25	35	45.51	33,122	51
Alimentation Couche-Tard Inc.	Restricted Share Units	Davis, Darrell J.	7	2020-09-25	35	45.51	4,392	6
Alimentation Couche-Tard Inc.	Deferred Share Units	Descheneaux, Mathieu	5	2020-09-25	35	45.51	873	1
Alimentation Couche-Tard Inc.	Performance Share Units	Descheneaux, Mathieu	5	2020-09-25	35	45.51	7,616	12
Alimentation Couche-Tard Inc.	Deferred Share Units	HALL LEFEVRE, DEBORAH	5	2020-09-25	35	45.51	3,356	5
Alimentation Couche-Tard Inc.	Performance Share Units	HALL LEFEVRE, DEBORAH	5	2020-09-25	35	45.51	28,377	43
Alimentation Couche-Tard Inc.	Performance Share Units	Hannasch, Brian Patrick	4, 5	2020-07-23	35	45.78		657
Alimentation Couche-Tard Inc.	Performance Share Units	Hannasch, Brian Patrick	4, 5	2020-07-23	35	45.78	319,879	488
Alimentation Couche-Tard Inc.	Performance Share Units	Hannasch, Brian Patrick	4, 5	2020-09-25	35	45.51	320,371	492
Alimentation Couche-Tard Inc.	Restricted Share Units	Hannasch, Brian Patrick	4, 5	2020-09-25	35	45.51	44,642	68
Alimentation Couche-Tard Inc.	Deferred Share Units	H°idahl, Hans-Olav	7	2020-09-25	35	45.51	1,343	2
Alimentation Couche-Tard Inc.	Performance Share Units	H°idahl, Hans-Olav	7	2020-09-25	35	45.51	11,835	18
Alimentation Couche-Tard Inc.	Deferred Share Units	Johnson, Richard David	7	2020-09-25	35	45.51	3,074	4
Alimentation Couche-Tard Inc.	Performance Share Units	Johnson, Richard David	7	2020-09-25	35	45.51	21,994	33
Alimentation Couche-Tard Inc.	Deferred Share Units	LEWIS, KEVIN ANDREW	5	2020-09-25	35	45.51	5,101	7
Alimentation Couche-Tard Inc.	Performance Share Units	LEWIS, KEVIN ANDREW	5	2020-09-25	35	45.51	43,051	66
Alimentation Couche-Tard Inc.	Performance Share Units	Madsen, J°rn	7	2020-09-25	35	45.51	14,714	22

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Alimentation Couche-Tard Inc.	Restricted Share Units	Madsen, J <sup>rn</sup>	7	2020-09-25	35	45.51	1,814	3
Alimentation Couche-Tard Inc.	Deferred Share Units	Miller, Alex	5	2020-09-25	35	45.51	4,832	7
Alimentation Couche-Tard Inc.	Performance Share Units	Miller, Alex	5	2020-09-25	35	45.51	38,855	60
Alimentation Couche-Tard Inc.	Deferred Share Units	Poirier, Suzanne	5	2020-09-25	35	45.51		1
Alimentation Couche-Tard Inc.	Deferred Share Units	Poirier, Suzanne	5	2020-09-25	35	45.51	757	1
Alimentation Couche-Tard Inc.	Performance Share Units	Poirier, Suzanne	5	2020-09-25	35	45.51		9
Alimentation Couche-Tard Inc.	Performance Share Units	Poirier, Suzanne	5	2020-09-25	35	45.51	5,928	9
Alimentation Couche-Tard Inc.	Performance Share Units	Strand, Ina	7	2020-09-25	35	45.51	9,661	14
Alimentation Couche-Tard Inc.	Restricted Share Units	Strand, Ina	7	2020-09-25	35	45.51	1,156	2
Alimentation Couche-Tard Inc.	Deferred Share Units	Tessier, Claude	5	2020-09-25	35	45.51	5,526	8
Alimentation Couche-Tard Inc.	Performance Share Units	Tessier, Claude	5	2020-09-25	35	45.51	48,006	74
Alimentation Couche-Tard Inc.	Performance Share Units	Tewell, Dennis	5	2020-09-25	35	45.51	26,934	41
Alimentation Couche-Tard Inc.	Restricted Share Units	Tewell, Dennis	5	2020-09-25	35	45.51	3,381	5
Alimentation Couche-Tard Inc.	Deferred Share Units	Trudel, StOphane	5	2020-09-25	35	45.51	2,060	3
Alimentation Couche-Tard Inc.	Performance Share Units	Trudel, StOphane	5	2020-09-25	35	45.51	17,897	27
Alimentation Couche-Tard Inc.	Deferred Share Units	Zamuner, Valery	5	2020-09-25	35	45.51	970	1
Alimentation Couche-Tard Inc.	Performance Share Units	Zamuner, Valery	5	2020-09-25	35	45.51	6,865	11
Alithya Group inc.	Rights Deferred Share Units	Ades-Landy, Dana	4	2020-09-30	56		30,885	3,027
Alithya Group inc.	Rights Deferred Share Units	Comeau, Robert	4	2020-09-30	56		36,696	6,271
Alithya Group inc.	Rights Deferred Share Units	DiSanto, Fredrick David	4	2020-09-30	56		35,658	7,352
Alithya Group inc.	Rights Deferred Share Units	Martel, Lucie	4	2020-09-30	56		26,744	6,271
Alithya Group inc.	Rights Deferred Share Units	Renacci, James B.	4	2020-09-30	56		23,843	6,487
Alithya Group inc.	Rights Deferred Share Units	Rivard, Ghyslain	3, 4	2020-09-30	56		20,627	3,027
Alithya Group inc.	Rights Deferred Share Units	Thomas, C. Lee	4	2020-09-30	56		31,340	5,190
Alithya Group inc.	Rights Deferred Share Units	Turcotte, Pierre	3, 4	2020-09-30	56		40,619	8,780
Allegiant Gold Ltd.	Common Shares	nichols, shawn	4	2020-09-30	10	0.415	1,711,565	5,000
Almaden Minerals Ltd.	Common Shares	Carlson, Gerald George	4	2020-09-30	51	1.25	132,827	13,818
Almaden Minerals Ltd.	Options	Carlson, Gerald George	4	2020-09-30	52	1.25	340,000	-100,000
Almaden Minerals Ltd.	Options	Carlson, Gerald George	4	2020-09-30	51	0.79	290,000	-50,000
Almaden Minerals Ltd.	Options	Carlson, Gerald George	4	2020-10-01	50	1.13	440,000	150,000
Almaden Minerals Ltd.	Common Shares	McCleary, John Daniel	4	2020-09-30	51	0.83	96,370	17,159
Almaden Minerals Ltd.	Common Shares	McCleary, John Daniel	4	2020-09-30	51	0.79	110,188	13,818
Almaden Minerals Ltd.	Options	McCleary, John Daniel	4	2020-10-01	52		475,000	-100,000
Almaden Minerals Ltd.	Options	McCleary, John Daniel	4	2020-10-01	51	0.79	425,000	-50,000
Almaden Minerals Ltd.	Options	McCleary, John Daniel	4	2020-10-01	51	0.83	357,000	-68,000
Almaden Minerals Ltd.	Options	McCleary, John Daniel	4	2020-10-01	50	1.13	575,000	218,000
Almaden Minerals Ltd.	Options	McDonald, Douglas John	5	2020-09-30	52		625,000	-100,000
Almaden Minerals Ltd.	Options	McDonald, Douglas John	5	2020-10-01	50		725,000	100,000
Almaden Minerals Ltd.	Common Shares	Poliquin, James Duane	4, 5	2020-09-23	51	0.79	3,246,646	50,000

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Almaden Minerals Ltd.	Common Shares	Poliquin, James Duane	4, 5	2020-09-23	10	1.16	3,196,646	-50,000
Almaden Minerals Ltd.	Options	Poliquin, James Duane	4, 5	2020-09-23	51	0.79	1,144,189	50,000
Almaden Minerals Ltd.	Options	Trieu, Korm	5	2020-09-30	52	1.25	745,000	-100,000
Almaden Minerals Ltd.	Options	Trieu, Korm	5	2020-10-01	50	1.13	845,000	100,000
Almaden Minerals Ltd.	Common Shares	WORRALL, WILLIAM JOSEPH	4	2020-09-30	51	0.83	63,366	5,000
Almaden Minerals Ltd.	Options	WORRALL, WILLIAM JOSEPH	4	2020-09-30	51		460,000	-5,000
Almaden Minerals Ltd.	Options	WORRALL, WILLIAM JOSEPH	4	2020-09-30	52		360,000	-100,000
Almaden Minerals Ltd.	Options	WORRALL, WILLIAM JOSEPH	4	2020-10-01	50		465,000	105,000
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Calvert, Victoria Anne	4	2020-09-25	56	16.56	21,941	1,509
AltaGas Ltd.	Preferred Shares Series A	Cornhill, David Wallace	4	2020-09-30	36		20,000	20,000
AltaGas Ltd.	Preferred Shares Series B	Cornhill, David Wallace	4	2020-09-30	36		0	-20,000
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Cornhill, David Wallace	4	2020-09-25	56	16.56	56,734	1,509
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Edgeworth, Allan Leslie	4	2020-09-25	56	16.56	25,332	2,717
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Hodgins, Robert Bruce	4	2020-09-25	56	16.56	20,986	754
AltaGas Ltd.	Rights Restricted Units (RU)	Hodgins, Robert Bruce	4	2020-09-25	56	16.56	5,672	754
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Johnston, Cynthia	4	2020-09-25	56	16.56	12,373	1,509
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	KARKKAINEN, PENTTI OLAVI	4	2020-09-25	56	16.56	19,396	2,641
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Knoll, Phillip R.	4	2020-09-25	56	16.56	39,955	1,509
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	McCallister, Terry Dwight	4	2020-09-25	56	16.56	10,496	754
AltaGas Ltd.	Rights Restricted Units (RU)	McCallister, Terry Dwight	4	2020-09-25	56	16.56	7,346	1,509
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Sullivan, Linda Gail	4	2020-09-25	56	16.56	5,237	1,509
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Tower, Nancy Gail	4	2020-09-25	56	16.56	10,476	3,019
Altiplano Metals Inc.	Common Shares	McIntyre, Alastair	5	2020-09-29	10	0.2	692,167	2,500
Altius Minerals Corporation	Common Shares	Adshead-Bell, Nicole	4	2020-10-01	00			
Altius Minerals Corporation	Common Shares	Conway, Teresa	4	2020-10-01	00			
Altius Minerals Corporation	Rights DSUs	Conway, Teresa	4	2020-10-01	00			
Altus Group Limited	Common Share Performance Share Units	Gordon, Michael	4, 5	2020-09-28	00		50,621	
Altus Group Limited	Deferred Share Units	Gordon, Michael	4, 5	2020-09-28	00		25,311	
Altus Group Limited	Options	Gordon, Michael	4, 5	2020-09-28	00		106,994	
Altus Group Limited	Common Shares	McSweeney, Niall	5	2020-09-25	10	52.75	17,771	-5,000
Altus Group Limited	Common Shares	ORLIK, EDWARD CHARLES	5	2020-09-25	10	53	1,932	-1,000
Alvopetro Energy Ltd.	Common Shares	Howard, Alison Leanne	5	2020-09-27	51	0.28	441,827	150,000
Alvopetro Energy Ltd.	Options	Howard, Alison Leanne	5	2020-09-27	51	0.28	1,015,000	-150,000
Alvopetro Energy Ltd.	Common Shares	Ruttan, Corey Christopher	4, 5	2020-09-28	10	0.546	1,700,926	68,000
Alvopetro Energy Ltd.	Common Shares	Ruttan, Corey Christopher	4, 5	2020-09-29	51	0.28	2,000,926	300,000
Alvopetro Energy Ltd.	Common Shares	Ruttan, Corey Christopher	4, 5	2020-09-29	51	0.29	2,120,926	120,000
Alvopetro Energy Ltd.	Options	Ruttan, Corey Christopher	4, 5	2020-09-29	51	0.28	1,570,000	-300,000
Alvopetro Energy Ltd.	Options	Ruttan, Corey Christopher	4, 5	2020-09-29	51	0.29	1,450,000	-120,000
Alvopetro Energy Ltd.	Common Shares	WRIGHT, JOHN DAVID	4	2020-09-29	51	0.28	3,901,926	55,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Alvopetro Energy Ltd.	Common Shares	WRIGHT, JOHN DAVID	4	2020-09-29	51	0.21	3,956,926	55,000
Alvopetro Energy Ltd.	Options	WRIGHT, JOHN DAVID	4	2020-09-29	51	0.28	235,000	-55,000
Alvopetro Energy Ltd.	Options	WRIGHT, JOHN DAVID	4	2020-09-29	51	0.21	180,000	-55,000
Amarillo Gold Corporation	Options	Birkett, Alexander David	4	2020-10-01	50	0.33	1,700,000	500,000
Amarillo Gold Corporation	Options	Laing, David Charles	4	2020-10-01	00			
Amarillo Gold Corporation	Options	Laing, David Charles	4	2020-10-01	50	0.33	500,000	500,000
Amarillo Gold Corporation	Options	LLC, Equity Management	4	2020-10-01	50	0.33	1,810,000	1,460,000
Amarillo Gold Corporation	Options	Mutchler, Mike	4, 5	2020-10-01	50	0.33	4,900,000	1,500,000
Amarillo Gold Corporation	Common Shares	Raykov, Rostislav Christov	4	2013-07-19	00			
Amarillo Gold Corporation	Common Shares	Raykov, Rostislav Christov	4	2013-07-19	00		80,333	
Amarillo Gold Corporation	Common Shares	Raykov, Rostislav Christov	4	2017-12-22	57	0.28		136,000
Amarillo Gold Corporation	Common Shares	Raykov, Rostislav Christov	4	2017-12-22	57	0.28		136,000
Amarillo Gold Corporation	Options	Raykov, Rostislav Christov	4	2020-10-01	50	0.33	1,940,000	740,000
Amarillo Gold Corporation	Options	SAWH, HEMDAT	5	2020-10-01	50	0.33	1,750,000	700,000
Amarillo Gold Corporation	Options	Silva, Antenor	4	2020-10-01	00			
Amarillo Gold Corporation	Options	Silva, Antenor	4	2020-10-01	50	0.33	500,000	500,000
Amarillo Gold Corporation	Options	Uloth, Rowland Wallace	4	2020-10-01	50	0.33	2,500,000	700,000
American Hotel Income Properties REIT LP	Restricted Stock	Evans, Steve	4	2020-09-30	57		3,513	-3,513
American Hotel Income Properties REIT LP	Units	Evans, Steve	4	2020-09-30	57		10,671	3,513
American Hotel Income Properties REIT LP	Restricted Stock	Frank, Richard	4	2020-09-30	57		7,704	-7,704
American Hotel Income Properties REIT LP	Units	Frank, Richard	4	2020-09-30	57		15,582	7,704
American Hotel Income Properties REIT LP	Restricted Stock	Lawson, Tamara	4	2020-09-30	57		4,374	-4,374
American Hotel Income Properties REIT LP	Units	Lawson, Tamara	4	2020-09-30	57		31,118	4,374
American Hotel Income Properties REIT LP	Restricted Stock	Murphy, William Michael	4	2020-09-30	57		13,381	-13,381
American Hotel Income Properties REIT LP	Units	Murphy, William Michael	4	2020-09-30	57		69,371	13,381
American Hotel Income Properties REIT LP	Restricted Stock	O'Neill, John Christopher	5	2020-09-30	57		344,251	-5,367
American Hotel Income Properties REIT LP	Units	O'Neill, John Christopher	5	2020-09-30	57		486,012	5,367
American Hotel Income Properties REIT LP	Restricted Stock	O'Neill, Robert Francis	4, 5	2020-09-30	57		3,119	-3,119
American Hotel Income Properties REIT LP	Units	O'Neill, Robert Francis	4, 5	2020-09-30	57		827,230	3,119

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
American Hotel Income Properties REIT LP	Restricted Stock	van der Lee, Charles	4	2020-09-30	57		5,313	-5,313
American Hotel Income Properties REIT LP	Units	van der Lee, Charles	4	2020-09-30	57		40,367	5,313
Americas Gold and Silver Corporation	Rights Deferred Share Units	Davidson, Alexander John	4	2020-09-30	56		120,734	7,842
Americas Gold and Silver Corporation	Rights Deferred Share Units	Edwards, Alan R.	4	2020-09-30	56		73,427	5,378
Americas Gold and Silver Corporation	Rights Deferred Share Units	Kipp, Bradley Robert	4	2020-09-30	56		99,596	5,826
Americas Gold and Silver Corporation	Rights Deferred Share Units	Pridham, Gordon E.	4	2020-09-30	56		69,785	5,602
Americas Gold and Silver Corporation	Rights Deferred Share Units	RIVERA RABA, MANUEL ALBERTO	4	2020-09-30	56		31,618	5,154
Americas Gold and Silver Corporation	Rights Deferred Share Units	Waisberg, Lorie	4	2020-09-30	56		75,808	6,050
Amilot Capital Inc. (formerly Tolima Gold Inc.)	Common Shares	Martin, John William	7, 5	2020-09-30	10	0.045	170,765	5,000
Amilot Capital Inc. (formerly Tolima Gold Inc.)	Common Shares	Martin, John William	7, 5	2020-10-01	10	0.05	174,765	4,000
Amilot Capital Inc. (formerly Tolima Gold Inc.)	Common Shares	Martin, John William	7, 5	2020-10-02	10	0.05	175,765	1,000
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer Management Group Inc.	3	2020-09-25	97		57,600	-17,400
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer Management Group Inc.	3	2020-09-28	97		43,200	-14,400
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer Management Group Inc.	3	2020-09-28	97		42,800	-400
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer, Michael N.	4, 6, 5	2020-09-16	11	15		-58,800
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer, Michael N.	4, 6, 5	2020-09-16	11	15		-58,800
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer, Michael N.	4, 6, 5	2020-09-16	97		358,200	-58,800
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer, Michael N.	4, 6, 5	2020-09-28	97		301,900	-56,300
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer, Michael N.	4, 6, 5	2020-09-28	97		266,050	-35,850
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer, Michael N.	4, 6, 5	2020-09-25	97		57,600	-17,400
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer, Michael N.	4, 6, 5	2020-09-28	97		43,200	-14,400
Andlauer Healthcare Group Inc.	Subordinate Voting Shares	Andlauer, Michael N.	4, 6, 5	2020-09-28	97		42,800	-400
Ansar Financial and Development Corporation	Common Shares	Jalaluddin, Mohammed	3, 4, 5	2020-09-30	10	0.455	1,447,549	40,000
Ansar Financial and Development Corporation	Common Shares	Jalaluddin, Mohammed	3, 4, 5	2020-09-30	10	0.45	1,454,049	6,500
Ansar Financial and Development Corporation	Common Shares	Jalaluddin, Mohammed	3, 4, 5	2020-09-30	10	0.5	1,466,049	12,000
Ansar Financial and Development Corporation	Common Shares	Jalaluddin, Mohammed	3, 4, 5	2020-09-30	10	0.495	1,468,049	2,000
Ansar Financial and Development Corporation	Common Shares	Jalaluddin, Mohammed	3, 4, 5	2020-09-30	10	0.495	2,223,520	1,000
Ansar Financial and Development Corporation	Common Shares	Jalaluddin, Mohammed	3, 4, 5	2020-09-30	10	0.5	2,243,020	19,500

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Ansar Financial and Development Corporation	Common Shares	Nasim, Pervez	3, 4, 5	2020-09-30	10	0.455	1,447,549	40,000
Ansar Financial and Development Corporation	Common Shares	Nasim, Pervez	3, 4, 5	2020-09-30	10	0.45	1,454,049	6,500
Ansar Financial and Development Corporation	Common Shares	Nasim, Pervez	3, 4, 5	2020-09-30	10	0.5	1,466,049	12,000
Ansar Financial and Development Corporation	Common Shares	Nasim, Pervez	3, 4, 5	2020-09-30	10	0.495	1,468,049	2,000
Ansar Financial and Development Corporation	Common Shares	Nasim, Pervez	3, 4, 5	2020-09-30	10	0.495	2,223,520	1,000
Ansar Financial and Development Corporation	Common Shares	Nasim, Pervez	3, 4, 5	2020-09-30	10	0.5	2,243,020	19,500
Appili Therapeutics Inc.	Common Shares Class A Common Shares	The K2 Principal Fund L.P.	3	2020-09-30	10	1.3585	5,770,201	-6,000
Appili Therapeutics Inc.	Common Shares Class A Common Shares	The K2 Principal Fund L.P.	3	2020-10-01	10	1.4006	5,716,301	-53,900
Appili Therapeutics Inc.	Common Shares Class A Common Shares	The K2 Principal Fund L.P.	3	2020-10-02	10	1.4589	5,711,801	-4,500
Aquila Resources Inc.	Common Shares	Dunn, Andrew William	4	2020-09-30	10	0.145	345,000	8,500
Aquila Resources Inc.	Common Shares	Dunn, Andrew William	4	2020-10-01	10	0.14	350,000	5,000
ARC Resources Ltd.	Deferred Share Units (DSU) (Cash based only)	Ahrabi, Farhad	4	2020-09-30	56	6.18	40,076	8,900
ARC Resources Ltd.	Deferred Share Units (DSU) (Cash based only)	Collyer, David Ralph	4	2020-09-30	56	6.18	66,449	5,704
ARC Resources Ltd.	Deferred Share Units (DSU) (Cash based only)	Dielwart, John Patrick	4	2020-09-30	56	6.18	133,657	5,704
ARC Resources Ltd.	Deferred Share Units (DSU) (Cash based only)	Kvisle, Harold N.	4	2020-09-30	56	6.18	271,998	16,789
ARC Resources Ltd.	Deferred Share Units (DSU) (Cash based only)	O'Neill, Kathleen M.	4	2020-09-30	56	6.18	151,068	7,929
ARC Resources Ltd.	Deferred Share Units (DSU) (Cash based only)	Pinder, Herbert	4	2020-09-30	56	6.18	187,952	9,507
ARC Resources Ltd.	Deferred Share Units (DSU) (Cash based only)	Sembo, William, George	4	2020-09-30	56	6.18	97,447	6,675
ARC Resources Ltd.	Deferred Share Units (DSU) (Cash based only)	Smith, Nancy Lynn	4	2020-09-30	56	6.18	104,859	5,704
Armada Mercantile Ltd.	Common Shares	Cole, Patrick	5	2020-09-23	10	0.185	6,108,501	1,701
Armada Mercantile Ltd.	Common Shares	Cole, Patrick	5	2020-09-30	10	0.195	6,109,501	1,000
Armor Minerals Inc.	Common Shares	Warke, Richard William	4	2020-10-01	54	0.15	33,055,606	2,500,000
Armor Minerals Inc.	Common Shares	Warke, Richard William	4	2020-10-01	54	0.15	33,361,106	2,500,000
Armor Minerals Inc.	Warrants	Warke, Richard William	4	2020-10-01	54	0.15	718,750	-2,500,000
Armor Minerals Inc.	Warrants	Warke, Richard William	4	2020-10-01	54	0.15	718,750	-2,500,000

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Artis Real Estate Investment Trust	Units	Artis Real Estate Investment Trust	1	2020-10-02	38	22.574	32,400	32,400
Artis Real Estate Investment Trust	Deferred Units	Jack, Bruce William James	4	2020-10-01	56	8.33		755
Artis Real Estate Investment Trust	Deferred Units	Jack, Bruce William James	4	2020-10-01	56	7.95	62,205	755
Artis Real Estate Investment Trust	Deferred Units	Rodney, Benjamin Ryan	4	2020-10-01	56	7.95	11,936	1,911
Artis Real Estate Investment Trust	Deferred Units	Thielmann, Victor	4	2020-10-01	56	8.33		755
Artis Real Estate Investment Trust	Deferred Units	Thielmann, Victor	4	2020-10-01	56	7.95	12,778	755
Artis Real Estate Investment Trust	Deferred Units	Townsend, Kenneth	4	2020-10-01	57	8.33		755
Artis Real Estate Investment Trust	Deferred Units	Townsend, Kenneth	4	2020-10-01	57	7.95	12,778	755
Artis Real Estate Investment Trust	Deferred Units	Warkentin, Edward	4	2020-10-01	56	8.33		755
Artis Real Estate Investment Trust	Deferred Units	Warkentin, Edward	4	2020-10-01	56	7.95	12,778	755
Artis Real Estate Investment Trust	Deferred Units	Zucker, Lauren	4	2020-10-01	56	8.33		3,553
Artis Real Estate Investment Trust	Deferred Units	Zucker, Lauren	4	2020-10-01	56	7.95	33,746	3,553
Asian Television Network International Limited	Common Shares	CHANDRASEKAR, SUBRAHMAN	4, 5	2020-09-29	10	0.125	17,315,018	10,000
Athabasca Minerals Inc.	Common Shares	Archibald, Dana Forbes	5	2020-09-30	30	0.12	93,084	6,424
Athabasca Minerals Inc.	Common Shares	Beekhuizen, Robet James	5	2020-09-30	30	0.12	539,042	9,549
Athabasca Minerals Inc.	Common Shares	Kutryk, Terrance Edward	4	2020-09-30	30	0.12	148,501	6,667
Athabasca Minerals Inc.	Common Shares	Manning, Neil Donald	4	2020-09-30	30	0.12	512,001	6,667
Athabasca Minerals Inc.	Common Shares	Nolan, Dale	4	2020-09-30	30	0.12	509,768	6,667
Athabasca Minerals Inc.	Common Shares	Paulencu, Donald	4	2020-09-30	30	0.12	1,259,001	8,334
Athabasca Minerals Inc.	Common Shares	Smith, Mark Gregory Edward	5	2020-09-30	30	0.12	147,434	5,209
Athabasca Oil Corporation	Deferred Share Units	Begley, Bryan	4	2020-10-01	56	0.16	1,260,895	81,677
Athabasca Oil Corporation	Deferred Share Units	Ebbern, Thomas William	4	2020-10-01	56	0.16	900,095	71,023
Athabasca Oil Corporation	Deferred Share Units	ECKHARDT, Ronald John	4	2020-10-01	56	0.16	1,673,903	142,047
Athabasca Oil Corporation	Deferred Share Units	Fierro, Carlos Antonio	4	2020-10-01	56	0.16	1,344,484	92,330
Atlantic Power Corporation	Deferred Share Units	Duncan, Richard Foster	4	2020-09-30	56		228,349	8,526
Atlantic Power Corporation	Deferred Share Units	Howell, Kevin	4	2020-09-30	56		170,464	10,421
Atlantic Power Corporation	Deferred Share Units	Mottor, Danielle Stephanie	4	2020-09-30	56		98,466	16,421
Atlantic Power Corporation	Deferred Share Units	Palter, Gilbert Samuel	4	2020-09-30	56		145,984	8,211
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	Cohos, Peter Phillip	4	2020-09-30	56		2,614	787
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	Cohos, Peter Phillip	4	2020-09-30	30		2,653	39
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	DeGasperis, Robert Hans	4	2020-09-30	56		2,652	798

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Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	DeGasperis, Robert Hans	4	2020-09-30	30		2,691	39
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	Grant, Andrew	4	2020-09-30	56		2,045	616
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	Grant, Andrew	4	2020-09-30	30		2,076	31
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	Kagan, Maurice	4	2020-09-30	56		2,840	855
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	Kagan, Maurice	4	2020-09-30	30		2,883	43
Atrium Mortgage Investment Corporation	Common Shares	Lockhart, Nancy	4	2020-09-28	30	11.014	10,551	1,500
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	Lockhart, Nancy	4	2020-09-30	56		2,992	901
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	Lockhart, Nancy	4	2020-09-30	30		3,037	45
Atrium Mortgage Investment Corporation	Deferred Share Units	Lockhart, Nancy	4	2020-09-28	30		2,987	-1,500
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	SILVER, MARK	4	2020-09-30	56		3,126	941
Atrium Mortgage Investment Corporation	Deferred Share Unit Plan 2020	SILVER, MARK	4	2020-09-30	30		3,172	46
ATS Automation Tooling Systems Inc.	Common Shares	ATS Automation Tooling Systems Inc.	1	2020-09-29	38	17.244	3,046	3,046
ATS Automation Tooling Systems Inc.	Common Shares	ATS Automation Tooling Systems Inc.	1	2020-09-29	38	17.244	0	-3,046
ATS Automation Tooling Systems Inc.	Common Shares	ATS Automation Tooling Systems Inc.	1	2020-09-30	38	17.227	5,846	5,846
ATS Automation Tooling Systems Inc.	Common Shares	ATS Automation Tooling Systems Inc.	1	2020-09-30	38	17.227	0	-5,846
ATS Automation Tooling Systems Inc.	Common Shares	Hider, Andrew	5	2020-09-25	51	12.77	193,038	90,938
ATS Automation Tooling Systems Inc.	Options	Hider, Andrew	5	2020-09-25	51	12.77	316,581	-90,938
Aurania Resources Ltd.	Common Shares	Barron, Keith Michael	3, 4, 5	2020-09-25	51	100000		100,000
Aurania Resources Ltd.	Common Shares	Barron, Keith Michael	3, 4, 5	2020-09-25	51	0.6		100,000
Aurania Resources Ltd.	Common Shares	Barron, Keith Michael	3, 4, 5	2020-09-25	51	0.6		100,000
Aurania Resources Ltd.	Common Shares	Barron, Keith Michael	3, 4, 5	2020-09-25	51	2.68	1,556,482	164,000
Aurania Resources Ltd.	Common Shares	Barron, Keith Michael	3, 4, 5	2020-09-25	51	0.6	1,656,482	100,000
Aurania Resources Ltd.	Options	Barron, Keith Michael	3, 4, 5	2020-09-25	51	0.6	350,000	-100,000
Aurania Resources Ltd.	Options	Barron, Keith Michael	3, 4, 5	2020-09-25	51	2.68	186,000	-164,000
Auryn Resources Inc.	Common Shares	Rowa, Stacy Jennifer	5	2020-09-29	51	1.96	113,833	75,000
Auryn Resources Inc.	Common Shares	Rowa, Stacy Jennifer	5	2020-09-29	10	2.7	55,833	-58,000
Auryn Resources Inc.	Common Shares	Rowa, Stacy Jennifer	5	2020-10-02	51	1.96	130,833	75,000
Auryn Resources Inc.	Common Shares	Rowa, Stacy Jennifer	5	2020-10-02	10	2.9	74,833	-56,000
Auryn Resources Inc.	Options	Rowa, Stacy Jennifer	5	2020-09-29	51	1.96	230,000	-75,000
Auryn Resources Inc.	Options	Rowa, Stacy Jennifer	5	2020-10-02	51	1.96	155,000	-75,000

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Automotive Properties Real Estate Investment Trust	Deferred Units	Forbes, Louis Marie	4	2020-09-30	56		25,605	1,557
Automotive Properties Real Estate Investment Trust	Deferred Units	Kay, M Patricia	4	2020-09-30	56		13,641	1,907
Automotive Properties Real Estate Investment Trust	Deferred Units	Lazier, Francis Stuart	4	2020-09-30	56		32,233	1,493
Automotive Properties Real Estate Investment Trust	Deferred Units	Morrison, John Rennie	4	2020-09-30	56		35,950	1,685
Automotive Properties Real Estate Investment Trust	Trust Units	TWC Enterprises Limited	3	2020-09-30	10	9.8936	5,791,357	177,900
Auxico Resources Canada Inc.	Options	HE, Jun	4	2020-09-16	50	0.105	200,000	50,000
AVINO SILVER & GOLD MINES LTD.	Common Shares	Chin, Dorothy	5	2020-09-28	57	1.41	63,999	1,666
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Chin, Dorothy	5	2020-09-28	57	1.41	207,000	-1,666
AVINO SILVER & GOLD MINES LTD.	Common Shares	Harte, Nathan	5	2020-09-28	57	1.41	38,875	625
AVINO SILVER & GOLD MINES LTD.	Rights Restricted Share Units	Harte, Nathan	5	2020-09-28	57	1.41	198,500	-625
AVINO SILVER & GOLD MINES LTD.	Rights Restricted Share Units	Harte, Nathan	5	2020-09-28	57	1.41	197,875	-625
AVINO SILVER & GOLD MINES LTD.	Common Shares	Robertson, Gary Ralph	4	2020-09-28	57	1.41	303,462	3,334
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Robertson, Gary Ralph	4	2020-09-28	57	1.41		-3,334
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Robertson, Gary Ralph	4	2020-09-28	57	1.41		-3,334
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Robertson, Gary Ralph	4	2020-09-28	57	1.41		3,334
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Robertson, Gary Ralph	4	2020-09-28	57	1.41		3,334
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Robertson, Gary Ralph	4	2020-09-28	57	1.41	175,000	-3,334
AVINO SILVER & GOLD MINES LTD.	Common Shares	Rodriguez Moreno, Jose Carlos	5	2020-09-28	57	1.41	416,500	2,500
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Rodriguez Moreno, Jose Carlos	5	2020-09-28	57	1.41	442,000	-2,500
AVINO SILVER & GOLD MINES LTD.	Common Shares	Wolfin, David	4	2020-09-28	57	1.41	2,018,701	4,334
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Wolfin, David	4	2020-09-28	57	1.41	875,000	-4,334
AVINO SILVER & GOLD MINES LTD.	Common Shares	Yee, Jasman	4	2020-09-28	57	1.41	356,520	2,500
AVINO SILVER & GOLD MINES LTD.	Restricted Share Units	Yee, Jasman	4	2020-09-28	57	1.41	147,000	-2,500
Ballard Power Systems Inc.	Common Shares	Guglielmin, Anthony Robert	5	2020-09-29	10	21.571	31,379	-25,000
Ballard Power Systems Inc.	Units Deferred Share Units	Hayhurst, Douglas Palmer	4	2020-09-30	56	20.12	209,803	776
Ballard Power Systems Inc.	Units Deferred Share Units	Le, Duy-Loan	4	2020-09-30	56	20.12	35,220	1,366
Ballard Power Systems Inc.	Units Deferred Share Units	Neese, Marty Tucker	4	2020-09-30	56	20.12	68,445	729
Ballard Power Systems Inc.	Units Deferred Share Units	Roche, James Norman	4	2020-09-30	56	20.12	81,208	931
Ballard Power Systems Inc.	Units Deferred Share Units	Sutcliffe, Ian Douglas	4	2020-09-30	56	20.12	99,299	683
Ballard Power Systems Inc.	Units Deferred Share Units	Woodruff, Janet Patricia	4	2020-09-30	56	20.12	32,743	776
Bank of Nova Scotia, The	Common Shares	Viswanathan, Rajagopal	5	2020-09-28	51	55.63	16,811	2,312

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Bank of Nova Scotia, The	Common Shares	Viswanathan, Rajagopal	5	2020-09-28	51	49.93	18,083	1,272
Bank of Nova Scotia, The	Options	Viswanathan, Rajagopal	5	2020-09-28	51	55.63	76,970	-2,312
Bank of Nova Scotia, The	Options	Viswanathan, Rajagopal	5	2020-09-28	51	49.93	75,698	-1,272
Barrick Gold Corporation	Common Shares	Steele, John	5	2020-05-15	10	27.936	35,643	-150,000
Baylin Technologies Inc.	Deferred Share Units	Davis, Janice	4	2020-10-01	56	0.97	28,421	2,572
Baylin Technologies Inc.	Deferred Share Units	Reiter, Barry	4	2020-10-01	56	0.97	144,850	4,287
Baylin Technologies Inc.	Deferred Share Units	Saska, David	4	2020-10-01	56	0.97	32,765	2,572
Baylin Technologies Inc.	Deferred Share Units	SIMMONDS, DONALD E.	4	2020-10-01	56	0.97	92,128	2,572
Baylin Technologies Inc.	Deferred Share Units	Wolkin, Harold Morton	4	2020-10-01	56	0.97	152,697	3,429
Baytex Energy Corp.	Common Shares	Maki, Jennifer	4	2020-09-29	10	0.46	107,600	32,600
Bear Creek Mining Corporation	Common Shares	Tweddle, Frank R.	4	2020-09-29	10	2.95	64,917	-5,000
Belgravia Hartford Capital Inc. (formerly Belgravia Capital International Inc.)	Options	angelo, Jr., ernest	4	2020-10-01	50	0.05	2,180,000	2,180,000
Belgravia Hartford Capital Inc. (formerly Belgravia Capital International Inc.)	Options	Azodi, Mehdi	5	2020-10-01	50	0.05	7,240,000	7,240,000
Belgravia Hartford Capital Inc. (formerly Belgravia Capital International Inc.)	Options	Lee, Knute H., Jr.	4	2020-10-01	50	0.05	2,180,000	2,180,000
Belgravia Hartford Capital Inc. (formerly Belgravia Capital International Inc.)	Options	Pettigrew, Pierre Stewart	4	2020-10-01	50	0.05	2,400,000	2,400,000
Belgravia Hartford Capital Inc. (formerly Belgravia Capital International Inc.)	Options	Siblock, Deena Marie	4, 5	2020-01-06	00			
Belgravia Hartford Capital Inc. (formerly Belgravia Capital International Inc.)	Options	Siblock, Deena Marie	4, 5	2020-10-01	50	0.05	5,000,000	5,000,000
Belgravia Hartford Capital Inc. (formerly Belgravia Capital International Inc.)	Options	Stubbs, John	4	2020-10-01	50	0.05	2,500,000	2,500,000
Benchmark Botanics Inc.	Common Shares	Stowell, Claude Clifford	4	2020-09-23	10	0.21	623,100	-13,500
Bespoke Capital Acquisition Corp.	Common Shares	Funnell, Sarah	3	2020-09-30	00		3,967,015	
BevCanna Enterprises Inc.	Common Shares	Ciambrelli, Martino	5	2020-09-24	59	0.24	740,000	-13,000
BevCanna Enterprises Inc.	Common Shares	Ciambrelli, Martino	5	2020-09-24	59	0.25	727,500	-12,500
BevCanna Enterprises Inc.	Common Shares	Ciambrelli, Martino	5	2020-09-28	59	0.26	713,000	-14,500
BevCanna Enterprises Inc.	Common Shares	Leone, Marcello	3, 4, 5	2020-09-28	10	0.215	2,025,050	20,000
BevCanna Enterprises Inc.	Common Shares	Leone, Marcello	3, 4, 5	2020-09-25	10	0.26	7,928,161	-400,000
Big Rock Brewery Inc.	Common Shares	Noone, Patrick Donnell	4	2020-09-28	10	3.3	1,886,800	4,800
Big Rock Brewery Inc.	Common Shares	Noone, Patrick Donnell	4	2020-09-29	10	3.48	1,891,800	5,000
BIGG Digital Assets Inc.	Common Shares	Binns, Mark Andrew	4	2020-10-02	10	0.1783	1,195,000	12,000

**Insider Reporting**

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Birchcliff Energy Ltd.	Preferred Shares Series C (Cumulative Redeemable)	Birchcliff Energy Ltd.	1	2005-01-18	00			
Birchcliff Energy Ltd.	Preferred Shares Series C (Cumulative Redeemable)	Birchcliff Energy Ltd.	1	2020-09-30	38	25	1,000	1,000
Birchcliff Energy Ltd.	Preferred Shares Series C (Cumulative Redeemable)	Birchcliff Energy Ltd.	1	2020-09-30	38		0	-1,000
Bird Construction Inc.	Deferred Share Units	Bird, John Richard	4	2020-09-30	56		30,280	3,214
Bird Construction Inc.	Deferred Share Units	Brooks, Karyn Anne	4	2020-09-30	56		65,869	3,213
Bird Construction Inc.	Deferred Share Units	Charette, Paul Alphonse	4	2020-09-30	56		51,433	5,431
Bird Construction Inc.	Deferred Share Units	Doyle, Donald Gregory	4	2020-09-30	56		120,339	7,151
Bird Construction Inc.	Deferred Share Units	DuPont, Bonnie Dianne Rose	4	2020-09-30	56		77,066	3,575
Bird Construction Inc.	Deferred Share Units	Messier, Luc Jean-Francois	4	2020-09-30	56		71,372	6,427
Bird Construction Inc.	Deferred Share Units	Munkley, Ronald David	4	2020-09-30	56		95,805	3,213
Bird Construction Inc.	Deferred Share Units	Raboud, Paul Robert	4	2020-09-30	56		27,481	2,942
Bird Construction Inc.	Deferred Share Units	Thorsteinson, Arni Clayton	4	2020-09-30	56		103,590	6,427
Black Diamond Group Limited	Common Shares	Redmond, Edward John	5	2020-10-01	57		185,383	13,333
Black Diamond Group Limited	Rights RSU	Redmond, Edward John	5	2020-10-01	57	1.5	56,667	-13,333
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Capelli, Steven	5	2020-09-25	57		466,008	269,300
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Capelli, Steven	5	2020-09-25	10	4.6806	324,509	-141,499
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Capelli, Steven	5	2020-09-29	57		391,146	66,637
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Capelli, Steven	5	2020-09-29	10	4.6731	357,043	-34,103
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Capelli, Steven	5	2020-09-30	30	3.9	10,000	2,500
BlackBerry Limited (formerly Research In Motion Limited)	Performance Restricted Share Units	Capelli, Steven	5	2020-09-29	57		403,948	-44,276
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Capelli, Steven	5	2020-09-25	57		294,229	-269,300
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Capelli, Steven	5	2020-09-29	57		271,868	-22,361
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Cook, Randall	5	2020-09-25	57		22,537	11,969
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Cook, Randall	5	2020-09-25	10	4.6806	16,496	-6,041
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Cook, Randall	5	2020-09-30	30	3.9	3,439	1,188

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Cook, Randall	5	2020-09-25	57		60,871	-11,969
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Dickman, Marjorie Jill	5	2020-03-31	00			
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Dickman, Marjorie Jill	5	2020-09-30	30	3.9	2,500	2,500
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Ho, Sai Yuen (Billy)	5	2020-09-25	57		208,554	22,442
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Ho, Sai Yuen (Billy)	5	2020-09-25	10	4.6806	200,324	-8,230
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Ho, Sai Yuen (Billy)	5	2020-09-29	57		235,864	35,540
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Ho, Sai Yuen (Billy)	5	2020-09-29	10	4.6731	223,170	-12,694
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Ho, Sai Yuen (Billy)	5	2020-09-30	30	3.9	7,500	2,500
BlackBerry Limited (formerly Research In Motion Limited)	Performance Restricted Share Units	Ho, Sai Yuen (Billy)	5	2020-09-29	57			-23,614
BlackBerry Limited (formerly Research In Motion Limited)	Performance Restricted Share Units	Ho, Sai Yuen (Billy)	5	2020-09-29	57			-23,614
BlackBerry Limited (formerly Research In Motion Limited)	Performance Restricted Share Units	Ho, Sai Yuen (Billy)	5	2020-09-29	57		100,986	-23,614
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Ho, Sai Yuen (Billy)	5	2020-09-25	57		81,430	-22,442
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Ho, Sai Yuen (Billy)	5	2020-09-29	57		69,504	-11,926
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Rai, Steve	5	2020-09-25	57		33,362	26,930
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Rai, Steve	5	2020-09-25	10	6.243	18,107	-15,255
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Rai, Steve	5	2020-09-29	57		22,549	4,442
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Rai, Steve	5	2020-09-29	10	6.2535	20,064	-2,485
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Rai, Steve	5	2020-09-30	30	6.11	12,094	2,544
BlackBerry Limited (formerly Research In Motion Limited)	Performance Restricted Share Units	Rai, Steve	5	2020-09-29	57		130,538	-2,952
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Rai, Steve	5	2020-09-25	57		63,200	-26,930
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Rai, Steve	5	2020-09-29	57		61,710	-1,490
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	White-Ivy, Nita	5	2020-09-25	57		213,944	14,961
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	White-Ivy, Nita	5	2020-09-25	10	4.6806	206,077	-7,867

**Insider Reporting**

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BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	White-Ivy, Nita	5	2020-09-29	57		223,847	17,770
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	White-Ivy, Nita	5	2020-09-29	10	4.6731	214,743	-9,104
BlackBerry Limited (formerly Research In Motion Limited)	Performance Restricted Share Units	White-Ivy, Nita	5	2020-09-29	57		67,324	-11,807
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	White-Ivy, Nita	5	2020-09-25	57		55,891	-14,961
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	White-Ivy, Nita	5	2020-09-29	57		49,928	-5,963
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Wilson, Mark George	5	2020-09-25	57		111,738	13,465
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Wilson, Mark George	5	2020-09-25	10	4.6806	104,657	-7,081
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Wilson, Mark George	5	2020-09-29	57		109,099	4,442
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Wilson, Mark George	5	2020-09-29	10	4.6731	106,813	-2,286
BlackBerry Limited (formerly Research In Motion Limited)	Common Shares	Wilson, Mark George	5	2020-09-30	30	3.9	12,283	2,500
BlackBerry Limited (formerly Research In Motion Limited)	Performance Restricted Share Units	Wilson, Mark George	5	2020-09-29	57		74,574	-2,952
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Wilson, Mark George	5	2020-09-25	57		49,331	-13,465
BlackBerry Limited (formerly Research In Motion Limited)	Restricted Share Units	Wilson, Mark George	5	2020-09-29	57		47,841	-1,490
BlockchainK2 Corp. (formerly Africa Hydrocarbons Inc.)	Common Shares	Sangha, Steven	4	2020-09-24	00		1,027,500	
Blueberries Medical Corp.	Common Shares	Garreton, Facundo	4	2020-10-01	10	0.036	2,395,897	20,120
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-01	38	9.69		1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-01	38	9.69		1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-01	38	9.69	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-01	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-04	38	9.45	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-04	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-08	38	9.43	900	900
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-08	38		0	-900
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-08	38	9.43	100	100
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-08	38		0	-100
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-09	38	9.25	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-09	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-11	38	9.21	100	100
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-11	38		0	-100
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-16	38	9.43	600	600
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-16	38		0	-600
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-18	38	9.43	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-18	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-21	38	9.43	100	100
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-21	38		0	-100
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-22	38	9.45	300	300

**Insider Reporting**

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BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-22	38		0	-300
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-24	38	9.54	9,600	9,600
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-24	38		0	-9,600
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-25	38	9.29	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-25	38		0	-1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-28	38	9.19	800	800
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-28	38		0	-800
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-29	38	9.18	1,000	1,000
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	2020-09-29	38		0	-1,000
Bolt Metals Corp.	Common Shares	Clark, James Garnet (Garry)	4	2020-09-24	37		1,442	-7,933
Bolt Metals Corp.	Options	Clark, James Garnet (Garry)	4	2020-09-24	37		61,538	-338,462
Bolt Metals Corp.	Options	Fielding, Geoffrey Baille	4	2020-09-24	37		23,076	-126,924
Bolt Metals Corp.	Common Shares	Sundher, Ranjeet	4	2020-09-24	37		183,769	-1,010,731
Bolt Metals Corp.	Options	Sundher, Ranjeet	4	2020-09-24	37		76,923	-423,077
Bolt Metals Corp.	Common Shares	Vanry, Steven Edward	4, 5	2020-09-24	37			-270,770
Bolt Metals Corp.	Common Shares	Vanry, Steven Edward	4, 5	2020-09-24	37		49,230	-270,770
Bolt Metals Corp.	Common Shares	Vanry, Steven Edward	4, 5	2020-09-24	37		33,348	-181,469
Bolt Metals Corp.	Options	Vanry, Steven Edward	4, 5	2020-09-24	37		85,576	-470,674
Boralex Inc.	Unités d'action liées au rendement / Performance share units	Arsenault, Marie-Josée	5	2020-06-15	35		2,403	14
Boralex Inc.	Unités d'action liées au rendement / Performance share units	Arsenault, Marie-Josée	5	2020-09-16	35		2,415	12
Boralex Inc.	Unités d'actions différées	Courville, André	4	2020-09-16	35		1,871	9
Boralex Inc.	Unités d'actions différées	Courville, André	4	2020-09-30	56		2,386	515
Boralex Inc.	Unités d'actions différées	Croteau, Lise	4	2020-09-16	35		3,133	15
Boralex Inc.	Unités d'actions différées	Croteau, Lise	4	2020-09-30	56		3,390	257
Boralex Inc.	Unités d'action liées au rendement / Performance share units	Cusson, Julie	5	2020-06-15	35		2,645	15
Boralex Inc.	Unités d'action liées au rendement / Performance share units	Cusson, Julie	5	2020-09-16	35		2,658	13
Boralex Inc.	Unités d'action liées au rendement / Performance share units	D'Aoust, Guy	5	2020-06-15	35		2,575	15
Boralex Inc.	Unités d'action liées au rendement / Performance share units	D'Aoust, Guy	5	2020-09-16	35		2,588	13
Boralex Inc.	Unités d'action liées au rendement / Performance share units	Decostre, Patrick	7, 5	2020-06-15	35		12,167	71
Boralex Inc.	Unités d'action liées au rendement / Performance share units	Decostre, Patrick	7, 5	2020-09-16	35		12,226	59

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Borex Inc.	Unités d'actions différées	Deschamps, Ghyslain	4	2020-09-16	35		3,133	15
Borex Inc.	Unités d'actions différées	Deschamps, Ghyslain	4	2020-09-30	56		3,390	257
Borex Inc.	Unités d'actions différées	Dumas, Marie-Claude	4	2020-09-16	35		1,416	7
Borex Inc.	Unités d'actions différées	Dumas, Marie-Claude	4	2020-09-30	56		1,673	257
Borex Inc.	Unités d'actions différées	Giguère, Marie	4	2020-09-16	35		4,288	21
Borex Inc.	Unités d'actions différées	Giguère, Marie	4	2020-09-30	56		4,547	259
Borex Inc.	Unités d'action liées au rendement / Performance share units	Girardin, Hugues	5	2020-06-15	35		7,949	46
Borex Inc.	Unités d'action liées au rendement / Performance share units	Girardin, Hugues	5	2020-09-16	35		7,988	39
Borex Inc.	Unités d'action liées au rendement / Performance share units	Guilmette, Bruno	5	2020-06-15	35		7,531	44
Borex Inc.	Unités d'action liées au rendement / Performance share units	Guilmette, Bruno	5	2020-09-16	35		7,568	37
Borex Inc.	Unités d'action liées au rendement / Performance share units	Hurtubise, Pascal	5	2020-06-15	35		7,506	44
Borex Inc.	Unités d'action liées au rendement / Performance share units	Hurtubise, Pascal	5	2020-09-16	35		7,542	36
Borex Inc.	Unités d'actions différées	Kernaghan, Edward Hume	4	2020-09-16	35		4,771	23
Borex Inc.	Unités d'action liées au rendement / Performance share units	Lemaire, Patrick	4, 7, 5	2020-06-15	35		28,204	165
Borex Inc.	Unités d'action liées au rendement / Performance share units	Lemaire, Patrick	4, 7, 5	2020-09-16	35		28,342	138
Borex Inc.	Unités d'actions différées	Lemaire, Patrick	4, 7, 5	2020-09-16	35		10,020	49
Borex Inc.	Unités d'actions différées	Lemaire, Patrick	4, 7, 5	2020-09-30	56		10,149	129
Borex Inc.	Unités d'actions différées	Rheault, Yves	4	2020-09-16	35		3,521	17
Borex Inc.	Unités d'actions différées	Rheaume, Alain	4	2020-09-16	35		17,487	85
Borex Inc.	Unités d'actions différées	Rheaume, Alain	4	2020-09-30	56		18,122	635
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	2020-09-16	35			16
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	2020-09-16	35			16
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	2020-09-16	35		5,559	27

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	2020-09-30	56			257
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	2020-09-30	56			257
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	2020-09-30	56		5,816	257
Borex Inc.	Unités d'action liées au rendement / Performance share units	Wolff, Nicolas	5	2020-06-15	35		5,387	31
Borex Inc.	Unités d'action liées au rendement / Performance share units	Wolff, Nicolas	5	2020-09-16	35		5,414	27
Braveheart Resources Inc.	Common Shares	Matlock, Aaron	4, 5	2020-09-25	10	0.075	7,061,001	5,000
Braveheart Resources Inc.	Common Shares	Vinck, Dwayne Albert	5	2020-10-01	10	0.07	837,500	50,000
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Lawson, Brian	6, 5	2020-09-28	10	33.69	1,013,306	25,000
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Lawson, Brian	6, 5	2020-09-28	11	33.467	1,062,459	49,153
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Lawson, Brian	6, 5	2020-09-28	11	33.467	1,013,306	-49,153
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Lawson, Brian	6, 5	2020-09-29	10	33.08	1,038,306	25,000
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Lawson, Brian	6, 5	2020-09-28	11		3,600,000	-150,000
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Lawson, Brian	6, 5	2020-09-28	11		1,197,226	49,153
Brookfield Renewable Partners L.P.	Options	Deschatelets, Julian	7	2020-09-29	59		19,875	-3,625
Brookfield Renewable Partners L.P.	Options	Di Cesare, Walter	7	2020-09-30	59		25,747	-1,115
Brookfield Renewable Partners L.P.	Options	Di Cesare, Walter	7	2020-09-30	59		24,632	-1,115
BSR Real Estate Investment Trust	Trust Units	Bailey, John Stanley	3, 4, 5	2020-09-29	10	9.9159	904,250	43,750
BSR Real Estate Investment Trust	Deferred Units	Halter, William Amos	4	2020-09-30	56		21,207	1,909
BSR Real Estate Investment Trust	Deferred Units	Held, Bryan Henry	4	2020-09-30	56		24,011	2,259
BSR Real Estate Investment Trust	Deferred Units	Hughes, Jr., William Daniel	4	2020-09-30	56		21,374	1,909
BSR Real Estate Investment Trust	Deferred Units	Labatte, Neil Joseph	4	2020-09-30	56		37,725	3,628
BSR Real Estate Investment Trust	Deferred Units	Senst, Graham David	4	2020-09-30	56		28,030	2,546
BSR Real Estate Investment Trust	Deferred Units	Wademan, Elizabeth Anne	4	2020-09-30	56		27,297	2,546
BTB Real Estate Investment Trust	Parts différées	Ducharme, Lucie	4	2020-09-30	46	2.82	41,344	3,102
BTB Real Estate Investment Trust	Parts différées	Ducharme, Lucie	4	2020-09-30	35	2.82	42,288	944
BTB Real Estate Investment Trust	Parts différées	Janson, Jean-Pierre	4	2020-09-30	35	2.82	11,345	274
BTB Real Estate Investment Trust	Parts différées	Lachapelle, Luc	4, 5	2020-09-30	35	2.82	4,306	103
BTB Real Estate Investment Trust	Parts différées	Polatos, Peter	4	2020-09-30	35	2.82	2,836	69

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BTB Real Estate Investment Trust	Parts diffOrOes	Proteau, Jocelyn	4	2020-09-30	35	2.82	22,690	547
Cabral Gold Inc.	Common Shares	Phoenix Gold Fund Limited	3	2020-09-25	10	0.6428	11,166,666	-500,000
Cabral Gold Inc.	Common Shares	Phoenix Gold Fund Limited	3	2020-09-30	10	0.6789	10,166,666	-1,000,000
Cache Exploration Inc.	Common Shares	Bal, Jatinder Singh	4	2020-08-11	16	0.07		20,000,000
Cache Exploration Inc.	Common Shares	Bal, Jatinder Singh	4	2020-08-11	16	0.07	2,059,786	2,000,000
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Davies, Michael Monier	5	2020-09-28	36		65,000	40,000
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Davies, Michael Monier	5	2020-09-28	36		0	-40,000
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Davies, Michael Monier	5	2020-09-28	36	2.75	65,000	40,000
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	De La Campa, Miguel Angel	4	2020-09-28	36		178,889	88,889
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	De La Campa, Miguel Angel	4	2020-09-28	36		0	-88,889
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	De La Campa, Miguel Angel	4	2020-09-28	36	2.75	153,889	88,889
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Gran Colombia Gold Corp.	3	2020-09-28	36		53,435,989	8,888,889
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Gran Colombia Gold Corp.	3	2020-09-28	36		0	-8,888,889
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Gran Colombia Gold Corp.	3	2020-09-28	36	2.75	16,388,889	8,888,889
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Iacono, Serafino	5	2020-02-24	00		250,000	
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Iacono, Serafino	5	2020-09-28	36		438,555	188,555
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Iacono, Serafino	5	2020-02-24	00			
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Iacono, Serafino	5	2020-09-28	36			188,555
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Iacono, Serafino	5	2020-09-28	36			188,555
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Iacono, Serafino	5	2020-09-28	36			-188,555
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Iacono, Serafino	5	2020-09-28	36			-188,555
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Iacono, Serafino	5	2020-09-28	36			-188,555
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Iacono, Serafino	5	2020-09-28	36			-188,555
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Iacono, Serafino	5	2020-09-28	36		0	-188,555
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Iacono, Serafino	5	2020-09-28	36	2.75	438,555	188,555

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Iacono, Serafino	5	2020-02-24	00			
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Iacono, Serafino	5	2020-02-24	00		250,000	
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Martinez, Hernan	4	2020-09-28	36		834,600	600,000
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Martinez, Hernan	4	2020-09-28	36		0	-600,000
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Martinez, Hernan	4	2020-02-24	00			
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Martinez, Hernan	4	2020-09-28	36	2.75	600,000	600,000
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Common Shares	Perez, Jaime	6	2020-09-28	36		22,222	22,222
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Special Warrants	Perez, Jaime	6	2020-09-28	36		0	-22,222
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Perez, Jaime	6	2020-02-24	00			
Caldas Gold Corp. (formerly Bluenose Gold Corp.)	Warrants	Perez, Jaime	6	2020-09-28	36	2.75	22,222	22,222
Calian Group Ltd.	Common Shares	Basler, Raymond Gregory	4	2020-09-29	10	67.3	31,000	-800
Calian Group Ltd.	Common Shares	Basler, Raymond Gregory	4	2020-09-30	10	67.43	30,600	-400
Calian Group Ltd.	Common Shares	Gauthier, Jacqueline	5	2020-09-28	10	65	9,187	-1,548
Calian Group Ltd.	Common Shares	loeb, kenneth jeffrey	4	2020-09-28	51	29.55	7,506	1,300
Calian Group Ltd.	Common Shares	loeb, kenneth jeffrey	4	2020-09-28	10	64.25	6,206	-1,300
Calian Group Ltd.	Options	loeb, kenneth jeffrey	4	2020-09-28	51	29.55	10,000	-1,300
Canaccord Genuity Group Inc.	Rights Deferred Share Units (DSUs)	Bralver, Charles Norman	4	2020-09-30	56	6.5984	96,934	3,410
Canaccord Genuity Group Inc.	Rights Deferred Share Units (DSUs)	Harris, Michael Deane	4	2020-09-30	56	6.5984	189,443	3,410
Canaccord Genuity Group Inc.	Rights Deferred Share Units (DSUs)	Jones, Merri	4	2020-09-30	56	6.5984	25,044	3,410
Canaccord Genuity Group Inc.	Rights Deferred Share Units (DSUs)	Lyons, Terrence	4	2020-09-30	56	6.5984	110,990	3,410
Canaccord Genuity Group Inc.	Rights Deferred Share Units (DSUs)	Rosenfeld, Eric Stuart	4	2020-08-06	00			
Canaccord Genuity Group Inc.	Rights Deferred Share Units (DSUs)	Rosenfeld, Eric Stuart	4	2020-09-30	56	6.5984	2,076	2,076
Canaccord Genuity Group Inc.	Rights Deferred Share Units (DSUs)	Shah, Dipesh Jayantilal	4	2020-09-30	56	6.5984	84,361	3,410
Canaccord Genuity Group Inc.	Rights Deferred Share Units (DSUs)	Tennant, Sally Jennifer	4	2020-09-30	56	6.5984	20,431	3,410
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-10	38	3.545	94,589	10,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-11	38	3.5442	124,589	30,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-14	38	3.5383	154,589	30,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-15	38	3.5389	184,589	30,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-16	38	3.5727	214,589	30,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-17	38	3.4988	260,589	46,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-18	38	3.4948	306,589	46,000

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Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-21	38	3.4423	346,589	40,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-22	38	3.4775	386,589	40,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-23	38	3.3913	426,589	40,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-24	38	3.4756	466,589	40,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-25	38	3.5269	506,589	40,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-28	38	3.5713	546,589	40,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-29	38	3.4706	586,589	40,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-30	38	3.5185	626,589	40,000
Canacol Energy Ltd.	Common Shares	Canacol Energy Ltd.	1	2020-09-30	38		164,589	-462,000
Canada Goose Holdings Inc.	Subordinate Voting Shares	Mihaljevic, Ana	5	2020-09-30	10	42	40,187	-5,000
Canadian Metals Inc.	Common Shares	Rougerie, Yves	4	2020-09-25	00			
Canadian Metals Inc.	Common Shares	Rougerie, Yves	4	2020-09-29	10	0.1	33,000	33,000
Canadian Metals Inc.	Common Shares	Rougerie, Yves	4	2020-09-30	10	0.105	50,000	17,000
Canadian Natural Resources Limited	Common Shares	Cannon, Margaret Elizabeth	4	2020-10-01	46	20.959	3,667	1,000
Canadian Natural Resources Limited	DSUs	Fong, Christopher Lee	4	2020-10-01	46	20.959	12,284	1,000
Canadian Natural Resources Limited	Common Shares	Giffin, Gordon D.	4	2020-10-01	46	20.959	83,615	1,000
Canadian Natural Resources Limited	Common Shares	Gobert, Wilfred Arthur	4	2020-10-01	90		0	-15,500
Canadian Natural Resources Limited	Common Shares	Gobert, Wilfred Arthur	4	2020-10-01	90		61,697	15,500
Canadian Natural Resources Limited	Common Shares	Gobert, Wilfred Arthur	4	2020-10-01	46	20.959	1,000	1,000
Canadian Natural Resources Limited	Common Shares	Laut, Stephen W.	4	2020-10-01	10	20.959	2,383,612	1,000
Canadian Natural Resources Limited	DSU	McKenna, Frank	4	2020-10-01	46	20.959	52,261	1,000
Canadian Natural Resources Limited	Common Shares	Stagg, Kendall W.	5	2020-09-29	10	21.39	63,615	7,000
Canadian Natural Resources Limited	Common Shares	Tuer, David	4	2020-10-01	46	20.959	90,508	1,000
Canadian Natural Resources Limited	DSUs	Verschuren, Annette Marie	4	2020-10-01	46	20.959	12,284	1,000
Canadian Overseas Petroleum Limited	Options	Astor, William Waldorf	4	2020-09-29	50	0.003	34,710,553	26,409,553
Canadian Overseas Petroleum Limited	Options	Carello, Massimo	4	2020-09-29	50	0.003	30,540,624	22,239,624
Canadian Overseas Petroleum Limited	Options	Christensen, Rodney Jay	5	2020-09-29	50	0.003	17,119,812	11,119,812
Canadian Overseas Petroleum Limited	Options	Cowan, John Frederick	4	2020-09-29	50	0.003	35,415,530	27,799,530
Canadian Overseas Petroleum Limited	Options	Gaffney, Ryan Thomas	5	2020-07-02	00			
Canadian Overseas Petroleum Limited	Options	Gaffney, Ryan Thomas	5	2020-09-29	50	0.003	27,239,624	27,239,624
Canadian Overseas Petroleum Limited	Options	Ludwig, Harald Horst	4	2020-09-29	50	0.003	56,397,341	38,919,341
Canadian Overseas Petroleum Limited	Options	Mays, Richard Paul	5	2020-09-29	50	0.003	17,119,812	11,119,812
Canadian Overseas Petroleum Limited	Options	Millholland, Arthur Sherman	4, 5	2020-09-29	50	0.003	153,808,824	124,498,824
Canadian Pacific Railway Limited	Rights DSU	Baird, John Russell	4	2020-09-30	56	400.3	5,900	167
Canadian Pacific Railway Limited	Rights DSU	Courville, Isabelle	4	2020-09-30	56	400.3	9,763	329
Canadian Pacific Railway Limited	Rights DSU	Denham, Gillian H. (Jill)	4	2020-09-30	56	400.3	4,116	167
Canadian Pacific Railway Limited	Rights DSU	Hamberger, Edward	4	2020-09-30	56	301.02	995	166
Canadian Pacific Railway Limited	Rights DSU	MACDONALD, REBECCA	4	2020-09-30	56	400.3	12,416	192

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Canadian Pacific Railway Limited	Rights DSU	Monser, Edward Lomax	4	2020-09-30	56	301.02	1,535	166
Canadian Pacific Railway Limited	Rights DSU	Paull, Matthew	4	2020-09-30	56	301.02	6,407	191
Canadian Pacific Railway Limited	Rights DSU	Peperett, Jane Leslie	4	2020-09-30	56	400.3	4,261	192
Canadian Pacific Railway Limited	Rights DSU	Robertson, Andrea	4	2020-09-30	56	400.3	993	167
Canadian Pacific Railway Limited	Rights DSU	Trafton II, Gordon T.	4	2020-09-30	56	301.02	4,220	191
Canadian Tire Corporation, Limited	Deferred Share Units	Anderson, Eric Thomas	4	2020-09-30	56	131.1	4,216	86
Canadian Tire Corporation, Limited	Deferred Share Units	Connolly, Patrick Joseph	4	2020-09-30	56	131.1	3,082	280
Canadian Tire Corporation, Limited	Deferred Share Units	Court, David	4	2020-09-30	56	131.1	3,433	425
Canadian Tire Corporation, Limited	Deferred Share Units	Furlong, John Aloysius Francis	4	2020-09-30	56	131.1	8,098	174
Canadian Tire Corporation, Limited	Deferred Share Units	Jaskolka, Norman	4	2020-09-30	56	131.1	3,490	397
Canadian Tire Corporation, Limited	Deferred Share Units	Murray, Donald Allan	4	2020-09-30	56	131.1	5,088	364
Canadian Tire Corporation, Limited	Deferred Share Units	Owens, J. Michael	4	2020-09-30	56	131.1	191	116
Canadian Tire Corporation, Limited	Deferred Share Units	Trudell, Cynthia Mary	4	2020-09-30	56	131.1	4,008	638
Cannara Biotech Inc. (formerly, Dunbar Capital Corp.)	Common Shares	Ryer, Lennie	5	2020-10-01	10	0.085	441,078	8,000
Canoe Mining Ventures Corp.	Common Shares	Kelly, Scott	4	2020-09-23	10	0.16	1,612,300	100,000
Canoe Mining Ventures Corp.	Common Shares	Kelly, Scott	4	2020-09-25	10	0.15	1,653,800	41,500
Canopy Rivers Inc.	Subordinated Voting Shares	Canopy Rivers Inc.	1	2020-09-30	38	0.05	866,667	866,667
Canopy Rivers Inc.	Subordinated Voting Shares	Canopy Rivers Inc.	1	2020-09-30	38		0	-866,667
Canso Select Opportunities Corporation	Multiple Voting Shares Class A	Carney, Brian	5	2020-09-29	10	2.25		100
Canso Select Opportunities Corporation	Multiple Voting Shares Class A	Carney, Brian	5	2020-09-29	10	2.25		100
Canso Select Opportunities Corporation	Multiple Voting Shares Class A	Carney, Brian	5	2020-09-29	10	2.25	500	100
Canso Select Opportunities Corporation	Subordinate Voting Shares Class B	Carney, Brian	5	2018-04-09	00			
Canso Select Opportunities Corporation	Subordinate Voting Shares Class B	Carney, Brian	5	2020-09-29	10	2.15	100	100
Canso Select Opportunities Corporation	Multiple Voting Shares Class A	Carswell, John Paul	3, 4	2020-09-29	10	2.25	5,169	500
Canso Select Opportunities Corporation	Subordinate Voting Shares Class B	Carswell, John Paul	3, 4	2018-04-09	00			
Canso Select Opportunities Corporation	Subordinate Voting Shares Class B	Carswell, John Paul	3, 4	2020-09-29	10	2.15	600	600
Canso Select Opportunities Corporation	Multiple Voting Shares Class A	Mason-Wood, Heather Elizabeth	7	2020-09-29	10	2.25	500	100
Canso Select Opportunities Corporation	Subordinate Voting Shares Class B	Mason-Wood, Heather Elizabeth	7	2018-09-04	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canso Select Opportunities Corporation	Subordinate Voting Shares Class B	Mason-Wood, Heather Elizabeth	7	2020-09-29	10	2.15	100	100
Canso Select Opportunities Corporation	Multiple Voting Shares Class A	Sumsion, Shirley	5	2020-09-29	10	2.25	500	100
Canso Select Opportunities Corporation	Subordinate Voting Shares Class B	Sumsion, Shirley	5	2018-04-09	00			
Canso Select Opportunities Corporation	Subordinate Voting Shares Class B	Sumsion, Shirley	5	2020-09-29	10	2.15	100	100
Canstar Resources Inc.	Common Shares	Kwong, Frances	6	2020-10-01	00			
Canstar Resources Inc.	Common Shares	Kwong, Frances	6	2020-10-01	16	0.1575	100,000	100,000
Capstone Mining Corp.	Common Shares	Peniuk, Dale Canfield	4	2020-09-30	30	0.33	149,018	80,000
Capstone Mining Corp.	Common Shares	Peniuk, Dale Canfield	4	2020-09-30	10	1.44	112,277	-36,741
Capstone Mining Corp.	Common Shares	Peniuk, Dale Canfield	4	2020-09-30	30	0.33	192,277	80,000
Capstone Mining Corp.	Common Shares	Peniuk, Dale Canfield	4	2020-09-30	10	1.44	155,665	-36,612
Capstone Mining Corp.	Common Shares	Peniuk, Dale Canfield	4	2020-09-30	30	0.33	227,575	71,910
Capstone Mining Corp.	Common Shares	Peniuk, Dale Canfield	4	2020-09-30	10	1.44	194,576	-32,999
Capstone Mining Corp.	Options	Peniuk, Dale Canfield	4	2020-09-30	51	0.33	462,478	-80,000
Capstone Mining Corp.	Options	Peniuk, Dale Canfield	4	2020-09-30	51	0.33	382,478	-80,000
Capstone Mining Corp.	Options	Peniuk, Dale Canfield	4	2020-09-30	51	0.33	310,568	-71,910
Cardinal Energy Ltd.	Debentures 5.50%	Brussa, John Albert	4	2020-09-25	10	81	\$8,460	\$160
Cardinal Energy Ltd.	Debentures 5.50%	Ratushny, M. Scott	4, 5	2020-10-01	10	800	\$57	\$20
CareRx Corporation (formerly Centric Health Corporation)	Restricted Stock Units	Featherstone, Travis	7	2020-09-25	57	3.97	1,391,443	-1,057
CareRx Corporation (formerly Centric Health Corporation)	Restricted Stock Units	Mok, Andrew Wai-Kit	4	2020-09-25	57	3.97	732,650	-2,350
CareRx Corporation (formerly Centric Health Corporation)	Restricted Stock Units	Rakowski, Paul	5	2020-09-25	57	3.97	789,709	-4,041
CareRx Corporation (formerly Centric Health Corporation)	Common Shares	Stempfle, Ryan	7	2020-09-29	10	4.3781	442,778	20,000
Cassiar Gold Corp. (formerly Margaux Resources Ltd.)	Common Shares	Baptista Roque, Marco Ivan	4, 5	2020-09-23	37		114,285	-457,144
Cassiar Gold Corp. (formerly Margaux Resources Ltd.)	Options	Baptista Roque, Marco Ivan	4, 5	2020-09-23	37		214,285	-857,144
CCL Industries Inc.	Rights Deferred Share Units	Galifi, Vincent Joseph	4	2020-09-30	56	49.92	6,726	24
CCL Industries Inc.	Rights Deferred Share Units	Horn, Alan Douglas	4	2020-09-30	56	49.92	1,530	6
CCL Industries Inc.	Rights Deferred Share Units	Keller-Hobson, Kathleen	4	2020-09-30	56	49.92	8,908	32
CCL Industries Inc.	Rights Deferred Share Units	Lang, Erin Melissa	4	2020-09-30	56	49.92	7,990	28
CCL Industries Inc.	Rights Deferred Share Units	Lang, Stuart W.	4	2020-09-30	56	49.92	33,926	122
CCL Industries Inc.	Rights Deferred Share Units	Muzyka, Douglas W.	4	2020-09-30	56	49.92	8,333	30

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
CCL Industries Inc.	Rights Deferred Share Units	Peddie, Tom	4	2020-09-30	56	49.92	163,352	587
CCL Industries Inc.	Rights Deferred Share Units	Shapansky, Mandy	4	2020-09-30	56	49.92	11,009	40
Celestica Inc.	Deferred Share Units	Cascella, Roberto Anthony	4	2020-10-01	56	6.9	33,388	4,257
Celestica Inc.	Deferred Share Units	Chopra, Deepak	4	2020-10-01	56	6.9	45,176	4,257
Celestica Inc.	Deferred Share Units	DiMaggio, Dan	4	2020-10-01	56	6.9	237,014	6,385
Celestica Inc.	Deferred Share Units	Koellner, Laurette	4	2020-10-01	56	6.9	248,830	4,619
Celestica Inc.	Deferred Share Units	Onex Corporation	3	2020-09-30	56	6.9	283,888	8,514
Celestica Inc.	Deferred Share Units	Perry, Carol Susan	4	2020-10-01	56	6.9	188,451	8,514
Celestica Inc.	Restricted Share Units	Ryan, Eamon James	4	2020-09-30	57	9.29	25,701	-1,453
Celestica Inc.	Restricted Share Units	Ryan, Eamon James	4	2020-10-01	56	6.9	30,229	4,528
Celestica Inc.	Subordinate Voting Shares	Ryan, Eamon James	4	2020-09-30	57	9.29	4,211	1,453
Celestica Inc.	Deferred Share Units	Wilson, Michael M.	4	2020-10-01	56	6.9	271,979	13,043
Centerra Gold Inc.	Rights Restricted Share Units	Connor, Richard Webster	4	2020-09-30	56	15.32	1,884	1,884
Centerra Gold Inc.	Rights Restricted Share Units	Kasenov, Dushenaly	4	2020-09-30	56	15.32	7,121	1,884
Centerra Gold Inc.	Rights Restricted Share Units	Kobonbaev, Maksat	4	2020-09-30	56	15.32	7,146	1,884
Centerra Gold Inc.	Rights Restricted Share Units	Oskombaev, Askar	4	2020-09-30	56	15.32	17,824	1,884
Centerra Gold Inc.	Rights Restricted Share Units	Parrett, Michael S.	4	2020-09-30	56	15.32	98,619	3,263
Centerra Gold Inc.	Common Shares	Perron, Jacques	4	2020-09-30	57	15.32	92,464	942
Centerra Gold Inc.	Rights Restricted Share Units	Perron, Jacques	4	2020-09-30	56	15.32	1,884	1,884
Centerra Gold Inc.	Rights Restricted Share Units	Perron, Jacques	4	2020-09-30	57	15.32	942	-942
Centerra Gold Inc.	Rights Restricted Share Units	Perron, Jacques	4	2020-09-30	59	15.32	0	-942
Centerra Gold Inc.	Rights Restricted Share Units	Pressler, Sheryl	4	2020-09-30	56	15.32	1,884	1,884
Centerra Gold Inc.	Deferred Share Units	Walter, Bruce V.	4	2020-09-30	56	15.32	166,194	4,569
Centerra Gold Inc.	Deferred Share Units	Wright, Paul Nicholas	4	2020-09-30	56	15.32	1,981	1,174
Centerra Gold Inc.	Rights Restricted Share Units	Wright, Paul Nicholas	4	2020-09-30	56	15.32	1,321	783
Centerra Gold Inc.	Deferred Share Units	Yurkovich, Susan L	4	2020-09-30	56	15.32	49,988	2,863
CENTR Brands Corp.	Common Shares	Chima, Arjan Jagiit	4, 5	2020-09-29	16	0.38	1,212,412	129,481
CENTR Brands Corp.	Restricted Share Awards	Chima, Arjan Jagiit	4, 5	2020-09-29	56		1,435,000	60,000
CENTR Brands Corp.	Common Shares	Meehan, Joseph Edward	4, 5	2020-09-29	16	0.38	3,278,881	167,564
CENTR Brands Corp.	Restricted Share Awards	Meehan, Joseph Edward	4, 5	2020-09-29	56		3,085,000	60,000
Ceres Global Ag Corp.	Common Shares	Day, Robert William	5	2020-09-29	10	2.5	20,000	5,000
Cervus Equipment Corporation	Common Shares	Cervus Equipment Corporation	1	2020-09-25	38		0	-3,232

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Cervus Equipment Corporation	Common Shares	Lacey, Peter Alan	3, 4, 5	2020-10-01	48 - Acquisition by inheritance or disposition by bequest	8.88	6,469	4,469
CES Energy Solutions Corp.	Common Shares	Armour III, Spencer Davis	4	2020-10-01	57	0.8017		17,667
CES Energy Solutions Corp.	Common Shares	Armour III, Spencer Davis	4	2020-10-01	57	0.8017		17,667
CES Energy Solutions Corp.	Common Shares	Armour III, Spencer Davis	4	2020-10-01	57	0.8017	77,672	17,667
CES Energy Solutions Corp.	Restricted Share Units	Armour III, Spencer Davis	4	2020-10-01	57	0.8017		-17,667
CES Energy Solutions Corp.	Restricted Share Units	Armour III, Spencer Davis	4	2020-10-01	57	0.8017		-17,667
CES Energy Solutions Corp.	Restricted Share Units	Armour III, Spencer Davis	4	2020-10-01	57	0.8017	35,337	-17,667
CES Energy Solutions Corp.	Common Shares	Aulicino, Anthony Michael	5	2020-10-01	57	0.8017	165,905	38,000
CES Energy Solutions Corp.	Common Shares	Aulicino, Anthony Michael	5	2020-10-01	10	0.7552	165,904	-1
CES Energy Solutions Corp.	Restricted Share Units	Aulicino, Anthony Michael	5	2020-10-01	57	0.8017	736,405	-38,000
CES Energy Solutions Corp.	Common Shares	Cosby, Stella	4	2020-10-01	57	0.8017	134,329	17,667
CES Energy Solutions Corp.	Common Shares	Cosby, Stella	4	2020-10-01	10	0.7552	125,317	-9,012
CES Energy Solutions Corp.	Restricted Share Units	Cosby, Stella	4	2020-10-01	57	0.8017	35,274	-17,667
CES Energy Solutions Corp.	Common Shares	Hooks, John Michael	4	2020-10-01	57	17667	852,495	17,667
CES Energy Solutions Corp.	Common Shares	Hooks, John Michael	4	2020-10-01	10	0.7552	843,483	-9,012
CES Energy Solutions Corp.	Restricted Share Units	Hooks, John Michael	4	2020-10-01	57	0.8017	35,248	-17,667
CES Energy Solutions Corp.	Common Shares	Kitagawa, Kyle	4	2020-10-01	57	0.8017	668,419	17,667
CES Energy Solutions Corp.	Common Shares	Kitagawa, Kyle	4	2020-10-01	10	0.7552	659,407	-9,012
CES Energy Solutions Corp.	Restricted Share Units	Kitagawa, Kyle	4	2020-10-01	57	0.8017	35,248	-17,667
CES Energy Solutions Corp.	Common Shares	Scherman, Philip	4	2020-10-01	57	0.8017	174,870	17,667
CES Energy Solutions Corp.	Restricted Share Units	Scherman, Philip	4	2020-10-01	57	0.8017	35,248	-17,667
CES Energy Solutions Corp.	Common Shares	Zinger, Kenneth Earl	5	2020-10-02	10	0.746	1,663,335	41,336
CGI Inc.	Rights Performance Share Units	Baticle, Jean-Michel	5	2020-10-01	56	90.36	41,698	15,936
CGI Inc.	Rights Performance Share Units	Boulanger, Francois	5	2020-10-01	56	90.36	68,504	24,325
CGI Inc.	Rights Performance Share Units	Dube, Benoit	5	2020-10-01	56	90.36	35,471	12,727
CGI Inc.	Rights Performance Share Units	Gerin, Laurent Serge	5	2020-10-01	56	90.36	11,932	5,644
CGI Inc.	Rights Performance Share Units	Godin, Julie	4	2020-10-01	56	90.36	39,805	14,874
CGI Inc.	Rights Performance Share Units	Godin, Serge	3, 4	2020-10-01	56	90.36	383,158	103,475

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CGI Inc.	Rights Performance Share Units	Henderson, Dave	5	2020-10-01	56	67.56	38,356	13,335
CGI Inc.	Rights Performance Share Units	Hurlebaus, Timothy	5	2020-10-01	56	67.56	37,837	14,224
CGI Inc.	Rights Performance Share Units	Karnblad, John Anders	5	2020-10-01	56	90.36	11,741	6,375
CGI Inc.	Rights Performance Share Units	Labelle, Bernard	5	2020-10-01	56	90.36	9,229	4,537
CGI Inc.	Rights Performance Share Units	Lahteenmaa, Leena-Mari	5	2020-10-01	56	90.36	14,191	5,557
CGI Inc.	Rights Performance Share Units	Mattackal, George Jacob	5	2020-10-01	56	90.36	12,776	4,459
CGI Inc.	Rights Performance Share Units	MCGEEHAN, TARA	5	2020-10-01	56	90.36	27,597	11,117
CGI Inc.	Rights Performance Share Units	Schindler, George Donald	4, 5	2020-10-01	56	67.56	245,332	91,182
CGI Inc.	Rights Performance Share Units	Strass, Torsten	5	2020-10-01	56	90.36	21,514	8,964
CGI Inc.	Rights Performance Share Units	Vigeant, Guy	5	2020-10-01	56	90.36	20,507	8,881
Chartwell Retirement Residences	Deferred Units	Bastarache, Lise	4	2020-09-30	46	9.9916		2,724
Chartwell Retirement Residences	Deferred Units	Bastarache, Lise	4	2020-09-30	46	9.9916	94,150	2,724
Chartwell Retirement Residences	Deferred Units	Binions, W. Brent	4	2020-09-30	46	9.9916	6,366	2,507
Chartwell Retirement Residences	Rights Restricted Trust Units	Boulakia, Jonathan	5	2020-09-30	30	9.9824	64,911	989
Chartwell Retirement Residences	Deferred Units	Davis, Virginia Ann	4	2020-09-30	46	9.9916		1,509
Chartwell Retirement Residences	Deferred Units	Davis, Virginia Ann	4	2020-09-30	46	9.9916	19,582	1,509
Chartwell Retirement Residences	Deferred Units	Harris, Michael Deane	4	2020-09-30	46	9.9916		6,272
Chartwell Retirement Residences	Deferred Units	Harris, Michael Deane	4	2020-09-30	46	9.9916	327,048	6,272
Chartwell Retirement Residences	Rights Restricted Trust Units	Harris, Sheri Lynn	5	2020-09-30	30	9.9824	65,228	994
Chartwell Retirement Residences	Deferred Units	Kuzmicki, Andre	7	2020-09-30	46	9.9916		4,187
Chartwell Retirement Residences	Deferred Units	Kuzmicki, Andre	7	2020-09-30	46	9.9916	202,453	4,187
Chartwell Retirement Residences	Deferred Units	Sallows, Sharon	4	2020-09-30	46	9.9916	154,979	4,987
Chartwell Retirement Residences	Deferred Units	Scarlett, James	4	2020-09-30	46	9.9916	15,381	2,644
Chartwell Retirement Residences	Rights Restricted Trust Units	Sullivan, Karen Leslie	5	2020-09-30	30	9.9824	77,101	1,175
Chartwell Retirement Residences	Deferred Units	Thomas, John Huw	4	2020-09-30	46	9.9916	130,452	5,227
Chartwell Retirement Residences	Rights Restricted Trust Units	Volodarski, Vlad	4, 5	2020-09-30	30	9.9824	111,869	1,704
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Di Clemente, Lucio	4	2020-09-30	56	4.85	72,641	8,509
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Dimitrov, Daniella Elena	4	2020-09-30	56	4.85	11,358	7,736
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Moore, Emily Louise	4	2020-09-30	56	4.85	31,404	8,251

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Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Muzyka, Douglas	7	2020-09-30	56	4.85	52,146	7,736
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Rethy, Katherine Anne	4	2020-09-30	56	4.85	67,723	8,509
Chemtrade Logistics Income Fund	Rights Deferred Trust Units	Waisberg, Lorie	4	2020-09-30	56	4.85	127,334	12,119
Chesswood Group Limited	Common Shares	Fields, Jeffrey	4	2020-09-30	00		55,000	
China Gold International Resources Corp. Ltd.	Common Shares	China National Gold Group Hong Kong Limited	3	2020-09-25	10	1.61	158,388,330	17,100
China Gold International Resources Corp. Ltd.	Common Shares	China National Gold Group Hong Kong Limited	3	2020-09-25	10	1.63	158,397,630	9,300
China Gold International Resources Corp. Ltd.	Common Shares	China National Gold Group Hong Kong Limited	3	2020-09-25	10	1.64	158,488,330	90,700
China Gold International Resources Corp. Ltd.	Common Shares	China National Gold Group Hong Kong Limited	3	2020-09-25	10	1.65	158,518,230	29,900
China Gold International Resources Corp. Ltd.	Common Shares	China National Gold Group Hong Kong Limited	3	2020-09-25	10	1.66	158,588,330	70,100
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Adams, Kerry Dawn	4	2020-10-01	56		61,525	1,199
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Clark, Christie James Beckett	4, 7	2020-10-01	56		24,912	1,209
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Cross, L. Jay	4	2020-09-24	00			
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Cross, L. Jay	4	2020-10-01	56		151	151
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Eadie, Graeme McAllister	4	2020-10-01	56		30,222	2,758
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Kinsley, Karen	4	2020-10-01	56		23,945	2,558
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Latimer, Robert Michael	4	2020-10-01	56		23,521	2,398
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Lockhart, Nancy	4, 6	2020-10-01	56		13,289	2,398
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Ponder, Dale Rosa Winnifred	4	2020-10-01	56		13,400	2,418
Choice Properties Real Estate Investment Trust	Rights Deferred Units	Weston, Willard Galen Garfield	6	2020-10-01	56		76,509	2,758
CI Financial Corp.	Deferred Share Unit	Butt, William Emerson	4	2020-09-30	56	17.19	13,054	2,327
CIBT Education Group Inc.	Common Shares	CIBT Education Group Inc	1	2020-09-25	10	0.67	4,413,400	2,000
CIBT Education Group Inc.	Common Shares	CIBT Education Group Inc	1	2020-09-28	10	0.69	4,513,400	100,000
CIBT Education Group Inc.	Common Shares	CIBT Education Group Inc	1	2020-09-30	10	0.69	4,515,700	2,300
City View Green Holdings Inc.	Common Shares	Quinsam Capital Corporation	3	2020-09-28	11		28,976,796	200,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-09-01	38	5.4725	4,000	4,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-09-02	38	5.4865	8,000	4,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-09-03	38	5.4997	11,800	3,800
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-09-04	38	5.5	51,800	40,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-09-22	38	5.5	90,900	39,100

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-09-24	38	5.5	92,000	1,100
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-09-25	38	5.599	94,000	2,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2020-09-30	38		0	-94,000
Clarke Inc.	Convertible Debentures Series B 6.25% Feb 28, 2023 (CKI.DB)	Clarke Inc.	1	2020-09-01	38	0.915	\$10,000	\$10,000
Clarke Inc.	Convertible Debentures Series B 6.25% Feb 28, 2023 (CKI.DB)	Clarke Inc.	1	2020-09-30	38		\$0	-\$10,000
Clarke Inc.	Convertible Debentures Series B 6.25% Feb 28, 2023 (CKI.DB)	Clarke Inc.	1	2020-10-01	38	0.915		\$10,000
Clean Seed Capital Group Ltd.	Common Shares	Rush, Colin Michael	4	2020-10-01	10	0.31	213,950	1,850
Cleghorn Minerals Ltd.	Common Shares	Gauthier, Isabelle	5	2020-09-29	51	0.06	50,000	50,000
Cleghorn Minerals Ltd.	Common Shares	Gauthier, Isabelle	5	2020-09-29	11	0.06	0	-50,000
Cleghorn Minerals Ltd.	Options	Gauthier, Isabelle	5	2020-09-29	51		40,000	-50,000
Cleghorn Minerals Ltd.	Common Shares	Groia, Joseph	3, 4	2020-09-29	51	0.06	2,835,000	400,000
Cleghorn Minerals Ltd.	Common Shares	Groia, Joseph	3, 4	2020-09-29	11	0.06	2,435,000	-400,000
Cleghorn Minerals Ltd.	Options	Groia, Joseph	3, 4	2020-09-29	51		475,000	-400,000
Cleghorn Minerals Ltd.	Common Shares	Mullan, Glenn J	3, 4, 5	2020-09-29	51	0.06	5,713,928	300,000
Cleghorn Minerals Ltd.	Common Shares	Mullan, Glenn J	3, 4, 5	2020-09-29	11	0.06	5,413,928	-300,000
Cleghorn Minerals Ltd.	Options	Mullan, Glenn J	3, 4, 5	2020-09-29	51		715,861	-300,000
CloudMD Software & Services Inc. (formerly Premier Health Group Inc.)	Options	Beshay, Mena	5	2020-09-29	50	2.15	1,000,000	100,000
CloudMD Software & Services Inc. (formerly Premier Health Group Inc.)	Options	Hamza, Essam	4	2020-09-29	50	2.15	1,400,000	100,000
CloudMD Software & Services Inc. (formerly Premier Health Group Inc.)	Options Stock Options	Kohler, Mark William	4	2020-09-29	50	2.15	130,000	50,000
CloudMD Software & Services Inc. (formerly Premier Health Group Inc.)	Options	Mathur, Amit	4	2020-09-29	50	2.15	650,000	100,000
CloudMD Software & Services Inc. (formerly Premier Health Group Inc.)	Options	Thindal, Kanchan	5	2020-09-29	50	2.15	800,000	100,000
Co-operators General Insurance Company	Preferred Shares Class A Series B	Daniel, Kevin	7	2020-09-30	30	100	1,855	1
Co-operators General Insurance Company	Preferred Shares Class A Series B	Hanna, Paul	7	2020-09-30	30	100	1,036	1
Co-operators General Insurance Company	Preferred Shares Class A Series B	Hanna, Paul	7	2020-10-01	30	100	1,686	650
COIN HODL INC. (formerly Malbex Resources Inc.)	Common Shares	Cubitt, Benedict Giles	4, 5	2020-09-29	10	0.1467	2,444,700	3,000
Colibri Resource Corporation	Common Shares	McGavney, Ian	5	2020-09-30	10	0.07	965,000	10,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Friedrichsen, John	5	2020-09-25	10	88.25	506,000	-3,000
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Friedrichsen, John	5	2020-09-28	10	90	505,900	-100
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Friedrichsen, John	5	2020-09-29	10	88.5	500,000	-5,900
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Friedrichsen, John	5	2020-09-29	10	89	496,900	-3,100
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Friedrichsen, John	5	2020-09-30	10	90	496,800	-100
Colonial Coal International Corp.	Common Shares	Rosseau Asset Management Ltd.	3	2020-09-29	10	0.75	26,038,715	250,000
Colorado Resources Ltd.	Common Shares	Bond, Cecil	4	2019-08-21	16	0.085		250,000
Colorado Resources Ltd.	Common Shares	Bond, Cecil	4	2019-08-21	37	0.85	25,000	25,000
Colorado Resources Ltd.	Options	Bond, Cecil	4	2019-09-06	50			700,000
Colorado Resources Ltd.	Options	Bond, Cecil	4	2019-09-06	37		70,000	70,000
Colorado Resources Ltd.	Warrants	Bond, Cecil	4	2019-08-21	16	0.12		125,000
Colorado Resources Ltd.	Warrants	Bond, Cecil	4	2019-08-21	37	1.2	12,500	12,500
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-18	10	3.4862	147,403	-1,000
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-21	10	3.304		-1,000
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-21	10	3.304	146,403	-1,000
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-24	10	3.21		-400
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-24	10	3.206		-400
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-24	10	3.206	146,003	-400
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-25	10	3.26		-1,000
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-25	10	3.2257	145,003	-1,000
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-28	10	3.31		-1,000
Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-28	10	3.3121		-1,000

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Columbia Care Inc. (formerly known as Canaccord Genuity Growth Corp.)	Common Shares	Vita, Nicholas Keane	3, 4, 5	2020-09-28	10	3.3121	144,003	-1,000
Computer Modelling Group Ltd.	Common Shares	SCHNEIDER, RYAN NICOLAS	4, 5	2020-09-25	10	5.0306	21,309	320
Computer Modelling Group Ltd.	Common Shares	SCHNEIDER, RYAN NICOLAS	4, 5	2020-09-25	10	5.04	7,215	2,200
Comstock Metals Ltd.	Common Shares	Gracetree Investments, LLC	8	2020-09-30	54	0.075	2,025,147	356,040
Comstock Metals Ltd.	Warrants	Gracetree Investments, LLC	8	2020-07-03	55		356,040	-146,400
Comstock Metals Ltd.	Warrants	Gracetree Investments, LLC	8	2020-09-30	54	0.075	0	-356,040
Comstock Metals Ltd.	Warrants	Gregory Asset Partners, LLC	3	2020-07-03	55		0	-84,000
Comstock Metals Ltd.	Common Shares	SJ Strategic Investments LLC	3	2020-09-30	54	0.075	3,421,067	1,335,200
Comstock Metals Ltd.	Warrants	SJ Strategic Investments LLC	3	2020-07-03	55		1,335,200	-84,000
Comstock Metals Ltd.	Warrants	SJ Strategic Investments LLC	3	2020-09-30	54	0.075	0	-1,335,200
Comstock Metals Ltd.	Warrants	The Gregory Irrevocable Equalizing Trust	3	2020-07-03	55		0	-38,000
Contact Gold Corp.	Common Shares	Dorward, John Andrew	4	2020-09-29	15	0.2	579,000	250,000
Contact Gold Corp.	Warrants	Dorward, John Andrew	4	2020-09-29	15	0.27	375,000	125,000
Contact Gold Corp.	Common Shares	Lennox-King, Matthew	4, 5	2020-09-29	15	0.2	3,776,600	250,000
Contact Gold Corp.	Restricted Share Units	Lennox-King, Matthew	4, 5	2017-06-07	00			
Contact Gold Corp.	Restricted Share Units	Lennox-King, Matthew	4, 5	2017-06-07	00			
Contact Gold Corp.	Restricted Share Units	Lennox-King, Matthew	4, 5	2020-01-16	46		50,000	50,000
Contact Gold Corp.	Warrants	Lennox-King, Matthew	4, 5	2017-06-07	00			
Contact Gold Corp.	Warrants	Lennox-King, Matthew	4, 5	2020-05-25	11		250,000	250,000
Contact Gold Corp.	Warrants	Lennox-King, Matthew	4, 5	2020-09-29	15		375,000	125,000
Contact Gold Corp.	Common Shares	Waterton Nevada Splitter, LLC	3	2020-09-29	11	0.195	100,764,627	69,412,978
Contact Gold Corp.	Convertible Preferred Shares (Class A)	Waterton Nevada Splitter, LLC	3	2020-09-29	38	1.668	0	-11,111,111
Contact Gold Corp.	Common Shares	Wellings, Mark	4	2020-09-29	15	0.2	1,129,166	125,000
Contact Gold Corp.	Rights	Wellings, Mark	4	2020-09-29	15	0.27	262,500	62,500
Contact Gold Corp.	Common Shares	Wenger, John Eric	5	2020-09-29	15	0.2	742,245	100,000
Contact Gold Corp.	Warrants	Wenger, John Eric	5	2020-09-29	15		470,040	50,000
Copper Mountain Mining Corporation	Common Shares	Strickland, Donald	5	2019-01-28	00		361,800	
Copper Mountain Mining Corporation	Common Shares	Strickland, Donald	5	2019-01-28	99		11,800	-350,000
Copper Mountain Mining Corporation	Common Shares	Strickland, Donald	5	2020-09-29	51		311,800	300,000
Copper Mountain Mining Corporation	Options	Strickland, Donald	5	2019-01-28	99		711,800	350,000
Copper Mountain Mining Corporation	Options	Strickland, Donald	5	2019-01-28	99		350,000	-361,800
Copper Mountain Mining Corporation	Options	Strickland, Donald	5	2019-01-28	99		550,000	200,000
Copper Mountain Mining Corporation	Options	Strickland, Donald	5	2020-09-29	51		969,464	-200,000
Core Assets Corp.	Common Shares	Hodge, David	4	2020-09-24	10	0.0135		17,000
Core Assets Corp.	Common Shares	Hodge, David	4	2020-09-24	10	0.0135		17,000
Core Assets Corp.	Common Shares	Hodge, David	4	2020-09-25	10	0.13	2,600,000	33,000
Core Assets Corp.	Common Shares	Hodge, David	4	2020-09-30	10	0.13	2,603,000	3,000
Corus Entertainment Inc.	Non-Voting Shares Class B	Estate of James R. Shaw	3	2020-09-30	30	2.9831	333	22
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-01	38	3.13	4,485,578	2,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-02	38	3.23	4,487,978	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-03	38	3.09	4,490,478	2,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-04	38	3.02	4,492,878	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-08	38	3.07	4,495,278	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-09	38	3.08	4,496,378	1,100
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-10	38	3.09	4,498,878	2,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-11	38	3.02	4,501,278	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-14	38	3.03	4,503,478	2,200
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-15	38	3.04	4,505,978	2,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-16	38	3.03	4,508,478	2,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-17	38	3.01	4,510,978	2,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-18	38	3.02	4,513,378	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-21	38	2.91	4,515,778	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-22	38	2.93	4,517,078	1,300
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-23	38	2.92	4,519,478	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-24	38	2.85	4,521,878	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-25	38	2.81	4,524,278	2,400
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-28	38	2.79	4,526,778	2,500
CRH Medical Corporation	Common Shares	CRH Medical Corporation	1	2020-09-29	38	2.75	4,527,578	800
Crown Capital Partners Inc.	Common Shares	Crown Capital Partners Inc.	1	2020-09-30	10	4.356	6,700	6,700
Crown Capital Partners Inc.	Common Shares	Crown Capital Partners Inc.	1	2020-10-02	38		0	-6,700
CT Real Estate Investment Trust	Deferred Units	Briant, Heather	4	2020-09-30	56	13.749	14,816	1,400
CT Real Estate Investment Trust	Class B Limited Partnership Units/Special Voting Trust Units	Canadian Tire Corporation, Limited	3	2020-09-30	90		28,633,364	332,112
CT Real Estate Investment Trust	Class B Limited Partnership Units/Special Voting Trust Units	Canadian Tire Corporation, Limited	3	2020-09-30	11	13.731	332,112	332,112
CT Real Estate Investment Trust	Class B Limited Partnership Units/Special Voting Trust Units	Canadian Tire Corporation, Limited	3	2020-09-30	90		0	-332,112
CT Real Estate Investment Trust	Deferred Units	Laidley, David Howard	4	2020-09-30	56	13.749	71,889	2,118
CT Real Estate Investment Trust	Deferred Units	Martini, Anna	4	2020-09-30	56	13.749	53,681	1,618
CT Real Estate Investment Trust	Deferred Units	O'Bryan, John Charles	4	2020-09-30	56	13.749	42,668	1,418
CT Real Estate Investment Trust	Deferred Units	Smith, Kelly Lynne	4	2020-09-30	56	13.749	1,960	1,218

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Curaleaf Holdings, Inc. (formerly Lead Ventures Inc.)	Subordinate Voting Shares	Kahn, Mitchell Phillip	4	2020-09-22	10	9.5	5,564,928	-250,000
Curaleaf Holdings, Inc. (formerly Lead Ventures Inc.)	Subordinate Voting Shares	Kahn, Mitchell Phillip	4	2020-09-25	10	9.5046	5,549,728	-15,200
Curaleaf Holdings, Inc. (formerly Lead Ventures Inc.)	Subordinate Voting Shares	Kahn, Mitchell Phillip	4	2020-09-30	10	9.6176	5,455,328	-94,400
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-09-25	10	0.15	2,336,500	8,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-09-28	10	0.15	2,349,000	12,500
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-09-29	10	0.14	2,389,000	40,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-09-29	10	0.14	2,394,500	5,500
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-09-29	10	0.15	2,434,500	40,000
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-09-29	10	0.15	2,435,000	500
CWC Energy Services Corp.	Common Shares	CWC Energy Services Corp.	1	2020-09-30	38		86,000	-2,349,000
Cymbria Corporation	Deferred Share Units	Bizzarri, Ugo	4	2020-09-30	56	45.131	3,071	166
Cymbria Corporation	Deferred Share Units	Carter, Reena	4	2020-09-30	56	45.131	3,840	207
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-03	38	44.779	2,700	2,700
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-04	38	44.79	3,100	400
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-09	38		2,700	-400
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-10	38	45.013	3,000	300
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-14	38		300	-2,700
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-14	38		0	-300
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-17	38	45.421	1,400	1,400
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-18	38	45.357	2,700	1,300
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-21	38	44.869	4,200	1,500
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-21	38		2,800	-1,400
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-22	38		1,500	-1,300
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2020-09-23	38		0	-1,500
Cymbria Corporation	Deferred Share Units	MacDonald, James Stuart Alexander	4	2020-09-30	56	45.131	13,066	193
DataMetrex AI Limited (previously, Everfront Ventures Corp.)	Common Shares	Gunter, Jerry Marshall	5	2020-09-30	10	0.135	900,000	-100,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
DataMetrex AI Limited (previously, Everfront Ventures Corp.)	Common Shares	Gunter, Jerry Marshall	5	2020-09-30	10	0.13	842,000	-58,000
DataMetrex AI Limited (previously, Everfront Ventures Corp.)	Common Shares	Gunter, Jerry Marshall	5	2020-09-30	51	0.07	1,142,000	300,000
DataMetrex AI Limited (previously, Everfront Ventures Corp.)	Options	Gunter, Jerry Marshall	5	2020-09-30	51	0.07	4,750,000	-300,000
DECISIVE DIVIDEND CORPORATION	Common Shares	Edwards, Gerald Terence	4, 5	2020-09-30	10	1.3	215,791	20,000
DECISIVE DIVIDEND CORPORATION	Common Shares	Matheos, Warren Frederick	4	2020-09-30	10	1.3	107,980	3,830
Delta Resources Limited (formerly Golden Hope Mines Limited)	Common Shares	Candido, Francesco	4, 5	2020-09-29	11	0.35	427,984	15,000
Delta Resources Limited (formerly Golden Hope Mines Limited)	Common Shares	Chapdelaine, Michel	5	2020-09-29	11	0.35	353,500	50,000
Delta Resources Limited (formerly Golden Hope Mines Limited)	Common Shares	Marcotte Paquet, Sara	4	2020-08-24	00			
Delta Resources Limited (formerly Golden Hope Mines Limited)	Common Shares	Marcotte Paquet, Sara	4	2020-09-29	11	0.35	57,143	57,143
Delta Resources Limited (formerly Golden Hope Mines Limited)	Common Shares	Tessier, Andr�	4, 5	2020-09-29	16	0.35		30,000
Delta Resources Limited (formerly Golden Hope Mines Limited)	Common Shares	Tessier, Andr�	4, 5	2020-09-29	11	0.35	179,000	30,000
Delta Resources Limited (formerly Golden Hope Mines Limited)	Warrants	Tessier, Andr�	4, 5	2020-09-29	11		40,000	15,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-17	10	0.27	1,938,500	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-17	10	0.22	1,939,500	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-17	10	0.27	1,945,500	6,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-17	10	0.27	1,946,500	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-17	10	0.27	1,948,500	2,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-17	10	0.27	1,949,500	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-18	10	0.27	1,951,500	2,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-18	10	0.27	1,952,500	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-18	10	0.2	1,954,500	2,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-18	10	0.265	1,955,000	500
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-21	10	0.265	1,956,000	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-22	10	0.22	1,957,000	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-24	10	0.23	1,959,000	2,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-28	10	0.24	1,960,000	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-29	10	0.24	1,961,000	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-08-31	10	0.22	405,000	5,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-08-31	10	0.2	410,000	5,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-01	10	0.22	412,000	2,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-04	10	0.21	413,000	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-21	10	26.5	415,000	2,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-21	10	0.22	417,500	2,500
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-22	10	0.22	418,500	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-24	10	0.24	419,500	1,000
DGTL Holdings Inc. (formerly Conscience Capital Inc.)	Common Shares	Belfontaine, John David Alexander	3, 4, 5	2020-09-25	10	0.21	421,500	2,000
Diagnos Inc.	Common Shares	Coffin, Tristram	3	2020-09-29	10	0.275	2,189,934	15,000
Diagnos Inc.	Common Shares	Coffin, Tristram	3	2020-09-29	10	0.28	2,204,934	15,000
Diagnos Inc.	Common Shares	Coffin, Tristram	3	2020-09-30	10	0.27	1,602,934	-602,000
Diagnos Inc.	Common Shares	Coffin, Tristram	3	2020-10-01	10	0.275	1,204,934	-398,000
Diagnos Inc.	Common Shares	Coffin, Tristram	3	2020-10-02	10	0.3	1,254,934	50,000
Diagnos Inc.	Common Shares	Coffin, Tristram	3	2020-10-02	10	0.28	1,275,434	20,500
Diagnos Inc.	Common Shares	Coffin, Tristram	3	2020-10-02	10	0.275	1,279,934	4,500
Diagnos Inc.	Common Shares	DUHAMEL, VINCENT	4	2020-09-28	00		59,000	
Diagnos Inc.	Options	DUHAMEL, VINCENT	4	2020-09-28	00			
Diagnos Inc.	Options	DUHAMEL, VINCENT	4	2020-09-28	50	0.285	600,000	600,000
Diagnos Inc.	Common Shares	Dunn, Robert	4	2020-09-28	00		277,778	
Diagnos Inc.	Common Shares	Dunn, Robert	4	2020-09-28	00		527,778	
Diagnos Inc.	Common Shares	Dunn, Robert	4	2020-09-30	10	0.27	1,129,778	602,000
Diagnos Inc.	Common Shares	Dunn, Robert	4	2020-10-01	10	0.275	1,527,778	398,000
Diagnos Inc.	Options	Dunn, Robert	4	2020-09-28	00			
Diagnos Inc.	Options	Dunn, Robert	4	2020-09-28	50	0.285	500,000	500,000
Digital Consumer Dividend Fund	Trust Units	Digital Consumer Dividend Fund	1	2020-09-28	38	11.984	643,800	1,400
Digital Consumer Dividend Fund	Trust Units	Digital Consumer Dividend Fund	1	2020-09-29	38	11.939	645,200	1,400

**Insider Reporting**

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Digital Consumer Dividend Fund	Trust Units	Digital Consumer Dividend Fund	1	2020-09-30	38	12.073	649,900	4,700
Dimension Five Technologies Inc.	Common Shares	Murata, Craig	3, 4, 5	2020-09-30	11	0.03	2,240,000	-333,333
DIRTT Environmental Solutions Ltd.	Rights Deferred Share Units	Boulais, Wayne	4	2020-09-30	56	2.07	45,467	9,002
DIRTT Environmental Solutions Ltd.	Rights Deferred Share Units	Ford, Michael Terrell	4	2020-08-01	00			
DIRTT Environmental Solutions Ltd.	Rights Deferred Share Units	Ford, Michael Terrell	4	2020-09-30	56	2.07	5,768	5,768
DIRTT Environmental Solutions Ltd.	Rights Deferred Share Units	Karkkainen, Denise Eileen	4	2020-09-30	56	2.07	53,239	9,832
DIRTT Environmental Solutions Ltd.	Rights Deferred Share Units	King, Shauna Ryan	4	2020-08-01	00			
DIRTT Environmental Solutions Ltd.	Rights Deferred Share Units	King, Shauna Ryan	4	2020-09-30	56	2.07	6,170	6,170
DIRTT Environmental Solutions Ltd.	Rights Deferred Share Units	Lillibridge, Todd	4	2020-09-30	56	2.07	44,468	8,802
DIRTT Environmental Solutions Ltd.	Rights Deferred Share Units	Parry, Steven Elliott	4	2020-09-30	56	2.07	81,079	12,040
Discovery 2020 Short Duration LP	Limited Partnership Units - Class F Units	Brasseur, Jeremy	6	2020-09-25	00			
Discovery 2020 Short Duration LP	Limited Partnership Units - Class F Units	Brasseur, Jeremy	6	2020-09-25	15	25	8,000	8,000
Discovery 2020 Short Duration LP	Limited Partnership Units - Class F Units	Lauzon, Robert	7	2020-09-25	00			
Discovery 2020 Short Duration LP	Limited Partnership Units - Class F Units	Lauzon, Robert	7	2020-09-25	15	25	8,000	8,000
Discovery 2020 Short Duration LP	Limited Partnership Units - Class F Units	Orrico, Dean	6	2020-09-25	00			
Discovery 2020 Short Duration LP	Limited Partnership Units - Class F Units	Orrico, Dean	6	2020-09-25	15	25	8,000	8,000
Docebo Inc.	Units (Deferred Share Units)	Chapnik, Jason	4	2020-10-01	56	45.534	8,334	219
Docebo Inc.	Units (Deferred Share Units)	Klass, Daniel Robert	7	2020-10-01	56	45.534	7,941	219
Docebo Inc.	Units (Deferred Share Units)	Merkur, James	4	2020-10-01	56	45.534	7,563	219
Docebo Inc.	Units (Deferred Share Units)	Spooner, Steven Edward	4	2020-10-01	56	45.534	7,879	356
Dollarama Inc.	Common Shares	Hien, Nicolas	7, 5	2020-09-28	51	13.657	28,000	28,000
Dollarama Inc.	Common Shares	Hien, Nicolas	7, 5	2020-09-28	10	50.912	0	-28,000
Dollarama Inc.	Options	Hien, Nicolas	7, 5	2020-09-28	51	13.657	121,500	-28,000
Dore Copper Mining Corp.	Common Shares	Gaborit, Laurence	5	2020-09-01	00			
Dore Copper Mining Corp.	Common Shares	Gaborit, Laurence	5	2020-10-01	10	0.73	10,000	10,000
Dore Copper Mining Corp.	Common Shares	Mast, Ernest Mast	4, 5	2020-09-28	10	0.7	1,250,800	5,000
Dorel Industries Inc.	Performance Share Units	Braunstein, Norman	5	2020-09-30	97	11.59	4,339	55
Dorel Industries Inc.	Performance Share Units	Duran, Nicolas Fernando	5	2020-09-30	97	11.59	588	51
Dorel Industries Inc.	Rights Executive Deferred Share Units	Duran, Nicolas Fernando	5	2020-09-30	97	11.59		24
Dorel Industries Inc.	Rights Executive Deferred Share Units	Duran, Nicolas Fernando	5	2020-09-30	97	11.29	6,655	24
Dorel Industries Inc.	Performance Share Units	Rana, Franco	5	2020-09-30	97	11.59	8,984	970

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Dorel Industries Inc.	Performance Share Units	Schwartz, Alan	3, 4, 5	2020-09-30	97	11.59	15,351	1,763
Dorel Industries Inc.	Performance Share Units	Schwartz, Jeffrey	3, 4, 5	2020-09-30	97	11.59	15,351	1,763
Dorel Industries Inc.	Performance Share Units	Schwartz, Martin	3, 4, 5	2020-09-30	97	11.59	15,351	1,763
Dorel Industries Inc.	Performance Share Units	Segel, Jeffrey	3, 4, 5	2020-09-30	97	11.59	15,351	1,763
Dorel Industries Inc.	Performance Share Units	Woods, Peter	5	2020-09-30	97	11.59	22,039	2,952
Dorel Industries Inc.	Rights Executive Deferred Share Units	Woods, Peter	5	2020-09-30	97	11.29	43,695	348
Dorel Industries Inc.	Performance Share Units	Wyse, Edward	5	2020-09-30	97	11.59	2,067	412
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Alimchandani, Pauline	7	2020-09-30	56	4.92	75,222	2,287
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Bhalla, Amar	4	2020-09-30	56	4.92	76,153	4,065
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Ferstman, Joanne Shari	7	2020-09-30	56	4.92	104,800	2,287
Dream Hard Asset Alternatives Trust	Deferred Trust Units	MacIndoe, Karine	4	2020-09-30	56	4.92	43,405	2,795
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Tsourounis, Michael George	4	2020-09-30	56	4.92	22,544	2,287
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Bhatia, Rajan Sacha	4	2020-09-30	56	11.16	5,588	896
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Mulroney, Benedict Martin Paul	4	2020-09-30	56	11.16	49,170	1,120
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Schiff, Vicky Lee	4	2020-09-30	56	11.16	6,501	1,120
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Sera, Maria Vincenza	4, 7	2020-09-30	56	11.16	168,571	1,791
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Wiseman, Sheldon	4	2020-09-30	56	11.16	89,209	1,344
Dream Office Real Estate Investment Trust	Rights deferred trust units	BIERBAUM, DETLEF	4	2020-09-30	56	18.17	14,632	825
Dream Office Real Estate Investment Trust	Rights deferred trust units	Charter, Donald Kinloch	4, 6	2020-09-30	56	18.17	80,514	1,651
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-01	38	19.134	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-02	38	19.268	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-03	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-03	38	19.608	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-04	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-04	38	19.226	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-08	38		64,564	-64,564

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-08	38	19.24	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-09	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-09	38	19.322	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-10	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-10	38	19.158	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-11	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-11	38	18.957	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-14	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-14	38	19.234	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-15	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-15	38	19.871	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-16	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-16	38	20.1	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-17	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-17	38	19.72	120,828	56,264
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-18	38		56,264	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-18	38	19.555	120,828	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-21	38		64,564	-56,264
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-21	38	19.351	411,728	347,164
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-22	38		347,164	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-22	38	19.258	411,728	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-23	38		64,564	-347,164
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-23	38	18.634	129,128	64,564

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-24	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-24	38	18.155	129,128	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-25	38		64,564	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-25	38	18.044	127,528	62,964
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-28	38		62,964	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-28	38	18.412	127,528	64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-29	38		64,564	-62,964
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-29	38	18.081	121,328	56,764
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-30	38		56,764	-64,564
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-09-30	38	18.341	116,628	59,864
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-10-01	38		59,864	-56,764
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	2020-10-02	38		0	-59,864
Dream Office Real Estate Investment Trust	Rights deferred trust units	GOODALL, ROBERT	4	2020-09-30	56	18.17	82,676	908
Dream Office Real Estate Investment Trust	Rights deferred trust units	Leitch, Kellie	4	2020-09-30	56	18.17	2,164	757
Dream Office Real Estate Investment Trust	Rights deferred trust units	MacIndoe, Karine	4	2020-09-30	56	18.17	39,599	1,183
DREAM Unlimited Corp.	Deferred Share Units	Eaton, James George	4	2020-09-30	56	19.53	15,682	640
DREAM Unlimited Corp.	Deferred Share Units	Ferstman, Joanne Shari	4	2020-09-30	56	19.53	67,603	2,009
DREAM Unlimited Corp.	Deferred Share Units	Gateman, Richard N.	4, 7	2020-09-30	56	19.53	40,100	857
DREAM Unlimited Corp.	Deferred Share Units	Jackman, Duncan Newton Rowell	4	2020-09-30	56	19.53	21,314	640
DREAM Unlimited Corp.	Deferred Share Units	Koss, Jennifer	4	2020-09-30	56	19.53	38,741	857
DREAM Unlimited Corp.	Deferred Share Units	Sera, Maria Vincenza	4, 7	2020-09-30	56	19.53	43,105	998
Drone Delivery Canada Corp. (formerly Asher Resources Corporation)	Options	Della Fortuna, Michael	4	2020-09-24	50	0.7	1,200,000	150,000
Drone Delivery Canada Corp. (formerly Asher Resources Corporation)	Options	Kanwar, Vijay	4	2020-09-24	50		300,000	100,000
Dundee Corporation	Subordinate Voting Shares Class A	Sinclair, Alistair Murray	4	2020-09-30	10	1.4306	2,100,000	41,500

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Dundee Corporation	Subordinate Voting Shares Class A	Sinclair, Alistair Murray	4	2020-09-30	11	1.25	3,100,000	1,000,000
Dundee Precious Metals Inc.	Common Shares	Dorfman, Michael Ryan	5	2020-09-30	51	2.21	65,100	48,100
Dundee Precious Metals Inc.	Common Shares	Dorfman, Michael Ryan	5	2020-09-30	10	9.53	17,000	-48,100
Dundee Precious Metals Inc.	Options	Dorfman, Michael Ryan	5	2020-09-30	51		243,100	-48,100
Dundee Precious Metals Inc.	Rights Deferred Share Units	Gillin, Robert Peter Charles	4	2020-03-31	56			8,629
Dundee Precious Metals Inc.	Rights Deferred Share Units	Gillin, Robert Peter Charles	4	2020-03-31	56	4.54		7,985
Dundee Precious Metals Inc.	Rights Deferred Share Units	Gillin, Robert Peter Charles	4	2020-03-31	56	4.54	283,503	8,629
Dundee Precious Metals Inc.	Rights Deferred Share Units	Gillin, Robert Peter Charles	4	2020-06-30	56	8.71		4,497
Dundee Precious Metals Inc.	Rights Deferred Share Units	Gillin, Robert Peter Charles	4	2020-06-30	56	8.71	289,338	4,498
Dundee Precious Metals Inc.	Rights Deferred Share Units	Gillin, Robert Peter Charles	4	2020-09-30	56		294,301	4,085
Dundee Precious Metals Inc.	Rights Deferred Share Units	Goodman, Jonathan Carter	4	2020-09-30	56	9.59	608,384	3,666
Dundee Precious Metals Inc.	Rights Deferred Share Units	Kinsman, Jeremy	4	2020-09-30	56		131,117	1,869
Dundee Precious Metals Inc.	Rights Deferred Share Units	Montalvo, Juanita	4	2020-09-30	56		134,459	4,085
Dundee Precious Metals Inc.	Rights Deferred Share Units	Nixon, Peter	4	2020-09-30	56		163,801	2,312
Dundee Precious Metals Inc.	Rights Deferred Share Units	Tawil, Marie-Anne	4	2020-09-30	56		188,694	4,085
Dundee Precious Metals Inc.	Rights Deferred Share Units	Walsh, Anthony P.	3	2020-09-30	56		194,366	2,977
Dundee Precious Metals Inc.	Rights Deferred Share Units	Young, Donald Walter	4	2020-09-30	56		120,438	1,869
Dundee Sustainable Technologies Inc.	Options	Howlett, Brian	4	2020-09-30	50	0.35	440,000	300,000
Dundee Sustainable Technologies Inc.	Options	Jacob, Mario	4	2020-09-29	37	1	530,000	-570,000
Dundee Sustainable Technologies Inc.	Options	Jacob, Mario	4	2020-09-29	37	2	55,000	-475,000
Dundee Sustainable Technologies Inc.	Options	Jacob, Mario	4	2020-09-29	50	0.35	355,000	300,000
Dundee Sustainable Technologies Inc.	Options	Lemieux, David	5	2020-01-17	37		2,257,500	-142,500
Dundee Sustainable Technologies Inc.	Options	Lemieux, David	5	2020-01-17	37		832,500	-1,425,000
Dundee Sustainable Technologies Inc.	Options	Lemieux, David	5	2020-01-17	37		120,000	-712,500
Dundee Sustainable Technologies Inc.	Options	Lemieux, David	5	2020-09-29	50		1,320,000	1,200,000
Dundee Sustainable Technologies Inc.	Options	Mai, Jean-Philippe	5	2020-01-17	37		550,000	-950,000
Dundee Sustainable Technologies Inc.	Options	Mai, Jean-Philippe	5	2020-01-17	37		75,000	-475,000
Dundee Sustainable Technologies Inc.	Options	Mai, Jean-Philippe	5	2020-09-29	50		975,000	900,000
Dundee Sustainable Technologies Inc.	Options	Marin Toledo, Arved Abraham	5	2020-01-17	37		775,000	-475,000
Dundee Sustainable Technologies Inc.	Options	Marin Toledo, Arved Abraham	5	2020-01-17	37		62,500	-712,500
Dundee Sustainable Technologies Inc.	Options	Marin Toledo, Arved Abraham	5	2020-09-29	50		562,500	500,000
Dundee Sustainable Technologies Inc.	Options	Marleau, Hubert	4	2020-01-17	37		1,107,500	-142,500
Dundee Sustainable Technologies Inc.	Options	Marleau, Hubert	4	2020-01-17	37		537,500	-570,000

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Dundee Sustainable Technologies Inc.	Options	Marleau, Hubert	4	2020-01-17	37		62,500	-475,000
Dundee Sustainable Technologies Inc.	Options	Marleau, Hubert	4	2020-09-29	50		362,500	300,000
Dundee Sustainable Technologies Inc.	Options	Sellars, Robert	4	2019-11-07	00			
Dundee Sustainable Technologies Inc.	Options	Sellars, Robert	4	2020-09-29	50	0.35	300,000	300,000
DXI Capital Corp.	Options	Hodgkinson, Robert	3	2020-09-30	52		0	-3,000
Dye & Durham Limited	Common Shares	MacCreedy, Charles Ford	5	2020-09-30	16	21	25,763	-11,904
Dye & Durham Limited	Common Shares	Plantro Ltd.	3	2020-09-30	11	21	8,408,891	-476,190
Dye & Durham Limited	Common Shares	Seastone Invest Limited	3	2020-09-30	11	21	6,453,379	-380,952
Dye & Durham Limited	Common Shares	Tong, Eric	5	2020-09-30	16	21	45,266	-11,904
dynaCERT Inc. (formerly Dynamic Fuel Systems Inc.)	Options	Hoffman, Raymond Wayne	4	2020-09-23	51	0.1		325,000
dynaCERT Inc. (formerly Dynamic Fuel Systems Inc.)	Options	Hoffman, Raymond Wayne	4	2020-09-23	51	0.1	1,350,000	-325,000
Echelon Financial Holdings Inc.	Common Shares	Chien, Teddy	5	2019-06-01	00			
Echelon Financial Holdings Inc.	Common Shares	Chien, Teddy	5	2020-09-29	10	1.35	37,000	37,000
Echelon Financial Holdings Inc.	Common Shares	Cymbria Corporation	3	2020-09-29	11	1.35	0	-1,400,000
Echelon Financial Holdings Inc.	Common Shares	Sahi, Harvinder Singh	7	2020-09-29	00			
Echelon Financial Holdings Inc.	Common Shares	Sahi, Harvinder Singh	7	2020-09-29	00			
Echelon Financial Holdings Inc.	Common Shares	Sahi, Harvinder Singh	7	2020-09-29	22	1.35	18,500	18,500
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-08	38	2.25	8,800	8,800
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-17	38	2.25	11,200	2,400
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-18	38	2.25	25,900	14,700
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-21	38	2.24	40,600	14,700
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-22	38	2.2489	55,300	14,700
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-23	38	2.245	66,000	10,700
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-24	38	2.2426	80,700	14,700
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-25	38	2.21	81,100	400
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-28	38	2.25	88,300	7,200
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-29	38	2.2485	103,000	14,700
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-30	38	2.24	105,600	2,600
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2020-09-30	38		0	-105,600
Elementos Limited	Common Shares	Wellings, Mark	3	2020-08-14	16	0.055	437,268,686	36,363,637
Elixer Ltd.	Common Shares	Zalt, Ferras	4	2020-09-28	10	0.01	13,230,697	463,000
Elixer Ltd.	Common Shares	Zalt, Ferras	4	2020-09-30	10	0.01	18,779,697	5,549,000
Elixer Ltd.	Common Shares	Zalt, Ferras	4	2020-10-01	10	0.01	23,888,697	5,109,000
Emera Incorporated	DSU	Ana-Marie, Codina Barlick	7	2020-09-30	56	55.79	6,843	502
Emera Incorporated	DSU	Ana-Marie, Codina Barlick	7	2020-09-30	30	53.35	6,915	72
Emera Incorporated	DSU	Bertram, James Vance	4	2020-09-30	56	55.79	11,486	1,196
Emera Incorporated	DSU	Bertram, James Vance	4	2020-09-30	30	53.35	11,604	118
Emera Incorporated	DSU	Bradley, Jacqueline Lynette	7	2020-09-30	56	55.79	211	125
Emera Incorporated	DSU	Bradley, Jacqueline Lynette	7	2020-09-30	30	53.35	212	1
Emera Incorporated	DSU	Bragg, James Lee	4	2020-09-30	56	55.79	16,759	191
Emera Incorporated	DSU	Bragg, James Lee	4	2020-09-30	30	53.35	16,949	190
Emera Incorporated	DSU	Chrominska, Sylvia Dolores	4	2020-09-30	56	55.79	43,172	907
Emera Incorporated	DSU	Chrominska, Sylvia Dolores	4	2020-09-30	30	53.35	43,657	485
Emera Incorporated	DSU	Demone, Henry	4	2020-09-30	56	55.79	28,106	642

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Emera Incorporated	DSU	Demone, Henry	4	2020-09-30	30	53.35	28,421	315
Emera Incorporated	DSU	Eisenhauer, James Daniel	7	2020-09-30	56	55.79	61,532	766
Emera Incorporated	DSU	Eisenhauer, James Daniel	7	2020-09-30	30	53.35	62,230	698
Emera Incorporated	DSU	Geraghty, Patrick	7	2020-09-30	56	55.79	1,555	502
Emera Incorporated	DSU	Geraghty, Patrick	7	2020-09-30	30	53.35	1,567	12
Emera Incorporated	DSU	Greer, Sandra	7	2020-09-30	56	55.79	13,627	383
Emera Incorporated	DSU	Greer, Sandra	7	2020-09-30	30	53.35	13,779	152
Emera Incorporated	DSU	Harvey, Kent Michael	4	2020-09-30	56	55.79	8,240	642
Emera Incorporated	DSU	Harvey, Kent Michael	4	2020-09-30	30	53.35	8,327	87
Emera Incorporated	DSU	Iorio, Pam	7	2020-09-30	56	55.79	8,652	502
Emera Incorporated	DSU	Iorio, Pam	7	2020-09-30	30	53.35	8,745	93
Emera Incorporated	DSU	Ivany, Raymond Edmund	7	2020-09-30	56	55.79	25,909	383
Emera Incorporated	DSU	Ivany, Raymond Edmund	7	2020-09-30	30	53.35	26,202	293
Emera Incorporated	DSU	Law, Rhea	7	2020-09-30	56	55.79	8,652	502
Emera Incorporated	DSU	Law, Rhea	7	2020-09-30	30	53.35	8,745	93
Emera Incorporated	DSU	Loewen, Lynn	4	2020-09-30	56	55.79	37,980	1,174
Emera Incorporated	DSU	Loewen, Lynn	4	2020-09-30	30	53.35	38,403	423
Emera Incorporated	DSU	Ramil, John	4	2020-09-30	56	55.79	23,389	1,268
Emera Incorporated	DSU	Ramil, John	4	2020-09-30	30	53.35	23,643	254
Emera Incorporated	DSU	Rivard Dexter, Julia Mary	7	2020-09-30	56	55.79	976	383
Emera Incorporated	DSU	Rivard Dexter, Julia Mary	7	2020-09-30	30	53.35	983	7
Emera Incorporated	DSU	Rodger, Jonathan Mark	7	2020-09-30	56	55.79	1,145	383
Emera Incorporated	DSU	Rodger, Jonathan Mark	7	2020-09-30	30	53.35	1,154	9
Emera Incorporated	DSU	Rosen, Andrea Sarah	4	2020-09-30	56	55.79	72,822	1,205
Emera Incorporated	DSU	Rosen, Andrea Sarah	4	2020-09-30	30	53.35	73,644	822
Emera Incorporated	DSU	Sergel, Richard	4	2020-09-30	56	55.79	23,067	642
Emera Incorporated	DSU	Sergel, Richard	4	2020-09-30	30	53.35	23,324	257
Emera Incorporated	DSU	SHEPPARD, Mary Jacqueline	4	2020-09-30	56	55.79	86,178	1,915
Emera Incorporated	DSU	SHEPPARD, Mary Jacqueline	4	2020-09-30	30	53.35	87,145	967
Emera Incorporated	DSU	Tilk, Jochen	4	2020-09-30	56	55.79	9,531	1,174
Emera Incorporated	DSU	Tilk, Jochen	4	2020-09-30	30	53.35	9,627	96
Emera Incorporated	DSU	Weatherford, Will	7	2020-09-30	56	55.79	1,555	502
Emera Incorporated	DSU	Weatherford, Will	7	2020-09-30	30	53.35	1,567	12
Empire Company Limited	Non-Voting Shares Class A	St-Laurent, Pierre	5	2020-09-29	51		5,009	3,553
Empire Company Limited	Non-Voting Shares Class A	St-Laurent, Pierre	5	2020-09-29	10	38.8	1,456	-3,553
Empire Company Limited	Options	St-Laurent, Pierre	5	2020-09-29	51		243,006	-10,236
Enbridge Inc.	Common Shares	ROONEY, ROBERT ROSS	5	2020-09-30	10	39.2	46,216	4,000
Endeavour Silver Corp.	Common Shares	Castro, Luis Renato	5	2020-09-01	10	5.52	0	-25,000
Endurance Gold Corporation	Common Shares	Boyd, Robert T.	4, 5	2020-09-30	10	0.13	1,725,500	5,000
Endurance Gold Corporation	Common Shares	Boyd, Robert T.	4, 5	2020-10-02	10	0.14	1,730,500	5,000
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Assing, Fernando Rafael	4	2020-08-06	00			
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Assing, Fernando Rafael	4	2020-09-30	46	4.96	3,452	3,452
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Boswell, Robert Stephen	4	2020-09-30	46	4.96	47,003	7,810
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Cormier Jackson, Maureen Ellen	4	2020-09-30	46	4.96	62,371	9,975

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Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Dunn, William Byron	4	2020-09-30	46	4.96	130,072	10,278
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Marshall, H. Stanley	4	2020-09-30	46	4.96	106,652	5,040
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Reinhart, Kevin Jerome	4	2020-09-30	46	4.96	67,155	10,762
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Savidant, Stephen James	4	2020-09-30	46	4.96	206,837	14,230
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Villegas, Juan Carlos	4	2020-09-30	46	4.96	37,127	8,899
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Weill, Michael	4	2020-09-30	46	4.96	65,678	5,040
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	WESLEY, HELEN JUNE	4	2020-09-30	46	4.96	106,998	10,278
Engagement Labs Inc.	Restricted Share Award (RSA)	Boyer, Gilbert	5	2020-10-01	56	0.03	450,925	100,925
Engagement Labs Inc.	Restricted Stock Units	Boyer, Gilbert	5	2020-10-01	38	0.07	54,629	-46,296
Engagement Labs Inc.	Restricted Stock Units	Boyer, Gilbert	5	2020-10-01	38	0.07	46,296	-8,333
Engagement Labs Inc.	Restricted Stock Units	Boyer, Gilbert	5	2020-10-01	38	0.03	0	-46,296
Engagement Labs Inc.	Common Shares	Cazettes de Saint L'Œger, CŒdric	5	2020-09-30	57	0.03	269,857	66,666
Engagement Labs Inc.	Restricted Share Award (RSA)	Cazettes de Saint L'Œger, CŒdric	5	2020-10-01	56	0.03	420,833	70,833
Engagement Labs Inc.	Restricted Stock Units	Cazettes de Saint L'Œger, CŒdric	5	2020-09-30	57	0.03	70,833	-70,833
Engagement Labs Inc.	Restricted Stock Units	Cazettes de Saint L'Œger, CŒdric	5	2020-10-01	38	0.07	24,537	-46,296
Engagement Labs Inc.	Restricted Stock Units	Cazettes de Saint L'Œger, CŒdric	5	2020-10-01	38	0.07	4,167	-20,370
Engagement Labs Inc.	Restricted Stock Units	Cazettes de Saint L'Œger, CŒdric	5	2020-10-01	38	0.05	0	-4,167
Engagement Labs Inc.	Restricted Share Award (RSA)	Keller, Edward	4, 5	2020-10-01	56	0.03	1,360,885	610,885
Engagement Labs Inc.	Restricted Stock Units	Keller, Edward	4, 5	2020-10-01	38	0.07	324,386	-286,499
Engagement Labs Inc.	Restricted Stock Units	Keller, Edward	4, 5	2020-10-01	38	0.07	286,499	-37,887
Engagement Labs Inc.	Restricted Stock Units	Keller, Edward	4, 5	2020-10-01	38	0.03	0	-286,499
Enghouse Systems Limited	Common Shares	Stoyan, Paul James	4	2020-09-29	51	24.235	90,900	20,000
Enghouse Systems Limited	Options Employee Stock Option	Stoyan, Paul James	4	2020-09-29	51	24.235	0	-20,000
Ensign Energy Services Inc.	Rights Deferred Share Units (Common Shares)	Casswell, Gary William	4	2020-09-30	56	0.5698	143,267	26,325
Ensign Energy Services Inc.	Rights Deferred Share Units (Common Shares)	Howe, James Brian	4	2020-09-30	56	0.5698	63,128	10,530
Ensign Energy Services Inc.	Rights Deferred Share Units (Common Shares)	Kangas, Leonard	4	2020-09-30	56	0.5698	182,351	26,325
Ensign Energy Services Inc.	Common Shares	Moomjian, Jr., Cary A.	4	2020-09-30	46	0.58	482,610	12,931

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Ensign Energy Services Inc.	Rights Deferred Share Units (Common Shares)	Moomjian, Jr., Cary A.	4	2020-09-30	56	0.5698	119,482	13,163
Ensign Energy Services Inc.	Rights Deferred Share Units (Common Shares)	Schroeder, John G.	4	2020-09-30	56	0.5698	226,017	26,325
Ensign Energy Services Inc.	Rights Deferred Share Units (Common Shares)	Surkan, Gail Donelda	4	2020-09-30	56	0.5698	165,013	26,325
Ensign Energy Services Inc.	Common Shares	Whitham, Barth Edward	4	2020-09-30	46	0.58	192,174	25,861
Erdene Resource Development Corporation	Rights Deferred Share Units	Akerley, Peter	4, 5	2020-09-30	56	0.45	1,594,389	16,027
Erdene Resource Development Corporation	Rights Deferred Share Units	Biolik, Anna	4	2020-09-30	56	0.45	222,157	17,556
Erdene Resource Development Corporation	Rights Deferred Share Units	Byrne, John Philip	4	2020-09-30	56	0.45	520,653	20,222
Erdene Resource Development Corporation	Rights Deferred Share Units	Croft, Thomas Layton	4	2020-09-30	56	0.45	327,635	20,222
Erdene Resource Development Corporation	Rights Deferred Share Units	Jenkins, Robert	5	2020-09-30	56	0.45	418,739	14,119
Erdene Resource Development Corporation	Rights Deferred Share Units	MacDonald, Kenneth	4	2020-09-30	56	0.45	166,736	20,222
Erdene Resource Development Corporation	Rights Deferred Share Units	McRae, Cameron	4	2020-09-30	56	0.45	141,341	17,556
Erdene Resource Development Corporation	Rights Deferred Share Units	Mosher, David Vaughn	4	2020-09-30	56	0.45	277,942	22,889
Erdene Resource Development Corporation	Rights Deferred Share Units	Widdup, Hedley James	4	2020-09-30	56	0.45	89,898	17,556
Ero Copper Corp.	Deferred Share Unit (Cash Settled)	Busby, Steven	4	2020-09-30	56		8,879	1,453
Ero Copper Corp.	Deferred Share Unit (Cash Settled)	Eyre, Sally Louise	4	2020-09-30	56		5,787	636
Ero Copper Corp.	Deferred Share Unit (Cash Settled)	Gosselin, Chantal	4	2020-09-30	56		8,191	1,271
Ero Copper Corp.	Common Shares	Wright, John H	4	2020-09-28	10		856,666	-10,000
Ero Copper Corp.	Common Shares	Wright, John H	4	2020-10-02	54	1.2	923,332	66,666
Ero Copper Corp.	Common Shares	Wright, John H	4	2020-10-02	10		873,332	-50,000
Ero Copper Corp.	Warrants	Wright, John H	4	2020-10-02	54		0	-66,666
Ero Copper Corp.	Deferred Share Unit (Cash Settled)	Wubs, Matthew Harley	4	2020-09-30	56		9,222	1,544
Essential Energy Services Ltd.	Rights DSU	Sharpe, Steven Blair	4	2020-09-30	56			32,143
Essential Energy Services Ltd.	Rights DSU	Sharpe, Steven Blair	4	2020-09-30	56		235,819	32,143
Etruscus Resources Corp.	Options Exer 0.36	LAM, GORDON	4	2020-09-21	50	0.36		150,000
Etruscus Resources Corp.	Options Exer 0.36	LAM, GORDON	4	2020-09-21	50	0.36	150,000	150,000
Etruscus Resources Corp.	Options \$0.25 Options	Leikam, Jason	4	2020-09-21	50		175,000	50,000
Euro Manganese Inc.	Common Shares	Blahova, Martina	5	2020-09-24	10	0.16	178,454	9,000

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Euro Manganese Inc.	Common Shares	Blahova, Martina	5	2020-09-24	10	0.17	188,454	10,000
Euro Manganese Inc.	Common Shares	Blahova, Martina	5	2020-09-25	10	0.18	218,454	30,000
Eurolife Brands Inc.	Common Shares	Moniz, Shawn	3, 4, 5	2020-09-24	10	0.205	2,363,764	1,500
Euromax Resources Ltd.	Deferred Phantom Units (DPUs)	Burke, James	4	2020-10-01	46		3,914,981	430,075
Euromax Resources Ltd.	Deferred Phantom Units (DPUs)	Konig, Martyn	4, 5	2020-10-01	46		6,254,897	430,075
Euromax Resources Ltd.	Deferred Phantom Units (DPUs)	Morgan-Wynne, Timothy	4	2020-10-01	46		2,497,861	430,075
Euromax Resources Ltd.	Deferred Phantom Units (DPUs)	Treand, Nicolas	4	2020-10-01	46		2,294,794	430,075
Euromax Resources Ltd.	Deferred Phantom Units (DPUs)	Vutov, Ivan	4	2020-10-01	46		3,168,547	430,075
Excellon Resources Inc.	Common Shares	Cahill, Brendan Thomas	4, 5	2020-09-10	37		129,634	-518,535
Excellon Resources Inc.	Options	Cahill, Brendan Thomas	4, 5	2020-09-10	37		60,000	-240,000
Excellon Resources Inc.	Restricted Share Units	Cahill, Brendan Thomas	4, 5	2020-10-01	56	3.95	679,451	6,329
Excellon Resources Inc.	Deferred Share Units	Curtis, Laurence Wilson	4	2020-10-01	56	3.95	338,295	1,898
Excellon Resources Inc.	Options	Curtis, Laurence Wilson	4	2020-09-10	37		38,000	-152,000
Excellon Resources Inc.	Deferred Share Units	Farncomb, Andrew	4	2020-10-01	56	3.95	317,511	3,955
Excellon Resources Inc.	Options	Farncomb, Andrew	4	2020-09-10	37		33,000	-132,000
Excellon Resources Inc.	Common Shares	Ford, R. Craig	5	2020-09-10	37		58,744	-234,978
Excellon Resources Inc.	Options	Ford, R. Craig	5	2020-09-10	37		5,000	-20,000
Excellon Resources Inc.	Common Shares	Fortier, Andr� Y.	4	2020-09-10	37		49,364	-197,457
Excellon Resources Inc.	Deferred Share Units	Fortier, Andr� Y.	4	2020-10-01	56	3.95	754,739	4,746
Excellon Resources Inc.	Options	Fortier, Andr� Y.	4	2020-09-10	37		28,000	-112,000
Excellon Resources Inc.	Options	Keller, Paul David	5	2020-09-21	00			
Excellon Resources Inc.	Options	Keller, Paul David	5	2020-09-21	50	4.77	30,000	30,000
Excellon Resources Inc.	Restricted Share Units	Keller, Paul David	5	2020-09-21	00			
Excellon Resources Inc.	Restricted Share Units	Keller, Paul David	5	2020-09-21	56		30,000	30,000
Excellon Resources Inc.	Common Shares	Ladd-Kruger, Anna	4	2020-09-10	37		22,000	-88,000
Excellon Resources Inc.	Common Shares	Ladd-Kruger, Anna	4	2020-09-10	37		5,000	-20,000
Excellon Resources Inc.	Deferred Share Units	Ladd-Kruger, Anna	4	2019-06-26	00			
Excellon Resources Inc.	Deferred Share Units	Ladd-Kruger, Anna	4	2020-10-01	56	3.95		10,000
Excellon Resources Inc.	Deferred Share Units	Ladd-Kruger, Anna	4	2020-10-01	56	3.95		10,000
Excellon Resources Inc.	Deferred Share Units	Ladd-Kruger, Anna	4	2020-10-01	56	3.95	10,000	10,000
Excellon Resources Inc.	Options	Ladd-Kruger, Anna	4	2020-09-10	37		75,000	-300,000
Excellon Resources Inc.	Restricted Share Units	Ladd-Kruger, Anna	4	2020-10-01	38		0	-200,000

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Excellon Resources Inc.	Common Shares	Lindsay, Craig Thomas	4	2020-09-10	37		299,845	-1,199,382
Excellon Resources Inc.	Common Shares	Lindsay, Craig Thomas	4	2020-09-10	37		131,846	-527,382
Excellon Resources Inc.	Deferred Share Units	Lindsay, Craig Thomas	4	2020-10-01	56	3.95	87,343	2,531
Excellon Resources Inc.	Common Shares	Marino Sanchez, Ronald Anibal	5	2020-09-10	37		18,510	-74,040
Excellon Resources Inc.	Common Shares	Norwich, Roger	4	2020-09-10	37		429,065	-1,716,260
Excellon Resources Inc.	Deferred Share Units	Norwich, Roger	4	2020-09-10	37		16,226	-64,907
Excellon Resources Inc.	Deferred Share Units	Norwich, Roger	4	2020-09-30	56		19,390	3,164
Excellon Resources Inc.	Common Shares	Pullinger, Benjamin	5	2020-09-10	37		61,441	-245,766
Excellon Resources Inc.	Options	Pullinger, Benjamin	5	2020-09-10	37		57,000	-228,000
Excellon Resources Inc.	Common Shares	Timmins, Michael	4	2020-09-10	37		7,680	-30,720
Excellon Resources Inc.	Deferred Share Units	Timmins, Michael	4	2020-10-01	56	3.95	143,043	3,860
Excellon Resources Inc.	Options	Timmins, Michael	4	2020-09-10	37		20,000	-80,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2019-09-16	38	6.875	12,200	3,200
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-15	38	6.8	103,300	100,100
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-17	38	6.7555	109,800	6,500
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-18	38	7.0875	116,300	6,500
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-21	38	6.6309	117,400	1,100
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-22	38	6.6284	119,900	2,500
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-22	38		3,600	-116,300
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-23	38	6.55	153,600	150,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-24	38	6.5136	155,800	2,200
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-25	38	8.2121	157,700	1,900
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-28	38	6.62	365,300	207,600
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-29	38		207,600	-157,700
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2020-09-30	38		0	-207,600
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-01	10	6.5	7,342,800	600
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-01	10	6.52	7,343,100	300
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-01	10	6.53	7,343,600	500
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-01	10	6.54	7,344,700	1,100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-01	10	6.55	7,347,800	3,100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-01	10	6.58	7,349,700	1,900
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-01	10	6.59	7,349,900	200
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-01	10	6.6	7,350,200	300

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Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-02	10	6.57	7,350,600	400
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-02	10	6.58	7,352,100	1,500
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-02	10	6.59	7,353,200	1,100
Exco Technologies Limited	Common Shares	Kernaghan, Edward Hume	3, 4	2020-10-02	10	6.6	7,354,800	1,600
Exco Technologies Limited	Deferred Share Units	Kernaghan, Edward Hume	3, 4	2020-09-30	50	6.6	29,936	758
Exco Technologies Limited	Deferred Share Units	Magee, Robert Basil	4	2020-09-30	50	6.6	29,881	1,894
Exco Technologies Limited	Deferred Share Units	McMorrow, Colleen	4	2020-09-30	50	6.6	9,067	758
Exco Technologies Limited	Deferred Share Units	Riganelli, Paul	5	2020-09-30	50	6.6	7,160	758
Exco Technologies Limited	Deferred Share Units	Turnbull, AnneMarie	4	2020-09-30	50	6.6	4,933	758
Experion Holdings Ltd. (formerly Viridium Pacific Group Ltd.)	Common Shares	Malnarich, Jarrett	4, 5	2017-09-27	00			
Experion Holdings Ltd. (formerly Viridium Pacific Group Ltd.)	Common Shares	Malnarich, Jarrett	4, 5	2020-09-29	10	0.087	100,000	100,000
Exploits Discovery Corp.	Common Shares	Collins, John Michael William	3	2020-10-01	16	0.35	709,000	114,000
Exploits Discovery Corp.	Warrants	Collins, John Michael William	3	2020-07-27	00			
Exploits Discovery Corp.	Warrants	Collins, John Michael William	3	2020-10-01	16		57,000	57,000
Extendicare Inc.	Common Shares	Manji, Samir Aziz	4	2019-05-30	00			
Extendicare Inc.	Common Shares	Manji, Samir Aziz	4	2020-09-24	10	5.27	10,000	10,000
Extendicare Inc.	Common Shares	Mir, Ali Mohammad	7	2019-05-13	00			
Extendicare Inc.	Common Shares	Mir, Ali Mohammad	7	2019-05-13	00			
Extendicare Inc.	Common Shares	Mir, Ali Mohammad	7	2020-09-29	10	5.3139	18,875	18,875
Falco Resources Ltd. (formerly Falco Pacific Resource Group Inc.)	Options	Cartier, HØIΦne	5	2018-12-24	52		383,881	-110,004
Falco Resources Ltd. (formerly Falco Pacific Resource Group Inc.)	Options	Cartier, HØIΦne	5	2019-03-11	52		293,300	-90,581
Falco Resources Ltd. (formerly Falco Pacific Resource Group Inc.)	Options	Lessard, Luc	4, 5	2020-03-04	52		2,411,583	-840,000
FAX Capital Corp.	Deferred Share Units	Gibbons, Paul Joseph	4	2020-09-30	56		2,604	1,159
FAX Capital Corp.	Deferred Share Units	Jackson, Edward Vincent	4	2020-09-30	56		4,340	1,931
FAX Capital Corp.	Deferred Share Units	Potter, Franklin Norris	4	2020-09-30	56		10,413	4,633
FireFox Gold Corp.	Common Shares	Magnus Minerals Ltd.	3	2020-09-24	10	0.16	9,504,000	82,500
FireFox Gold Corp.	Common Shares	Magnus Minerals Ltd.	3	2020-09-25	10	0.16	9,524,000	20,000
Firm Capital Mortgage Investment Corporation	Common Shares	Gilbert, Edward Allen	4	2020-09-30	10	11.54	15,733	200
Firm Capital Mortgage Investment Corporation	Common Shares	Gilbert, Edward Allen	4	2020-10-01	10	11.59	16,300	567
Firm Capital Mortgage Investment Corporation	Common Shares	Gilbert, Edward Allen	4	2020-09-30	10	11.66	23,300	1,717
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-08-28	38	5.6	244,000	4,800
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-08-31	38	5.6	30,900	26,100
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-01	38	5.6		4,800

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Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-01	38	5.6		4,800
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-01	38	5.6		4,800
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-01	38	5.6		4,800
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-02	38	5.6		26,100
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-02	38	5.6		26,100
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-02	38	5.6	39,500	8,600
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-03	38	5.6	54,700	15,200
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-04	38	5.6		8,600
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-04	38	5.6		8,600
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-08	38	5.5	61,600	6,900
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-09	38	5.5	94,300	32,700
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-10	38	5.5	139,200	44,900
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-18	38	5.25	139,800	600
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-21	38	5.25	142,700	2,900
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-21	38	5.25	164,600	21,900
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-23	38	5.25	196,900	32,300
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-24	38	5.25	219,700	22,800
Firm Capital Property Trust	Units	Firm Capital Property Trust	1	2020-09-30	38	5.44	0	-219,700
Firm Capital Property Trust	Units	WALT, MANFRED	4	2020-10-02	10	5.17	208,111	4,800
First Capital Real Estate Investment Trust	Trust Units	Segal, Dori	4	2020-09-29	10	12.81	1,326,802	4,000
First Energy Metals Limited	Common Shares	Sangha, Gurminder Singh	4, 5	2020-09-28	10	0.17	376,667	10,000
First Energy Metals Limited	Common Shares	Sangha, Gurminder Singh	4, 5	2020-09-28	10	0.17	386,667	10,000
First Energy Metals Limited	Common Shares	Sangha, Gurminder Singh	4, 5	2020-10-01	10	0.2	392,167	5,500
First Energy Metals Limited	Common Shares	Sangha, Gurminder Singh	4, 5	2020-10-02	10	0.195	400,167	8,000
First Majestic Silver Corp.	Common Shares	Anthony, Todd Olson	5	2020-09-28	10	12.77	70,000	2,500
First Quantum Minerals Ltd	Common Shares	Hogenson, Kathleen Applegate	4	2020-09-30	30	11.84	44,219	1,245
First Quantum Minerals Ltd	Common Shares	Hogenson, Kathleen Applegate	4	2020-09-30	30	11.89	44,239	20
First Quantum Minerals Ltd	Common Shares	Scott, Simon John	4	2020-09-30	30	11.84	20,457	2,105
First Quantum Minerals Ltd	Common Shares	Scott, Simon John	4	2020-09-30	30	11.89	20,492	35
First Quantum Minerals Ltd	Common Shares	St. George, Peter	4	2020-09-30	30	11.84		1,245
First Quantum Minerals Ltd	Common Shares	St. George, Peter	4	2020-09-30	30	11.84	511,686	1,245
First Quantum Minerals Ltd	Common Shares	St. George, Peter	4	2020-09-30	30	11.89	511,706	20
First Responder Technologies Inc.	Options	Campbell, Erin	4	2020-09-30	00		200,000	

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FIRSTSERVICE CORPORATION	Common Shares	Rakusin, Jeremy Alan	5	2020-09-25	10	170.16	176,200	-1,000
FIRSTSERVICE CORPORATION	Common Shares	Rakusin, Jeremy Alan	5	2020-09-25	10	170.5	175,200	-1,000
FIRSTSERVICE CORPORATION	Common Shares	Rakusin, Jeremy Alan	5	2020-09-25	10	171	173,000	-2,200
FIRSTSERVICE CORPORATION	Common Shares	Rakusin, Jeremy Alan	5	2020-09-28	10	174.5	172,000	-1,000
FIRSTSERVICE CORPORATION	Common Shares	Rakusin, Jeremy Alan	5	2020-09-28	10	176	171,000	-1,000
FIRSTSERVICE CORPORATION	Common Shares	Rakusin, Jeremy Alan	5	2020-09-29	10	175	170,000	-1,000
Flagship Communities Real Estate Investment Trust	Trust Units	MHC Management, LLC	3	2020-08-12	00		1	
Flow Capital Corp. (formerly LOGIQ Asset Management Inc.)	Common Shares	Zych, Michael Louis	4	2020-09-29	10	0.295	1,189,000	349,000
Foran Mining Corporation	Rights DSU	Morcombe, Darren James	4	2020-09-30	56	0.17	2,365,084	129,190
Foran Mining Corporation	Rights DSU	Petroff, David Michael	4	2020-09-30	56	0.16	292,295	31,250
Foran Mining Corporation	Rights DSU	Tagami, Maurice Alan	4	2020-09-30	56	0.16	292,295	31,250
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-09-28	51	34.27	29,676	2,992
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-09-28	51	33.58	32,180	2,504
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-09-28	51	37.3	33,384	1,204
Fortis Inc.	Common Shares	Gosse, Karen J.	5	2020-09-28	10	54.202	26,684	-6,700
Fortis Inc.	Options	Gosse, Karen J.	5	2020-09-28	51		49,424	-2,992
Fortis Inc.	Options	Gosse, Karen J.	5	2020-09-28	51		46,920	-2,504
Fortis Inc.	Options	Gosse, Karen J.	5	2020-09-28	51		45,716	-1,204
Fortis Inc.	Common Shares	Smith, Gary Joseph	7	2020-09-28	51	33.58	17,084	6,584
Fortis Inc.	Common Shares	Smith, Gary Joseph	7	2020-09-28	10	53.977	10,500	-6,584
Fortis Inc.	Common Shares	Smith, Gary Joseph	7	2020-09-29	51	30.73	24,560	14,060
Fortis Inc.	Common Shares	Smith, Gary Joseph	7	2020-09-29	10	54.578	10,500	-14,060
Fortis Inc.	Options	Smith, Gary Joseph	7	2020-09-28	51		214,284	-6,584
Fortis Inc.	Options	Smith, Gary Joseph	7	2020-09-29	51		200,224	-14,060
Fortune Bay Corp.	Common Shares	Lee, Melinda	4	2020-10-01	00		7,000	
Freehold Royalties Ltd.	Common Shares	Canadian National Railway Company, Administrator of the CN Trust Funds	3	2020-09-30	46	3.69	6,249,907	41,250
FRONTIER LITHIUM INC.	Options	Barker, Bruce	4	2020-09-23	50		1,360,000	510,000
FRONTIER LITHIUM INC.	Options	Didone, John Ralph	4	2020-09-28	97	0.5	550,000	-810,000
FRONTIER LITHIUM INC.	Options	Didone, John Ralph	4	2020-09-28	97	0.5	510,000	-40,000
FRONTIER LITHIUM INC.	Options	Drever, Garth Lloyd	5	2020-09-28	97	0.4	1,050,000	-400,000
FRONTIER LITHIUM INC.	Options	Drever, Garth Lloyd	5	2020-09-28	97	0.5	750,000	-300,000
FRONTIER LITHIUM INC.	Options	Kelly, John G	4	2020-09-28	97	0.4	1,510,000	-150,000
FRONTIER LITHIUM INC.	Options	Kelly, John G	4	2020-09-28	97	0.5	1,260,000	-250,000
FRONTIER LITHIUM INC.	Options	Letwin, Stephen Joseph James	4	2020-09-24	00		760,000	
FRONTIER LITHIUM INC.	Options	MARCOTTE, ERNEST JOSEPH	4	2020-09-28	97	0.4	900,000	-200,000
FRONTIER LITHIUM INC.	Options	Walker, Reginald Frank	3, 4	2020-09-28	97	0.4	1,650,000	-500,000
FRONTIER LITHIUM INC.	Options	Walker, Reginald Frank	3, 4	2020-09-28	97	0.5	1,250,000	-400,000

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FRONTIER LITHIUM INC.	Options	Walker, Trevor	5	2020-09-28	97	0.4	1,900,000	-250,000
FRONTIER LITHIUM INC.	Options	Walker, Trevor	5	2020-09-28	97	0.5	1,300,000	-600,000
FSD Pharma Inc.	Subordinate Voting Shares Class B	Datin, James Anthony	4	2020-09-23	16	3.1025	52,013	32,232
Galway Metals Inc.	Common Shares	Hinchcliffe, Robert	3, 4, 5	2020-09-25	10	1.38	14,166,519	10,000
Galway Metals Inc.	Common Shares	Hinchcliffe, Robert	3, 4, 5	2020-10-01	10	1.37	14,173,519	7,000
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-10	38	5.7	5,200	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-14	38	5.61	6,100	900
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-15	38	5.7	8,700	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-16	38	5.6908	11,300	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-17	38	5.6904	13,900	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-21	38	5.6	16,500	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-22	38	5.35	19,100	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-23	38	5.3	21,700	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-24	38	5.25	24,300	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-25	38	5.0365	26,900	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-28	38	4.9769	29,500	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-29	38	5.16	32,100	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-30	38	5.02	34,700	2,600
Gamehost Inc.	Common Shares	Gamehost Inc.	1	2020-09-30	38		5,200	-29,500
Gamehost Inc.	Common Shares	Thomas, Craig McLeod	5	2020-09-25	10	4.97	4,300	500
GDI Integrated Facility Services Inc.	Deferred Share Units	Blanchet, Suzanne	4	2020-09-30	56		6,722	502
GDI Integrated Facility Services Inc.	Subordinate Voting Shares	Blanchet, Suzanne	4	2018-05-10	00			
GDI Integrated Facility Services Inc.	Subordinate Voting Shares	Blanchet, Suzanne	4	2020-09-30	10	36.93	700	700
GDI Integrated Facility Services Inc.	Deferred Share Units	Boychuk, Michael T.	4	2020-09-30	56		16,666	592
GDI Integrated Facility Services Inc.	Deferred Share Units	GALLOWAY, DAVID ALEXANDER	4	2020-09-30	56		21,358	530
GDI Integrated Facility Services Inc.	Subordinate Voting Shares	MASSE, MICHAEL	7	2018-03-05	00			
GDI Integrated Facility Services Inc.	Subordinate Voting Shares	MASSE, MICHAEL	7	2020-09-28	10	36.95	300	300
GDI Integrated Facility Services Inc.	Subordinate Voting Shares	MASSE, MICHAEL	7	2020-09-28	10	36.97	350	50
GDI Integrated Facility Services Inc.	Subordinate Voting Shares	MASSE, MICHAEL	7	2020-09-28	10	36.96	550	200
GDI Integrated Facility Services Inc.	Deferred Share Units	Roy, Richard G	4	2020-09-30	56		20,802	530
GDI Integrated Facility Services Inc.	Deferred Share Units	Youngman, Carl	4	2020-09-30	56		18,135	530
Gear Energy Ltd.	Options	Gray, Don	3, 4	2020-10-01	52	0.43	490,390	-24,000
GENIUS METALS INC.	Common Shares	Goulet, Guy	5	2020-09-25	10	0.24	1,738,686	6,000
GENIUS METALS INC.	Common Shares	Goulet, Guy	5	2020-09-29	10	0.219	1,743,686	5,000
GENIUS METALS INC.	Common Shares	Goulet, Guy	5	2020-09-30	10	0.2	1,744,186	500
Genworth MI Canada Inc.	Deferred Share Units	Bolger, Andrea Elaine	7	2020-09-30	56	34.52	6,696	344
Genworth MI Canada Inc.	Deferred Share Units	Giffen, Sharon	4	2020-09-30	56	34.52	5,121	460
Genworth MI Canada Inc.	Deferred Share Units	Horn, Sidney M.	4	2020-09-30	56	34.52	54,369	959
Genworth MI Canada Inc.	Deferred Share Units	Parkinson, Neil Reginald	4	2020-09-30	56	34.52	6,141	416

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Geomega Resources Inc.	Options	Cayer, Alain	5	2018-11-21	52	0.42		100,000
Geomega Resources Inc.	Options	Cayer, Alain	5	2018-11-21	52	0.42		-100,000
Geomega Resources Inc.	Options	Cayer, Alain	5	2018-11-21	52	0.42		-100,000
George Weston Limited	Rights -Deferred Share Units	Binning, Paviter Singh	4, 6	2020-10-01	30		1,377	8
George Weston Limited	Rights -Deferred Share Units	Bryant, Warren	7	2020-10-01	30		8,168	44
George Weston Limited	Rights -Deferred Share Units	Ferrier, Andrew Alan	4	2020-10-01	30		5,171	27
George Weston Limited	Rights -Deferred Share Units	Lockhart, Nancy	4	2020-10-01	30		2,685	14
George Weston Limited	Rights -Deferred Share Units	Marwah, Sarabjit	4	2020-10-01	30		14,556	76
George Weston Limited	Rights -Deferred Share Units	Nixon, Gordon Melbourne	4	2020-10-01	30		12,097	64
George Weston Limited	Rights -Deferred Share Units	Prichard, John Robert Stobo	4	2020-10-01	30		47,093	248
George Weston Limited	Rights -Deferred Share Units	Sawyer, Robert	4	2020-10-01	30		7,934	42
George Weston Limited	Rights -Deferred Share Units	Strauss, Christi	4	2020-10-01	30		8,632	46
George Weston Limited	Rights -Deferred Share Units	Stymiest, Barbara Gayle	4	2020-10-01	30		19,895	105
George Weston Limited	Rights -Deferred Share Units	Weston, Alannah Elizabeth	6	2020-10-01	30		7,970	42
George Weston Limited	Common Shares	Weston, W. Galen	3	2003-03-14	00			
George Weston Limited	Common Shares	Weston, W. Galen	3	2020-09-28	47		502,513	502,513
George Weston Limited	Common Shares	Weston, W. Galen	3	2020-09-29	47		755,960	253,447
George Weston Limited	Common Shares	Weston, W. Galen	3	2020-09-30	47		1,011,297	255,337
George Weston Limited	Common Shares	Weston, W. Galen	3	2020-10-01	47		1,417,884	406,587
George Weston Limited	Common Shares	Weston, W. Galen	3	2020-09-28	47		1,523,429	-502,513
George Weston Limited	Common Shares	Weston, W. Galen	3	2020-09-29	47		1,269,982	-253,447
George Weston Limited	Common Shares	Weston, W. Galen	3	2020-09-30	47		1,014,645	-255,337
George Weston Limited	Common Shares	Weston, W. Galen	3	2020-10-01	47		608,058	-406,587
George Weston Limited	Rights -Deferred Share Units	Weston, Willard Galen Garfield	4, 5	2020-10-01	30		2,175	11
GFL Environmental Inc.	Restricted Share Units	Gilbert, Mindy Beth	5	2020-03-02	00			
GFL Environmental Inc.	Restricted Share Units	Gilbert, Mindy Beth	5	2020-09-28	56		36,161	36,161
GFL Environmental Inc.	Restricted Share Units	Grahek, Elizabeth Joy	5	2020-03-02	00			
GFL Environmental Inc.	Restricted Share Units	Grahek, Elizabeth Joy	5	2020-09-28	56		43,312	43,312
GFL Environmental Inc.	Restricted Share Units	Pelosi, Jonathan Lucas (Luke)	5	2020-03-02	00			
GFL Environmental Inc.	Restricted Share Units	Pelosi, Jonathan Lucas (Luke)	5	2020-09-28	56		20,557	20,557
GFL Environmental Inc.	Restricted Share Units	Yorston, Gregory Gerald	5	2020-03-02	00			
GFL Environmental Inc.	Restricted Share Units	Yorston, Gregory Gerald	5	2020-09-28	56		34,385	34,385
Gibson Energy Inc.	Deferred Share Units	Bloom, Douglas Palmer	4	2020-10-01	56	22.01	30,284	1,816

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Gibson Energy Inc.	Deferred Share Units	Brown, Sean	5	2020-10-01	56	22.01	17,574	1,559
Gibson Energy Inc.	Deferred Share Units	Cleary, James Joseph	4	2020-10-01	56	22.01	69,805	2,650
Gibson Energy Inc.	Deferred Share Units	Cotte, Judy Elizabeth	4	2020-10-01	56	22.01	2,182	965
Gibson Energy Inc.	Deferred Share Units	DeGruchy, Kyle John	5	2020-10-01	56	22.01	9,698	1,229
Gibson Energy Inc.	Deferred Share Units	Estey, James	4	2020-10-01	56	22.01	85,520	2,778
Gibson Energy Inc.	Deferred Share Units	Festival, John Larry	4	2020-10-01	56	22.01	15,559	1,816
Gibson Energy Inc.	Deferred Share Units	Lindsay, Michael	7	2020-10-01	56	22.01	12,837	1,137
Gibson Energy Inc.	Deferred Share Units	McRae, Marshall L.	4	2020-10-01	56	22.01	63,490	2,044
Gibson Energy Inc.	Deferred Share Units	Montana, Margaret Cahill	4	2020-08-31	00			
Gibson Energy Inc.	Deferred Share Units	Montana, Margaret Cahill	4	2020-10-01	56	22.01	433	433
Gibson Energy Inc.	Deferred Share Units	Peters, Mary Ellen	4	2020-10-01	56	22.01	54,028	1,287
Gibson Energy Inc.	Deferred Share Units	SPAULDING, STEVEN R.	4, 5	2020-10-01	56	22.01	103,445	2,935
Gibson Energy Inc.	Deferred Share Units	WILSON, SEAN	7	2020-10-01	56	22.01	26,466	1,174
GIGA Metals Corporation	Common Shares	Morris, Robert A.	4	2020-09-25	10	0.6224	0	-100,000
GIGA Metals Corporation	Common Shares	Morris, Robert A.	4	2020-09-28	51	0.35	75,000	75,000
GIGA Metals Corporation	Options	Morris, Robert A.	4	2020-09-28	51	0.35	125,000	-75,000
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Anderson, William D.	4	2020-09-27	56	19.58	64,795	1,149
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Berg, Donald	4	2020-09-27	56	19.58	48,580	4,149
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Bertrand, Maryse	4	2020-09-27	56	19.58	10,803	1,450
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Caira, Marc	4	2020-09-27	56	19.58	19,335	2,528
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Cunningham, Shirley Elizabeth	4	2020-09-27	56	19.58	26,138	2,783
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Goodman, Russell Andrew	4	2020-09-27	56	19.58	43,255	1,482
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Herington, Charles	4	2020-09-27	56	19.58	19,335	2,528
Gildan Activewear Inc.	Deferred Share Units (DSUs)	JOBIN, Luc	4	2020-09-27	56	19.58	6,378	2,451
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Leavitt, Craig Ansel	4	2020-09-27	56	19.58	11,420	1,839
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Martin-Vachon, Anne	4	2020-09-27	56	19.58	34,618	2,298
Global Battery Metals Ltd. (formerly, Redzone Resources Ltd.)	Options	Brown, Gary Duncan	4	2020-09-28	50	0.1	543,000	100,000
Global Battery Metals Ltd. (formerly, Redzone Resources Ltd.)	Options	Matthews, Alan Forrester	4	2020-09-28	50	0.1	518,000	75,000
Global Battery Metals Ltd. (formerly, Redzone Resources Ltd.)	Options	Moriarty, Rebecca	5	2020-09-28	50	0.1	21,000	10,000
Global Battery Metals Ltd. (formerly, Redzone Resources Ltd.)	Options	Murphy, Michael Darren	5	2020-09-28	50	0.1	793,000	200,000

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Global Battery Metals Ltd. (formerly, Redzone Resources Ltd.)	Options	Paiement, Jean-Philippe	5	2020-09-28	50	0.1	275,000	75,000
Global Battery Metals Ltd. (formerly, Redzone Resources Ltd.)	Options	Roberts, Craig A.	4	2020-09-28	50	0.1	575,000	100,000
Global Cannabis Applications Corp.	Common Shares	Hemel, Alexander	4	2015-05-08	00		831,545	
Global Cannabis Applications Corp.	Common Shares	Hemel, Alexander	4	2020-09-25	16	0.03	81,545	-750,000
Global Cannabis Applications Corp.	Common Shares	Hemel, Alexander	4	2020-09-25	16	0.022	4,627,000	4,545,455
Global Cannabis Applications Corp.	Warrants	Hemel, Alexander	4	2015-05-08	00			
Global Cannabis Applications Corp.	Warrants	Hemel, Alexander	4	2020-09-25	16		4,545,455	4,545,455
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	2020-09-29	38	12.161	5,399,205	1,200
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	2020-09-30	38	12.161	5,400,005	800
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	2020-09-29	38	12.552	1,184,200	500
Global Innovation Dividend Fund	Trust Units	Global Innovation Dividend Fund	1	2020-09-30	38	12.581	1,186,400	2,200
Global Real Estate & E-Commerce Dividend Fund	Trust Units	Global Real Estate & E-Commerce Dividend Fund	1	2020-09-25	38	13.178	651,000	500
Global Real Estate & E-Commerce Dividend Fund	Trust Units	Global Real Estate & E-Commerce Dividend Fund	1	2020-09-30	38	13.508	651,500	500
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Appel, David Harry	4	2020-09-30	56	61.66	67,613	326
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Basian, Karen	4	2020-09-30	56	61.66	24,992	366
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Deakin, Tara	4	2020-09-30	56	61.66	341	230
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Doniz, Susan	4	2020-09-30	56	61.66	5,218	54
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-09-28	38	63.664	25,260	9,020
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-09-29	38		9,020	-16,240
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-09-29	38	63.416	15,340	6,320
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-09-30	38	65.434	23,760	8,420
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-10-01	38	65.729	32,180	8,420
goeasy Ltd. (formerly, easyhome Ltd.)	Common Shares	goeasy Ltd	1	2020-10-02	38	66.788	39,800	7,620
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Johnson, Donald Kenneth	3, 4	2020-09-30	56	61.66	77,377	483
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Moore, James	4	2020-09-30	56	61.66	397	54
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Morrison, Sean	4	2020-09-30	56	61.66	11,522	325
GoGold Resources Inc.	Common Shares	Turner, John Stephen Morris	4	2020-09-23	10	1.437	330,000	3,000
GoGold Resources Inc.	Common Shares	Turner, John Stephen Morris	4	2020-09-23	10	1.416	332,000	2,000
GoGold Resources Inc.	Common Shares	Turner, John Stephen Morris	4	2020-09-29	10	1.5	337,000	5,000
GoGold Resources Inc.	Common Shares	Turner, John Stephen Morris	4	2020-09-23	10	1.421	458,000	10,000

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GoGold Resources Inc.	Common Shares	Turner, John Stephen Morris	4	2020-09-29	10	1.5	468,000	10,000
GoGold Resources Inc.	common share options	Waye, George Frederick	4	2020-09-29	51	1.2	600,000	-50,000
GoGold Resources Inc.	common share options	Waye, George Frederick	4	2020-09-29	51	0.75	500,000	-100,000
GoGold Resources Inc.	common share options	Waye, George Frederick	4	2020-09-29	51	0.45	466,667	-33,333
GoGold Resources Inc.	common share options	Waye, George Frederick	4	2020-09-29	51	0.22		-66,677
GoGold Resources Inc.	common share options	Waye, George Frederick	4	2020-09-29	51	0.22	400,000	-66,667
GoGold Resources Inc.	Common Shares	Waye, George Frederick	4	2020-09-29	51	1.2	817,949	50,000
GoGold Resources Inc.	Common Shares	Waye, George Frederick	4	2020-09-29	51	0.75	917,949	100,000
GoGold Resources Inc.	Common Shares	Waye, George Frederick	4	2020-09-29	51	0.45	951,282	33,333
GoGold Resources Inc.	Common Shares	Waye, George Frederick	4	2020-09-29	51	0.22	1,017,949	66,667
Gold Springs Resource Corp. (formerly, TriMetals Mining Inc.)	Common Shares	Herrero, Matias	5	2020-09-29	10	0.125	91,500	2,500
Gold Standard Ventures Corp.	Common Shares	Awde, Jonathan Charles Timothy	4, 6, 5	2020-10-02	10	1.05	2,080,500	50,000
Gold Standard Ventures Corp.	Common Shares	Awde, Jonathan Charles Timothy	4, 6, 5	2020-10-02	10	1.04	2,105,500	25,000
Goldgroup Mining Inc.	Common Shares	Reyes, Javier	4	2020-07-31	16	0.03		8,333,300
Goldgroup Mining Inc.	Common Shares	Reyes, Javier	4	2020-08-31	16	0.03	8,333,300	8,333,300
Goldgroup Mining Inc.	Warrants	Reyes, Javier	4	2020-07-31	53			4,166,650
Goldgroup Mining Inc.	Warrants	Reyes, Javier	4	2020-08-31	53		4,166,650	4,166,650
Goldgroup Mining Inc.	Common Shares	Silbernagel, Corry Jonathan	4	2020-07-31	16	0.03		2,940,000
Goldgroup Mining Inc.	Common Shares	Silbernagel, Corry Jonathan	4	2020-08-31	16	0.03		2,940,000
Goldgroup Mining Inc.	Common Shares	Silbernagel, Corry Jonathan	4	2020-08-31	16	0.03		2,940,000
Goldgroup Mining Inc.	Common Shares	Silbernagel, Corry Jonathan	4	2020-08-31	16	0.03	2,940,000	2,940,000
Goldgroup Mining Inc.	Warrants	Silbernagel, Corry Jonathan	4	2020-07-31	53			1,470,000
Goldgroup Mining Inc.	Warrants	Silbernagel, Corry Jonathan	4	2020-08-31	53		1,470,000	1,470,000
GoldMining Inc. (formerly Brazil Resources Inc.)	Common Shares	Still, Alastair	5	2020-10-01	00		12,500	
GoldMining Inc. (formerly Brazil Resources Inc.)	Options	Still, Alastair	5	2020-10-01	00		200,000	
GoldMining Inc. (formerly Brazil Resources Inc.)	Rights Restricted Share	Still, Alastair	5	2020-10-01	00		37,500	
good natured Products Inc.	Common Shares	Holmstrom, Donald Leonard	7	2020-09-30	16	0.14	322,639	75,000
good natured Products Inc.	Warrants	Holmstrom, Donald Leonard	7	2020-09-30	16	0.21	103,499	37,500
good natured Products Inc.	Common Shares	Labonte, Michel	5	2020-09-30	16	0.14	340,902	71,500
good natured Products Inc.	Warrants	Labonte, Michel	5	2020-09-30	16	0.21	66,748	35,750
good natured Products Inc.	Common Shares	Zadra, Jim	4	2020-09-30	16	0.14	518,568	150,000
good natured Products Inc.	Warrants	Zadra, Jim	4	2020-09-30	16	0.21	371,182	75,000
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Awada, Mohammed	5	2019-10-10	00			
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Awada, Mohammed	5	2020-09-29	56		16,088	16,088

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Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Cuggy, Neil	3, 4, 6, 5	2020-09-29	56			87,560
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Cuggy, Neil	3, 4, 6, 5	2020-09-29	50		428,395	87,560
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Cuggy, Neil	3, 4, 6, 5	2017-06-01	00			
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Cuggy, Neil	3, 4, 6, 5	2020-09-29	56		43,436	43,436
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Ferrari, Jonathan	3, 4, 6, 5	2020-09-29	56			87,560
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Ferrari, Jonathan	3, 4, 6, 5	2020-09-29	50		428,395	87,560
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Ferrari, Jonathan	3, 4, 6, 5	2017-06-01	00			
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Ferrari, Jonathan	3, 4, 6, 5	2020-09-29	56		43,436	43,436
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Hill, Hamnett	3, 4, 6	2020-09-29	56			9,729
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Hill, Hamnett	3, 4, 6	2020-09-29	50		84,729	9,729
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Hill, Hamnett	3, 4, 6	2017-06-01	00			
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Hill, Hamnett	3, 4, 6	2020-09-29	56		4,826	4,826
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Olds, Donald John	4	2020-09-29	56			9,729
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Olds, Donald John	4	2020-09-29	50		84,729	9,729
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Olds, Donald John	4	2017-06-01	00			
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Olds, Donald John	4	2020-09-29	56		4,826	4,826
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	St-Cyr Adam, Philippe	5	2020-09-29	56			38,916
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	St-Cyr Adam, Philippe	5	2020-09-29	50		362,120	38,916
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	St-Cyr Adam, Philippe	5	2018-03-23	00			
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	St-Cyr Adam, Philippe	5	2020-09-29	56		19,305	19,305
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Vimard, Francois	4	2020-09-29	50		34,729	9,729
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Vimard, Francois	4	2019-08-15	00			
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Vimard, Francois	4	2020-09-29	56		4,826	4,826

**Insider Reporting**

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Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Options	Yanofsky, Terry	4	2020-09-29	50		34,729	9,729
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Yanofsky, Terry	4	2019-05-13	00			
Goodfood Market Corp. (formerly Mira VII Acquisition Corp.)	Rights Restricted Share Units (RSU)	Yanofsky, Terry	4	2020-09-29	56		4,826	4,826
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	2020-09-30	10	0.23	19,534,730	-120,800
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	2020-09-30	10	0.23	24,209,990	-150,500
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	2020-09-30	10	0.23	34,279,159	-212,700
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	2020-09-30	10	0.23	3,227,700	-20,000
Gran Tierra Energy Inc.	Common Shares	Mah, Glen	5	2020-09-29	30	0.33	8,024	2,440
Grande West Transportation Group Inc.	Common Shares	Buckle, Danial	5	2020-09-30	57		297,900	150,000
Grande West Transportation Group Inc.	Rights Rights Restricted Share Units	Buckle, Danial	5	2020-09-30	57		0	-150,000
Grande West Transportation Group Inc.	Common Shares	LaGourgue, John	4	2020-09-30	57		435,526	150,000
Grande West Transportation Group Inc.	Rights Rights Restricted Share Units	LaGourgue, John	4	2020-09-30	57		30,000	-150,000
Grande West Transportation Group Inc.	Common Shares	White, James David Alexander	4	2020-09-28	10	0.63	580,616	42,000
Grande West Transportation Group Inc.	Common Shares	White, James David Alexander	4	2020-09-29	10	0.62	607,616	27,000
Graphite Energy Corp.	Common Shares	Gold Port Corporation	3	2019-04-30	37		500,000	-4,500,000
Graphite Energy Corp.	Common Shares	Gold Port Corporation	3	2020-07-23	10	0.35		-348,500
Graphite Energy Corp.	Common Shares	Gold Port Corporation	3	2020-07-23	10	0.35		-348,500
Graphite Energy Corp.	Common Shares	Gold Port Corporation	3	2020-07-23	10	0.375		-1,500
Graphite Energy Corp.	Common Shares	Gold Port Corporation	3	2020-07-23	10	0.375		-1,500
Graphite Energy Corp.	Common Shares	Gold Port Corporation	3	2020-07-23	10	0.35	151,500	-348,500
Graphite Energy Corp.	Common Shares	Gold Port Corporation	3	2020-07-23	10	0.375	150,000	-1,500
Graphite Energy Corp.	Common Shares	Gold Port Corporation	3	2020-09-29	11	0.45	75,000	-75,000
Graphite Energy Corp.	Common Shares	hobkirk, adrian frederick	4, 5	2019-04-30	37		3,000	-27,000
Graycliff Exploration Ltd.	Convertible Debentures Sept 24 2020	Macintosh, James Maitland	4, 5	2019-10-01	00			
Graycliff Exploration Ltd.	Convertible Debentures Sept 24 2020	Macintosh, James Maitland	4, 5	2020-09-24	16		\$6,000	\$6,000
Great Bear Royalties Corp.	Common Shares	Morrison, Calum M.	5	2020-09-29	51		37,500	36,250
Great Bear Royalties Corp.	Options	Morrison, Calum M.	5	2020-09-29	51		0	-36,250
Great Bear Royalties Corp.	Common Shares	Robins, John Edward	5	2020-09-30	10			-43,106

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Great Bear Royalties Corp.	Common Shares	Robins, John Edward	5	2020-09-30	10		544,743	43,106
Great Bear Royalties Corp.	Warrants	Robins, John Edward	5	2020-09-30	54		0	-43,106
Great Canadian Gaming Corporation	Units Deferred Shares	Davis, Mark	4	2020-09-30	56	23.5	20,809	2,127
Great Canadian Gaming Corporation	Common Shares	Keeling, Chuck	7	2020-10-01	51	16.1	45,200	25,000
Great Canadian Gaming Corporation	Common Shares	Keeling, Chuck	7	2020-10-01	10	24.25	25,271	-19,929
Great Canadian Gaming Corporation	Options	Keeling, Chuck	7	2020-10-01	51	16.1	35,000	-25,000
Great Canadian Gaming Corporation	Units Deferred Shares	Keilty, Karen Anne	4	2020-09-30	56	23.5	12,996	2,127
Great Canadian Gaming Corporation	Units Deferred Shares	Meredith, Peter	4	2020-09-30	56	23.5	69,776	2,925
Great-West Lifeco Inc.	Deferred Share Units	Amend, Michael Ryan	4	2020-09-30	56	26.068	28,442	3,166
Great-West Lifeco Inc.	Deferred Share Units	Barrett, Deborah Jean	4	2020-09-30	56	26.068	23,948	2,542
Great-West Lifeco Inc.	Deferred Share Units	Bibeau, Marc A.	6	2020-09-30	30	26.02	3,487	58
Great-West Lifeco Inc.	Deferred Share Units	Bienfait, Roberta Ann	4	2020-09-30	56	26.071	11,080	1,986
Great-West Lifeco Inc.	Deferred Share Units	Conway, Heather	4	2020-09-30	56	26.072	11,761	2,221
Great-West Lifeco Inc.	Deferred Share Units	Coutu, Marcel R.	4	2020-09-30	56	26.061	82,222	4,887
Great-West Lifeco Inc.	Deferred Share Units	Desmarais, Andr�	4, 6	2020-09-30	56	26.047	216,528	6,960
Great-West Lifeco Inc.	Deferred Share Units	Desmarais, Olivier	7	2020-09-30	56	26.063	30,863	2,066
Great-West Lifeco Inc.	Deferred Share Units	Desmarais, Paul III	7	2020-09-30	30	26.02	24,399	404
Great-West Lifeco Inc.	Deferred Share Units	Desmarais, Paul Jr.	4, 6	2020-09-30	56	26.055	54,049	2,309
Great-West Lifeco Inc.	Deferred Share Units	Doer, Gary Albert	4, 6	2020-09-30	56	26.067	15,828	1,617
Great-West Lifeco Inc.	Deferred Share Units	Fuller, David	4	2020-09-30	56	26.068	17,655	1,871
Great-West Lifeco Inc.	Deferred Share Units	G�nOreux, Claude	4	2020-09-30	56	26.064	64,784	4,776
Great-West Lifeco Inc.	Deferred Share Units	Jackson, John David Allan	4	2020-09-30	56	26.063	18,220	1,244
Great-West Lifeco Inc.	Deferred Share Units	Lempres, Elizabeth Cahill	4	2020-09-30	55	26.069	11,594	1,446
Great-West Lifeco Inc.	Deferred Share Units	Madoff, Paula Beth	4	2020-09-30	56	26.069	16,506	2,076
Great-West Lifeco Inc.	Deferred Share Units	Mahon, Paul	5	2020-09-30	56	26.061	197,675	4,666
Great-West Lifeco Inc.	Deferred Share Units	McArthur, Susan J.	4	2020-09-30	56	26.067	27,642	2,767
Great-West Lifeco Inc.	Deferred Share Units	Murphy, Edmund Francis	7	2020-09-30	56	26.072	5,594	1,190
Great-West Lifeco Inc.	Deferred Share Units	Orr, Robert Jeffrey	4, 6	2020-09-30	56	26.036	213,369	4,887
Great-West Lifeco Inc.	Deferred Share Units	Plessis-B�lair, Michel	6	2020-09-30	30	26.02	33,487	554
Great-West Lifeco Inc.	Deferred Share Units	Reynolds, Robert Lloyd	7	2020-09-30	56	26.064	19,365	1,418
Great-West Lifeco Inc.	Deferred Share Units	Ryan, Thomas Timothy, Jr.	4, 6	2020-09-30	56	26.063	87,203	5,933
Great-West Lifeco Inc.	Deferred Share Units	Selitto, Jerome John	4	2020-09-30	56	26.064	31,020	2,316
Great-West Lifeco Inc.	Deferred Share Units	Tretiak, Gregory Dennis	4, 6	2020-09-30	56	26.062	32,706	2,038

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Great-West Lifeco Inc.	Deferred Share Units	Vanaselja, Siim A.	4, 6	2020-09-30	56	26.062	51,261	3,346
Great-West Lifeco Inc.	Deferred Share Units	Walsh, Brian, E.	4	2020-09-30	56	26.055	123,740	5,421
Green Thumb Industries Inc.	Subordinate Voting Shares	Kadens, Pete Albert	3	2020-09-29	10	17.19	253,886	-50,000
Greenlane Renewables Inc.	Common Shares	Blaiklock, David	4	2020-09-24	11	0.185	1,846,660	516,363
Greenlane Renewables Inc.	Warrants	Blaiklock, David	4	2020-09-24	11		319,331	258,182
Greenlane Renewables Inc.	Common Shares	Demers, David Robert	4	2020-09-24	11	0.185	3,138,660	516,363
Greenlane Renewables Inc.	Warrants	Demers, David Robert	4	2020-09-24	11		1,069,329	258,181
Greenlane Renewables Inc.	Common Shares	Douville, Brad Donald	4, 5	2020-09-24	11	0.185	4,354,500	1,760,330
Greenlane Renewables Inc.	Warrants	Douville, Brad Donald	4, 5	2020-09-24	11		2,177,250	880,165
Greenlane Renewables Inc.	Common Shares	Nesmith, Wade Donald	4, 5	2020-09-24	11	0.185	3,331,160	516,364
Greenlane Renewables Inc.	Warrants	Nesmith, Wade Donald	4, 5	2020-09-24	11		1,165,580	258,182
Group Eleven Resources Corp.	Options	Cahill, Brendan Thomas	4	2020-10-02	50	0.09	250,000	150,000
Group Eleven Resources Corp.	Rights Deferred Share Units	Cahill, Brendan Thomas	4	2020-10-02	56		388,888	222,222
Group Eleven Resources Corp.	Options	Elsdon, Sheryl	5	2020-10-02	50	0.09	155,000	50,000
Group Eleven Resources Corp.	Options	Furlong, David Andrew	5	2020-10-02	50	0.09	800,000	150,000
Group Eleven Resources Corp.	Options	MacInnis, Daniel Thomas	4	2020-10-02	50	0.09	250,000	150,000
Group Eleven Resources Corp.	Rights Rights Deferred Share Units	MacInnis, Daniel Thomas	4	2020-10-02	56		388,888	222,222
Grown Rogue International Inc.	Common Shares	August, Adam Michael Barnard	7	2020-09-21	10	0.08		54,978
Grown Rogue International Inc.	Common Shares	August, Adam Michael Barnard	7	2020-09-21	10	0.08		54,978
Grown Rogue International Inc.	Common Shares	August, Adam Michael Barnard	7	2020-09-21	10	0.08	1,638,636	54,578
Grown Rogue International Inc.	Common Shares	August, Adam Michael Barnard	7	2020-09-23	10	0.085	1,688,636	50,000
H2O INNOVATION INC.	Common Shares	BLANCHET, Marc	5	2020-09-30	30	1.13	406,824	2,056
H2O INNOVATION INC.	Common Shares	Clairet, Guillaume	5	2020-09-30	30	1.13	413,113	5,861
H2O INNOVATION INC.	Common Shares	Comeau, Robert	4	2020-09-30	30	1.1	195,873	8,054
H2O INNOVATION INC.	Common Shares	Cote, Pierre	4	2020-09-30	30	1.1	54,385	4,408
H2O INNOVATION INC.	Common Shares	Dugr�, Fr�d�ric	4, 5	2020-09-30	30	1.13	864,505	1,934
H2O INNOVATION INC.	Common Shares	Gu�rin, St�phane	4	2020-09-30	30	1.1	9,781	4,408
H2O INNOVATION INC.	Common Shares	HENTHORNE, LISA	4	2020-09-30	30	1.1	86,131	5,843
H2O INNOVATION INC.	Common Shares	Madden, Gregory Lynn	5	2020-09-29	10	1.45	68,770	-6,032
H2O INNOVATION INC.	Common Shares	Madden, Gregory Lynn	5	2020-09-30	30	1.13	75,431	6,661
H2O INNOVATION INC.	Common Shares	Vachon, Ren�	4	2020-09-30	30	1.1	19,600	4,408
Hammond Power Solutions Inc.	Rights Deferred Share Units	David, FitzGibbon	4	2020-09-28	56		16,609	232
Hammond Power Solutions Inc.	Rights Deferred Share Units	GRANOVSKY, DAHRA	4	2020-09-28	56		22,788	318

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Hammond Power Solutions Inc.	Common Shares Class A	HAMMOND, BILL	6	2020-06-30	30		1,064,108	956
Hammond Power Solutions Inc.	Rights Deferred Share Units	HAMMOND, BILL	6	2020-06-30	56			956
Hammond Power Solutions Inc.	Rights Deferred Share Units	HAMMOND, BILL	6	2020-06-30	56			956
Hammond Power Solutions Inc.	Rights Deferred Share Units	HAMMOND, BILL	6	2020-06-30	56			956
Hammond Power Solutions Inc.	Rights Deferred Share Units	HAMMOND, BILL	6	2020-09-28	56		23,432	316
Hammond Power Solutions Inc.	Rights Deferred Share Units	HUETHER, CHRIS	5	2020-09-28	56		22,902	316
Hammond Power Solutions Inc.	Rights Deferred Share Units	Jaques, Frederick Maurice	4	2020-09-28	56		26,185	365
Hammond Power Solutions Inc.	Rights Deferred Share Units	MACADAM, DON	4	2020-09-28	56		16,609	232
Hammond Power Solutions Inc.	Rights Deferred Share Units	Robinson, Grant Cameron	4	2020-09-28	56		19,391	271
Hammond Power Solutions Inc.	Rights Deferred Share Units	Turnbull, AnneMarie	4	2020-09-28	56		1,390	19
Harte Gold Corp.	Common Shares	Bourchier, Frazer William	4, 5	2020-09-21	00			
Harte Gold Corp.	Common Shares	Bourchier, Frazer William	4, 5	2020-09-30	10	0.1578	528,000	528,000
Harte Gold Corp.	Common Shares	Bourchier, Frazer William	4, 5	2020-10-01	10	0.15	648,000	120,000
HealthSpace Data Systems Ltd.	Common Shares	Hakimzadeh, Ali	3, 4	2020-09-29	36		1,990,666	500,000
HealthSpace Data Systems Ltd.	Convertible Debentures	Hakimzadeh, Ali	3, 4	2020-09-29	36		\$0	-\$50,000
Hemlo Explorers Inc. (Formerly, Canadian Orebodies Inc.)	Common Shares	Howlett, Brian	4, 5	2020-09-30	10	0.7	103,000	3,000
Hemlo Explorers Inc. (Formerly, Canadian Orebodies Inc.)	Common Shares	Howlett, Brian	4, 5	2020-10-01	10	0.7	104,500	1,500
High Arctic Energy Services Inc.	Options	Batcup, Simon Paul	4	2018-11-21	51	2.83	100,000	25,000
High Arctic Energy Services Inc.	Options	Batcup, Simon Paul	4	2020-03-16	52	3.64	125,000	25,000
High Arctic Energy Services Inc.	Common Shares	Maguire, Michael Joseph	5	2013-12-02	00			
High Arctic Energy Services Inc.	Common Shares	Maguire, Michael Joseph	5	2013-12-02	00			
High Arctic Energy Services Inc.	Common Shares	Maguire, Michael Joseph	5	2020-09-29	30	0.64	140,000	140,000
High Arctic Energy Services Inc.	Common Shares	Maguire, Michael Joseph	5	2020-09-29	57	0.64	231,567	91,567
High Arctic Energy Services Inc.	Rights Performance Share Units	Maguire, Michael Joseph	5	2020-09-29	30	1.46	391,566	3,427
High Arctic Energy Services Inc.	Rights Performance Share Units	Maguire, Michael Joseph	5	2020-09-29	57	0.64		91,567
High Arctic Energy Services Inc.	Rights Performance Share Units	Maguire, Michael Joseph	5	2020-09-29	57	0.64		91,567
High Arctic Energy Services Inc.	Rights Performance Share Units	Maguire, Michael Joseph	5	2020-09-29	38		299,999	-91,567
High Arctic Energy Services Inc.	Common Shares	Strong, Douglas John	4	2020-09-29	10	0.64	35,000	5,000
High Arctic Energy Services Inc.	Common Shares	Strong, Douglas John	4	2020-09-30	10	0.65	60,000	25,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-08-21	10	0.39	5,239,129	-20,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-08-21	10	0.37	5,219,129	-20,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-08-25	10	0.45	5,194,129	-25,000

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HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-08-25	10	0.46	5,174,129	-20,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-08-28	10	0.48	5,156,129	-18,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-08-31	10	0.48	5,154,129	-2,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-09-02	10	0.46	5,149,129	-5,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-09-02	10	0.45	4,454,129	-695,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-09-02	10	0.49	4,434,129	-20,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-09-03	10	0.55	4,414,129	-20,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-09-04	10	0.6	4,394,129	-20,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-09-10	10	0.7	4,155,129	-239,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-09-23	10	0.5	4,175,129	20,000
HIRE Technologies Inc.	Common Shares	GIC Merchant Bank Corporation	3	2020-09-23	10	0.51	4,195,129	20,000
Home Capital Group Inc.	Common Shares	Bissada, Yousry	4, 5	2020-09-28	57	21.78	10,226	4,961
Home Capital Group Inc.	Common Shares	Bissada, Yousry	4, 5	2020-09-28	10	21.78	7,556	-2,670
Home Capital Group Inc.	Rights Restricted Share Units	Bissada, Yousry	4, 5	2020-09-28	57	21.78	0	-4,961
Home Capital Group Inc.	Common Shares	Kotush, Bradley William	5	2020-09-28	57	21.78	41,667	1,136
Home Capital Group Inc.	Common Shares	Kotush, Bradley William	5	2020-09-28	10	21.78	41,056	-611
Home Capital Group Inc.	Rights Restricted Share Units	Kotush, Bradley William	5	2020-09-28	57	21.78	0	-1,136
Hudson Resources Inc.	Common Shares	Frattaroli, David	4	2019-02-01	00			
Hudson Resources Inc.	Common Shares	Frattaroli, David	4	2020-09-28	10			149,000
Hudson Resources Inc.	Common Shares	Frattaroli, David	4	2020-09-28	10	0.1	149,000	149,000
Hudson Resources Inc.	Common Shares	Frattaroli, David	4	2020-09-29	10			460,400
Hudson Resources Inc.	Common Shares	Frattaroli, David	4	2020-09-29	10	0.11	609,400	460,400
Hudson Resources Inc.	Options	Frattaroli, David	4	2019-02-01	00			
Hudson Resources Inc.	Options	Frattaroli, David	4	2019-02-20	50	0.45	250,000	250,000
Hudson Resources Inc.	Options	Frattaroli, David	4	2020-08-10	50	0.15	600,000	350,000
Hut 8 Mining Corp. (formerly, Oriana Resources Corporation)	Common Shares	Bitfury Holding B.V.	3	2020-09-25	97		3,293,858	-35,000,000
Hut 8 Mining Corp. (formerly, Oriana Resources Corporation)	Rights Restricted Share Units	Flinn, Joseph Patrick	4	2018-08-01	00			
Hut 8 Mining Corp. (formerly, Oriana Resources Corporation)	Rights Restricted Share Units	Flinn, Joseph Patrick	4	2020-09-09	46		42,500	42,500
Hydro One Limited	Rights (Deferred Share Units)	Brant, Cherie Lynn	4	2020-09-30	56	28.22	4,938	362
Hydro One Limited	Rights (Deferred Share Units)	Brant, Cherie Lynn	4	2020-09-30	56	27.38	4,980	42

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Hydro One Limited	Rights (Deferred Share Units)	Cowper-Smith, Garth Blair	4	2020-09-30	56	28.22	5,395	384
Hydro One Limited	Rights (Deferred Share Units)	Cowper-Smith, Garth Blair	4	2020-09-30	56	27.38	5,441	46
Hydro One Limited	Rights (Deferred Share Units)	Hay, David Douglas	4	2020-09-30	56	28.22	4,975	384
Hydro One Limited	Rights (Deferred Share Units)	Hay, David Douglas	4	2020-09-30	56	27.38	5,018	43
Hydro One Limited	Rights (Deferred Share Units)	HODGSON, Timothy Edward	4	2020-09-30	56	28.22	5,861	543
Hydro One Limited	Rights (Deferred Share Units)	HODGSON, Timothy Edward	4	2020-09-30	56	27.38	5,910	49
Hydro One Limited	Rights (Deferred Share Units)	McDONALD, JESSICA	4	2020-09-30	56	28.22	4,938	362
Hydro One Limited	Rights (Deferred Share Units)	McDONALD, JESSICA	4	2020-09-30	56	27.38	4,980	42
Hydro One Limited	Rights (Deferred Share Units)	Mowbray, Stacey	4	2020-07-23	00			
Hydro One Limited	Rights (Deferred Share Units)	Mowbray, Stacey	4	2020-09-30	56	28.22	275	275
Hydro One Limited	Rights (Deferred Share Units)	Robertson, Russel Clark	4	2020-09-30	56	28.22	5,077	384
Hydro One Limited	Rights (Deferred Share Units)	Robertson, Russel Clark	4	2020-09-30	56	27.38	5,120	43
Hydro One Limited	Rights (Deferred Share Units)	Sheffield, William H.	4	2020-09-30	56	28.22	10,505	724
Hydro One Limited	Rights (Deferred Share Units)	Sheffield, William H.	4	2020-09-30	56	27.38	10,596	91
Hydro One Limited	Rights (Deferred Share Units)	SONBERG, Melissa	4	2020-09-30	56	28.22	9,877	724
Hydro One Limited	Rights (Deferred Share Units)	SONBERG, Melissa	4	2020-09-30	56	27.38	9,962	85
Hydro One Limited	Rights (Deferred Share Units)	Wolburgh Jenah, Susan	4	2020-09-30	56	28.22	2,321	724
Hydro One Limited	Rights (Deferred Share Units)	Wolburgh Jenah, Susan	4	2020-09-30	56	27.38	2,336	15
iA Financial Corporation Inc.	Common Shares	Blais, Francois	5	2020-09-29	10	46.29	4,699	2,160
iA Financial Corporation Inc.	unites d'actions differees (uda)- Defered Share Units (DSU)	Daignault, Benoit	4	2020-09-30	56	44.89	2,391	808
iA Financial Corporation Inc.	unites d'actions differees (uda)- Defered Share Units (DSU)	Darveau-Garneau, Nicolas	4	2020-09-30	56	44.89	5,503	757
iA Financial Corporation Inc.	unites d'actions differees (uda)- Defered Share Units (DSU)	Griffin, Emma Kate	4	2020-09-30	56	44.89	1,874	858
iA Financial Corporation Inc.	unites d'actions differees (uda)- Defered Share Units (DSU)	Maillo, Ginette	4	2020-09-30	56	44.89	856	642
iA Financial Corporation Inc.	unites d'actions differees (uda)- Defered Share Units (DSU)	Mercier, Monique	4	2020-09-30	56	44.89	3,146	570
iA Financial Corporation Inc.	unites d'actions differees (uda)- Defered Share Units (DSU)	Poulin, Marc	4	2020-09-30	56	44.89	3,063	870
iA Financial Corporation Inc.	unites d'actions differees (uda)- Defered Share Units (DSU)	TQtu, Louis	4	2020-09-30	56	44.89	9,382	731
IBI Group Inc.	Convertible Debentures 7%	Krywiak, Claudia	4	2018-05-10	00			

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IBI Group Inc.	Convertible Debentures 7%	Krywiak, Claudia	4	2018-05-10	00			
IBI Group Inc.	Convertible Debentures 7%	Krywiak, Claudia	4	2020-10-02	15	100		\$10,000
IBI Group Inc.	Convertible Debentures 7%	Krywiak, Claudia	4	2020-10-02	15	100		\$10,000
IBI Group Inc.	Debentures 6.50% Listed Senior Unsecured Debentures	Krywiak, Claudia	4	2018-05-10	00			
IBI Group Inc.	Debentures 6.50% Listed Senior Unsecured Debentures	Krywiak, Claudia	4	2020-10-01	15	100	\$10,000	\$10,000
IBI Group Inc.	Rights Deferred Unit	Krywiak, Claudia	4	2020-09-30	56	6.54		2,076
IBI Group Inc.	Rights Deferred Unit	Krywiak, Claudia	4	2020-09-30	56	6.54		2,076
IBI Group Inc.	Rights Deferred Unit	Krywiak, Claudia	4	2020-09-30	56		22,271	2,076
IBI Group Inc.	Rights Deferred Unit	Nobrega, Michael Joseph	4	2020-09-30	56	6.54		5,189
IBI Group Inc.	Rights Deferred Unit	Nobrega, Michael Joseph	4	2020-09-30	56	6.54		5,189
IBI Group Inc.	Rights Deferred Unit	Nobrega, Michael Joseph	4	2020-09-30	56		79,697	5,189
IBI Group Inc.	Rights Deferred Unit	Reid, John Oliver	4	2020-09-30	56	6.54		2,076
IBI Group Inc.	Rights Deferred Unit	Reid, John Oliver	4	2020-09-30	56	6.54		2,076
IBI Group Inc.	Rights Deferred Unit	Reid, John Oliver	4	2020-09-30	56		66,516	2,076
IBI Group Inc.	Debentures 6.50% Listed Senior Unsecured Debentures	Richmond, Dale Elson	4	2011-01-01	00			
IBI Group Inc.	Debentures 6.50% Listed Senior Unsecured Debentures	Richmond, Dale Elson	4	2020-10-02	15	100	\$400,000	\$400,000
IBI Group Inc.	Rights Deferred Unit	Richmond, Dale Elson	4	2020-09-30	56	6.54		2,940
IBI Group Inc.	Rights Deferred Unit	Richmond, Dale Elson	4	2020-09-30	56	6.54		2,940
IBI Group Inc.	Rights Deferred Unit	Richmond, Dale Elson	4	2020-09-30	56		314,228	2,940
IBI Group Inc.	Rights Deferred Unit	Sinclair, Paula Winnifred	4	2020-09-30	56	6.54		2,076
IBI Group Inc.	Rights Deferred Unit	Sinclair, Paula Winnifred	4	2020-09-30	56	6.54		2,076
IBI Group Inc.	Rights Deferred Unit	Sinclair, Paula Winnifred	4	2020-09-30	56		3,793	2,076
Igen Networks Corp.	Common Shares	Chan, Neil	4	2020-09-30	10	0.0083	27,026,911	200,000
Igen Networks Corp.	Common Shares	Chan, Neil	4	2020-10-01	10	0.008	27,226,911	200,000
IGM Financial Inc.	Deferred Share Units	Bibeau, Marc A.	4	2020-07-31	30	32.93	48,716	794
IGM Financial Inc.	Deferred Share Units	Bibeau, Marc A.	4	2020-09-30	56	31.02	50,126	1,410
IGM Financial Inc.	Deferred Share Units	Coutu, Marcel R.	4	2020-07-31	30	32.93	24,440	388
IGM Financial Inc.	Deferred Share Units	Coutu, Marcel R.	4	2020-09-30	56	31.02	25,730	1,290
IGM Financial Inc.	Deferred Share Units	Desmarais, Andr�	4, 6	2020-07-31	30	32.93	83,134	1,373

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
IGM Financial Inc.	Deferred Share Units	Desmarais, Andr�	4, 6	2020-09-30	56	31.02	84,484	1,350
IGM Financial Inc.	Deferred Share Units	Desmarais, Olivier	7	2020-07-31	30	32.93	17,754	282
IGM Financial Inc.	Deferred Share Units	Desmarais, Olivier	7	2020-09-30	56	31.02	18,701	947
IGM Financial Inc.	Deferred Share Units	Desmarais, Paul Jr.	4, 6	2020-07-31	30	32.93	42,038	695
IGM Financial Inc.	Deferred Share Units	Desmarais, Paul Jr.	4, 6	2020-09-30	56	31.02	42,713	675
IGM Financial Inc.	Deferred Share Units	Doer, Gary Albert	4, 6	2020-07-31	30	32.93	10,258	158
IGM Financial Inc.	Deferred Share Units	Doer, Gary Albert	4, 6	2020-09-30	56	31.02	11,164	906
IGM Financial Inc.	Deferred Share Units	Doniz, Susan	4	2020-07-31	30	32.93	10,162	154
IGM Financial Inc.	Deferred Share Units	Doniz, Susan	4	2020-09-30	56	31.02	11,130	968
IGM Financial Inc.	Deferred Share Units	G�nOreux, Claude	4	2020-07-31	30	32.93	18,210	281
IGM Financial Inc.	Deferred Share Units	G�nOreux, Claude	4	2020-09-30	56	31.02	19,660	1,450
IGM Financial Inc.	Deferred Share Units	Hodgson, Sharon	4	2020-07-31	30	32.93	15,128	237
IGM Financial Inc.	Deferred Share Units	Hodgson, Sharon	4	2020-09-30	56	31.02	16,206	1,078
IGM Financial Inc.	Deferred Share Units	MacLeod, Sharon	4	2020-07-31	30	32.93	15,484	235
IGM Financial Inc.	Deferred Share Units	MacLeod, Sharon	4	2020-09-30	56	31.02	17,116	1,632
IGM Financial Inc.	Deferred Share Units	McArthur, Susan J.	4	2020-07-31	30	32.93	13,328	200
IGM Financial Inc.	Deferred Share Units	McArthur, Susan J.	4	2020-09-30	56	31.02	14,678	1,350
IGM Financial Inc.	Deferred Share Units	McCallum, John S.	4	2020-07-31	30	32.93	65,804	1,081
IGM Financial Inc.	Deferred Share Units	McCallum, John S.	4	2020-09-30	56	31.02	67,449	1,645
IGM Financial Inc.	Deferred Share Units	Orr, Robert Jeffrey	4, 6	2020-07-31	30	32.93	106,915	1,786
IGM Financial Inc.	Deferred Share Units	Orr, Robert Jeffrey	4, 6	2020-09-30	56	31.02	107,519	604
IGM Financial Inc.	Deferred Share Units	Plessis-B�lair, Michel	4	2020-07-31	30	32.93	14,856	249
IGM Financial Inc.	Deferred Share Units	Tretiak, Gregory Dennis	4, 6	2020-07-31	30	32.93	17,282	272
IGM Financial Inc.	Deferred Share Units	Tretiak, Gregory Dennis	4, 6	2020-09-30	56	31.02	18,249	967
IGM Financial Inc.	Deferred Share Units	Wilson, Elizabeth Diane	4	2020-07-31	30	32.93	9,843	146
IGM Financial Inc.	Deferred Share Units	Wilson, Elizabeth Diane	4	2020-09-30	56	31.02	11,052	1,209
iLOOKABOUT Corp.	Deferred Share Units	bezanson, allan james	4	2020-09-25	56		1,152,787	67,241
iLOOKABOUT Corp.	Deferred Share Units	Drake, John C.	3, 4, 5	2020-09-25	56		1,078,654	64,655
iLOOKABOUT Corp.	Common Shares	Hyde, Peter	4	2020-09-28	16	0.15	735,000	435,000
iLOOKABOUT Corp.	Common Shares	Hyde, Peter	4	2020-09-28	16	0.23	1,017,689	282,689
iLOOKABOUT Corp.	Deferred Share Units	Hyde, Peter	4	2020-09-25	56		1,106,470	75,862
iLOOKABOUT Corp.	Warrants	Hyde, Peter	4	2013-06-13	00			
iLOOKABOUT Corp.	Warrants	Hyde, Peter	4	2020-09-28	16		217,500	217,500
iLOOKABOUT Corp.	Warrants	Hyde, Peter	4	2020-09-28	16		500,189	282,689
iLOOKABOUT Corp.	Deferred Share Units	Kelsey, James	4	2020-09-25	56		91,406	51,724

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iLOOKABOUT Corp.	Deferred Share Units	Millar, Phillip	4	2020-09-25	56		514,411	73,275
iLOOKABOUT Corp.	Deferred Share Units	Quinn, Gerry C.	4	2020-09-25	56		1,273,635	90,086
IMPACT Silver Corp.	Common Shares	Davidson, Frederick William	4, 6, 5	2020-09-28	51	0.35	527,750	10,000
IMPACT Silver Corp.	Options	Davidson, Frederick William	4, 6, 5	2020-09-28	51	0.35	990,000	-10,000
Imperial Oil Limited	Rights Deferred Share Units	Cornhill, David Wallace	4	2020-09-30	56		7,270	1,321
Imperial Oil Limited	Rights Deferred Share Units	Hoeg, Krystyna	4	2020-09-30	56		45,175	2,226
Imperial Oil Limited	Rights Deferred Share Units	Hubbs, Miranda C.	4	2020-09-30	56		9,696	1,762
Imperial Oil Limited	Rights Deferred Share Units	MINTZ, JACK MAURICE	4	2020-09-30	56		40,705	2,167
Imperial Oil Limited	Rights Deferred Share Units	Sutherland, David Stewart	4	2020-09-30	56		37,915	2,131
INDVR Brands Inc. (formerly, Cannabis One Holdings Inc.)	Subordinate Voting Shares Class "A" subordinate voting shares	Nathoo, Alnoor	4	2020-09-24	10	0.08	4,485,000	5,000
INDVR Brands Inc. (formerly, Cannabis One Holdings Inc.)	Subordinate Voting Shares Class "A" subordinate voting shares	Nathoo, Alnoor	4	2020-09-29	10	0.09	4,505,000	20,000
Inflection Resources Ltd.	Common Shares	Bond, Cecil	4	2019-03-20	00			
Inflection Resources Ltd.	Common Shares	Bond, Cecil	4	2019-03-20	00			
Inflection Resources Ltd.	Common Shares	Bond, Cecil	4	2020-06-14	00		300,000	
Inflection Resources Ltd.	Options	Bond, Cecil	4	2019-03-20	00			
Inflection Resources Ltd.	Options	Bond, Cecil	4	2019-03-21	50	0.2		285,000
Inflection Resources Ltd.	Options	Bond, Cecil	4	2019-03-21	50	0.2		285,000
Inflection Resources Ltd.	Options	Bond, Cecil	4	2020-03-10	50	0.3		250,000
Inflection Resources Ltd.	Options	Bond, Cecil	4	2020-03-10	50	0.3		250,000
Inflection Resources Ltd.	Options	Bond, Cecil	4	2020-06-14	00			
Inflection Resources Ltd.	Options	Bond, Cecil	4	2020-06-14	97	0.2	285,000	285,000
Inflection Resources Ltd.	Options	Bond, Cecil	4	2020-06-14	97	0.3	535,000	250,000
Inflection Resources Ltd.	Common Shares	Wenger, John Eric	5	2020-10-01	00			
Inflection Resources Ltd.	Options	Wenger, John Eric	5	2020-10-01	00			
Inflection Resources Ltd.	Options	Wenger, John Eric	5	2020-10-01	50		250,000	250,000
Innergex Renewable Energy Inc.	UnitOs d'actions diffOrOes (UAD)/Deferred Shares Units (DSU)	Beaty, Ross J.	4	2020-09-30	56		2,549	928
Innergex Renewable Energy Inc.	UnitOs d'actions diffOrOes (UAD)/Deferred Shares Units (DSU)	Brodeur, Pierre G.	4	2020-09-30	56		625	371

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Innergex Renewable Energy Inc.	Unités d'actions différées (UAD)/Deferred Shares Units (DSU)	Francisci, Nathalie	4	2020-09-30	56		14,202	1,173
Innergex Renewable Energy Inc.	Unités d'actions différées (UAD)/Deferred Shares Units (DSU)	Gagnon, Richard	4	2020-09-30	56		13,495	927
Innergex Renewable Energy Inc.	Common Shares	Giroux, Colleen Alana	5	2020-10-01	00		3,542	
Innergex Renewable Energy Inc.	Options	Giroux, Colleen Alana	5	2020-10-01	00		2,040	
Innergex Renewable Energy Inc.	Rights d'actions liées au rendement /Performance Share Rights	Giroux, Colleen Alana	5	2020-10-01	00		12,854	
Innergex Renewable Energy Inc.	Common Shares	Grover, Peter	5	2020-09-30	51	14.65	146,976	585
Innergex Renewable Energy Inc.	Common Shares	Grover, Peter	5	2020-09-30	51	14.52	147,351	375
Innergex Renewable Energy Inc.	Options	Grover, Peter	5	2020-09-30	51	14.65	13,237	-2,332
Innergex Renewable Energy Inc.	Options	Grover, Peter	5	2020-09-30	51	14.52		-1,417
Innergex Renewable Energy Inc.	Options	Grover, Peter	5	2020-09-30	51	14.52		-1,417
Innergex Renewable Energy Inc.	Options	Grover, Peter	5	2020-09-30	51	14.52	11,766	-1,471
Innergex Renewable Energy Inc.	Unités d'actions différées (UAD)/Deferred Shares Units (DSU)	Lafrance, Daniel	4	2020-09-30	56		1,372	371
Innergex Renewable Energy Inc.	Common Shares	Letellier, Michel	4, 5	2020-09-28	51	10.96	926,622	38,495
Innergex Renewable Energy Inc.	Options	Letellier, Michel	4, 5	2020-09-28	51	10.96	82,341	-108,500
Innergex Renewable Energy Inc.	Unités d'actions différées (UAD)/Deferred Shares Units (DSU)	McGuinty, Dalton	4	2020-09-30	56		17,983	371
Innergex Renewable Energy Inc.	Unités d'actions différées (UAD)/Deferred Shares Units (DSU)	Mercier, Monique	4	2020-09-30	56		24,906	1,162
Innergex Renewable Energy Inc.	Unités d'actions différées (UAD)/Deferred Shares Units (DSU)	Sananikone, Ouma	4	2020-09-30	56		8,548	1,093
Inovalis Real Estate Investment Trust	Units	Inovalis Real Estate Investment Trust	1	2020-09-28	38	7.68	136,700	4,000
Inovalis Real Estate Investment Trust	Units	Inovalis Real Estate Investment Trust	1	2020-09-29	38	7.508	151,700	15,000
Inovalis Real Estate Investment Trust	Units	Inovalis Real Estate Investment Trust	1	2020-09-30	38	7.52	153,700	2,000
Inovalis Real Estate Investment Trust	Units	Inovalis Real Estate Investment Trust	1	2020-10-02	38	7.55	155,200	1,500
Inter Pipeline Ltd.	Common Shares	Dill, Julie Ann	4	2020-09-30	10	13.04	13,500	7,500
Inter Pipeline Ltd.	Common Shares	Dill, Julie Ann	4	2020-10-02	10	12.93	21,000	7,500
Inter Pipeline Ltd.	Common Shares	Love, Alison Taylor	4	2020-09-29	10	13.63	30,936	800
Inter Pipeline Ltd.	Common Shares	Love, Alison Taylor	4	2020-09-29	10	13.64	31,636	700
Inter Pipeline Ltd.	Common Shares	Love, Alison Taylor	4	2020-09-29	10	13.65	32,136	500
Interfor Corporation	Rights DSUs	Griffin, Christopher	4	2020-09-30	56		13,606	2,066

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Interfor Corporation	Rights DSUs	HULL, JEANE	4	2020-09-30	56		40,301	1,240
Interfor Corporation	Rights DSUs	HUNTER, RHONDA	4	2020-09-30	56		9,580	1,240
Interfor Corporation	Rights DSUs	MacDougall, Gordon H	4	2020-09-30	56		94,916	1,240
Interfor Corporation	Rights DSUs	McMillan, James Eddie	4	2020-09-30	56		57,728	1,240
Interfor Corporation	Rights DSUs	Milroy, Thomas Voysey	4	2020-09-30	56		36,936	2,066
Interfor Corporation	Rights DSUs	Platt, Gillian L.	4	2020-09-30	56		20,384	1,240
Interfor Corporation	Rights DSUs	Sauder, E. Lawrence	4, 5	2020-09-30	56		95,686	2,480
Interfor Corporation	Rights DSUs	STEVENS, CURTIS	4	2020-09-30	56		23,510	2,066
Interfor Corporation	Rights DSUs	Whitehead, Douglas William Geoffrey	4	2020-09-30	56		49,796	1,240
Intertape Polymer Group Inc.	Deferred Share Units	Beil, Robert	4	2020-09-30	56	14.68	47,678	633
Intertape Polymer Group Inc.	Deferred Share Units	DiTomaso, Frank	4	2020-09-30	56	14.68	41,159	547
Intertape Polymer Group Inc.	Deferred Share Units	Foster, Robert J	4	2020-09-30	56	14.68	69,316	921
Intertape Polymer Group Inc.	Deferred Share Units	Granovsky, Dahra Granovsky	4	2020-09-30	56	14.68	17,706	236
Intertape Polymer Group Inc.	Deferred Share Units	Pantelidis, James	4	2020-09-30	56	14.68	41,190	547
Intertape Polymer Group Inc.	Deferred Share Units	Quintas, Jorge Nelson	4	2020-09-30	56	14.68	70,626	938
Intertape Polymer Group Inc.	Deferred Share Units	Salomone, Mary Patricia	4	2020-09-30	56	14.68	35,939	478
Intertape Polymer Group Inc.	Deferred Share Units	Yull, Melbourne F.	4	2020-09-30	56	14.68	49,615	659
Intouch Insight Ltd.	Options	Newby, David Anthony	5	2020-09-30	52	0.28	100,000	-50,000
Invesque Inc. (formerly, Mainstreet Health Investments Inc.)	Rights Deferred Share Units	Benbow, Bradley	4	2020-09-30	56		87,697	11,984
Invesque Inc. (formerly, Mainstreet Health Investments Inc.)	Rights Deferred Share Units	Brandin, Donna	4	2020-09-30	56		37,708	11,984
Invesque Inc. (formerly, Mainstreet Health Investments Inc.)	Rights Deferred Share Units	Hawkins, Shaun	4	2020-09-30	56		58,609	3,595
Invesque Inc. (formerly, Mainstreet Health Investments Inc.)	Rights Deferred Share Units	Herman, Charles Jacob	4	2020-09-30	56		66,142	11,984
Ivanhoe Mines Ltd.	Rights Deferred Share Units	Chen, Jinghe	4	2020-09-30	56		49,066	4,058
Ivanhoe Mines Ltd.	Rights Deferred Share Units	Janse van Rensburg, Martie	4	2020-09-30	56		5,414	573
Ivanhoe Mines Ltd.	Rights Deferred Share Units	Janse van Rensburg, Martie	4	2020-09-30	56		5,414	573
Ivanhoe Mines Ltd.	Rights Deferred Share Units	Motlanthe, Kgalema Petrus	4	2020-09-30	56		12,087	852
Ivanhoe Mines Ltd.	Rights Deferred Share Units	Motlanthe, Kgalema Petrus	4	2020-09-30	56		47,585	1,988
Ivanhoe Mines Ltd.	Rights Deferred Share Units	Ntshingila, Nunu	4	2020-09-30	56		11,975	2,293
Ivanhoe Mines Ltd.	Rights	Rio Tinto plc	3	2012-07-27	57			-
Ivanhoe Mines Ltd.	Rights	Rio Tinto plc	3	2012-07-27	57		0	215,100,000
Ivanhoe Mines Ltd.	Rights	Rio Tinto plc	3	2012-07-27	57			-
Ivanhoe Mines Ltd.	Rights	Rio Tinto plc	3	2012-07-27	57			162,297,658
Ivanhoe Mines Ltd.	Rights	Rio Tinto plc	3	2012-07-27	57		0	-
Ivanhoe Mines Ltd.	Rights	Rio Tinto plc	3	2012-07-27	57			162,297,658
Jack Nathan Medical Corp. (formerly, Woodbridge Ventures Inc.)	Common Shares	Berman, David	5	2020-09-30	00		150,000	

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Jack Nathan Medical Corp. (formerly, Woodbridge Ventures Inc.)	Common Shares	Labatte, Neil Joseph	4	2020-09-30	36		33,333	-66,667
Jack Nathan Medical Corp. (formerly, Woodbridge Ventures Inc.)	Common Shares	Marchelletta, Michael	3, 4, 5	2020-09-30	00		21,143,280	
Jaguar Mining Inc.	Common Shares	Kennedy, William Jeffrey	4	2019-09-06	00			
Jaguar Mining Inc.	Common Shares	Kennedy, William Jeffrey	4	2020-09-29	10	7.3	10,000	10,000
James Bay Resources Limited	Options	Brennan, Mark Peter	3, 4	2020-05-04	52		0	-500,000
James Bay Resources Limited	Options	Brennan, Mark Peter	3, 4	2020-09-21	50			1,500,000
James Bay Resources Limited	Options	Brennan, Mark Peter	3, 4	2020-09-21	50			1,500,000
James Bay Resources Limited	Options	Brennan, Mark Peter	3, 4	2020-09-21	50			1,500,000
James Bay Resources Limited	Options	Egan, Wayne T.	4	2020-05-04	52		0	-250,000
James Bay Resources Limited	Options	Egan, Wayne T.	4	2020-09-21	50			700,000
James Bay Resources Limited	Options	Egan, Wayne T.	4	2020-09-21	50			700,000
James Bay Resources Limited	Options	Egan, Wayne T.	4	2020-09-21	50			700,000
James Bay Resources Limited	Options	Gauthier, Jean Jacques	4	2020-05-04	52		0	-150,000
James Bay Resources Limited	Options	Gauthier, Jean Jacques	4	2020-09-21	50			500,000
James Bay Resources Limited	Options	Gauthier, Jean Jacques	4	2020-09-21	50			500,000
James Bay Resources Limited	Options	Gauthier, Jean Jacques	4	2020-09-21	50			500,000
James Bay Resources Limited	Options	Olaniyan, Adeniyi Olusegun	4, 5	2017-06-01	52		1,000,000	-600,000
James Bay Resources Limited	Options	Olaniyan, Adeniyi Olusegun	4, 5	2019-08-28	52			-600,000
James Bay Resources Limited	Options	Olaniyan, Adeniyi Olusegun	4, 5	2020-05-04	52		0	-1,000,000
James Bay Resources Limited	Options	Olaniyan, Adeniyi Olusegun	4, 5	2020-09-21	50			750,000
James Bay Resources Limited	Options	Olaniyan, Adeniyi Olusegun	4, 5	2020-09-21	50			750,000
James Bay Resources Limited	Options	Olaniyan, Adeniyi Olusegun	4, 5	2020-09-21	50			750,000
James Bay Resources Limited	Options	Pereira, Jon	4	2020-09-21	50			750,000
James Bay Resources Limited	Options	Pereira, Jon	4	2020-09-21	50			750,000
James Bay Resources Limited	Options	Pereira, Jon	4	2020-09-21	50			750,000
James Bay Resources Limited	Options	Shefsky, Stephen Mitchell	3, 4, 5	2020-05-05	52		0	-1,700,000
James Bay Resources Limited	Options	Shefsky, Stephen Mitchell	3, 4, 5	2020-09-21	50			2,500,000
James Bay Resources Limited	Options	Shefsky, Stephen Mitchell	3, 4, 5	2020-09-21	50			2,500,000
James Bay Resources Limited	Options	Shefsky, Stephen Mitchell	3, 4, 5	2020-09-21	50			2,500,000
James Bay Resources Limited	Options	Szustak, Eric	5	2020-05-04	52		0	-100,000
James Bay Resources Limited	Options	Szustak, Eric	5	2020-09-21	50			500,000
James Bay Resources Limited	Options	Szustak, Eric	5	2020-09-21	50			500,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
James Bay Resources Limited	Options	Szustak, Eric	5	2020-09-21	50			500,000
Jervois Mining Limited	Options	Crocker, Bryce Andrew	4, 5	2020-10-01	50		20,000,000	5,000,000
Just Energy Group Inc.	Common Shares	Andani, Amir	5	2020-09-28	37		2,539	-47,475
Just Energy Group Inc.	Rights Performance Bonus Incentive Plan	Andani, Amir	5	2020-09-21	37		1,218	-106,836
Just Energy Group Inc.	Common Shares	BROWN, JAMES	5	2020-09-28	37		22,233	-211,879
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	BROWN, JAMES	5	2020-09-28	37		2,954	-92,123
Just Energy Group Inc.	Common Shares	DAVIDS, JONAH	5	2020-09-28	37		3,789	-112,439
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	DAVIDS, JONAH	5	2020-09-28	37		878	-28,122
Just Energy Group Inc.	Rights Performance Bonus Incentive Plan	DAVIDS, JONAH	5	2020-09-28	37		1,813	-58,081
Just Energy Group Inc.	Common Shares	GAHN, ROBERT SCOTT	4	2020-09-28	37		818	-11,182
Just Energy Group Inc.	Rights Directors Compensation Plan	GAHN, ROBERT SCOTT	4	2020-09-28	37		795	-23,935
Just Energy Group Inc.	Common Shares	HORTON, ANTHONY	4	2020-09-28	00			
Just Energy Group Inc.	Common Shares	MARCIE, ZLOTNIK	4	2020-09-28	00			
Just Energy Group Inc.	Common Shares	MAVALWALLA, SAM	5	2020-09-28	37		2,720	-53,777
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	MAVALWALLA, SAM	5	2020-09-28	37		1,437	-50,973
Just Energy Group Inc.	Rights Performance Bonus Incentive Plan	MAVALWALLA, SAM	5	2020-09-28	37		0	-666
Just Energy Group Inc.	Common Shares	MICHAEL, CARTER	5	2020-09-28	00			
Just Energy Group Inc.	Common Shares	MUNNELLY, MARGARET	5	2020-09-28	37		3,282	-113,572
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	MUNNELLY, MARGARET	5	2020-09-28	37		1,711	-59,608
Just Energy Group Inc.	Common Shares	MURRAY, STEPHEN	4	2020-09-28	00			
Just Energy Group Inc.	Common Shares	Pattison, James A.	3	2020-08-26	47			66,508
Just Energy Group Inc.	Common Shares	Pattison, James A.	3	2020-08-26	47		2,145,508	66,508
Just Energy Group Inc.	Common Shares	Ross, M. Dallas H.	4	2020-09-28	37		3,030	-96,970
Just Energy Group Inc.	Common Shares	Ross, M. Dallas H.	4	2020-09-28	10	3.412	8,475	5,445
Just Energy Group Inc.	Rights Directors Compensation Plan	Ross, M. Dallas H.	4	2020-09-28	37		1,218	-38,986
Just Energy Group Inc.	Common Shares	SCOTT, FORDHAM	5	2020-09-28	00		425	
Just Energy Group Inc.	Rights 2010 Restricted Share Grant Plan	SCOTT, FORDHAM	5	2020-09-28	00		757	
Just Energy Group Inc.	Common Shares	STEPHEN, SCHAEFER	4	2020-09-28	00			

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
K2 Gold Corporation	Common Shares	Leigh, Jr., Frederic Wilfred Roger	4	2020-10-02	10	0.75	215,000	-150,000
K9 Gold Corp.	Options	Morrison, Brian	4, 5	2020-09-28	50	0.55	645,000	75,000
K9 Gold Corp.	Options	Poloni, Jeff	5	2020-09-01	00			
K9 Gold Corp.	Options	Poloni, Jeff	5	2020-09-28	50	0.55	400,000	400,000
K9 Gold Corp.	Options	tsoutsis, kosta	5	2019-02-19	00			
K9 Gold Corp.	Options	tsoutsis, kosta	5	2020-09-28	50	0.55	75,000	75,000
Karora Resources Inc.	Rights Restricted Share Units	Goudie, Peter James	4	2020-09-29	59		856,211	-14,543
Karora Resources Inc.	Common Shares	Hand, Scott McKee	4, 5	2020-09-29	57		3,956,441	18,179
Karora Resources Inc.	Rights Restricted Share Units	Hand, Scott McKee	4, 5	2020-09-29	59		1,666,731	-18,179
Karora Resources Inc.	Rights Restricted Share Units	Hand, Scott McKee	4, 5	2020-09-29	57		1,648,552	-18,179
Karora Resources Inc.	Rights Restricted Share Units	Hand, Scott McKee	4, 5	2020-09-30	56		1,655,943	7,391
Karora Resources Inc.	Common Shares	Huet, Paul Andr�	4	2020-09-30	10	3.8114	162,781	696
Karora Resources Inc.	Rights Restricted Share Units	Kei, Wendy Wai Ting	4	2020-09-30	56		341,999	1,288
Karora Resources Inc.	Rights Restricted Share Units	Morley-Jepson, Warwick	4	2020-09-30	56		443,058	4,829
Kelso Technologies Inc.	Common Shares	Busch, Frank	4	2020-10-02	10	0.713	10,000	10,000
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Banks, Timothy	4	2020-09-30	56	17.037	6,526	352
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Landry, Aldea	4	2020-09-30	56	17.037	6,989	646
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Lloyd, Arthur G.	4	2020-09-30	56	17.037	15,757	880
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	WALT, MANFRED	4	2020-09-30	56	17.037	17,188	1,042
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Watson, Wayne	4	2020-09-30	56	17.037	3,833	220
Kirkland Lake Gold Ltd.	Rights Deferred Share Unit	Gill, Jonathan	4	2020-10-01	56		26,123	222
kneat.com, inc.	Deferred Share Units	Ainsworth, Ian	4	2020-09-30	56		180,376	9,615
kneat.com, inc.	Deferred Share Units	Breen, Paul	3	2020-09-30	56		132,800	4,808
kneat.com, inc.	Deferred Share Units	Cameron, Rory	4	2020-09-30	56		110,046	4,808
kneat.com, inc.	Deferred Share Units	Dawe, Wade K.	5	2020-09-30	56		132,800	4,808
Knight Therapeutics Inc.	Common Shares	Goodman, Jonathan Ross	4, 6, 5	2020-09-15	30	6.04	486,436	1,745
Knight Therapeutics Inc.	Common Shares	Khouri, Amal	5	2020-09-15	30	6.04	113,287	1,442
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-04	38	5.973	21,021	21,021
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-08	38	5.9963	63,921	42,900
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-09	38	5.9962	86,442	22,521
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-10	38	5.9971	164,363	77,921
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-11	38	5.9954	216,484	52,121
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-21	38	5.9931	296,705	80,221
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-22	38	5.9938	331,926	35,221

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-23	38	5.999	385,547	53,621
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-24	38	5.9672	471,868	86,321
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-25	38	5.9534	558,189	86,321
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-28	38	5.9024	625,310	67,121
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-29	38	5.8801	711,631	86,321
Knight Therapeutics Inc.	Common Shares	Knight Therapeutics Inc.	1	2020-09-30	38	5.8004	797,952	86,321
Knight Therapeutics Inc.	Common Shares	Sakhia, Samira	4, 5	2020-09-15	30	6.04	45,079	1,613
Knight Therapeutics Inc.	Common Shares	Utchanah, Arvind	5	2020-09-15	30	6.04	6,382	567
Kutcho Copper Corp. (formerly Desert Star Resources Ltd.)	Units	Sujir, Jay	4	2017-12-06	00			
Kutcho Copper Corp. (formerly Desert Star Resources Ltd.)	Units	Sujir, Jay	4	2020-09-24	11	0.2	100,000	100,000
Kuya Silver Corporation	Common Shares	Burianyky, Lesia	5	2020-10-01	37		20,200	-181,800
Kuya Silver Corporation	Options	Burianyky, Lesia	5	2020-10-01	37		25,000	-225,000
Kuya Silver Corporation	Options	Burianyky, Lesia	5	2020-10-01	50	0.9	35,000	10,000
Kuya Silver Corporation	Common Shares	Hennigh, Quinton Todd	4	2020-10-01	37		151,960	-1,367,640
Kuya Silver Corporation	Options	Hennigh, Quinton Todd	4	2020-10-01	37		42,500	-382,500
Kuya Silver Corporation	Options	Hennigh, Quinton Todd	4	2020-10-01	50	0.9	142,500	100,000
Kuya Silver Corporation	Warrants	Hennigh, Quinton Todd	4	2020-10-01	37		7,000	-63,000
Kuya Silver Corporation	Common Shares	Hodges, Leah	5	2020-10-01	37			-180,450
Kuya Silver Corporation	Common Shares	Hodges, Leah	5	2020-10-01	37		20,050	-180,450
Kuya Silver Corporation	Options	Hodges, Leah	5	2020-10-01	37		25,000	-225,000
Kuya Silver Corporation	Options	Hodges, Leah	5	2020-10-01	50	0.9	35,000	10,000
Kuya Silver Corporation	Common Shares	King, Tyson	5	2020-10-01	37		238,000	-2,142,000
Kuya Silver Corporation	Options	King, Tyson	5	2020-10-01	37		45,000	-405,000
Kuya Silver Corporation	Options	King, Tyson	5	2020-10-01	50	0.9	145,000	100,000
Kuya Silver Corporation	Common Shares	Lendon, Heather Maura	4	2020-10-01	00			
Kuya Silver Corporation	Options	Lendon, Heather Maura	4	2020-10-01	00		80,000	
Kuya Silver Corporation	Common Shares	Recalde, Andres	4	2020-10-01	00			
Kuya Silver Corporation	Options	Recalde, Andres	4	2020-10-01	00		80,000	
Kuya Silver Corporation	Common Shares	Stein, David Matthew	3, 4, 5	2020-10-01	00		8,869,165	
Kuya Silver Corporation	Options	Stein, David Matthew	3, 4, 5	2020-10-01	00		160,000	
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-01	38	17.314	2,800	2,800
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-01	38	17.314	0	-2,800

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Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-02	38	17.996	3,800	3,800
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-02	38	17.996	0	-3,800
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-08	38	17.998	201,400	201,400
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-08	38	17.998	0	-201,400
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-09	38	17.995	10,300	10,300
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-09	38	17.995	0	-10,300
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-10	38	18.254	6,000	6,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-10	38	18.254	0	-6,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-11	38	18.888	77,300	77,300
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-11	38	18.888	0	-77,300
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-15	38	18.531	5,700	5,700
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-15	38	18.531	0	-5,700
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-16	38	18.997	205,000	205,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-16	38	18.997	0	-205,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-17	38	19.275	80,000	80,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-17	38	19.275	0	-80,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-18	38	19.081	9,500	9,500
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-18	38	19.081	0	-9,500
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-21	38	18.5	469,120	469,120
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-21	38	18.5	0	-469,120
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-22	38	18.692	7,800	7,800
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-22	38	18.692	0	-7,800
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-23	38	18.596	54,600	54,600
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-23	38	18.596	0	-54,600
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-24	38	18.482	20,000	20,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-24	38	18.482	0	-20,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-25	38	18.384	20,000	20,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-25	38	18.384	0	-20,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-28	38	18.7	15,000	15,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-28	38	18.7	0	-15,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-29	38	18.44	20,000	20,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-29	38	18.44	0	-20,000
Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-30	38	18.2	1,100	1,100

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Leon's Furniture Limited	Common Shares	Leon's Furniture Limited	1	2020-09-30	38	18.2	0	-1,100
Leucrotta Exploration Inc.	Common Shares	Vejvoda, Josef	4	2020-09-25	10	0.658	12,583,224	100,000
Leucrotta Exploration Inc.	Common Shares	Vejvoda, Josef	4	2020-09-30	10	0.654	12,683,224	100,000
LexaGene Holdings Inc. (formerly, Wolfeye Resource Corp.)	Options	Slezak, Thomas Richard	4	2020-09-17	50	0.66	420,000	350,000
Lightspeed POS Inc.	Rights Deferred stock units	Lamothe, Marie Josee	4	2020-10-01	56	41.27	2,676	322
Lightspeed POS Inc.	Rights Deferred stock units	McFeeters, Paul Joseph	4	2020-10-01	56	41.27	6,629	797
Lightspeed POS Inc.	Rights Deferred stock units	Saintil, Merline	4	2020-08-17	00			
Lightspeed POS Inc.	Rights Deferred stock units	Saintil, Merline	4	2020-10-01	56	41.27	154	154
Lightspeed POS Inc.	Rights Deferred stock units	Williams, Robert Vaughan	4	2020-10-01	56	41.27	4,169	322
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Pritchard, Bruce	5	2020-09-23	10	10.9	3,787	1,396
Liminal BioSciences Inc. (formerly Prometic Life Sciences Inc.)	Common Shares	Pritchard, Bruce	5	2020-09-23	10	10.86	0	-1,396
Lions Bay Capital Inc.	Common Shares	Byrne, John Joseph	3, 4, 5	2020-09-30	10	0.05	3,796,000	100,000
Lions Bay Mining Corp.	Common Shares	Berd, David	5	2020-09-30	00		4,696,067	
Lions Bay Mining Corp.	Common Shares	Kovan, Kenneth	5	2020-09-30	00		6,037,800	
Lions Bay Mining Corp.	Common Shares	Passin, James	3, 4, 5	2020-09-30	00		12,467,333	
Lions Bay Mining Corp.	Warrants	Passin, James	3, 4, 5	2020-09-30	00		25,000	
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Barge, James	5	2020-09-30	30	8.62	435,946	-12,395
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Clyburn, Mignon L	4	2020-10-01	46	9.26	2,711	118
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Clyburn, Mignon L	4	2020-10-01	46	8.52	2,946	128
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Crawford, Gordon	4	2020-10-01	46	9.26	260,230	1,695
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Crawford, Gordon	4	2020-10-01	46	8.52	521,336	1,843
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	McCaw, Susan	4	2020-10-01	46	9.26	14,143	788
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	McCaw, Susan	4	2020-10-01	46	8.52	9,808	857
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Ostolaza, Yvette	4	2020-10-01	46	9.26	14,030	1,449
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Ostolaza, Yvette	4	2020-10-01	46	8.52	5,672	1,575
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Sanchez, Daniel E.	4	2020-10-01	46	9.26	9,090	751

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Sanchez, Daniel E.	4	2020-10-01	46	8.52	9,388	816
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Simm, Daryl	4	2020-10-01	46	9.26	48,660	961
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Simm, Daryl	4	2020-10-01	46	8.52	49,489	1,045
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	SIMMONS, HARDWICK	4	2020-10-01	46	9.26	57,158	991
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	SIMMONS, HARDWICK	4	2020-10-01	46	8.52	58,009	1,077
Lithium Americas Corp.	Common Shares	Shulga, Oleksandr	5	2020-09-22	10	12	7,251	-2,451
Lithium Americas Corp.	Common Shares	Shulga, Oleksandr	5	2020-09-22	10	12	4,800	-2,451
Lithium Americas Corp.	Common Shares	Shulga, Oleksandr	5	2020-09-23	10	10	0	-4,800
Loblaw Companies Limited	Rights - Deferred Share Units	Binning, Paviter Singh	4, 6	2020-10-01	30		5,773	26
Loblaw Companies Limited	Rights - Deferred Share Units	Bonham, Scott Barclay	4	2020-10-01	30		12,887	57
Loblaw Companies Limited	Rights - Deferred Share Units	Bryant, Warren	4	2020-10-01	30		27,863	125
Loblaw Companies Limited	Rights - Deferred Share Units	Clark, Christie James Beckett	4	2020-10-01	30		8,981	40
Loblaw Companies Limited	Rights - Deferred Share Units	Debow, Daniel Andrew	4	2020-10-01	30		477	2
Loblaw Companies Limited	Rights - Deferred Share Units	Downe, William	4	2020-10-01	30		8,862	39
Loblaw Companies Limited	Rights - Deferred Share Units	Fukakusa, Janice Rose	4	2020-10-01	30		3,866	17
Loblaw Companies Limited	Rights - Deferred Share Units	Harris, M. Marianne	4	2020-10-01	30		14,574	65
Loblaw Companies Limited	Rights - Deferred Share Units	Kotchka, Claudia	4	2020-10-01	30		14,178	63
Loblaw Companies Limited	Rights - Deferred Share Units	Lacey, John Stewart	7	2020-10-01	30		44,681	199
Loblaw Companies Limited	Rights - Deferred Share Units	Lockhart, Nancy	3	2020-10-01	30		64,786	290
Loblaw Companies Limited	Rights - Deferred Share Units	Pritchard, Beth Marie	4	2020-10-01	30		27,482	124
Loblaw Companies Limited	Rights - Deferred Share Units	Raiss, Sarah	4	2020-10-01	30		41,646	189
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-01	38	33.08	200	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-03	38	33.49	500	400
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-04	38	33	1,200	700
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-04	38	33.01	1,300	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-04	38	33.2	1,400	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-04	38		1,300	-100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-10	38	33.6	1,500	200

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-11	38		200	-1,300
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-14	38	34.6	300	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-18	38		0	-300
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-24	38	33.09	100	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	2020-09-30	38		0	-100
Loncor Resources Inc.	Common Shares	BARKER, JOHN KENNETH	5	2020-03-02	00			
Loncor Resources Inc.	Common Shares	BARKER, JOHN KENNETH	5	2020-09-25	10	0.59	37,500	37,500
Lotus Ventures Inc.	Common Shares	McClanaghan, Dale	4, 5	2020-09-17	16	0.09	3,668,500	1,200,000
Lucara Diamond Corp.	Rights Deferred Share Units	Dicaire, David	4	2020-09-30	56		71,334	49,019
Lucara Diamond Corp.	Rights Deferred Share Units	Inkster, Marie	4	2020-09-30	56		141,434	56,372
Lucara Diamond Corp.	Rights Deferred Share Units	O'Callaghan, Peter	4	2020-07-02	56			24,546
Lucara Diamond Corp.	Rights Deferred Share Units	O'Callaghan, Peter	4	2020-05-08	00			
Lucara Diamond Corp.	Rights Deferred Share Units	O'Callaghan, Peter	4	2020-07-02	56		24,546	24,546
Lucara Diamond Corp.	Rights Deferred Share Units	O'Callaghan, Peter	4	2020-09-30	56		78,467	53,921
Lundin Gold Inc.	Rights Deferred Share Units	Gosselin, Chantal	4	2020-09-30	56		1,247	375
MAG Silver Corp.	DSU	Clark, Richard Peter	4	2020-09-30	46	22.17	112,474	986
Magellan Aerospace Corporation	Common Shares	Magellan Aerospace Corporation	1	2020-09-23	38	7.0937	148,565	6,500
Magellan Aerospace Corporation	Common Shares	Magellan Aerospace Corporation	1	2020-09-24	38	6.9956	155,565	7,000
Magellan Aerospace Corporation	Common Shares	Magellan Aerospace Corporation	1	2020-09-25	38	6.9588	162,720	7,155
Magellan Aerospace Corporation	Common Shares	Magellan Aerospace Corporation	1	2020-09-28	38	7.1697	169,520	6,800
Magellan Aerospace Corporation	Common Shares	Magellan Aerospace Corporation	1	2020-09-29	38	7.0477	173,420	3,900
Magellan Aerospace Corporation	Common Shares	Magellan Aerospace Corporation	1	2020-09-30	38	6.9416	180,420	7,000
Magellan Aerospace Corporation	Common Shares	Magellan Aerospace Corporation	1	2020-09-30	38		0	-180,420
Magellan Aerospace Corporation	Common Shares	Magellan Aerospace Corporation	1	2020-10-01	38	6.969	7,100	7,100
Magna International Inc.	Rights Deferred Share Units	Bonham, Scott Barclay	4	2020-09-01	56	45.75		819
Magna International Inc.	Rights Deferred Share Units	Bonham, Scott Barclay	4	2020-09-04	35	49.43	63,121	507
Magna International Inc.	Rights Deferred Share Units	Bonham, Scott Barclay	4	2020-10-01	56	45.75	63,940	819
Magna International Inc.	Rights Deferred Share Units	Bowie, Peter Guy	4	2020-09-04	35	49.43	53,697	431
Magna International Inc.	Rights Deferred Share Units	Bowie, Peter Guy	4	2020-09-30	56	45.75		218
Magna International Inc.	Rights Deferred Share Units	Bowie, Peter Guy	4	2020-09-30	56	45.75	53,836	139
Magna International Inc.	Rights Deferred Share Units	Bowie, Peter Guy	4	2020-10-01	56	45.75	54,793	957
Magna International Inc.	Rights Deferred Share Units	Chan, Mary Shio	4	2020-09-04	35	49.43	15,864	127

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Magna International Inc.	Rights Deferred Share Units	Chan, Mary Shio	4	2020-09-30	56	45.75		219
Magna International Inc.	Rights Deferred Share Units	Chan, Mary Shio	4	2020-09-30	56	45.75	15,969	105
Magna International Inc.	Rights Deferred Share Units	Chan, Mary Shio	4	2020-10-01	56	45.75	16,925	956
Magna International Inc.	Rights Deferred Share Units	Harder, Vernon Peter Harder	4	2020-09-04	35	49.43	3,616	29
Magna International Inc.	Rights Deferred Share Units	Harder, Vernon Peter Harder	4	2020-09-30	56	45.75		219
Magna International Inc.	Rights Deferred Share Units	Harder, Vernon Peter Harder	4	2020-09-30	56	45.75	3,756	140
Magna International Inc.	Rights Deferred Share Units	Harder, Vernon Peter Harder	4	2020-10-01	56	45.75		1,027
Magna International Inc.	Rights Deferred Share Units	Harder, Vernon Peter Harder	4	2020-10-01	56	45.75	4,713	957
Magna International Inc.	Rights Deferred Share Units	Lauk, Kurt	4	2020-09-04	35	49.43	33,840	272
Magna International Inc.	Rights Deferred Share Units	Lauk, Kurt	4	2020-10-01	56	45.75	34,168	328
Magna International Inc.	Rights Deferred Share Units	MACLELLAN, ROBERT FRANCIS	4	2020-09-04	35	49.43	11,765	94
Magna International Inc.	Rights Deferred Share Units	MACLELLAN, ROBERT FRANCIS	4	2020-09-30	56	45.75		219
Magna International Inc.	Rights Deferred Share Units	MACLELLAN, ROBERT FRANCIS	4	2020-09-30	56	45.75	11,905	140
Magna International Inc.	Rights Deferred Share Units	MACLELLAN, ROBERT FRANCIS	4	2020-10-01	56	45.75	12,998	1,093
Magna International Inc.	Rights Deferred Share Units	Niekamp, Cynthia	4	2020-09-04	35	49.43	11,968	96
Magna International Inc.	Rights Deferred Share Units	Niekamp, Cynthia	4	2020-10-01	56	45.75	12,296	328
Magna International Inc.	Rights Deferred Share Units	RUH, WILLIAM ANTHONY	4	2020-09-04	35	49.43	17,697	142
Magna International Inc.	Rights Deferred Share Units	RUH, WILLIAM ANTHONY	4	2020-09-30	56	45.75		218
Magna International Inc.	Rights Deferred Share Units	RUH, WILLIAM ANTHONY	4	2020-09-30	56	45.75	17,837	140
Magna International Inc.	Rights Deferred Share Units	RUH, WILLIAM ANTHONY	4	2020-10-01	56	45.75	18,793	956
Magna International Inc.	Rights Deferred Share Units	Samarasekera, Indira Vasanti	4	2020-09-04	35	49.43	36,932	297
Magna International Inc.	Rights Deferred Share Units	Samarasekera, Indira Vasanti	4	2020-09-30	56	45.75	37,030	98
Magna International Inc.	Rights Deferred Share Units	Samarasekera, Indira Vasanti	4	2020-10-01	56	45.75	37,893	863
Magna International Inc.	Rights Deferred Share Units	Westlake, Lisa Ann Simone	4	2020-09-04	35	49.43	6,785	54
Magna International Inc.	Rights Deferred Share Units	Westlake, Lisa Ann Simone	4	2020-09-30	56	45.75		219
Magna International Inc.	Rights Deferred Share Units	Westlake, Lisa Ann Simone	4	2020-09-30	56	45.75	6,925	140
Magna International Inc.	Rights Deferred Share Units	Westlake, Lisa Ann Simone	4	2020-10-01	56	45.75	7,881	956
Magna International Inc.	Rights Deferred Share Units	Young, William	4	2020-09-04	35	49.43	114,547	920
Magna International Inc.	Rights Deferred Share Units	Young, William	4	2020-10-01	56	45.75	115,640	1,093
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Class C Proportionate Voting Shares	Fox, Kristin	4	2020-09-25	00		24,319	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Class C Proportionate Voting Shares	Gerber, Jordan	5	2020-09-25	00		105,538	

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Non-Voting Shares Class B Subordinate Non Voting Shares	Gerber, Jordan	5	2020-09-25	00		2,844,500	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Options	Gerber, Jordan	5	2020-09-25	00		180,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Warrants	Gerber, Jordan	5	2020-09-25	00		1,680,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Non-Voting Shares Class B Subordinate Non Voting Shares	Gordeychev, Dmitry	5	2020-09-25	00		4,736,667	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Options	Gordeychev, Dmitry	5	2020-09-25	00		180,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Warrants	Gordeychev, Dmitry	5	2020-09-25	00		1,500,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Class C Proportionate Voting Shares	Karban, Sturges	4, 5	2020-09-25	00		210,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Non-Voting Shares Class B Subordinate Non Voting Shares	Karban, Sturges	4, 5	2020-09-25	00		4,855,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Options	Karban, Sturges	4, 5	2020-09-25	00		180,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Warrants	Karban, Sturges	4, 5	2020-09-25	00		1,200,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Non-Voting Shares Class B Subordinate Non Voting Shares	Kasmani, Mohammed Dilshad	5	2020-09-25	00		2,520,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Options	Kasmani, Mohammed Dilshad	5	2020-09-25	00		600,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Warrants	Kasmani, Mohammed Dilshad	5	2020-09-25	00		1,200,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Non-Voting Shares Class B Subordinate Non Voting Shares	Rouleau, Pierre	5	2020-09-25	00		2,591,667	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Options	Rouleau, Pierre	5	2020-09-25	00		360,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Warrants	Rouleau, Pierre	5	2020-09-25	00		1,650,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Options	Sekers, Daniel	4	2020-09-25	00		555,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Subordinate Voting Shares Class A	Sekers, Daniel	4	2020-09-25	00		22,500	

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ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Warrants	Sekers, Daniel	4	2020-09-25	00		922,500	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Class C Proportionate Voting Shares	Smedeby, Urban	4, 5	2020-09-25	00		90,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Non-Voting Shares Class B Subordinate Non Voting Shares	Smedeby, Urban	4, 5	2020-09-25	00		4,246,667	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Options	Smedeby, Urban	4, 5	2020-09-25	00		180,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Warrants	Smedeby, Urban	4, 5	2020-09-25	00		1,200,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Class C Proportionate Voting Shares	Wessler, Scott	4	2020-09-25	00		73,500	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Non-Voting Shares Class B Subordinate Non Voting Shares	Wessler, Scott	4	2020-09-25	00		45,000	
ManifestSeven Holdings Corporation (formerly, P&P Ventures Inc.)	Warrants	Wessler, Scott	4	2020-09-25	00		283,332	
Manitou Gold Inc.	Common Shares	Dubreuil, Patrice Simon	5	2020-09-30	16	0.06	9,222,061	2,000,000
Manitou Gold Inc.	Warrants	Dubreuil, Patrice Simon	5	2020-09-30	16		3,369,500	2,000,000
Manitou Gold Inc.	Common Shares	Murphy, Richard	4, 5	2020-09-30	16	0.06	10,050,000	2,000,000
Manitou Gold Inc.	Warrants	Murphy, Richard	4, 5	2020-09-30	16		3,850,000	2,000,000
Manitou Gold Inc.	Common Shares	Sferra, Donato	5	2020-09-30	16	0.06	6,000,000	2,000,000
Manitou Gold Inc.	Warrants	Sferra, Donato	5	2020-09-30	16		6,000,000	2,000,000
Manulife Financial Corporation	Deferred Share Units	Arnaboldi, Nicole Sinek	4	2020-09-30	46	18.64	4,618	3,678
Manulife Financial Corporation	Deferred Share Units	Arnaboldi, Nicole Sinek	4	2020-09-30	30		4,633	15
Manulife Financial Corporation	Deferred Share Units	Bainbridge, Guy Lawrence Tarn	4	2020-09-30	46	18.64	8,128	1,839
Manulife Financial Corporation	Deferred Share Units	Bainbridge, Guy Lawrence Tarn	4	2020-09-30	30		8,223	95
Manulife Financial Corporation	Deferred Share Units	Caron, Joseph Peter	4	2020-09-30	46	18.64	62,647	1,839
Manulife Financial Corporation	Deferred Share Units	Caron, Joseph Peter	4	2020-09-30	30		63,566	919
Manulife Financial Corporation	Deferred Share Units	Cassaday, John M.	4	2020-09-30	46	18.64	211,824	3,589
Manulife Financial Corporation	Deferred Share Units	Cassaday, John M.	4	2020-09-30	30		214,970	3,146
Manulife Financial Corporation	Deferred Share Units	Dabarno, Susan Fay	4	2020-09-30	46	18.64	18,433	1,839
Manulife Financial Corporation	Deferred Share Units	Dabarno, Susan Fay	4	2020-09-30	30		18,684	251
Manulife Financial Corporation	Deferred Share Units	Dickson, Julie Ellen	4	2020-09-30	46	18.64	16,257	3,678
Manulife Financial Corporation	Deferred Share Units	Dickson, Julie Ellen	4	2020-09-30	30		16,447	190
Manulife Financial Corporation	Deferred Share Units	Fraser, Sheila Sarah Margaret	4	2020-09-30	46	18.64	74,434	2,198
Manulife Financial Corporation	Deferred Share Units	Fraser, Sheila Sarah Margaret	4	2020-09-30	30		75,526	1,092
Manulife Financial Corporation	Deferred Share Units	Hsieh, Tsun-yan	4	2020-09-30	46	18.64	121,342	3,678

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Manulife Financial Corporation	Deferred Share Units	Hsieh, Tsun-yan	4	2020-09-30	30		123,121	1,779
Manulife Financial Corporation	Deferred Share Units	Lindsay, Donald Richard	4	2020-09-30	46	18.64	81,610	2,198
Manulife Financial Corporation	Deferred Share Units	Lindsay, Donald Richard	4	2020-09-30	30		82,810	1,200
Manulife Financial Corporation	Deferred Share Units	Palmer, John Ralph Vernon	4	2020-09-30	46	18.64	105,683	1,839
Manulife Financial Corporation	Deferred Share Units	Palmer, John Ralph Vernon	4	2020-09-30	30		107,252	1,569
Manulife Financial Corporation	Deferred Share Units	Prieur, Claude. James	4	2020-09-30	46	18.64	104,128	4,396
Manulife Financial Corporation	Deferred Share Units	Prieur, Claude. James	4	2020-09-30	30		105,635	1,507
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	2020-09-30	46	18.64	121,966	4,396
Manulife Financial Corporation	Deferred Share Units	Rosen, Andrea Sarah	4	2020-09-30	30		123,743	1,777
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Araya Muñoz, Leonardo Angel	5	2020-09-25	50		130,000	50,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Common Shares	Decher, Petra	4	2020-05-27	37		2,000	-48,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Decher, Petra	4	2020-05-27	37		123,810	-2,821,249
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Common Shares	Greenstone Resources L.P.	3	2020-05-21	37		3,658,183	-87,796,381
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Common Shares	Greenstone Resources L.P.	3	2020-05-21	37		4,303,087	-103,274,092
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Common Shares	Greenstone Resources L.P.	3	2020-05-21	37		8,076,410	-193,833,846
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Greenstone Resources L.P.	3	2020-05-21	37		149,818	-3,595,631
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Greenstone Resources L.P.	3	2020-09-25	50		649,818	500,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Kinley, Colin Brent	4	2020-05-27	37		316,047	-7,284,733
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Kinley, Colin Brent	4	2020-09-25	50		591,047	275,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Common Shares	Locke, Hayden Thomas	5	2020-09-29	10	3.3	27,400	7,400
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Locke, Hayden Thomas	5	2020-09-25	50		400,000	200,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Petterson, Timothy	4	2020-09-25	50		270,000	200,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Pinto, Patricio Fernando	5	2020-09-25	00		102,016	
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Rivera, Sergio	5	2020-09-25	50		260,000	200,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Stephens, Alan J.	4, 5	2020-09-25	50		578,000	200,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Common Shares	Tondo, Luis Albano	4, 5	2017-06-12	00			

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Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Common Shares	Tondo, Luis Albano	4, 5	2020-09-29	10	3.2605	6,000	6,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Tondo, Luis Albano	4, 5	2020-05-27	37		260,000	-6,240,000
Marimaca Copper Corp. (formerly, Coro Mining Corp.)	Options	Tondo, Luis Albano	4, 5	2020-09-25	50		460,000	200,000
Martello Technologies Group Inc.	Common Shares	Linton, Bruce	4, 5	2020-09-24	11	0.22	2,742,858	-192,000
Martello Technologies Group Inc.	Common Shares	Linton, Bruce	4, 5	2020-09-24	11	0.22	192,000	192,000
MAV Beauty Brands Inc.	Deferred Share Units	Elshaw, Chris	4	2020-09-30	56		40,592	5,665
MAV Beauty Brands Inc.	Deferred Share Units	Ennis, Thomas	4	2020-09-30	56		27,063	3,777
MAV Beauty Brands Inc.	Deferred Share Units	Smith, Stephen A.	4	2020-09-30	56		32,473	4,532
Maverix Metals Inc.	Rights Restricted Share Units	Barnes, Christopher Henry	4	2020-09-26	56	6.54	9,751	4,587
Maverix Metals Inc.	Rights Restricted Share Units	Burns, Geoffrey Alan	4	2020-09-26	56	6.54	13,001	6,116
Maverix Metals Inc.	Rights Restricted Share Units	Doyle, Alun Robert	4, 6	2020-09-26	56	6.54	9,751	4,587
Maverix Metals Inc.	Rights Restricted Share Units	Penny, Brian W.	4	2020-09-26	56	6.54	9,751	4,587
Maverix Metals Inc.	Rights Restricted Share Units	Scott, David Arthur	4	2020-09-26	56	6.54	9,751	4,587
Maverix Metals Inc.	Rights Restricted Share Units	Spicer, J.C. Stefan	4	2020-09-26	56	6.54	9,751	4,587
Mawson Gold Limited	Common Shares	Ahola, Noora	4	2020-09-29	10	0.435	274,500	-500
Mazarin Inc.	Common Shares	Tremblay, Robert	4	2020-09-28	10	0.105	1,930,500	1,500
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-09-25	38	6.19	300	300
MBN Corporation	Common Shares Equity Shares	MBN Corporation	1	2020-09-25	38		0	-300
MCAN Mortgage Corporation	Common Shares	BUCK, AVISH	5	2020-06-03	00			
MCAN Mortgage Corporation	Common Shares	BUCK, AVISH	5	2020-09-14	30	14.187	345	345
MCAN Mortgage Corporation	Common Shares	Serra, Derek	5	2020-08-20	00		1,352	
MCAN Mortgage Corporation	Common Shares	Serra, Derek	5	2020-08-28	30	13.929	1,398	46
MCAN Mortgage Corporation	Common Shares	Serra, Derek	5	2020-09-14	30	14.187	1,442	44
mdf commerce inc.	Common Shares	BØlanger, Julie	5	2020-09-30	30		18	18
mdf commerce inc.	Common Shares	Bourque, Paul	5	2020-09-30	30		30,345	137
mdf commerce inc.	Options	Dumont, Christian	4	2020-09-23	00			
mdf commerce inc.	Options	Dumont, Christian	4	2020-09-23	50		5,000	5,000
mdf commerce inc.	Common Shares	Filiatreault, Luc	4, 5	2020-09-30	30		51,294	475
mdf commerce inc.	Common Shares	Hallak, HØlΦne	5	2020-09-30	30		21,318	301
mdf commerce inc.	Common Shares	Poulin, Marc-AndrØ	5	2020-09-30	30		95	95
mdf commerce inc.	Common Shares	Rousseau, Camil	5	2020-09-30	30		16,083	137
mdf commerce inc.	Common Shares	Simon, AndrØanne	7	2020-09-30	30		8,033	137
mdf commerce inc.	Common Shares	Stam, Jean-Michel	5	2020-09-30	30		23,576	687
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2020-09-30	97		\$12,072,290	\$84,910
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2020-09-30	97		\$3,017,601	\$21,224

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MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2020-09-30	97		\$47,115,843	\$331,390
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2020-09-30	97		\$8,095,016	\$56,936
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2020-09-30	97		\$88,175,830	\$620,186
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2020-09-30	97		\$14,031,539	\$98,691
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2020-09-30	97		\$12,072,290	\$84,910
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2020-09-30	97		\$3,017,601	\$21,224
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2020-09-30	97		\$47,115,843	\$331,390
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2020-09-30	97		\$8,095,016	\$56,936
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2020-09-30	97		\$88,175,830	\$620,186
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2020-09-30	97		\$14,031,539	\$98,691
MEG Energy Corp.	Deferred Share Units	Billing, Grant Donald	4	2020-10-01	56	2.72	62,190	9,559
MEG Energy Corp.	Deferred Share Units	Billing, Grant Donald	4	2020-10-01	56	2.72	67,016	4,826
MEG Energy Corp.	Deferred Share Units	Bruce, Ian	4	2020-10-01	56	2.72	32,246	5,515
MEG Energy Corp.	Deferred Share Units	Fairburn, Judy	4	2020-10-01	56	2.72	28,940	4,780
MEG Energy Corp.	Deferred Share Units	Hodgins, Robert Bruce	4	2020-10-01	56	2.72	67,093	4,780
MEG Energy Corp.	Deferred Share Units	KLESSE, William Robert	4	2020-10-01	56	2.72	61,266	4,780
MEG Energy Corp.	Deferred Share Units	MacKenzie, Susan Mary	4	2020-10-01	56	2.72	19,110	9,559
MEG Energy Corp.	Deferred Share Units	McCaig, Jeffrey James	4	2020-10-01	56	2.72	149,492	4,780
MEG Energy Corp.	Deferred Share Units	McCaig, Jeffrey James	4	2020-10-01	56	2.72	154,318	4,826
MEG Energy Corp.	Deferred Share Units	McFarland, James D.	4	2020-10-01	56	2.72	102,780	9,559
MEG Energy Corp.	Deferred Share Units	McQUEEN, Diana Janet	4	2020-10-01	56	2.72	90,775	9,559
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-09-28	10	6.16		1,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-09-28	10	6.16		1,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-09-28	38	6.16	11,000	1,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-09-29	38	6.14	12,000	1,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-09-30	38	5.91	13,000	1,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-09-30	38	6.315	2,000	-11,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-10-01	38	5.88	3,000	1,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2020-10-02	38	5.89	4,000	1,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Melcor Developments Ltd.	Common Shares	Melton, Kathleen M	4	2020-09-25	10	6.105	30,800	800
Melcor Developments Ltd.	Common Shares	Rayburn, Darin Anthony	5	2020-09-29	10	6.06	47,871	638
Melcor Real Estate Investment Trust	Trust Units	Rayburn, Darin Anthony	5	2020-09-29	10	3.88	166,225	780
Metalla Royalty & Streaming Ltd.	Common Shares	Tucker, E.B.	4	2020-09-28	10	7.77	305,276	500
Metalla Royalty & Streaming Ltd.	Common Shares	Tucker, E.B.	4	2020-10-02	10	7.7	305,526	250
Methanex Corporation	Rights Deferred Share Units	Arnell, Douglas James	4	2020-09-30	56		24,129	1,374
Methanex Corporation	Rights Deferred Share Units	Bertram, James Vance	4	2020-09-30	56		10,454	767
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-09-24	10	22.618	2,837,491	22,000
Methanex Corporation	Common Shares	M&G Investment Management Limited	3	2020-09-24	10	22.618	6,335,735	40,000
Micron Waste Technologies Inc. (formerly, Finore Mining Inc.)	Common Shares	Bhushan, Bharat	4	2020-09-28	10	0.125	950,000	-15,000
Micron Waste Technologies Inc. (formerly, Finore Mining Inc.)	Common Shares	Bhushan, Bharat	4	2020-09-29	10	0.13	905,000	-45,000
Micron Waste Technologies Inc. (formerly, Finore Mining Inc.)	Common Shares	Bhushan, Bharat	4	2020-09-30	10	0.135	880,000	-25,000
Micron Waste Technologies Inc. (formerly, Finore Mining Inc.)	Common Shares	Bhushan, Bharat	4	2020-10-01	10	0.12	846,500	-33,500
Micron Waste Technologies Inc. (formerly, Finore Mining Inc.)	Common Shares	Bhushan, Bharat	4	2020-10-02	10	0.115	827,500	-19,000
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-09-25	38	8.6764	823,300	2,800
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-09-28	38	8.7784	825,800	2,500
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-09-29	38	8.7314	827,200	1,400
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2020-09-30	38	8.6671	829,300	2,100
Mind Cure Health Inc.	Common Shares	Ramsden, Kelsey Leigh	4	2020-09-28	00		900,000	
Minfocus Exploration Corp.	Common Shares	Milne, Alicia	4	2020-09-28	10	0.075	50,000	10,000
Minfocus Exploration Corp.	Options	Power, Leo Patrick	4	2018-09-17	00			
Minfocus Exploration Corp.	Options	Power, Leo Patrick	4	2020-09-11	50		200,000	200,000
MINT Income Fund	Trust Units	MINT Income Fund	1	2020-09-29	38	4.8229	71,781,951	700
MINT Income Fund	Trust Units	MINT Income Fund	1	2020-09-30	38	4.8089	71,789,051	7,100
Minto Apartment Real Estate Investment Trust	Deferred Units	Greenberg, Roger	4, 5	2020-09-30	56		9,666	1,128
Minto Apartment Real Estate Investment Trust	Deferred Units	Kimberley, Allan Scott	4	2020-09-30	56		12,005	1,289
Minto Apartment Real Estate Investment Trust	Deferred Units	Kirk, Heather Catherine	4	2020-09-30	56		10,049	967
Minto Apartment Real Estate Investment Trust	Deferred Units	Moss, Jacqueline Crysler	4	2020-09-30	56		9,871	987

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Minto Apartment Real Estate Investment Trust	Deferred Units	Nyilassy, Simon	4	2020-09-30	56		11,614	1,168
Minto Apartment Real Estate Investment Trust	Deferred Units	Orsino, Philip	4	2020-09-30	56		6,561	625
Miramont Resources Corp.	Options	Peniuk, Dale Canfield	4	2020-10-01	37		72,500	-652,500
Miramont Resources Corp.	Options	Peniuk, Dale Canfield	4	2020-10-01	50	0.9	152,500	80,000
Modern Meat Inc.	Common Shares	Becher, R W Campbell	4	2020-09-29	10	2.792	49,000	3,000
Modern Meat Inc.	Common Shares	Becher, R W Campbell	4	2020-09-30	10	2.89	52,000	3,000
Molecule Holdings Inc.	Common Shares	Audet, Andr�	4	2020-09-25	10	0.07	7,717,170	100,000
MONETA PORCUPINE MINES INC.	Options	Macintosh, Jason	5	2020-09-28	00		500,000	
Morguard Corporation	Common Shares	Morguard Corporation	1	2020-09-25	38	102.75	3,500	3,500
Morguard Corporation	Common Shares	Morguard Corporation	1	2020-09-25	38		0	-3,500
Morguard Corporation	Common Shares	Morguard Corporation	1	2020-09-29	38	106.06	1,000	1,000
Morguard Corporation	Common Shares	Morguard Corporation	1	2020-09-29	38		0	-1,000
Morguard Corporation	Common Shares	Morguard Corporation	1	2020-09-30	38	106.17	1,000	1,000
Morguard Corporation	Common Shares	Morguard Corporation	1	2020-09-30	38		0	-1,000
Morguard Corporation	Common Shares	Morguard Corporation	1	2020-10-01	38	104.52	1,000	1,000
Morguard Corporation	Common Shares	Morguard Corporation	1	2020-10-01	38		0	-1,000
Morguard Corporation	Common Shares	Robertson, Bruce Keith	4	2010-05-18	00			
Morguard Corporation	Common Shares	Robertson, Bruce Keith	4	2020-09-24	10	105	200	200
Mosaic Capital Corporation	Common Shares	KUNIK, Harold	6	2020-09-28	10	1.76	242,415	-5,000
Mullen Group Ltd.	Common Shares	McGinley, Christine Ellen	4	2020-09-30	10	9.01	15,428	1,111
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2020-09-28	38	9.1356	766,500	33,300
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2020-09-29	38	9.1443	801,000	34,500
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2020-09-30	38	9.0832	825,166	24,166
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2020-09-30	38		58,666	-766,500
NameSilo Technologies Corp. (formerly known as Brisio Innovations Inc.)	Common Shares	Ronka, Kristaps	4	2020-09-28	16	0.2	2,549,775	1,250,000
NameSilo Technologies Corp. (formerly known as Brisio Innovations Inc.)	Warrants	Ronka, Kristaps	4	2020-09-28	16	0.3		625,000
NameSilo Technologies Corp. (formerly known as Brisio Innovations Inc.)	Warrants	Ronka, Kristaps	4	2020-09-28	16	0.3	625,000	625,000
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	Options	Burton, Donald MacLaren	4, 5	2020-09-29	50	0.26	2,400,000	1,000,000
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	Options	Campbell, Darrin Richard	5	2020-09-29	50	0.26	1,750,000	1,000,000
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	Options	Ellmies, Rainer Heinz	7	2020-09-29	50	0.26	1,400,000	750,000
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	Options	Hickey, Adrian Thomas	3	2020-09-29	50	0.26	1,050,000	400,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	Options	Kapp, Steve Eugene	4	2020-09-29	50	0.26	900,000	400,000
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	Options	McConnell, Gerald James	4	2020-09-29	50	0.26	1,900,000	500,000
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	Options	Ndiilula, Mwahafar Ndakolute	7	2020-09-29	50	0.26	100,000	50,000
Namibia Critical Metals Inc. (formerly Namibia Rare Earths Inc.)	Options	Price, William	3, 4	2020-09-29	50	0.26	1,050,000	400,000
Neo Performance Materials Inc.	Dividend Equivalents in Dollars (Neo Cayman Holdings Inc.)	HOGAN, JEFFREY ROBERT	7	2020-09-29	70		336,514	22,000
Neo Performance Materials Inc.	Dividend Equivalents in Dollars (Neo Cayman Holdings Inc.)	Karayannopoulos, Constantine Efthymios	4, 5	2020-09-29	70		150,170	9,867
Neo Performance Materials Inc.	Dividend Equivalents in Dollars (Neo Cayman Holdings Inc.)	Kroll, Gregory	7	2020-09-29	70		147,630	11,000
Neo Performance Materials Inc.	Dividend Equivalents in Dollars (Neo Cayman Holdings Inc.)	Morris, Kevin	5	2020-09-29	70		471,065	30,800
Neo Performance Materials Inc.	Dividend Equivalents in Dollars (Neo Cayman Holdings Inc.)	Noyrez, Eric	4	2020-09-29	70		92,518	6,167
Neo Performance Materials Inc.	Dividend Equivalents in Dollars (Neo Cayman Holdings Inc.)	Suleman, Rahim	5	2020-09-29	70		471,065	30,800
Neo Performance Materials Inc.	Dividend Equivalents in Dollars (Neo Cayman Holdings Inc.)	Timmerman, Frank	7	2020-09-29	70		173,609	12,222
Neptune Wellness Solutions Inc. (formerly Neptune Technologies & Bioresources Inc.)	Common Shares	Cammarata, Michael Taylor	4	2020-09-30	57	2.11	1,870,007	47,872
Neptune Wellness Solutions Inc. (formerly Neptune Technologies & Bioresources Inc.)	Restricted Share Units	Cammarata, Michael Taylor	4	2020-09-30	57	2.11	1,663,236	-47,872
Neptune Wellness Solutions Inc. (formerly Neptune Technologies & Bioresources Inc.)	Restricted Share Units	Cammarata, Michael Taylor	4	2020-09-30	97	2.11	1,633,330	-29,906
New Leaf Ventures Inc.	Common Shares	Stier, Michael Alan	4, 5	2020-09-28	10	0.465	525,000	25,000
New Leaf Ventures Inc.	Common Shares	Stier, Michael Alan	4, 5	2020-09-28	10	0.465	51,500	50,000
New Millennium Iron Corp.	Common Shares	Caron, Mario	4	2015-11-15	00			

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New Millennium Iron Corp.	Common Shares	Caron, Mario	4	2020-09-30	10	0.08	270,000	270,000
New Millennium Iron Corp.	Common Shares	Caron, Mario	4	2020-10-01	10	0.08	500,000	230,000
New Millennium Iron Corp.	Common Shares	Caron, Mario	4	2020-10-02	10	0.08	582,000	82,000
New Millennium Iron Corp.	Common Shares	Leckie, William Scott	4	2020-10-02	10	0.08	462,192	120,000
New Placer Dome Gold Corp. (formerly, Barrian Mining Corp.)	Common Shares	Sali, Maximilian	4, 5	2020-09-23	10	0.435	2,052,250	5,000
New Placer Dome Gold Corp. (formerly, Barrian Mining Corp.)	Common Shares	Sali, Maximilian	4, 5	2020-10-01	10	0.29	2,072,250	20,000
New Wave Holdings Corp. (formerly, New Wave Esports Corp.)	Common Shares	Anahit International Corp.	3	2020-09-23	11	0.115	12,791,830	12,791,667
Newrange Gold Corp.	Common Shares	Archer, Robert Alexander	4	2020-09-24	10	0.125	865,636	100,000
NexgenRx Inc.	Common Shares	Crossett, Paul Everett	3	2020-09-28	10	0.17	7,598,000	8,000
NexgenRx Inc.	Common Shares	Crossett, Paul Everett	3	2020-10-01	10	0.165	7,623,000	25,000
NexgenRx Inc.	Preferred Shares Series 1	Crossett, Paul Everett	3	2020-09-28	16	0.25	160,000	60,000
Next Green Wave Holdings Inc.	Options	Chow, Paul	4	2020-07-08	50	0.1		1,450,000
Next Green Wave Holdings Inc.	Options	Chow, Paul	4	2020-07-08	50	0.15	1,450,000	1,450,000
Next Green Wave Holdings Inc.	Options	Chow, Paul	4	2020-09-30	50	0.25	1,850,000	400,000
Next Green Wave Holdings Inc.	Common Shares	Jewell, Matthew	5	2020-09-30	10	0.22	594,333	250,000
Next Green Wave Holdings Inc.	Options	Mayr, Norman Joseph	4	2020-09-30	00			
Next Green Wave Holdings Inc.	Options	Mayr, Norman Joseph	4	2020-09-30	50	0.25	850,000	850,000
Next Green Wave Holdings Inc.	Common Shares	Wilson, David	4	2020-09-18	10	0.22	961,500	-40,000
Next Green Wave Holdings Inc.	Options	Wilson, David	4	2020-09-30	50	0.25	1,250,000	400,000
NFI Group Inc. (formerly New Flyer Industries Inc.)	Common Shares	Gray, Adam L.	4	2020-09-25	57	17.07	4,517,660	3,525
NFI Group Inc. (formerly New Flyer Industries Inc.)	Restricted Share Units	Gray, Adam L.	4	2020-09-25	56	17.07	3,526	3,525
NFI Group Inc. (formerly New Flyer Industries Inc.)	Restricted Share Units	Gray, Adam L.	4	2020-09-25	57	17.07	1	-3,525
Nicola Mining Inc.	Options	Johnston, Paul	4	2016-05-19	00			
Nicola Mining Inc.	Options	Johnston, Paul	4	2016-07-10	50	0.175	150,000	150,000
Nicola Mining Inc.	Options	Johnston, Paul	4	2018-12-28	50	0.1	200,000	50,000
Nighthawk Gold Corp.	Common Shares	Cudney, Robert Douglas	3	2020-09-30	10	1.3	9,656,842	20,900
Nomad Royalty Company Ltd.	Common Shares	de la Plante, Joseph	4, 5	2020-09-30	57	1.55	6,457,060	23,021
Nomad Royalty Company Ltd.	Rights Restricted Share Units	de la Plante, Joseph	4, 5	2020-09-30	56		300,796	48,596
Nomad Royalty Company Ltd.	Rights Restricted Share Units	de la Plante, Joseph	4, 5	2020-09-30	57		277,775	-23,021
Nomad Royalty Company Ltd.	Rights Restricted Share Units	de la Plante, Joseph	4, 5	2020-09-30	59		252,200	-25,575
Nomad Royalty Company Ltd.	Common Shares	Dutil, Annie	5	2020-08-06	00			
Nomad Royalty Company Ltd.	Common Shares	Dutil, Annie	5	2020-09-30	57	1.55	4,464	4,464
Nomad Royalty Company Ltd.	Rights Restricted Share Units	Dutil, Annie	5	2020-09-30	56		65,574	9,274

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Nomad Royalty Company Ltd.	Rights Restricted Share Units	Dutil, Annie	5	2020-09-30	57		61,110	-4,464
Nomad Royalty Company Ltd.	Rights Restricted Share Units	Dutil, Annie	5	2020-09-30	59		56,300	-4,810
Nomad Royalty Company Ltd.	Common Shares	LQvesque, Elif	5	2020-09-30	57	1.55	704,003	24,319
Nomad Royalty Company Ltd.	Rights Restricted Share Units	LQvesque, Elif	5	2020-09-30	56		800,796	48,596
Nomad Royalty Company Ltd.	Rights Restricted Share Units	LQvesque, Elif	5	2020-09-30	57		776,477	-24,319
Nomad Royalty Company Ltd.	Rights Restricted Share Units	LQvesque, Elif	5	2020-09-30	59		752,200	-24,277
Nomad Royalty Company Ltd.	Common Shares	Metcalf, Vincent	4, 5	2020-09-30	57	1.55	6,457,060	23,021
Nomad Royalty Company Ltd.	Rights Restricted Share Units	Metcalf, Vincent	4, 5	2020-09-30	56		300,796	48,596
Nomad Royalty Company Ltd.	Rights Restricted Share Units	Metcalf, Vincent	4, 5	2020-09-30	57		277,775	-23,021
Nomad Royalty Company Ltd.	Rights Restricted Share Units	Metcalf, Vincent	4, 5	2020-09-30	59		252,200	-25,575
Noront Resources Ltd.	Restricted Share Units	Baker, Mark	5	2020-09-30	56		169,486	96,358
Noront Resources Ltd.	Restricted Share Units	Coutts, Alan	5	2020-09-30	56		845,869	181,247
Noront Resources Ltd.	Restricted Share Units	Flewelling, Stephen Bennett	5	2020-09-30	56		413,454	137,308
Noront Resources Ltd.	Restricted Share Units	Gladu, Jean Paul	4	2017-04-07	00			
Noront Resources Ltd.	Restricted Share Units	Gladu, Jean Paul	4	2020-09-30	56		102,942	102,942
Noront Resources Ltd.	Restricted Share Units	Nolan, Glenn	5	2020-09-30	56		157,661	89,635
Noront Resources Ltd.	Restricted Share Units	Parisotto, Paul Anthony	4	2020-09-30	56		79,618	38,603
Noront Resources Ltd.	Restricted Share Units	Pollesel, John Joseph	4	2017-06-07	00			
Noront Resources Ltd.	Restricted Share Units	Pollesel, John Joseph	4	2020-09-30	56		117,648	117,648
Noront Resources Ltd.	Restricted Share Units	Pollesel, John Joseph	4	2020-10-01	56	0.17	235,296	117,648
Noront Resources Ltd.	Restricted Share Units	Quinlan, Matthew	4	2020-09-30	56		53,079	25,736
Noront Resources Ltd.	Restricted Share Units	Rieveley, Gregory Robert	5	2020-09-30	56		372,109	123,577
North American Construction Group Ltd.	Deferred Share Units	Ferron, Martin Robert	4, 5	2020-10-02	56		205,768	861
North American Construction Group Ltd.	Restricted Share Units	Ferron, Martin Robert	4, 5	2020-10-02	56		78,546	329
North American Construction Group Ltd.	Rights Performance Share Units	Ferron, Martin Robert	4, 5	2020-10-02	56		179,014	749
North American Construction Group Ltd.	Performance Share Units	Kallay, David Grant	5	2020-10-02	56		10,743	66
North American Construction Group Ltd.	Restricted Share Units	Kallay, David Grant	5	2020-10-02	56		7,162	44
North American Construction Group Ltd.	Common Shares Rights Performance Share Units	Lambert, Joseph Charles	5	2020-10-02	56		82,835	347
North American Construction Group Ltd.	Deferred Share Units	Lambert, Joseph Charles	5	2020-10-02	56		70,452	295

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North American Construction Group Ltd.	Restricted Share Units	Lambert, Joseph Charles	5	2020-10-02	56		55,224	231
North American Construction Group Ltd.	Deferred Share Units	McIntosh, Ronald A	4	2020-09-30	56		257,923	4,630
North American Construction Group Ltd.	Deferred Share Units	McIntosh, Ronald A	4	2020-10-02	56		258,987	1,064
North American Construction Group Ltd.	Common Shares Rights Performance Share Units	Palmer, Barry Wade	5	2020-10-02	56		46,042	193
North American Construction Group Ltd.	Deferred Share Units	Palmer, Barry Wade	5	2020-10-02	56		64,852	271
North American Construction Group Ltd.	Restricted Share Units	Palmer, Barry Wade	5	2020-10-02	56		30,693	128
North American Construction Group Ltd.	Deferred Share Units	Pinney, Bryan Daniel	4	2020-09-30	56		145,701	3,058
North American Construction Group Ltd.	Deferred Share Units	Pinney, Bryan Daniel	4	2020-10-02	56		146,300	599
North American Construction Group Ltd.	Deferred Share Units	Pollesel, John Joseph	4	2020-09-30	56		33,791	4,910
North American Construction Group Ltd.	Deferred Share Units	Pollesel, John Joseph	4	2020-10-02	56		33,912	121
North American Construction Group Ltd.	Deferred Share Units	Saint-Laurent, Maryse C.	4	2020-09-30	56		9,472	2,455
North American Construction Group Ltd.	Deferred Share Units	Saint-Laurent, Maryse C.	4	2020-10-02	56		9,501	29
North American Construction Group Ltd.	Performance Share Units	Slator, Jordan	5	2020-10-02	56		14,015	80
North American Construction Group Ltd.	Restricted Share Units	Slator, Jordan	5	2020-10-02	56		9,043	53
North American Construction Group Ltd.	Deferred Share Units	Stan, Thomas Paul	4	2020-09-30	56		42,551	2,525
North American Construction Group Ltd.	Deferred Share Units	Stan, Thomas Paul	4	2020-10-02	56		42,719	168
North American Construction Group Ltd.	Common Shares Performance Share Units	Veenstra, Jason William	5	2020-10-02	56		38,562	213
North American Construction Group Ltd.	Deferred Share Units	Veenstra, Jason William	5	2020-10-02	56		7,559	32
North American Construction Group Ltd.	Restricted Share Units	Veenstra, Jason William	5	2020-10-02	56		25,709	142
North American Construction Group Ltd.	Deferred Share Units	Williams, Kristina	4	2020-09-30	56		9,060	2,315
North American Construction Group Ltd.	Deferred Share Units	Williams, Kristina	4	2020-10-02	56		9,088	28
Northern Dynasty Minerals Ltd.	Common Shares	Flatt, James Gordon	6	2014-06-10	00		26,870,112	
Northern Dynasty Minerals Ltd.	Common Shares	Flatt, James Gordon	6	2020-09-30	11	1.3	0	-26,870,112
Northern Superior Resources Inc.	Common Shares	Morris, Thomas, Findlay	5	2020-09-25	10	0.69	223,733	-5,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Northern Superior Resources Inc.	Common Shares	Morris, Thomas, Findlay	5	2020-09-28	51	0.5	273,733	50,000
Northern Superior Resources Inc.	Options	Morris, Thomas, Findlay	5	2020-07-30	51	0.22	555,000	-100,000
Northern Superior Resources Inc.	Options	Morris, Thomas, Findlay	5	2020-09-28	51	0.5	505,000	-50,000
Northview Apartment Real Estate Investment Trust	Trust Units	Credit Suisse Securities (Canada), Inc.	3	2020-09-30	10	36.005	7,094,595	-15,800
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	Drimmer, Daniel	3, 4	2020-09-28	30	34.25	14,620	339
Northview Apartment Real Estate Investment Trust	Deferred Unit Award Plan	Thon, Scott William	4	2020-09-28	56	34.25	22,874	474
Northview Canadian High Yield Residential Fund	Units Class C	Drimmer, Daniel	4, 5	2020-09-30	00		1	
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Baron, Robert	4	2020-09-30	56	11.18		3,641
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Baron, Robert	4	2020-09-30	56	11.18	171,706	3,641
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Kingsmill, Stephani	4	2020-09-08	00			
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Kingsmill, Stephani	4	2020-09-30	56	11.18		487
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Kingsmill, Stephani	4	2020-09-30	56	11.18	487	487
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Loudon, Colin	4	2020-09-30	56	11.18		2,013
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Loudon, Colin	4	2020-09-30	56	11.18	55,940	2,013
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Naylor, Christopher David (David)	6	2020-09-30	56	11.18		2,063
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Naylor, Christopher David (David)	6	2020-09-30	56	11.18	107,410	2,063
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Petersen, Brian Kenneth	4	2020-09-30	56	11.18		2,013
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Petersen, Brian Kenneth	4	2020-09-30	56	11.18	45,703	2,013
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Weaver, Karen Hainsworth	4	2020-09-30	56	11.18		2,819
NorthWest Healthcare Properties Real Estate Investment Trust	Deferred Units	Weaver, Karen Hainsworth	4	2020-09-30	56	11.18	27,480	2,819

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Nouveau Monde Graphite Inc. (auparavant Nouveau Monde Mining Enterprises Inc.)	Warrants	TURPIN, ARMAND	3	2020-09-28	55		4,348,000	-416,667
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Common Shares	Feldman, Steven	4	2020-06-26	37		197,500	-592,500
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Feldman, Steven	4	2017-07-09	52		350,000	-80,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Feldman, Steven	4	2020-01-05	52		500,000	-350,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Feldman, Steven	4	2020-06-26	37		125,000	-375,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Warrants	Feldman, Steven	4	2020-01-22	55		0	-50,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Common Shares	Rascan, William	4, 5	2020-06-26	37		69,375	-208,125
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Common Shares	Rascan, William	4, 5	2020-06-26	37		827,000	-2,481,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Rascan, William	4, 5	2017-07-09	52	0.1	0	-50,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Rascan, William	4, 5	2018-10-26	52	0.15	400,000	-327,500
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Rascan, William	4, 5	2020-01-05	52		500,000	-400,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Rascan, William	4, 5	2020-06-26	37			-625,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Rascan, William	4, 5	2020-06-26	37		125,000	-375,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Options	Rascan, William	4, 5	2020-08-01	52			-150,000
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Warrants	Rascan, William	4, 5	2020-01-22	55		0	-162,500
Nova Mentis Life Science Corp. (Formerly Liberty Leaf Holdings Ltd.)	Warrants	Rascan, William	4, 5	2020-01-22	55		0	-562,500
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-07-08	30	9.32	3,763	262
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-07-22	30	8.67	4,045	282
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-08-07	30	9.74	4,296	251

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-08-20	30	9.42	4,556	260
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-09-08	30	10.43	4,791	235
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-09-21	30	11.7	5,000	209
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-10-02	51	5.02	145,000	140,000
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-10-02	38	14.86	97,704	-47,296
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-10-02	10	14.79	8,490	-89,214
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-10-02	90		5,000	-3,490
NOVAGOLD RESOURCES INC.	Common Shares	Lang, Gregory Anthony	5	2020-10-02	90		1,846,371	3,490
NOVAGOLD RESOURCES INC.	Options	Lang, Gregory Anthony	5	2020-10-02	51	5.02	4,123,000	-140,000
NOVAGOLD RESOURCES INC.	Common Shares	Ottewell, David	5	2020-07-08	30	9.32	617,893	140
NOVAGOLD RESOURCES INC.	Common Shares	Ottewell, David	5	2020-07-22	30	8.67	618,044	151
NOVAGOLD RESOURCES INC.	Common Shares	Ottewell, David	5	2020-08-07	30	9.74	618,178	134
NOVAGOLD RESOURCES INC.	Common Shares	Ottewell, David	5	2020-08-20	30	9.42	618,317	139
NOVAGOLD RESOURCES INC.	Common Shares	Ottewell, David	5	2020-09-08	30	10.43	618,442	125
NOVAGOLD RESOURCES INC.	Common Shares	Ottewell, David	5	2020-09-21	30	11.7	618,553	111
NOVAGOLD RESOURCES INC.	Common Shares	Rimelman, Ronald	5	2020-07-08	30	9.32	101,043	99
NOVAGOLD RESOURCES INC.	Common Shares	Rimelman, Ronald	5	2020-07-22	30	8.67	101,150	107
NOVAGOLD RESOURCES INC.	Common Shares	Rimelman, Ronald	5	2020-08-07	30	9.74	101,245	95
NOVAGOLD RESOURCES INC.	Common Shares	Rimelman, Ronald	5	2020-08-20	30	9.42	101,343	98
NOVAGOLD RESOURCES INC.	Common Shares	Rimelman, Ronald	5	2020-09-08	30	10.43	101,432	89
NOVAGOLD RESOURCES INC.	Common Shares	Rimelman, Ronald	5	2020-09-21	30	11.7	101,511	79
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-07-08	30	9.32		108
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-07-08	30	9.32		108
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-07-08	30	9.32	311,859	108
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-07-22	30	8.67	311,975	116
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-08-07	30	9.74	312,078	103
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-08-20	30	9.42	312,185	107
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-09-08	30	10.43	312,282	97
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-09-21	30	11.7	312,368	86
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-09-22	30	8.67		116
NOVAGOLD RESOURCES INC.	Common Shares	Williams, Richard	5	2020-09-22	30	8.67		116
Novo Resources Corp.	Common Shares	Sprott, Eric S.	3	2020-09-25	10	3.7551	5,273,476	-16,500
Novoheart Holdings Inc.	Common Shares	Chiu, Ricky Tong	4	2017-09-27	00			

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Novoheart Holdings Inc.	Common Shares	Chiu, Ricky Tong	4	2017-09-27	00		2,039,828	
Novoheart Holdings Inc.	Common Shares	Ngan Ng, Yu Ying	4	2019-06-28	00			
Novoheart Holdings Inc.	Common Shares	Ngan Ng, Yu Ying	4	2019-06-28	00		2,697,228	
Novoheart Holdings Inc.	Common Shares	Ngan, Chi Wing	4	2018-05-30	10	0.419		50,000
Novoheart Holdings Inc.	Common Shares	Ngan, Chi Wing	4	2018-05-30	10	0.419	5,835,228	50,000
Novoheart Holdings Inc.	Common Shares	Ngan, Chi Wing	4	2018-06-01	10	0.4	5,855,228	20,000
Nutrien Ltd.	Deferred Share Units	Burley, Christopher Michael	4	2020-07-17	30	32.66	18,075	246
Nutrien Ltd.	Deferred Share Units	Burley, Christopher Michael	4	2020-09-30	56	40.04	18,481	406
Nutrien Ltd.	Deferred Share Units	Clark, Maura	4	2020-07-17	30	32.66	14,386	196
Nutrien Ltd.	Deferred Share Units	Clark, Maura	4	2020-09-30	56	40.04	15,229	843
Nutrien Ltd.	Deferred Share Units	Girling, Russell	4	2020-07-17	30	32.66	109,415	1,487
Nutrien Ltd.	Deferred Share Units	Girling, Russell	4	2020-09-30	56	40.04	111,038	1,623
Nutrien Ltd.	Deferred Share Units	Hubbs, Miranda C.	4	2020-07-17	30	32.66	21,568	293
Nutrien Ltd.	Deferred Share Units	Hubbs, Miranda C.	4	2020-09-30	56	40.04	22,395	827
Nutrien Ltd.	Deferred Share Units	Laberge, Alice D.	4	2020-07-17	30	32.66	45,143	613
Nutrien Ltd.	Deferred Share Units	Laberge, Alice D.	4	2020-09-30	56	32.66	45,954	811
Nutrien Ltd.	Deferred Share Units	Madere, Consuelo	4	2020-07-17	30	32.66	10,080	137
Nutrien Ltd.	Deferred Share Units	Madere, Consuelo	4	2020-09-30	56	40.04	10,494	414
Nutrien Ltd.	Deferred Share Units	Martell, Keith	4	2020-07-17	30	32.66	26,047	354
Nutrien Ltd.	Deferred Share Units	Martell, Keith	4	2020-09-30	56	40.04	26,468	421
Nutrien Ltd.	Deferred Share Units	Regent, Aaron William	4	2020-07-17	30	32.66	27,688	376
Nutrien Ltd.	Deferred Share Units	Regent, Aaron William	4	2020-09-30	56	40.04	29,311	1,623
Nutrien Ltd.	Deferred Share Units	Schmidt, Mayo	4	2020-07-17	30	32.66	40,348	549
Nutrien Ltd.	Deferred Share Units	Schmidt, Mayo	4	2020-09-30	56	40.04	43,095	2,747
Nutrien Ltd.	Deferred Share Units	Silva, Nelson Luiz Costa	4	2020-09-30	56	40.04	415	415
NUVISTA ENERGY LTD.	Common Shares	MacPhail, Keith A.J.	4, 5	2020-10-01	10	0.698	3,532,042	1,000,000
NUVISTA ENERGY LTD.	Common Shares	Paramount Resources Ltd.	3	2020-09-30	00		39,752,142	
NXT Energy Solutions Inc.	Restricted Stock Units	Liszicasz, George	3, 4, 5	2005-05-18	00			
NXT Energy Solutions Inc.	Restricted Stock Units	Liszicasz, George	3, 4, 5	2020-09-30	97		155,000	155,000
NXT Energy Solutions Inc.	Deferred Stock Units	Selby, Charles Vincent	4	2020-09-30	46		31,944	7,393
NXT Energy Solutions Inc.	Options	Tilson, John	4	2020-09-30	50	0.51	71,600	16,000
NXT Energy Solutions Inc.	Restricted Stock Units	Woychyshyn, Eugene Darryl	5	2018-12-03	00			
NXT Energy Solutions Inc.	Restricted Stock Units	Woychyshyn, Eugene Darryl	5	2020-09-30	97		125,000	125,000

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O3 Mining Inc.	Common Shares	Vizquerra, Jose	4, 6, 5	2020-09-29	10	3.17	2,452,040	15,700
O3 Mining Inc.	Common Shares	Vizquerra, Jose	4, 6, 5	2020-09-30	10	3.15	2,467,910	15,870
O3 Mining Inc.	Common Shares	Vizquerra, Jose	4, 6, 5	2020-09-24	10	2.806	2,436,340	17,815
Omai Gold Mines Corp. (formerly Anconia Resources Corp.)	Common Shares	Brewster, Jason Allen Ross	5	2020-10-01	37		87,583	-1,226,167
Omai Gold Mines Corp. (formerly Anconia Resources Corp.)	Common Shares	Brewster, Jason Allen Ross	5	2020-10-01	37			-3,437,467
Omai Gold Mines Corp. (formerly Anconia Resources Corp.)	Common Shares	Brewster, Jason Allen Ross	5	2020-10-01	37		245,534	-3,437,466
Omai Gold Mines Corp. (formerly Anconia Resources Corp.)	Options	Brewster, Jason Allen Ross	5	2020-10-01	50		1,000,000	1,000,000
Omai Gold Mines Corp. (formerly Anconia Resources Corp.)	Common Shares	McKenzie, Harvey	5	2020-10-01	37		251,400	-3,519,600
Omai Gold Mines Corp. (formerly Anconia Resources Corp.)	Common Shares	McKenzie, Harvey	5	2020-10-01	37		50,000	-700,000
Omai Gold Mines Corp. (formerly Anconia Resources Corp.)	Options	McKenzie, Harvey	5	2020-10-01	50		500,000	500,000
Oncolytics Biotech Inc.	Rights Restricted Share Units	Brown, Deborah	4	2020-09-30	56		14,288	2,183
Oncolytics Biotech Inc.	Common Shares	Holtham, Angela Frances	4	2020-09-30	57		77,662	1,144
Oncolytics Biotech Inc.	Rights Restricted Share Units	Holtham, Angela Frances	4	2020-09-30	57		22,093	-1,144
Oncolytics Biotech Inc.	Common Shares	Pisano, Wayne	4	2020-09-30	57		130,859	1,006
Oncolytics Biotech Inc.	Rights Restricted Share Units	Pisano, Wayne	4	2020-09-30	56		39,302	5,822
Oncolytics Biotech Inc.	Rights Restricted Share Units	Pisano, Wayne	4	2020-09-30	57			-6,828
Oncolytics Biotech Inc.	Rights Restricted Share Units	Pisano, Wayne	4	2020-09-30	57		38,296	-1,006
Oncolytics Biotech Inc.	Common Shares	Seizinger, Bernd Robert	4	2020-09-30	57		204,565	1,811
Oncolytics Biotech Inc.	Rights Restricted Share Units	Seizinger, Bernd Robert	4	2020-09-30	56		42,644	3,493
Oncolytics Biotech Inc.	Rights Restricted Share Units	Seizinger, Bernd Robert	4	2020-09-30	57		40,833	-1,811
Open Text Corporation	Deferred Share Units	Fowlie, Randy	4	2020-09-25	56	41.68	97,539	407
Open Text Corporation	Deferred Share Units	Fraser, David	4	2020-09-25	56	41.68	13,688	57
Open Text Corporation	Deferred Share Units	Hamilton, Gail	4	2020-09-25	56	41.68	76,978	321
Open Text Corporation	Deferred Share Units	Jenkins, P. Thomas	4	2020-09-25	56	41.68	117,386	490
Open Text Corporation	Deferred Share Units	Sadler, Stephen	4	2020-09-25	56	41.68	92,699	387
Open Text Corporation	Deferred Share Units	Singh, Harmit Jagmohan	4	2020-09-25	56	41.68	14,971	62
Open Text Corporation	Deferred Share Units	Slaunwhite, Michael William George	4	2020-09-25	56	41.68	111,969	467

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Open Text Corporation	Deferred Share Units	Stevenson, Katharine Berghuis	4	2020-09-25	56	41.68	92,330	385
Open Text Corporation	Deferred Share Units	Weinstein, Deborah	4	2020-09-25	56	41.68	107,146	447
Optiva Inc. (formerly Redknee Solutions Inc.)	Rights Deferred Share Units	Day, Andrew	4	2020-09-30	56	46.48	2,979	289
Optiva Inc. (formerly Redknee Solutions Inc.)	Rights Deferred Share Units	Duggal, Anurooop	4	2020-09-30	56	46.48	2,813	123
Optiva Inc. (formerly Redknee Solutions Inc.)	Rights Deferred Share Units	Matheson, Joseph Lee Grant	4	2020-09-30	56	46.48	2,953	263
Optiva Inc. (formerly Redknee Solutions Inc.)	Rights Deferred Share Units	Morris, Ryan	4	2020-09-30	56	46.48	2,831	141
Optiva Inc. (formerly Redknee Solutions Inc.)	Rights Deferred Share Units	Yancich, Paul	4	2020-09-30	56	46.48	2,962	272
Orbit Garant Drilling Inc.	Options	Veilleux, Nicole	4	2020-08-12	00			
Orbit Garant Drilling Inc.	Options	Veilleux, Nicole	4	2020-10-01	50	0.93		75,000
Orbit Garant Drilling Inc.	Options	Veilleux, Nicole	4	2020-10-01	50	0.93	75,000	75,000
Orca Gold Inc.	Common Shares	Chase, Robert	4	2020-09-30	46	0.39	1,413,624	34,936
Orca Gold Inc.	Common Shares	Clark, Richard Peter	4, 5	2020-09-30	46	0.39	11,286,430	196,631
Orca Gold Inc.	Common Shares	Field, David	4	2020-09-30	46	0.39	537,127	34,936
Orca Gold Inc.	Common Shares	Ross, Kevin John	5	2020-09-30	46	0.39	1,453,754	75,891
Orca Gold Inc.	Common Shares	White, Derek Christopher	4	2020-09-30	46	0.39	374,426	28,526
Orezone Gold Corporation	Common Shares	Archambeault, Louis	5	2020-10-02	10	0.93	541,000	5,000
Orezone Gold Corporation	Common Shares	Conway, Joseph Francis	4	2020-09-16	10	0.98	796,333	-112,000
Orezone Gold Corporation	Common Shares	Conway, Joseph Francis	4	2020-09-17	10	0.96	744,333	-52,000
Orezone Gold Corporation	Common Shares	Conway, Joseph Francis	4	2020-09-18	10	0.96	720,333	-24,000
Orezone Gold Corporation	Common Shares	Conway, Joseph Francis	4	2020-09-24	10	0.86	646,333	-74,000
Orezone Gold Corporation	Common Shares	Conway, Joseph Francis	4	2020-09-25	10	0.86	633,333	-13,000
Orezone Gold Corporation	Common Shares	Goodman, Ryan	5	2020-10-02	10	0.91	316,800	5,500
Organto Foods Inc.	Common Shares	Gianulis, Peter Lawrence	6	2020-10-02	10	0.098	5,203,500	50,000
Oroco Resource Corp.	Options	Dalziel, Craig James	4, 5	2020-09-10	50	0.75		300,000
Oroco Resource Corp.	Options	Dalziel, Craig James	4, 5	2020-09-10	50	0.75	600,000	600,000
Osisko Mining Inc.	Rights Deferred Share Units	Roosen, Sean	4, 6	2020-09-30	56	3.5	385,559	5,182
Ovintiv Inc.	Deferred Share Units	Dea, Peter Allen	4	2020-09-30	30	10.99	35,573	3,034
Ovintiv Inc.	Deferred Share Units	McIntire, Lee Alan	4	2020-09-30	30	10.99	21,845	1,707
Ovintiv Inc.	Common Shares	Moore, Rachel Maureen	5	2020-06-30	00			
Ovintiv Inc.	Common Shares	Moore, Rachel Maureen	5	2020-10-02	10	9.88	6,000	6,000
Ovintiv Inc.	Deferred Share Units	Shaw, Brian Gordon	4	2020-09-30	30	10.99	25,034	1,707
Ovintiv Inc.	Deferred Share Units	Waterman, Bruce G.	4	2020-09-30	30	10.99	36,680	1,859
Pac Roots Cannabis Corp.	Common Shares	Elliott, Patrick	4, 5	2020-09-29	10	0.21	4,486,200	10,000
Pac Roots Cannabis Corp.	Common Shares	Field, Alex	7	2019-06-19	00			

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Pac Roots Cannabis Corp.	Common Shares	Field, Alex	7	2020-04-28	37	0.3	200,000	200,000
Pac Roots Cannabis Corp.	Common Shares	Field, Alex	7	2020-09-03	11	0.25	263,000	63,000
Pac Roots Cannabis Corp.	Options	Field, Alex	7	2019-06-19	00			
Pac Roots Cannabis Corp.	Options	Field, Alex	7	2020-06-08	50		100,000	100,000
Pac Roots Cannabis Corp.	Warrants	Field, Alex	7	2019-06-19	00			
Pac Roots Cannabis Corp.	Warrants	Field, Alex	7	2020-09-03	11		63,000	63,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	2020-09-28	10	0.165	837,416	2,000
Palamina Corp.	Common Shares	Thomson, Andrew	4	2020-09-28	10	0.262	996,300	6,500
Panoro Minerals Ltd.	Common Shares	Shaheen, Luquman	4, 5	2020-09-28	46	0.133	1,309,508	132,133
Paramount Resources Ltd.	Common Shares Class A	Stotts, Garth W.J.	5	2020-09-30	10	2.098	0	-6,272
Parex Resources Inc.	Common Shares	Foo, Wayne Kim	5	2020-10-01	57	0.01	1,665,520	85,000
Parex Resources Inc.	Common Shares	Foo, Wayne Kim	5	2020-10-01	10	13.9	1,621,979	-43,541
Parex Resources Inc.	Restricted Share Unit	Foo, Wayne Kim	5	2020-10-01	57	0.01	87,000	-85,000
Parex Resources Inc.	Common Shares	Taylor, David Robert	5	2020-09-28	57	0.01	569,788	30,000
Parex Resources Inc.	Common Shares	Taylor, David Robert	5	2020-09-28	10	14.92	554,420	-15,368
Parex Resources Inc.	Restricted Share Unit	Taylor, David Robert	5	2020-09-28	57	0.01	148,050	-30,000
Parkland Corporation	Deferred Share Unit Plan	PILLA, DOMENICO	4	2020-10-01	56	36.255	28,531	641
Pason Systems Inc.	DSU	Collins, T Jay	4	2020-09-30	50		73,238	686
Pason Systems Inc.	DSU	Collins, T Jay	4	2020-09-30	50		75,176	1,938
Pason Systems Inc.	DSU	Collins, T Jay	4	2020-09-30	50		78,873	3,697
Pason Systems Inc.	Common Shares	Faber, Jonathan	5	2020-09-30	10	5.35	32,000	4,000
Pason Systems Inc.	DSU	Hess, Judi	4	2020-09-30	50		47,222	442
Pason Systems Inc.	DSU	Hess, Judi	4	2020-09-30	50		49,160	1,938
Pason Systems Inc.	DSU	Hess, Judi	4	2020-09-30	50		52,857	3,697
Pason Systems Inc.	DSU	Hill, James Douglas	3, 4, 5	2020-09-30	50		60,366	565
Pason Systems Inc.	DSU	Hill, James Douglas	3, 4, 5	2020-09-30	50			1,934
Pason Systems Inc.	DSU	Hill, James Douglas	3, 4, 5	2020-09-30	50		62,304	1,938
Pason Systems Inc.	DSU	Hill, James Douglas	3, 4, 5	2020-09-30	50		64,691	2,387
Pason Systems Inc.	DSU	Howe, James Brian	4	2020-09-30	50		27,111	254
Pason Systems Inc.	DSU	Howe, James Brian	4	2020-09-30	50		29,049	1,938
Pason Systems Inc.	DSU	Schwinn, Laura	4	2020-09-30	50		6,445	60
Pason Systems Inc.	DSU	Schwinn, Laura	4	2020-09-30	50		8,383	1,938
Perk Labs Inc. (formerly Glance Technologies Inc.)	Common Shares	Zhang, Jian Qun	5	2020-10-01	46	0.045	979,787	172,222
Petroteq Energy Inc.	Common Shares	Blyumkin, Aleksandr	4, 5	2017-07-11	10	0.353	79,377	79,377
Petroteq Energy Inc.	Common Shares	Blyumkin, Aleksandr	4, 5	2019-01-08	11		304,269	224,892
Petroteq Energy Inc.	Common Shares	Blyumkin, Aleksandr	4, 5	2019-05-14	11		79,377	-224,892
Petroteq Energy Inc.	Common Shares	Blyumkin, Aleksandr	4, 5	2019-06-18	16	0.27	442,450	363,073
Petroteq Energy Inc.	Common Shares	Blyumkin, Aleksandr	4, 5	2019-07-05	11	0.19	652,976	210,526
Petroteq Energy Inc.	Common Shares	Blyumkin, Aleksandr	4, 5	2019-09-13	11		573,599	-79,377
Petroteq Energy Inc.	Common Shares	Blyumkin, Aleksandr	4, 5	2020-02-10	11		210,526	-363,073
Petroteq Energy Inc.	Common Shares	Blyumkin, Aleksandr	4, 5	2020-02-10	11		0	-210,526
Petrus Resources Ltd.	Options New Options	Korchinski, Neil	5	2020-09-29	52	0.86	435,902	-30,500
PFB Corporation	Common Shares	Papuga, Mirko	5	2020-09-25	51		5,500	5,000
PFB Corporation	Common Shares	Papuga, Mirko	5	2020-09-29	51	8.5	6,500	1,000
PFB Corporation	Options	Papuga, Mirko	5	2020-09-25	51	8.5	120,000	-5,000

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PFB Corporation	Options	Papuga, Mirko	5	2020-09-29	51	8.5	119,000	-1,000
PFB Corporation	Common Shares	Smith, C. Alan	3, 4, 5	2020-09-30	10	16	2,642,058	-330,110
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Athaide, Judith	4	2020-09-30	57		66,263	-53
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Athaide, Judith	4	2020-10-01	57		66,223	-40
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Freeman, Terrance	4	2020-09-30	57		96,785	-168
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Freeman, Terrance	4	2020-09-30	56	1.48	97,241	456
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Freeman, Terrance	4	2020-10-01	57		97,160	-81
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hibbard, Lawrence M.	4	2020-09-30	57		156,395	-379
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hibbard, Lawrence M.	4	2020-09-30	56	1.48	157,307	912
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hibbard, Lawrence M.	4	2020-10-01	57		157,145	-162
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	2020-09-30	57		437,754	-379
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	2020-09-30	56	1.48	438,666	912
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	2020-10-01	57		438,504	-162
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Tetreault, Myron Arthur	4	2020-09-30	57		166,346	-379
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Tetreault, Myron Arthur	4	2020-09-30	56	1.48	167,258	912
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Thomas, Roger Dale	4	2020-09-30	57		121,290	-253
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Thomas, Roger Dale	4	2020-09-30	56	1.48	121,974	684
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Thomas, Roger Dale	4	2020-10-01	57		121,852	-122
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-09-28	10	0.2	22,340,500	-850,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-09-28	10	0.2	21,490,500	-850,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-09-28	10	0.2	20,776,500	-714,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-09-28	10	0.2	19,891,500	-885,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-09-28	10	0.2	19,791,500	-100,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-09-30	10	0.19	19,825,000	33,500
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-10-01	10	0.19	19,850,000	25,000
Pine Cliff Energy Ltd.	Common Shares	Disbrow, Robert	3	2020-09-28	10	0.2	22,299,000	-1,201,000
Pine Cliff Energy Ltd.	Common Shares	Fink, George Frederick	3, 4, 5	2020-09-30	10	0.1891	23,387,132	50,000
Pine Cliff Energy Ltd.	Common Shares	McNeill, Terry Lee	5	2020-09-28	10	0.2	145,037	25,000
Pine Cliff Energy Ltd.	Common Shares	Rice, William Sanford	4	2020-10-02	10	0.195	390,000	25,000
Pinetree Capital Ltd.	Common Shares	L6 Holdings Inc.	3	2020-09-29	10	1.28	3,055,851	100
Pinetree Capital Ltd.	Common Shares	L6 Holdings Inc.	3	2020-09-30	10	1.28	3,056,351	500

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Erickson, Darcy Glen	5	2020-09-30	30	0.55	172,128	11,296
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Hoffman, Dustin Lee	5	2020-09-30	30	0.55	126,350	13,555
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Nieboer, Craig Frederick	5	2020-09-30	30	0.55	297,886	13,555
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Wanklyn, Robert Paul	4, 5	2020-09-25	10	0.51	255,246	1,000
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Wanklyn, Robert Paul	4, 5	2020-09-30	30	0.55	271,061	15,815
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Wanklyn, Robert Paul	4, 5	2020-09-30	10	0.52	276,061	5,000
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Wanklyn, Robert Paul	4, 5	2020-10-01	10	0.52	295,061	19,000
Pipestone Energy Corp. (formerly, Blackbird Energy Inc.)	Common Shares	Wanklyn, Robert Paul	4, 5	2020-10-02	10	0.51	315,061	20,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Coticchia, Wendy Lynn Johnson	5	2019-08-26	36			2,627
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Coticchia, Wendy Lynn Johnson	5	2019-08-26	36		5,498	3,333
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Coticchia, Wendy Lynn Johnson	5	2019-08-26	36			2,464
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Coticchia, Wendy Lynn Johnson	5	2019-08-26	36		8,831	3,333
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Coticchia, Wendy Lynn Johnson	5	2019-08-26	10		8,125	-706
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Coticchia, Wendy Lynn Johnson	5	2019-08-26	10		7,256	-869
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Coticchia, Wendy Lynn Johnson	5	2019-08-26	36			-2,627
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Coticchia, Wendy Lynn Johnson	5	2019-08-26	36		15,789	-3,333
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Coticchia, Wendy Lynn Johnson	5	2019-08-26	36			-2,464
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Coticchia, Wendy Lynn Johnson	5	2019-08-26	36		12,456	-3,333
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Dawe, Wade	4	2019-08-26	36			5,203

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Dawe, Wade	4	2019-08-26	36		130,480	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Dawe, Wade	4	2019-08-26	36			5,650
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Dawe, Wade	4	2019-08-26	36		140,480	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Dawe, Wade	4	2019-08-26	10		145,277	4,797
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Dawe, Wade	4	2019-08-26	10		140,927	-4,350
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Options	Dawe, Wade	4	2016-12-19	37		75,000	-225,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Dawe, Wade	4	2019-08-26	36			-5,203
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Dawe, Wade	4	2019-08-26	36		40,000	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Dawe, Wade	4	2019-08-26	36			-5,650
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Dawe, Wade	4	2019-08-26	36		30,000	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Flanagan, Michael James	5	2019-08-26	36			8,053
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Flanagan, Michael James	5	2019-08-26	36		17,121	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Flanagan, Michael James	5	2019-08-26	36			8,249
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Flanagan, Michael James	5	2019-08-26	36		27,121	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Flanagan, Michael James	5	2019-08-26	10		25,370	-1,751
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Flanagan, Michael James	5	2019-08-26	10		23,423	-1,947
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Flanagan, Michael James	5	2019-06-26	00			
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Flanagan, Michael James	5	2019-06-26	00		65,000	

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Flanagan, Michael James	5	2019-08-26	36			-8,053
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Flanagan, Michael James	5	2019-08-26	36		55,000	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Flanagan, Michael James	5	2019-08-26	36			-8,249
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Flanagan, Michael James	5	2019-08-26	36		45,000	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Girardot, Matthew	5	2019-08-26	36			7,958
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Girardot, Matthew	5	2019-08-26	36		17,216	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Girardot, Matthew	5	2019-08-26	36			7,258
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Girardot, Matthew	5	2019-08-26	36		27,216	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Girardot, Matthew	5	2019-08-26	10		25,174	-2,042
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Girardot, Matthew	5	2019-08-26	10		22,432	-2,742
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Options	Girardot, Matthew	5	2019-12-16	37		87,500	-112,500
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Girardot, Matthew	5	2019-08-26	36			-7,958
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Girardot, Matthew	5	2019-08-26	36		40,000	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Girardot, Matthew	5	2019-08-26	36			-7,258
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Girardot, Matthew	5	2019-08-26	36		30,000	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Moore, Stephen T.	4	2019-08-26	36			5,659
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Moore, Stephen T.	4	2019-08-26	36		14,152	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Moore, Stephen T.	4	2019-08-26	36			5,659

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Moore, Stephen T.	4	2019-08-26	36		24,152	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Moore, Stephen T.	4	2019-08-26	10		19,811	-4,341
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Moore, Stephen T.	4	2019-08-26	10		15,470	-4,341
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Options	Moore, Stephen T.	4	2016-12-19	37		75,000	-225,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Moore, Stephen T.	4	2019-08-26	36			-5,659
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Moore, Stephen T.	4	2019-08-26	36		40,000	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Moore, Stephen T.	4	2019-08-26	36			-5,659
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Moore, Stephen T.	4	2019-08-26	36		30,000	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Olson, Matthew	5	2019-08-17	36		19,007	11,667
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Olson, Matthew	5	2019-08-17	10		15,852	-3,155
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Olson, Matthew	5	2019-08-26	36			8,478
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Olson, Matthew	5	2019-08-26	36		25,852	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Olson, Matthew	5	2019-08-26	36			8,512
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Olson, Matthew	5	2019-08-26	36		35,852	10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Olson, Matthew	5	2019-08-26	10		34,364	-1,488
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Olson, Matthew	5	2019-08-26	10		32,842	-1,522
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Options	Olson, Matthew	5	2018-10-12	00			
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Options	Olson, Matthew	5	2018-10-12	00		177,500	

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Olson, Matthew	5	2019-08-17	36		53,333	-11,667
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Olson, Matthew	5	2019-08-26	36			-8,478
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Olson, Matthew	5	2019-08-26	36		43,333	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Olson, Matthew	5	2019-08-26	36			-8,512
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Olson, Matthew	5	2019-08-26	36		33,333	-10,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Shank, K	4, 5	2019-08-26	36			14,909
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Shank, K	4, 5	2019-08-26	36		156,039	20,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Shank, K	4, 5	2019-08-26	36			16,993
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Shank, K	4, 5	2019-08-26	36		182,706	26,667
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Shank, K	4, 5	2019-08-26	10		177,615	-5,091
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Shank, K	4, 5	2019-08-26	10		167,941	-9,674
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Shank, K	4, 5	2019-08-26	36			-26,667
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Common Shares	Shank, K	4, 5	2019-08-26	36			-26,667
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Options	Shank, K	4, 5	2016-12-19	37		237,500	-712,500
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Shank, K	4, 5	2019-08-26	36			-14,909
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Shank, K	4, 5	2019-08-26	36		100,000	-20,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Shank, K	4, 5	2019-08-26	36			-16,993
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Shank, K	4, 5	2019-08-26	36		73,333	-26,667

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Ward, Scott John	5	2019-10-21	00			
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Rights Restricted Share Units	Ward, Scott John	5	2019-10-21	00		8,825	
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.6	46,787	-88
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.518	25,612	-21,175
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.6	25,524	-88
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.573	1,574	-23,950
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.54	1,349	-225
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.557	836	-513
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.552	273	-563
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.555	85	-188
Planet 13 Holdings Inc.	Common Shares	Logan, Dennis	5	2020-08-24	10	4.56	0	-85
Platinex Inc.	Common Shares	Ferron, Greg	4	2020-09-02	00			
Platinex Inc.	Common Shares	Ferron, Greg	4	2020-09-02	00		1,100,000	
Platinex Inc.	Common Shares	Ferron, Greg	4	2020-09-22	10	0.07	1,000,000	-100,000
Platinum Group Metals Ltd.	Common Shares	Begic, Kris	5	2020-10-01	57	2.69	26,130	7,324
Platinum Group Metals Ltd.	Rights Restricted Share Units	Begic, Kris	5	2020-10-01	57		39,651	-7,324
Power Corporation of Canada	Deferred Share Units	Beaudoin, Pierre	4	2020-09-30	56	25.488	89,030	3,512
Power Corporation of Canada	PFC Deferred Share Units	Bibeau, Marc A.	7	2020-09-30	30	24.014	70,353	1,287
Power Corporation of Canada	Deferred Share Units	Coutu, Marcel R.	4, 7	2020-09-30	56	25.731	64,441	3,140
Power Corporation of Canada	Deferred Share Units	Desmarais, Andr�	4, 7	2020-09-30	56	25.635	122,161	5,451
Power Corporation of Canada	PFC Deferred Share Units	Desmarais, Andr�	4, 7	2020-09-30	30	24.014	117,118	2,143
Power Corporation of Canada	Deferred Share Units	Desmarais, Paul Jr.	4, 7	2020-09-30	56	25.659	88,424	4,029
Power Corporation of Canada	PFC Deferred Share Units	Desmarais, Paul Jr.	4, 7	2020-09-30	30	24.014	82,779	1,514
Power Corporation of Canada	Deferred Share Units	Doer, Gary Albert	4, 7	2020-09-30	56	26.333	19,312	2,260
Power Corporation of Canada	PFC Deferred Share Units	Doer, Gary Albert	4, 7	2020-09-30	30	24.014	18,166	332
Power Corporation of Canada	Deferred Share Units	Graham, Anthony R.	4	2020-09-30	56	25.524	107,158	4,349
Power Corporation of Canada	PFC Deferred Share Units	Graham, Anthony R.	4	2020-09-30	30	24.014	85,325	1,561
Power Corporation of Canada	Deferred Share Units	Jackson, John David Allan	4, 7	2020-09-30	56	25.867	24,251	1,363
Power Corporation of Canada	PFC Deferred Share Units	Jackson, John David Allan	4, 7	2020-09-30	30	24.014	19,182	351
Power Corporation of Canada	Deferred Share Units	Madoff, Paula Beth	4, 7	2020-09-30	56	26.657	1,992	955
Power Corporation of Canada	Deferred Share Units	Marcoux, Isabelle	4	2020-09-30	56	25.688	68,003	3,183
Power Corporation of Canada	PFC Deferred Share Units	McArthur, Susan J.	7	2020-09-30	30	24.014	8,763	161
Power Corporation of Canada	Deferred Share Units	Noyer, Christian	4	2020-09-30	56	26.207	26,733	2,419

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Power Corporation of Canada	Deferred Share Units	Orr, Robert Jeffrey	4, 7, 5	2020-09-30	56	25.077	79,590	2,375
Power Corporation of Canada	PFC Deferred Share Units	Orr, Robert Jeffrey	4, 7, 5	2020-09-30	30	24.014	79,268	1,450
Power Corporation of Canada	Deferred Share Units	Plessis-B�lclair, Michel	5	2020-09-30	56	24.014	33,363	610
Power Corporation of Canada	PFC Deferred Share Units	Plessis-B�lclair, Michel	5	2020-09-30	30	24.014	34,817	637
Power Corporation of Canada	Equity Forward Contract	Power Corporation of Canada	1	2020-10-01	70	26.712	61	1
Power Corporation of Canada	Deferred Share Units	Ryan, Thomas Timothy, Jr.	4, 7	2020-09-30	56	26.378	16,938	2,216
Power Corporation of Canada	PFC Deferred Share Units	Ryan, Thomas Timothy, Jr.	4, 7	2020-09-30	30	24.014	14,740	270
Power Corporation of Canada	Deferred Share Units	Vanaselja, Siim A.	4, 7	2020-09-30	56	26.657	4,582	2,197
Power Corporation of Canada	PFC Deferred Share Units	Vanaselja, Siim A.	4, 7	2020-09-30	30	24.014	14,146	258
Power Financial Corporation	PFC Deferred Share Units	Bibeau, Marc A.	7	2020-09-30	30	24.014	70,353	1,287
Power Financial Corporation	PFC Deferred Share Units	Desmarais, Andr�	4, 6, 7	2020-09-30	30	24.014	117,118	2,143
Power Financial Corporation	PFC Deferred Share Units	Desmarais, Paul Jr.	4, 6, 7	2020-09-30	30	24.014	82,779	1,514
Power Financial Corporation	PFC Deferred Share Units	Doer, Gary Albert	4, 6, 7	2020-09-30	30	24.014	18,166	332
Power Financial Corporation	PFC Deferred Share Units	Graham, Anthony R.	4	2020-09-30	30	24.014	85,325	1,561
Power Financial Corporation	PFC Deferred Share Units	Jackson, John David Allan	4	2020-09-30	30	24.014	19,182	351
Power Financial Corporation	PFC Deferred Share Units	McArthur, Susan J.	4	2020-09-30	30	24.014	8,763	161
Power Financial Corporation	PFC Deferred Share Units	Orr, Robert Jeffrey	4, 6, 7, 5	2020-09-30	30	24.014	79,268	1,450
Power Financial Corporation	PFC Deferred Share Units	Plessis-B�lclair, Michel	5	2020-09-30	30	24.014	34,817	637
Power Financial Corporation	PFC Deferred Share Units	Ryan, Thomas Timothy, Jr.	4, 6, 7	2020-09-30	30	24.014	14,740	270
Power Financial Corporation	PFC Deferred Share Units	Vanaselja, Siim A.	4, 6, 7	2020-09-30	30	24.014	14,146	258
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	2020-09-30	38	8.8718	4,520,391	3,095,891
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	2020-09-30	38		0	-4,520,391
Precision Drilling Corporation	Common Shares	FORD, CAREY THOMAS	7	2020-09-30	30		246,260	14,868
Precision Drilling Corporation	Common Shares	Marks, Roland H.	7	2020-09-30	30		54,492	5,742
Precision Drilling Corporation	Common Shares	Neveu, Kevin A.	4, 5	2020-09-30	30		1,287,975	25,476
Precision Drilling Corporation	Common Shares	Ruhr, Darren	5	2020-09-30	30		111,463	6,492
Precision Drilling Corporation	Common Shares	Stickland, Wane Jacob	7	2020-09-30	30		118,037	8,600
Prismo Metals Inc.	Options	Gallardo Romero, Jorge Rafael	4	2020-09-30	50		100,000	100,000
Prismo Metals Inc.	Options	Gibson, Peter Craig	3, 4, 5	2020-09-08	00			
Prismo Metals Inc.	Options	Gibson, Peter Craig	3, 4, 5	2020-09-30	50	0.125	175,000	175,000
Prismo Metals Inc.	Options	Gibson, Peter Craig	3, 4, 5	2020-09-08	00			
Prismo Metals Inc.	Options	Gibson, Peter Craig	3, 4, 5	2020-09-30	50	0.125	250,000	250,000
Prismo Metals Inc.	Options	Meilleur, Jean-Francois	4	2020-09-30	50		100,000	100,000
Prismo Metals Inc.	Options	Miranda, Salvador	5	2020-09-30	50		125,000	125,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Prismo Metals Inc.	Options	Prospeccion y Desarrollo Minero del Norte, SA de CV	3	2020-09-30	50		250,000	250,000
Prismo Metals Inc.	Options	Yeomans Otero, Maria Guadalupe	4	2020-09-30	50		100,000	100,000
Prodigy Ventures Inc.	Common Shares	Beckerman, Tom	4, 5	2020-10-01	10	0.1	11,504,481	-39,000
Progressive Planet Solutions Inc.	Common Shares	Cross, David Allen	5	2020-09-30	16	0.065	2,335,000	75,000
Progressive Planet Solutions Inc.	Warrants	Cross, David Allen	5	2020-09-30	16		1,975,000	75,000
Project One Resources Ltd.	Common Shares	Roberts, Brian	4, 5	2020-09-30	11		517,343	200,000
Project One Resources Ltd.	Common Shares	Shenton, Ronald Gordon	4, 5	2020-09-28	10	0.475	2,840,027	4,000
Project One Resources Ltd.	Common Shares	Shenton, Ronald Gordon	4, 5	2020-09-28	10	0.48	2,843,527	3,500
Project One Resources Ltd.	Common Shares	Shenton, Ronald Gordon	4, 5	2020-09-30	11		2,643,527	-200,000
Prospect Park Capital Corp.	Common Shares	Greig, James Stewart	4, 5	2020-01-24	00		300,000	
Prospect Park Capital Corp.	Warrants	Greig, James Stewart	4, 5	2020-01-24	00			
Prospect Park Capital Corp.	Warrants	Greig, James Stewart	4, 5	2020-01-24	00			
Prospect Park Capital Corp.	Warrants	Greig, James Stewart	4, 5	2020-07-14	11	0.23	300,000	300,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-07-07	37		509,200	-4,582,804
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-09-30	10	0.185		-50,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-09-30	10	0.185	439,200	-70,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-09-30	10	0.175	424,200	-15,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-10-01	10	0.185	392,200	-32,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-10-01	10	0.19	357,200	-35,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-10-01	10	0.185	346,200	-11,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-10-01	10	0.18	342,200	-4,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-10-02	10	0.18	292,200	-50,000
Prospero Silver Corp.	Common Shares	Murray, William	4	2020-07-07	37		138,099	-1,242,900
Prospero Silver Corp.	Options	Murray, William	4	2017-05-24	50	0.26		400,000
Prospero Silver Corp.	Options	Murray, William	4	2017-05-24	50	0.26	430,000	400,000
Prospero Silver Corp.	Options	Murray, William	4	2018-09-06	50	0.075		250,000
Prospero Silver Corp.	Options	Murray, William	4	2018-09-06	50	0.075	650,000	250,000
Prospero Silver Corp.	Options	Murray, William	4	2020-07-07	37	0.26	290,000	-360,000
Prospero Silver Corp.	Options	Murray, William	4	2020-07-07	37	0.075	65,000	-225,000
Prospero Silver Corp.	Warrants	Murray, William	4	2020-05-18	55	0.16	0	-500,000
Prospero Silver Corp.	Warrants	Murray, William	4	2020-05-18	55	0.16	0	-416,666
PYROGENESIS CANADA INC.	Common Shares	Kafal, Rodayna	4	2020-09-22	00		50,257	
PYROGENESIS CANADA INC.	Options	Kafal, Rodayna	4	2020-09-22	00		25,000	
PYROGENESIS CANADA INC.	Common Shares	Pascali, Photis Peter	3, 4, 5	2020-09-30	36	0.28	9,459,781	3,225,000
PYROGENESIS CANADA INC.	Convertible Debentures	Pascali, Photis Peter	3, 4, 5	2020-09-30	36	0.28	\$0	-\$3,225,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Quarterhill Inc.	1	2020-09-21	10	1.8383	198,600	3,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Quarterhill Inc.	1	2020-09-22	10	1.84	28,000	25,000
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Quarterhill Inc.	1	2020-09-23	10	1.8327	58,700	30,700
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Quarterhill Inc.	1	2020-09-24	10	1.8214	75,700	17,000

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Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Quarterhill Inc.	1	2020-09-25	10	1.7828	106,800	31,100
Quarterhill Inc. (formerly, Wi-LAN Inc.)	Common Shares	Quarterhill Inc.	1	2020-09-29	38		0	-106,800
Quartz Mountain Resources Ltd.	Warrants	Dickinson, Matthew Robert	4	2020-08-14	47		1,527,273	-1,200,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	Barresi, Tony	5	2020-09-28	37		5,500	-10,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	Barresi, Tony	5	2020-09-28	37		6,500	1,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	Barresi, Tony	5	2020-09-28	11	0.7	20,786	14,286
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Barresi, Tony	5	2020-09-28	50	1	733,815	333,815
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Barresi, Tony	5	2020-09-28	37	0.9	773,815	40,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Barresi, Tony	5	2020-09-28	37	0.09	373,815	-400,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	Barresi, Tony	5	2020-08-01	00			
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	Barresi, Tony	5	2020-09-28	11	1	7,143	7,143
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Bond, Cecil	4	2020-09-28	50	1	105,000	35,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Fleming, David Bruce	5	2020-09-28	50	1	440,000	40,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Fleming, David Bruce	5	2020-09-28	37	0.09	40,000	-400,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Fleming, David Bruce	5	2020-09-28	37	0.9	80,000	40,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	morgan, fletcher	4	2020-08-21	37		0	-79,152
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	morgan, fletcher	4	2020-08-21	37		7,915	7,915
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	morgan, fletcher	4	2020-09-28	16	0.7	25,500	17,585
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	morgan, fletcher	4	2020-10-01	10	0.71	26,000	500

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QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	morgan, fletcher	4	2020-08-21	37		0	-1,390,798
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	morgan, fletcher	4	2020-08-21	37		139,079	139,079
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	morgan, fletcher	4	2020-09-28	16	0.7	157,000	17,921
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	morgan, fletcher	4	2020-09-30	10	0.725	158,000	1,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	morgan, fletcher	4	2020-08-21	37		0	-700,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	morgan, fletcher	4	2020-08-21	37		70,000	70,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	morgan, fletcher	4	2020-09-28	50	1	120,000	50,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	morgan, fletcher	4	2019-08-20	00			
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	morgan, fletcher	4	2020-09-28	16	1	8,792	8,792
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	morgan, fletcher	4	2019-09-17	55	0.42	75,000	-360,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	morgan, fletcher	4	2020-04-05	55	0.12	0	-75,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	morgan, fletcher	4	2020-09-28	16	1	8,960	8,960
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Common Shares	Mullin, Joseph	4, 5	2020-09-28	11	0.7	303,347	142,857
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Mullin, Joseph	4, 5	2020-09-28	50	1	373,815	231,315
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	Mullin, Joseph	4, 5	2020-08-21	37	1.2	272,744	10,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	Mullin, Joseph	4, 5	2020-08-21	37	0.12	72,744	-200,000
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Warrants	Mullin, Joseph	4, 5	2020-09-28	11	1	144,172	71,428
QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	Thiessen, Tim	5	2020-09-28	50	1	100,000	75,000

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QuestEx Gold & Copper Ltd. (formerly Colorado Resources Ltd.)	Options	WILSON, BRYAN HUGH	4	2020-09-28	50	1	764,000	35,000
Quinsam Capital Corporation	Common Shares	Dent, Roger	4, 5	2020-09-29	10	0.105	4,232,000	148,000
Quinsam Capital Corporation	Common Shares	Dent, Roger	4, 5	2020-09-30	10	0.105	4,289,000	57,000
Quinsam Capital Corporation	Common Shares	Quinsam Capital Corporation	1	2020-09-29	38		0	-1,795,000
Quisitive Technology Solutions, Inc.	Common Shares	REINHART, MICHAEL ROBERT	1	2020-09-24	10	0.64	20,355,744	5,000
RavenSource Fund (formerly The First Asia Income Fund)	Trust Units	Reid, Scott	3	2020-09-25	10	15.69	141,867	25,180
RavenSource Fund (formerly The First Asia Income Fund)	Trust Units	Reid, Scott	3	2020-09-29	10	16	141,467	-400
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-16	38	22.991	15,700	15,700
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-16	38	22.991	0	-15,700
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-18	38	23	2,100	2,100
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-18	38	23	0	-2,100
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-21	38	22.895	2,500	2,500
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-21	38	22.895	0	-2,500
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-24	38	22.925	29,700	29,700
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-24	38	22.925	0	-29,700
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-25	38	23	500	500
Real Matters Inc.	Common Shares	Real Matters Inc.	1	2020-09-25	38	23	0	-500
Real Matters Inc.	Common Shares	Smith, Jason	4, 5	2020-09-28	10	24.238	3,862,384	-3,000
Real Matters Inc.	Common Shares	Smith, Jason	4, 5	2020-09-29	10	24.788	3,859,384	-3,000
Real Matters Inc.	Common Shares	Smith, Jason	4, 5	2020-09-30	10	25.748	3,856,384	-3,000
Real Matters Inc.	Common Shares	Smith, Jason	4, 5	2020-10-01	10	26.656	3,853,384	-3,000
Real Matters Inc.	Common Shares	Smith, Jason	4, 5	2020-10-02	10	26.554	3,850,384	-3,000
Real Matters Inc.	Common Shares	Vukanovich, Peter Michael	4	2020-09-30	51	1.84	14,247	7,500
Real Matters Inc.	Common Shares	Vukanovich, Peter Michael	4	2020-09-30	10	26.052	6,747	-7,500
Real Matters Inc.	Options	Vukanovich, Peter Michael	4	2020-09-30	51	1.84	53,467	-7,500
Registered Plan Private Investments Inc.	Redeemable Common Shares	Disher, John Bryan	4	2016-12-21	00			
Registered Plan Private Investments Inc.	Redeemable Common Shares	Disher, John Bryan	4	2020-09-23	16	0.05	1,220,180	1,220,180
Registered Plan Private Investments Inc.	Warrants Redeemable Commons Share Warrants	Disher, John Bryan	4	2016-12-21	00			
Registered Plan Private Investments Inc.	Warrants Redeemable Commons Share Warrants	Disher, John Bryan	4	2020-09-23	16		1,220,180	1,220,180
Registered Plan Private Investments Inc.	Redeemable Common Shares	McInnes, Donald Arthur	5	2007-05-09	00		6,944,240	
Registered Plan Private Investments Inc.	Warrants Redeemable Commons Share Warrants	McInnes, Donald Arthur	5	2007-05-09	00		6,944,240	
Registered Plan Private Investments Inc.	Redeemable Common Shares	Willis, Eric	4, 5	2016-12-21	00			
Registered Plan Private Investments Inc.	Redeemable Common Shares	Willis, Eric	4, 5	2016-12-21	00			
Registered Plan Private Investments Inc.	Redeemable Common Shares	Willis, Eric	4, 5	2016-12-21	00			

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Registered Plan Private Investments Inc.	Redeemable Common Shares	Willis, Eric	4, 5	2020-09-23	16	0.05	2,909,840	2,909,840
Registered Plan Private Investments Inc.	Warrants Redeemable Commons Share Warrants	Willis, Eric	4, 5	2016-12-21	00			
Registered Plan Private Investments Inc.	Warrants Redeemable Commons Share Warrants	Willis, Eric	4, 5	2020-09-23	16	0.07	2,909,840	2,909,840
Restaurant Brands International Inc.	Common Shares	Melbourne, Jason Anthony	4	2020-09-22	00			
Restaurant Brands International Inc.	Exchangeable Units of Restaurant Brands International LP	Melbourne, Jason Anthony	4	2020-09-22	00			
Restaurant Brands International Inc.	Options	Melbourne, Jason Anthony	4	2020-09-22	00			
Restaurant Brands International Inc.	Units Restricted Shares	Melbourne, Jason Anthony	4	2020-09-22	00			
Restaurant Brands International Limited Partnership	Units Class B Exchangeable Limited Partnership	Melbourne, Jason Anthony	6	2020-09-22	00			
Reyna Silver Corp. (formerly Century Metals Inc.)	Common Shares	MAG Silver Corp.	3	2020-09-28	10	0.98	12,218,981	-495,500
Reyna Silver Corp. (formerly Century Metals Inc.)	Common Shares	MAG Silver Corp.	3	2020-09-29	10	0.95	11,494,981	-724,000
Reyna Silver Corp. (formerly Century Metals Inc.)	Common Shares	MAG Silver Corp.	3	2020-09-30	10	0.92	10,917,530	-577,451
Richmond Minerals Inc.	Common Shares	Hawkins, hawkins	4, 5	2020-09-29	10	0.055	4,096,714	89,000
Richmond Minerals Inc.	Common Shares	Hawkins, hawkins	4, 5	2020-09-30	10	0.055	4,107,714	11,000
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-09-25	10	16.56	10,727,202	2,877
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-09-25	10	16.56	10,726,410	-792
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-09-28	10	16.56	10,733,118	6,708
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-09-28	10	16.56	10,731,975	-1,143
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-09-29	10	16.56	10,737,356	5,381
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-09-29	10	16.56		-809
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2020-09-29	10	16.56	10,737,229	-127
Ridgewood Canadian Investment Grade Bond Fund	Units	Simpson, John H.	5	2020-09-25	10	16.56	28,232	-54
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Jageshar, Bibi	8	2020-09-30	30		4,649	196

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RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Jageshar, Bibi	8	2020-09-29	30		1,846	363
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Kim, Rocky	7	2020-09-29	30		780	407
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Lee, Kim	5	2020-02-03	00			
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Lee, Kim	5	2020-09-30	30		80	80
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Marshall, S. Jane	4	2020-09-30	30		20,694	398
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Smith, Franca	5	2020-09-29	30		346	144
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Wong, Jason	7	2020-09-29	30		1,481	84
Rise Gold Corp.	Common Shares	LLC, Equity Management	4	2020-09-29	10	0.62		91,571
Rise Gold Corp.	Common Shares	LLC, Equity Management	4	2020-09-29	10	0.62	1,154,904	91,571
Rise Gold Corp.	Common Shares	LLC, Equity Management	4	2020-09-30	10	0.69	1,257,206	102,302
Rise Gold Corp.	Common Shares	LLC, Equity Management	4	2020-10-01	10	0.749	1,397,206	140,000
Rise Gold Corp.	Common Shares	LLC, Equity Management	4	2020-10-02	10	0.7408	1,462,989	65,783
RIWI Corp.	Common Shares	Beaty, Ross J.	6	2020-09-28	10	3.55	2,221,250	-200
RIWI Corp.	Common Shares	Beaty, Ross J.	6	2020-09-29	10	3.55	2,221,050	-200
RIWI Corp.	Common Shares	Beaty, Ross J.	6	2020-10-01	10	3.5	2,216,050	-5,000
RIWI Corp.	Common Shares	BP Capital Ltd.	3	2020-09-28	10	3.55	2,221,250	-200
RIWI Corp.	Common Shares	BP Capital Ltd.	3	2020-09-29	10	3.55	2,221,050	-200
RIWI Corp.	Common Shares	BP Capital Ltd.	3	2020-10-01	10	3.5	2,216,050	-5,000
RIWI Corp.	Common Shares	Pirooz, Robert Pirooz	4	2020-09-28	10	3.55	2,221,250	-200
RIWI Corp.	Common Shares	Pirooz, Robert Pirooz	4	2020-09-29	10	3.55	2,221,050	-200
RIWI Corp.	Common Shares	Pirooz, Robert Pirooz	4	2020-10-01	10	3.5	2,216,050	-5,000
RMMI Corp.	Common Shares	Chen, Eugene	4	2020-10-01	11		375,000	45,000
Rocky Mountain Dealerships Inc.	Rights Performance Share Units	Borduzak, Curtis Matthew	5	2020-09-30	56		12,260	33
Rocky Mountain Dealerships Inc.	Rights Restricted Share Units	Borduzak, Curtis Matthew	5	2020-09-30	56		7,094	19
Rocky Mountain Dealerships Inc.	Rights DSU	Crawford, Cameron Walter	4	2020-09-30	56		31,720	85
Rocky Mountain Dealerships Inc.	Rights DSU	Crawford, Cameron Walter	4	2020-10-01	56		33,753	2,033
Rocky Mountain Dealerships Inc.	Rights DSU	DeJong, William	4	2020-09-30	56		30,008	80
Rocky Mountain Dealerships Inc.	Rights DSU	DeJong, William	4	2020-10-01	56		32,041	2,033
Rocky Mountain Dealerships Inc.	Rights Executive Deferred Share Units	Ganden, Garrett Andrew Wyatt	4, 5	2020-09-30	56		367	1
Rocky Mountain Dealerships Inc.	Rights Performance Share Units	Ganden, Garrett Andrew Wyatt	4, 5	2020-09-30	56		76,545	205
Rocky Mountain Dealerships Inc.	Rights Restricted Share Units	Ganden, Garrett Andrew Wyatt	4, 5	2020-09-30	56		37,489	100
Rocky Mountain Dealerships Inc.	Rights DSU	Herdman, Robert	4	2020-09-30	56		22,635	61

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Rocky Mountain Dealerships Inc.	Rights DSU	Herdman, Robert	4	2020-10-01	56		24,667	2,032
Rocky Mountain Dealerships Inc.	Rights DSU	Mackay, Robert King	4	2020-09-30	56		45,504	122
Rocky Mountain Dealerships Inc.	Rights DSU	Mackay, Robert King	4	2020-10-01	56		47,536	2,032
Rocky Mountain Dealerships Inc.	Rights DSU	Tannas, Scott	4	2020-09-30	56		36,702	99
Rocky Mountain Dealerships Inc.	Rights DSU	Tannas, Scott	4	2020-10-01	56		38,734	2,032
Rocky Mountain Dealerships Inc.	Rights Performance Share Units	Wood, James Randall	5	2020-09-30	56		53,307	142
Rocky Mountain Dealerships Inc.	Rights Restricted Share Units	Wood, James Randall	5	2020-09-30	56		26,109	70
Rogers Communications Inc.	Deferred Share Units	Brooks, Bonnie	4	2020-09-30	56		10,065	323
Rogers Communications Inc.	Deferred Share Units	Gemmell, Robert	4	2020-09-30	56		12,305	602
Rogers Communications Inc.	Deferred Share Units	Jacob, Ellis	4	2020-09-30	56		2,602	549
Rogers Communications Inc.	Deferred Share Units	MacDonald, John A.	4	2020-09-30	56		22,073	307
Rogers Communications Inc.	Deferred Share Units	Marcoux, Isabelle	4	2020-09-30	56		49,814	671
Rogers Communications Inc.	Deferred Share Units	Peterson, David Robert	4	2020-09-30	56		122,104	575
Rokmaster Resources Corp.	Common Shares	Pankratz, Adam	4	2020-09-30	10	0.26	860,000	30,000
Roughrider Exploration Limited (formerly, Westham Resources Corp.)	Common Shares	Thiessen, Tim	5	2020-07-31	11	0.2	200,000	100,000
Roughrider Exploration Limited (formerly, Westham Resources Corp.)	Common Shares	Thiessen, Tim	5	2020-09-30	10	0.21	224,000	24,000
Roughrider Exploration Limited (formerly, Westham Resources Corp.)	Warrants	Thiessen, Tim	5	2020-05-04	00			
Roughrider Exploration Limited (formerly, Westham Resources Corp.)	Warrants	Thiessen, Tim	5	2020-07-31	11	0.3	50,000	50,000
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	2020-10-01	10	4.73	19,766,400	-400
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	2020-10-01	10	4.72	19,766,200	-200
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	2020-10-01	10	4.59	19,766,100	-100
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	2020-10-01	10	4.56	19,761,300	-4,800
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	2020-10-01	10	4.55	19,747,200	-14,100
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	2020-10-01	10	4.52	19,747,100	-100
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	2020-10-01	10	4.51	19,711,800	-35,300
Rupert Resources Ltd.	Common Shares	Nieminen, Jukka Sakari	7	2020-09-29	10	4.0128	946,200	-5,100
Rupert Resources Ltd.	Common Shares	Nieminen, Jukka Sakari	7	2020-09-29	10	4	943,700	-2,500
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Benedetti, Alain	4	2020-09-30	56		69,392	1,196

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Clark, John	4	2020-09-30	56		56,812	1,196
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Dinning, James Francis	4	2020-09-30	56		54,470	1,688
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Hedges, Brian Robie	4	2020-09-30	56		21,046	2,392
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Laberge, Alice D.	4	2020-09-30	56		42,208	1,196
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	O'Reilly, William Michael	4	2020-09-30	56		44,121	1,195
Russel Metals Inc.	Common Shares	Paiva, Roger	4	2020-10-01	00			
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Paiva, Roger	4	2020-10-01	00			
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Thabet, Annie	4	2020-09-30	56		20,621	1,794
Russel Metals Inc.	Rights Deferred Share Units (cash settled)	Tulloch, John Russell	4	2020-09-30	56		28,179	1,195
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Alignvest Management Corporation	3	2020-09-24	11		3,481,404	-3,000
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Alignvest Management Corporation	3	2017-05-18	00			
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Alignvest Management Corporation	3	2019-12-05	11		7,851	7,851
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Alignvest Management Corporation	3	2019-12-05	11			7,851
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Palter, Gilbert Samuel	4	2020-06-30	00			
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Palter, Gilbert Samuel	4	2020-06-30	00			
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Palter, Gilbert Samuel	4	2020-06-30	00			
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Palter, Gilbert Samuel	4	2020-09-24	11		3,000	3,000
Sagikor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Palter, Gilbert Samuel	4	2020-09-30	11		6,000	3,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Sagcor Financial Company Ltd. (formerly Alignvest Acquisition II Corporation)	Common Shares	Palter, Gilbert Samuel	4	2020-09-24	11			3,000
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Common Shares	Blower, Mark	4	2020-09-30	00			
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Common Shares	Blower, Mark	4	2020-09-30	00		2,010,420	
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Options	Blower, Mark	4	2020-09-30	00		3,015,630	
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Options	Colliver, Joseph	4, 5	2020-09-30	00		3,642,670	
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Options	Kerr, Angus Jeremy	4	2020-09-24	00		744,600	
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Common Shares	Tew, Anne	5	2020-09-24	00		83,768	
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Options	Tew, Anne	5	2020-09-24	00			
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Options	Tew, Anne	5	2020-09-24	00		83,768	
Sativa Wellness Group Inc. (formerly Stillcanna Inc.)	Common Shares	Wearing, Jonathan Peter	4	2020-09-30	00		16,754	
ScoZinc Mining Ltd.	Common Shares	Subin, Neil S.	3	2020-09-29	48 - Acquisition by inheritance or disposition by bequest		1	-95,634
ScoZinc Mining Ltd.	Common Shares	Subin, Neil S.	3	2018-01-12	00			
ScoZinc Mining Ltd.	Common Shares	Subin, Neil S.	3	2020-09-29	48 - Acquisition by inheritance or disposition by bequest		47,817	47,817
ScoZinc Mining Ltd.	Common Shares	Subin, Neil S.	3	2018-01-12	00			
ScoZinc Mining Ltd.	Common Shares	Subin, Neil S.	3	2020-09-29	48 - Acquisition by inheritance or disposition by bequest		47,817	47,817
Scythian Biosciences Corp. (previously: Kitrinor Metals Inc.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2018-09-04	56		400,000	400,000
Scythian Biosciences Corp. (previously: Kitrinor Metals Inc.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2018-09-20	56			400,000
Seahawk Gold Corp. (formerly Seahawk Ventures Inc.)	Common Shares	Ekholm, Ian Jack	3	2020-09-28	10	0.43	1,164,200	500
Secure Energy Services Inc.	Common Shares	Mattinson, David William	5	2020-09-23	10		426,257	309,113
Secure Energy Services Inc.	Common Shares	Mattinson, David William	5	2020-09-23	10		0	-309,113
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2020-09-14	38	136	1,000	1,000
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2020-09-16	38	137	2,000	1,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2020-09-17	38	137	3,000	1,000
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2020-09-18	38	137	4,000	1,000
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2020-09-21	38	136	5,000	1,000
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2020-09-29	38	133.25	5,800	800
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2020-09-30	38	134.99	6,800	1,000
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2020-09-30	38		0	-6,800
Seven Generations Energy Ltd.	Rights Deferred Share Units	Canada Pension Plan Investment Board	3	2020-09-30	56		57,673	10,308
Seven Generations Energy Ltd.	Rights Deferred Share Units (cash settled on redemption)	DOERR, Harvey	4	2020-09-30	56		57,654	10,307
Seven Generations Energy Ltd.	Rights Deferred Share Units (cash settled on redemption)	Hand, Paul Warren	4	2020-09-30	56		58,341	10,430
Seven Generations Energy Ltd.	Rights Deferred Share Units (cash settled on redemption)	Irani, Ronnie K.	4	2020-09-30	56		57,654	10,307
Seven Generations Energy Ltd.	Rights Deferred Share Units (cash settled on redemption)	JONES, SUSAN C.	4	2020-09-30	56		18,186	10,307
Seven Generations Energy Ltd.	Rights Deferred Share Units (cash settled on redemption)	McAdam, William	4	2020-09-30	56		59,713	10,675
Seven Generations Energy Ltd.	Rights Deferred Share Units (cash settled on redemption)	Monroe, Mark Eden	4	2020-09-30	56		109,819	19,633
Seven Generations Energy Ltd.	Rights Deferred Share Units (cash settled on redemption)	SHEPPARD, Mary Jacqueline	4	2020-09-30	56		59,028	10,553
Seven Generations Energy Ltd.	Rights Deferred Share Units (cash settled on redemption)	van Leeuwen-Atkins, Leontine	4	2020-09-30	56		59,926	10,921
Shamaran Petroleum Corp.	Common Shares	Chaouch, Adel	4, 5	2019-05-15	00			
Shamaran Petroleum Corp.	Common Shares	Chaouch, Adel	4, 5	2020-09-25	57		1,383,333	1,383,333
Shamaran Petroleum Corp.	Rights	Chaouch, Adel	4, 5	2020-09-25	57		11,526,667	-1,383,333
Shamaran Petroleum Corp.	Common Shares	Johnstone, Brenden	5	2020-09-25	57		1,643,917	916,667
Shamaran Petroleum Corp.	Rights	Johnstone, Brenden	5	2020-09-25	57		5,773,333	-916,667
Shamaran Petroleum Corp.	Common Shares	Lengyel, Alex	5	2020-08-12	00			
Shamaran Petroleum Corp.	Common Shares	Lengyel, Alex	5	2020-09-25	57		333,333	333,333
Shamaran Petroleum Corp.	Rights	Lengyel, Alex	5	2020-08-12	00		2,810,000	
Shamaran Petroleum Corp.	Rights	Lengyel, Alex	5	2020-09-25	57		2,476,667	-333,333
Shamaran Petroleum Corp.	Common Shares	Nemesia S.a.r.l.	3	2020-10-01	11		8,840,000	2,280,000
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Shaw, Estate of JR	3, 4, 5	2020-09-29	30	24.691	66,950	374
Shopify Inc.	DSU	ASHE, ROBERT GERARD	4	2020-10-01	56		785	17
Shopify Inc.	Options	Finkelstein, Harley Michael	5	2020-10-01	51	22.44	119,965	-790

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Shopify Inc.	Subordinate Voting Shares Class A	Finkelstein, Harley Michael	5	2020-10-01	51	22.44	907	790
Shopify Inc.	Subordinate Voting Shares Class A	Finkelstein, Harley Michael	5	2020-10-01	30	1034.1	117	-790
Shopify Inc.	Multiple Voting Shares Class B	Forsyth, Brittany Jean	5	2020-10-01	51	6.22	1,032	1,032
Shopify Inc.	Multiple Voting Shares Class B	Forsyth, Brittany Jean	5	2020-10-01	36		0	-1,032
Shopify Inc.	Options	Forsyth, Brittany Jean	5	2020-10-01	51	6.22	0	-1,032
Shopify Inc.	Options	Forsyth, Brittany Jean	5	2020-10-01	51	22.44	67,134	-1,044
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	2020-10-01	36		1,070	1,032
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	2020-10-01	30	1034.1	38	-1,032
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	2020-10-01	51	22.44	1,082	1,044
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	2020-10-01	30	1034.1	38	-1,044
Shopify Inc.	DSU	Johnston, Colleen	4	2020-10-01	56		70	16
Shopify Inc.	Multiple Voting Shares Class B	Lemieux, Jean-Michel	5	2020-09-28	51	5.17	1,000	1,000
Shopify Inc.	Multiple Voting Shares Class B	Lemieux, Jean-Michel	5	2020-09-28	36		0	-1,000
Shopify Inc.	Options	Lemieux, Jean-Michel	5	2020-09-28	51	5.17	28,574	-1,000
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-09-28	36		14,398	1,000
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	2020-09-28	30	968	13,398	-1,000
Shopify Inc.	Subordinate Voting Shares Class A	Lutke, Tobias Albin	4, 5	2020-09-28	30	967.26	86,000	-2,000
Shopify Inc.	Subordinate Voting Shares Class A	Lutke, Tobias Albin	4, 5	2020-09-28	30	982.84	85,750	-250

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Lutke, Tobias Albin	4, 5	2020-09-29	30	986.06	80,000	-5,750
Shopify Inc.	Options	Shapero, Amy Elizabeth	5	2020-10-01	51	137.72	59,311	-656
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Shapero, Amy Elizabeth	5	2020-10-01	51	137.72	15,768	656
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Shapero, Amy Elizabeth	5	2020-10-01	30	1031.6	15,112	-656
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Chiesa, Dino	4, 5	2020-09-30	56		195,610	4,138
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Graham, Janet	4	2020-09-30	56		54,769	1,342
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Johnston, Brian	4	2020-09-30	56		9,325	2,158
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Jourdain Coleman, Paula	4	2020-09-30	56		28,124	1,174
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	MacDonald, Jack C.	4	2020-09-30	56		46,408	2,158
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Sender, Stephen	4	2020-09-30	56		16,053	1,230
Sierra Wireless, Inc.	Common Shares	Twaalfhoven, Mark Cornelis Joseph	4	2020-08-31	10	12.62	30,000	10,000
Silver Elephant Mining Corp.	Common Shares without par value	Lee, John	4, 5	2020-09-30	54	0.26	8,148,901	300,000
Silver Elephant Mining Corp.	Warrants	Lee, John	4, 5	2020-09-23	54	0.26	9,137,707	-300,000
Silver Sands Resources Corp.	Options	Anderson, Keith	4, 5	2020-09-30	50	0.225	1,383,100	350,000
Silver Sands Resources Corp.	Options	Helmel, Alexander	4, 5	2020-09-30	50	0.225	650,000	200,000
Silver Sands Resources Corp.	Options	Henneberry, Ralph Timothy	4	2018-01-31	00			
Silver Sands Resources Corp.	Options	Henneberry, Ralph Timothy	4	2020-09-30	50	0.225	200,000	200,000
Silver Sands Resources Corp.	Common Shares	Macey, Richard	4	2020-09-28	51	0.1	650,000	150,000
Silver Sands Resources Corp.	Common Shares	Macey, Richard	4	2020-09-28	51		500,000	-150,000
Silver Sands Resources Corp.	Options	Macey, Richard	4	2020-09-28	51	0.1	200,000	-150,000
Silver Sands Resources Corp.	Options	Macey, Richard	4	2020-09-30	50	0.225	250,000	50,000
SILVERCOP METALS INC.	Common Shares without par value	Kong, David TokPay	4	2020-09-29	51	3.23	144,000	10,000
SILVERCOP METALS INC.	Common Shares without par value	Kong, David TokPay	4	2020-09-29	10	9.86	137,000	-7,000
SILVERCOP METALS INC.	Options	Kong, David TokPay	4	2020-09-29	51	3.23	158,000	-10,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
SILVERCORP METALS INC.	Common Shares without par value	Simpson, Stephen Paul	4	2020-09-24	51	3.36	911,984	50,000
SILVERCORP METALS INC.	Options	Simpson, Stephen Paul	4	2020-09-24	51	3.36	168,000	-50,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-09-24	10	19.7	2,264,478	25,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-09-25	10	19.65	2,284,478	20,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-09-29	10	19.82	2,309,478	25,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-09-29	10	19.75	2,334,478	25,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-09-29	10	19.7	2,344,478	10,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-09-29	10	19.67	2,349,478	5,000
SmartCentres Real Estate Investment Trust (formerly, Smart Real Estate Investment Trust)	Trust Units	Goldhar, Mitchell	3	2020-09-29	10	19.65	2,359,578	10,100
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Eustache, Laurent	4, 5	2020-09-29	10	0.14	475,000	15,000
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Eustache, Laurent	4, 5	2020-09-29	10	0.1375	525,000	50,000
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Eustache, Laurent	4, 5	2020-10-01	10	0.1475	584,000	59,000
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Eustache, Laurent	4, 5	2020-10-02	10	0.145	683,500	99,500
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Eustache, Laurent	4, 5	2020-10-02	10	0.1475	723,000	39,500
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Eustache, Laurent	4, 5	2020-10-02	10	0.15	725,000	2,000
Soci�t� d'exploration miniPhre Vior Inc.	Options	Eustache, Laurent	4, 5	2020-09-01	00			
Soci�t� d'exploration miniPhre Vior Inc.	Options	Eustache, Laurent	4, 5	2020-09-01	00			
Soci�t� d'exploration miniPhre Vior Inc.	Options	Eustache, Laurent	4, 5	2020-09-25	50	0.13	375,000	375,000
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Fedosiewich, Mark Brian	5	2020-09-24	10	0.13	6,535,000	48,000
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Fedosiewich, Mark Brian	5	2020-09-25	10	0.13	6,556,000	21,000
Soci�t� d'exploration miniPhre Vior Inc.	Common Shares	Fedosiewich, Mark Brian	5	2020-09-25	10	0.13	6,634,000	78,000
Soci�t� d'exploration miniPhre Vior Inc.	Options	Fedosiewich, Mark Brian	5	2020-09-25	50	0.13	1,180,000	180,000
Soci�t� d'exploration miniPhre Vior Inc.	Options	Lheureux, Marc	4, 5	2020-09-25	50	0.13	810,000	135,000
Soci�t� d'exploration miniPhre Vior Inc.	Options	MARTIN, INGRID	5	2020-09-25	50	0.13	225,000	75,000

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SociOto d'exploration miniOre Vior Inc.	Options	Tarte, Charles-Olivier	4	2020-09-25	50		275,000	75,000
Softlab9 Technologies Inc.	Common Shares	lewis, derrick	4	2020-09-08	10	1.21	2,428,567	2,000
Softlab9 Technologies Inc.	Common Shares	lewis, derrick	4	2020-09-08	10	1.21	2,429,567	1,000
Softlab9 Technologies Inc.	Common Shares	lewis, derrick	4	2020-09-14	10	1.1	2,432,067	2,500
Softlab9 Technologies Inc.	Common Shares	lewis, derrick	4	2020-09-14	10	1.08	2,433,567	1,500
SOL Global Investments Corp. (formerly Scythian Biosciences Corp.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2018-11-01	56		1,600,000	1,200,000
SOL Global Investments Corp. (formerly Scythian Biosciences Corp.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2019-02-13	56			400,000
SOL Global Investments Corp. (formerly Scythian Biosciences Corp.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2019-02-13	56			200,000
SOL Global Investments Corp. (formerly Scythian Biosciences Corp.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2019-11-01	56			333,333
SOL Global Investments Corp. (formerly Scythian Biosciences Corp.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2019-11-01	56			333,333
SOL Global Investments Corp. (formerly Scythian Biosciences Corp.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2020-06-21	56			1,512,000
SOL Global Investments Corp. (formerly Scythian Biosciences Corp.)	Deferred Share Units	DeFrancesco, Andrew A.	4	2020-06-21	56		3,112,000	1,512,000
Sonoro Energy Ltd.	Options	Atkinson, Chris	4	2020-09-30	50	0.06	1,360,000	500,000
Sonoro Energy Ltd.	Options	Callaway, Michael Dean	5	2020-09-30	50	0.06	2,625,000	500,000
Sonoro Energy Ltd.	Options	Marpe, William James	3, 4	2020-09-30	50	0.06	1,825,000	500,000
Sonoro Energy Ltd.	Options	Wadsworth, Richard	4	2020-09-30	50	0.06	933,333	100,000
Sonoro Gold Corp.	Common Shares	Maedel, Neil Herman Junior	8, 4	2020-09-22	10	0.28	636,818	-5,000
Sonoro Gold Corp.	Common Shares	Maedel, Neil Herman Junior	8, 4	2020-09-22	10	0.26	631,818	-5,000
Spectra7 Microsystems Inc.	Common Shares	Antonen, Brian Douglas	4	2020-09-28	57		1,444,195	87,498
Spectra7 Microsystems Inc.	Restricted Share Units	Antonen, Brian Douglas	4	2020-09-28	57		289,404	-87,498
Spectra7 Microsystems Inc.	Common Shares	Dobkin, Robert	4	2020-09-28	57		1,022,745	87,498
Spectra7 Microsystems Inc.	Restricted Share Units	Dobkin, Robert	4	2020-09-28	57		289,404	-87,498
Spectra7 Microsystems Inc.	Common Shares	Halim, Raouf Youssef	4, 5	2020-09-28	57		16,598,027	272,718
Spectra7 Microsystems Inc.	Restricted Share Units	Halim, Raouf Youssef	4, 5	2020-09-28	57		21,946,014	-272,718
Spectra7 Microsystems Inc.	Common Shares	Maggs, Roger	4	2020-09-28	57		9,552,909	87,498
Spectra7 Microsystems Inc.	Restricted Share Units	Maggs, Roger	4	2020-09-28	57		289,404	-87,498
Spectra7 Microsystems Inc.	Common Shares	Pasek, Ronald James	4	2020-09-28	57		67,913,039	87,498
Spectra7 Microsystems Inc.	Restricted Share Units	Pasek, Ronald James	4	2020-09-28	57		296,700	-87,498
Spectra7 Microsystems Inc.	Common Shares	Vettese, John	4	2020-09-28	57		2,469,859	87,498
Spectra7 Microsystems Inc.	Restricted Share Units	Vettese, John	4	2020-09-28	57		305,034	-87,498

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Spectral Medical Inc.	Common Shares	Seto, Chris	5	2020-09-24	10	0.45	103,400	15,000
Spey Resources Corp.	Common Shares	Farris, Marshall	4, 5	2020-09-28	16	0.1	3,840,000	140,000
Spey Resources Corp.	Warrants	Farris, Marshall	4, 5	2020-09-28	16		340,000	140,000
Spey Resources Corp.	Common Shares	Mabone, Tracy	5	2020-09-28	16	0.1	660,500	105,000
Spey Resources Corp.	Warrants	Mabone, Tracy	5	2020-09-28	16		255,000	105,000
Spey Resources Corp.	Common Shares	Thornley-Hall, David	4, 5	2020-09-28	16	0.1	449,500	199,500
Spey Resources Corp.	Warrants	Thornley-Hall, David	4, 5	2020-09-28	16	0.22	349,500	199,500
Spin Master Corp.	Restricted Share Units	Blom, Paul	5	2020-09-30	00		48,702	
Spin Master Corp.	Deferred Share Units	Cohen, Jeffrey	4	2020-09-30	56	28.6	12,615	723
Spin Master Corp.	Performance Share Units	Deakin, Tara Lise	5	2020-09-30	00		22,971	
Spin Master Corp.	Restricted Share Units	Deakin, Tara Lise	5	2020-09-30	00		32,712	
Spin Master Corp.	Subordinate Voting Shares	Deakin, Tara Lise	5	2020-09-30	00		3,121	
Spin Master Corp.	Performance Share Units	Dodge, Jennifer Susan	5	2020-09-30	00		16,858	
Spin Master Corp.	Restricted Share Units	Dodge, Jennifer Susan	5	2020-09-30	00		7,117	
Spin Master Corp.	Subordinate Voting Shares	Dodge, Jennifer Susan	5	2020-09-30	00		2,827	
Spin Master Corp.	Deferred Share Units	Fils-Aime, Reginald	4	2020-09-30	56	28.6	1,519	904
Spin Master Corp.	Deferred Share Units	Glass, Kevin A.	4	2020-06-25	00			
Spin Master Corp.	Deferred Share Units	Glass, Kevin A.	4	2020-09-30	56	28.6	1,927	1,927
Spin Master Corp.	Performance Share Units	Henderson, Laura	5	2020-09-30	00		15,315	
Spin Master Corp.	Restricted Share Units	Henderson, Laura	5	2020-09-30	00		21,808	
Spin Master Corp.	Subordinate Voting Shares	Henderson, Laura	5	2020-09-30	00		1,014	
Spin Master Corp.	Deferred Share Units	Howell, Dina	4	2020-09-30	56	28.6	34,598	1,924
Spin Master Corp.	Performance Share Units	Loving, Fredrik Lennart	5	2020-09-30	00		1,847	
Spin Master Corp.	Restricted Share Units	Loving, Fredrik Lennart	5	2020-09-30	00		25,856	
Spin Master Corp.	Deferred Share Units	Miller, Christina	4	2020-09-30	56	28.6	3,037	1,808
Spin Master Corp.	Performance Share Units	Swisher, Gary Ronald	5	2020-03-25	56	12.32		14,117
Spin Master Corp.	Performance Share Units	Swisher, Gary Ronald	5	2020-03-25	56	12.32	17,718	14,117
Spin Master Corp.	Deferred Share Units	Tappin, Todd	4	2020-09-30	56	28.6	20,766	991
Spin Master Corp.	Deferred Share Units	Winograd, Charles	4	2020-09-30	56	28.6	37,361	2,449
SponsorsOne Inc.	Common Shares	Kokkinis, Sandy	5	2020-09-25	10	0.02	2,365,000	-20,000
SponsorsOne Inc.	Common Shares	Kokkinis, Sandy	5	2020-09-29	10	0.02	1,685,000	-680,000
Stantec Inc.	Common Shares	Ammerman, Douglas Keith	4	2020-10-01	10	40.487	32,660	353
Stantec Inc.	Common Shares	Brown, Shelley Ann Marie	4	2020-10-01	10	40.421	2,982	324
Starrex International Ltd.	Common Shares	Burns, Charles	4	2020-09-24	10	0.46	786,500	12,000
Starrex International Ltd.	Common Shares	Burns, Charles	4	2020-09-30	10	0.46	790,000	3,500

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2018-01-01	00			
Stevens Gold Nevada Inc.	Common Shares	Rabay, Camille	3	2020-02-12	00		2,150,000	
Stevens Gold Nevada Inc.	Common Shares	Rabay, Camille	3	2020-06-02	10	0.295	2,156,000	6,000
Stevens Gold Nevada Inc.	Common Shares	Rabay, Camille	3	2020-08-27	10	0.25	2,159,000	3,000
Stevens Gold Nevada Inc.	Common Shares	Rabay, Camille	3	2020-09-25	10	0.275	2,169,000	10,000
Stevens Gold Nevada Inc.	Common Shares	Rabay, George	3	2020-02-12	00		2,150,000	
StorageVault Canada Inc.	Common Shares	SASKWORKS VENTURE FUND INC.	3	2020-09-23	10		0	-19,915,489
Stormcrow Holdings Corp.	Common Shares	ARBUCKLE, JOSH	5	2020-05-27	00		100,000	
Stormcrow Holdings Corp.	Common Shares	Schnarr, Christopher James	4, 5	2020-05-27	00		2,000,000	
Stormcrow Holdings Corp.	Options	Schnarr, Christopher James	4, 5	2020-05-27	00			
Stormcrow Holdings Corp.	Options	Schnarr, Christopher James	4, 5	2020-09-23	50	0.1	783,000	783,000
Stormcrow Holdings Corp.	Common Shares	Schnarr, Glen Anthony	4	2020-05-27	00		2,000,000	
Stormcrow Holdings Corp.	Options	Schnarr, Glen Anthony	4	2020-05-27	00		783,000	
Strata-X Energy Ltd.	Common Shares	Prefontaine, Ronald Francis	4	2020-10-02	10		12,799,283	80,000
Stroud Resources Ltd.	Common Shares	Jobin-Bevans, Laurence Scott	4, 5	2020-09-25	51	0.18	295,666	125,000
Stroud Resources Ltd.	Options	Jobin-Bevans, Laurence Scott	4, 5	2020-09-25	51	0.18		125,000
Stroud Resources Ltd.	Options	Jobin-Bevans, Laurence Scott	4, 5	2020-09-25	51	0.18		125,000
Stroud Resources Ltd.	Options	Jobin-Bevans, Laurence Scott	4, 5	2020-09-25	51	0.18	3,000,000	-125,000
Stuart Olson Inc.	Rights Performance Share Units	Beacon, Dean	5	2020-09-25	22	0.15	0	-92,105
Stuart Olson Inc.	Units Restricted Share Units	Beacon, Dean	5	2020-09-25	22	0.15	0	-92,105
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	Collins, Gary	4	2020-09-25	22	0.13	0	-40,467
Stuart Olson Inc.	Common Shares	Crossley, Raymond	4	2020-09-25	22	0.125	-3,000	-3,000
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	Crossley, Raymond	4	2020-09-25	22	0.13	0	-54,375
Stuart Olson Inc.	Common Shares	Danard, Chad	4	2020-09-25	22		0	-51,970
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	Danard, Chad	4	2020-09-25	22	0.13	0	-143,555
Stuart Olson Inc.	Common Shares	Filmon, David Clark	4	2020-09-25	22	0.125	0	-58,000
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	Filmon, David Clark	4	2020-09-25	22	0.13	0	-186,817
Stuart Olson Inc.	Common Shares	Hemmingsen, Mary Christine	4	2018-11-18	00			
Stuart Olson Inc.	Common Shares	Hemmingsen, Mary Christine	4	2018-11-30	00			
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	Hemmingsen, Mary Christine	4	2018-11-18	00			
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	Hemmingsen, Mary Christine	4	2018-11-30	00			
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	Hemmingsen, Mary Christine	4	2020-09-25	22	0.13	0	-46,576

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Stuart Olson Inc.	Common Shares	Krill, John Peter	5, 2	2020-09-25	22	0.125	0	-20,296
Stuart Olson Inc.	Rights Performance Share Units	Krill, John Peter	5, 2	2020-09-25	22	0.15	0	-152,237
Stuart Olson Inc.	Units Restricted Share Units	Krill, John Peter	5, 2	2020-09-25	22	0.15	0	-152,237
Stuart Olson Inc.	Common Shares	LeMay, David	5	2020-09-25	22	0.125	0	-158,112
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	LeMay, David	5	2020-09-25	22	0.13	0	-3,754
Stuart Olson Inc.	Rights Performance Share Units	LeMay, David	5	2020-09-25	22	0.15	0	-684,134
Stuart Olson Inc.	Units Restricted Share Units	LeMay, David	5	2020-09-25	22	0.15	0	-677,748
Stuart Olson Inc.	Common Shares	Pohl, William Howard	5	2020-09-25	22	0.125	0	-29,845
Stuart Olson Inc.	Rights Performance Share Units	Pohl, William Howard	5	2020-09-25	22	0.15	0	-43,749
Stuart Olson Inc.	Units Restricted Share Units	Pohl, William Howard	5	2020-09-25	22	0.15	0	-94,590
Stuart Olson Inc.	Rights Deferred Share Units (DSUs)	Rudd, Kerry Robert	4	2020-09-25	22	0.13	0	-66,139
Stuart Olson Inc.	Common Shares	Stone, Richard Daniel	5	2020-09-25	22	0.125	0	-18,677
Stuart Olson Inc.	Common Shares	Stone, Richard Daniel	5	2020-09-25	22	0.125	0	-12,006
Stuart Olson Inc.	Rights Performance Share Units	Stone, Richard Daniel	5	2020-09-25	22	0.15	0	-41,360
Stuart Olson Inc.	Units Restricted Share Units	Stone, Richard Daniel	5	2020-09-25	22	0.15	0	-77,280
Summa Silver Corp.	Common Shares	O'Neill, Thomas	4	2020-10-01	10	1.55	1,393,300	-1,700
Sun Life Financial Inc.	Deferred Share Units	Anderson, William D.	4	2020-09-29	30	53.91		956
Sun Life Financial Inc.	Deferred Share Units	Anderson, William D.	4	2020-09-29	30	53.91		956
Sun Life Financial Inc.	Deferred Share Units	Anderson, William D.	4	2020-09-30	30	53.91	31,010	956
Sun Life Financial Inc.	Deferred Share Units	Brown, Randolph	5	2020-09-30	30	53.91	40,749	412
Sun Life Financial Inc.	Units Sun Shares	Brown, Randolph	5	2020-09-30	30	53.91	108,329	1,094
Sun Life Financial Inc.	Common Shares	Connor, Dean	4, 5	2020-10-01	51	21.53	114,150	28,103
Sun Life Financial Inc.	Common Shares	Connor, Dean	4, 5	2020-10-01	10	54.39	86,047	-28,103
Sun Life Financial Inc.	Deferred Share Units	Connor, Dean	4, 5	2020-09-30	30	53.91	145,445	1,469
Sun Life Financial Inc.	Options	Connor, Dean	4, 5	2020-10-01	51	21.53	1,302,763	-28,103
Sun Life Financial Inc.	Units Sun Shares	Connor, Dean	4, 5	2020-09-30	30	53.91	271,517	2,742
Sun Life Financial Inc.	Deferred Share Units	Coyles, Stephanie	4	2020-09-29	30	53.91		644
Sun Life Financial Inc.	Deferred Share Units	Coyles, Stephanie	4	2020-09-29	30	53.91		644
Sun Life Financial Inc.	Deferred Share Units	Coyles, Stephanie	4	2020-09-30	30	53.91	9,196	644
Sun Life Financial Inc.	Deferred Share Units	Dougherty, Linda	5	2020-09-30	30	53.91	8,190	82
Sun Life Financial Inc.	Units Sun Shares	Dougherty, Linda	5	2020-09-30	30	53.91	26,748	270
Sun Life Financial Inc.	Deferred Share Units	Fishbein, Daniel	5	2020-09-30	30	53.91	15,868	160
Sun Life Financial Inc.	Units Sun Shares	Fishbein, Daniel	5	2020-09-30	30	53.91	79,076	798
Sun Life Financial Inc.	Deferred Share Units	Freyne, Colm Joseph	5	2020-09-30	30	53.91	72,781	735
Sun Life Financial Inc.	Units Sun Shares	Freyne, Colm Joseph	5	2020-09-30	30	53.91	63,481	641
Sun Life Financial Inc.	Deferred Share Units	Glynn, Martin John Gardner	4	2020-09-29	30	53.91		801

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Sun Life Financial Inc.	Deferred Share Units	Glynn, Martin John Gardner	4	2020-09-29	30	53.91		801
Sun Life Financial Inc.	Deferred Share Units	Glynn, Martin John Gardner	4	2020-09-30	30	53.91	24,736	801
Sun Life Financial Inc.	Common Shares	Goulet, Jacques Jr.	5	2020-09-30	30	55.021	7,147	70
Sun Life Financial Inc.	Units Sun Shares	Goulet, Jacques Jr.	5	2020-09-30	30	53.91	76,969	777
Sun Life Financial Inc.	Deferred Share Units	Grepin, Leo	5	2020-09-30	30	53.91	117,108	1,183
Sun Life Financial Inc.	Units Sun Shares	Grepin, Leo	5	2020-09-30	30	53.91	69,246	699
Sun Life Financial Inc.	Deferred Share Units	Gupta, Ashok	4	2020-09-29	30	53.91		913
Sun Life Financial Inc.	Deferred Share Units	Gupta, Ashok	4	2020-09-29	30	53.91		913
Sun Life Financial Inc.	Deferred Share Units	Gupta, Ashok	4	2020-09-30	30	53.91	7,458	913
Sun Life Financial Inc.	Common Shares	Harris, M. Marianne	4	2020-09-29	30	55.154		60
Sun Life Financial Inc.	Common Shares	Harris, M. Marianne	4	2020-09-29	30	55.154		60
Sun Life Financial Inc.	Common Shares	Harris, M. Marianne	4	2020-09-30	30	55.154	6,164	60
Sun Life Financial Inc.	Deferred Share Units	Harris, M. Marianne	4	2020-09-29	30	53.91		1,688
Sun Life Financial Inc.	Deferred Share Units	Harris, M. Marianne	4	2020-09-29	30	53.91		1,688
Sun Life Financial Inc.	Deferred Share Units	Harris, M. Marianne	4	2020-09-30	30	53.91		1,688
Sun Life Financial Inc.	Deferred Share Units	Harris, M. Marianne	4	2020-09-30	30	53.91		1,688
Sun Life Financial Inc.	Deferred Share Units	Kennedy, Melissa Jane	5	2020-09-30	30	53.91	12,962	131
Sun Life Financial Inc.	Units Sun Shares	Kennedy, Melissa Jane	5	2020-09-30	30	53.91	33,882	342
Sun Life Financial Inc.	Deferred Share Units	Lewis, Sara	4	2020-09-29	30	53.91		878
Sun Life Financial Inc.	Deferred Share Units	Lewis, Sara	4	2020-09-29	30	53.91		878
Sun Life Financial Inc.	Deferred Share Units	Lewis, Sara	4	2020-09-30	30	53.91	27,794	878
Sun Life Financial Inc.	Units Sun Shares	Money, Laura Ann	5	2020-09-30	30	53.91	12,365	125
Sun Life Financial Inc.	Deferred Share Units	Morrissey, Kevin	5	2020-09-30	30	53.91	3,070	31
Sun Life Financial Inc.	Units Sun Shares	Morrissey, Kevin	5	2020-09-30	30	53.91	17,435	176
Sun Life Financial Inc.	Deferred Share Units	Pagano, Helena Janina	5	2020-09-30	30	53.91	4,922	50
Sun Life Financial Inc.	Units Sun Shares	Pagano, Helena Janina	5	2020-09-30	30	53.91	19,835	200
Sun Life Financial Inc.	Deferred Share Units	Peacher, Stephen	5	2020-09-30	30	53.91	29,962	303
Sun Life Financial Inc.	Units Sun Shares	Peacher, Stephen	5	2020-09-30	30	53.91	107,526	1,086
Sun Life Financial Inc.	Deferred Share Units	Peck, James	4	2020-09-29	30	53.91		1,213
Sun Life Financial Inc.	Deferred Share Units	Peck, James	4	2020-09-29	30	53.91		1,213
Sun Life Financial Inc.	Deferred Share Units	Peck, James	4	2020-09-30	30	53.91	8,794	1,213
Sun Life Financial Inc.	Deferred Share Units	Powers, Scott F.	4	2020-09-29	30	53.91		1,318
Sun Life Financial Inc.	Deferred Share Units	Powers, Scott F.	4	2020-09-29	30	53.91		1,318
Sun Life Financial Inc.	Deferred Share Units	Powers, Scott F.	4	2020-09-30	30	53.91	21,439	1,318
Sun Life Financial Inc.	Units Sun Shares	Saunders, Mark	5	2020-09-30	30	53.91	32,689	330
Sun Life Financial Inc.	Deferred Share Units	Segal, Hugh David	4	2020-09-29	30	53.91		842
Sun Life Financial Inc.	Deferred Share Units	Segal, Hugh David	4	2020-09-29	30	53.91		842
Sun Life Financial Inc.	Deferred Share Units	Segal, Hugh David	4	2020-09-30	30	53.91	28,810	842

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Sun Life Financial Inc.	Units Sun Shares	Strain, Kevin	5	2020-09-30	30	53.91	81,716	825
Sun Life Financial Inc.	Deferred Share Units	Stymiest, Barbara Gayle	4	2020-09-29	30	53.91		1,675
Sun Life Financial Inc.	Deferred Share Units	Stymiest, Barbara Gayle	4	2020-09-29	30	53.91		1,675
Sun Life Financial Inc.	Deferred Share Units	Stymiest, Barbara Gayle	4	2020-09-30	30	53.91	45,857	1,675
Suncor Energy Inc.	Deferred Share Units	Bedient, Patricia Margaret	4	2020-09-25	30	17.11	47,052	571
Suncor Energy Inc.	Deferred Share Units	Bedient, Patricia Margaret	4	2020-09-30	30	16.94	51,018	3,966
Suncor Energy Inc.	Deferred Share Units	Benson, Mel Edward	4	2020-09-25	30	17.11	125,346	1,520
Suncor Energy Inc.	Deferred Share Units	Benson, Mel Edward	4	2020-09-30	30	16.94	128,556	3,210
Suncor Energy Inc.	Deferred Share Units	Gass, John Donald	4	2020-09-25	30	17.11	59,804	726
Suncor Energy Inc.	Deferred Share Units	Gass, John Donald	4	2020-09-30	30	16.94	63,696	3,892
Suncor Energy Inc.	Deferred Share Units	Houston, Dennis Michael	4	2020-09-25	30	17.11	26,691	324
Suncor Energy Inc.	Deferred Share Units	Houston, Dennis Michael	4	2020-09-30	30	16.94	31,118	4,427
Suncor Energy Inc.	Common Shares	Vetrone, Joseph Anthony	5	2020-09-29	10	17.282	6,625	4,339
Suncor Energy Inc.	Restricted Share Unit	Vetrone, Joseph Anthony	5	2020-09-08	56	20.9	20,062	14,355
Sundial Growers Inc.	Deferred Share Units	Cannon, Margaret Elizabeth	4	2020-09-30	56		445,481	221,108
Sundial Growers Inc.	Deferred Share Units	Mills, James Gregory	4	2020-09-30	56		1,246,369	565,055
Sundial Growers Inc.	Deferred Share Units	Pinney, Bryan Daniel	4	2020-09-30	56		364,574	171,973
Sundial Growers Inc.	Deferred Share Units	Pinney, Bryan Daniel	4	2020-09-30	56		585,682	221,108
Sundial Growers Inc.	Deferred Share Units	Turnbull, Gregory George	4	2020-09-30	56		445,481	221,108
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-01	38	1.3067	44,800	4,900
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-02	38	1.31	13,200	6,300
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-03	38	1.3022	19,600	6,400
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-04	38	1.2705	28,000	8,400
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-08	38	1.3082	33,000	5,000
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-09	38	1.3916	36,100	3,100
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-10	38	1.4343	41,500	5,400
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-11	38	1.3413	46,100	4,600
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-14	38	1.3197	52,100	6,000
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-15	38	1.2833	58,100	6,000
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-16	38	1.31	69,700	11,600
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-17	38	1.3244	78,800	9,100
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-18	38	1.3112	85,500	6,700
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-21	38	1.3148	97,100	11,600
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-22	38	1.27	104,100	7,000
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-23	38	1.27	110,100	6,000
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-24	38	1.2822	111,000	900
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-25	38	1.2905	115,000	4,000
Supremex Inc.	Common Shares	Supremex Inc	1	2020-09-30	38		0	-115,000
Sustainable Infrastructure Dividend Fund	Trust Units	Brasseur, Jeremy	6	2020-09-25	10	10.02	0	-1,000
Sustainable Infrastructure Dividend Fund	Trust Units	Sustainable Infrastructure Dividend Fund	1	2020-09-28	38	10.18	477,800	2,100
Sustainable Infrastructure Dividend Fund	Trust Units	Sustainable Infrastructure Dividend Fund	1	2020-09-29	38	10.125	479,300	1,500

**Insider Reporting**

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Sustainable Infrastructure Dividend Fund	Trust Units	Sustainable Infrastructure Dividend Fund	1	2020-09-30	38	10.191	489,200	9,900
Sustainable Innovation & Health Dividend Fund	Trust Units	Brasseur, Jeremy	6	2020-09-29	10	9.493	8,000	5,000
Sustainable Innovation & Health Dividend Fund	Trust Units	Lauzon, Robert	7	2020-08-14	00			
Sustainable Innovation & Health Dividend Fund	Trust Units	Lauzon, Robert	7	2020-09-25	10	9.48	2,700	2,700
Sustainable Innovation & Health Dividend Fund	Trust Units	Lauzon, Robert	7	2020-08-14	00			
Sustainable Innovation & Health Dividend Fund	Trust Units	Lauzon, Robert	7	2020-09-25	10	9.48	1,300	1,300
Sustainable Innovation & Health Dividend Fund	Trust Units	Lauzon, Robert	7	2020-08-14	00			
Sustainable Innovation & Health Dividend Fund	Trust Units	Lauzon, Robert	7	2020-09-25	10	9.48	600	600
Sustainable Innovation & Health Dividend Fund	Trust Units	Orrico, Dean	6	2020-09-25	10	9.48	14,400	4,400
Sustainable Innovation & Health Dividend Fund	Trust Units	Orrico, Dean	6	2020-09-29	10	9.7	15,000	600
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2020-09-25	38	9.6206	27,200	3,600
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2020-09-28	38	9.6655	30,100	2,900
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2020-09-29	38	9.3577	57,800	27,700
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2020-09-30	38	9.7025	61,800	4,000
TAG Oil Ltd	Common Shares	Wilson, Gavin	4	2019-09-26	00		16,391,000	
TAG Oil Ltd	Options	Wilson, Gavin	4	2019-09-26	00			
TAG Oil Ltd	Options	Wilson, Gavin	4	2020-02-07	50	0.5	250,000	250,000
TAG Oil Ltd	Options	Wilson, Gavin	4	2020-09-11	50	0.25	350,000	100,000
Tanzanian Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2020-09-25	10	72	3,230,243	-123
Tanzanian Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2020-09-30	10	0.6947	3,222,743	-7,500
Tanzanian Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2020-10-01	10	0.707	3,219,970	-2,773
Tanzanian Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2020-10-01	10	0.7001	3,214,970	-5,000
Tanzanian Gold Corporation	Common Shares	Sinclair, James E.	4, 5	2020-10-01	10	0.72	3,208,370	-6,600
Target Capital Inc.	Common Shares	Zunich, Theodore Sebastian	4	2020-09-30	10	0.015	193,166	29,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2010-12-21	00		2,753,910	
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-24	10	0.28	13,500,250	14,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-24	10	0.285	13,510,250	10,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-24	10	0.29	13,511,250	1,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-24	10	0.3	13,523,250	12,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-24	10	0.305	13,528,750	5,500
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-24	10	0.31	13,542,250	13,500

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-24	10	0.315	13,555,750	13,500
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-25	10	0.325	13,560,750	5,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-28	10	0.285	13,586,250	25,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-28	10	0.29	13,611,250	25,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-28	10	0.295	13,612,750	1,500
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-28	10	0.3	13,621,250	8,500
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-30	10	0.28	13,631,250	10,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-30	10	0.285	13,637,750	6,500
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-30	10	0.29	13,642,750	5,000
Tartisan Nickel Corp.	Options	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Nickel Corp.	Options	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Nickel Corp.	Options	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Common Shares	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Common Shares	Appleby, D. Mark	4, 5	2010-12-21	00		650,000	
Tartisan Resources Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Common Shares	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Common Shares	Appleby, D. Mark	4, 5	2010-12-21	00		100,000	
Tartisan Resources Corp.	Common Shares	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Options	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Options	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Options	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Options Exercisable at \$0.07 for 5 years from June 7, 2016	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Options Exercisable at \$0.07 for 5 years from June 7, 2016	Appleby, D. Mark	4, 5	2010-12-21	00		500,000	
Tartisan Resources Corp.	Options Exercisable at \$0.07 for 5 years from June 7, 2016	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Warrants	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Warrants	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Warrants	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Warrants	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Warrants	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Warrants	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Warrants For a full share at \$0.20 expiring Nov 11 2018	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Warrants For a full share at \$0.20 expiring Nov 11 2018	Appleby, D. Mark	4, 5	2010-12-21	00		100,000	

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Tartisan Resources Corp.	Warrants For a full share at \$0.20 expiring Nov 11 2018	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Warrants Warrant for a full share at \$0.10 expiring February 8, 2019	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Warrants Warrant for a full share at \$0.10 expiring February 8, 2019	Appleby, D. Mark	4, 5	2010-12-21	00		500,000	
Tartisan Resources Corp.	Warrants Warrant for a full share at \$0.10 expiring February 8, 2019	Appleby, D. Mark	4, 5	2020-09-21	00			
Tartisan Resources Corp.	Warrants Warrant for full share at \$0.07 expiring March 5, 2018	Appleby, D. Mark	4, 5	2010-12-21	00			
Tartisan Resources Corp.	Warrants Warrant for full share at \$0.07 expiring March 5, 2018	Appleby, D. Mark	4, 5	2010-12-21	00		148,000	
Tartisan Resources Corp.	Warrants Warrant for full share at \$0.07 expiring March 5, 2018	Appleby, D. Mark	4, 5	2020-09-21	00			
Teck Resources Limited	Deferred Share Units	Andres, Dale Edwin	5	2020-09-30	56	17.579		76
Teck Resources Limited	Deferred Share Units	Andres, Dale Edwin	5	2020-09-30	56	17.579		76
Teck Resources Limited	Performance Share Units	Andres, Dale Edwin	5	2020-09-30	56	17.579		380
Teck Resources Limited	Performance Share Units	Andres, Dale Edwin	5	2020-09-30	56	17.579		242
Teck Resources Limited	Performance Share Units	Andres, Dale Edwin	5	2020-09-30	56	17.579		242
Teck Resources Limited	Deferred Share Units	Ashar, Mayank Mulraj	4	2020-09-30	56	17.579	113,324	2,114
Teck Resources Limited	Performance Share Units	Christopher, Alexander	5	2020-09-30	56	17.579		380
Teck Resources Limited	Performance Share Units	Christopher, Alexander	5	2020-09-30	56	17.579		242
Teck Resources Limited	Performance Share Units	Christopher, Alexander	5	2020-09-30	56	17.579		242
Teck Resources Limited	Performance Share Units	Conger, Harry Milton	5	2020-09-30	56	17.579		291
Teck Resources Limited	Performance Share Units	Conger, Harry Milton	5	2020-09-30	56	17.579		291
Teck Resources Limited	Deferred Performance Share Units	Davey, Larry	5	2020-09-30	56	17.579		52
Teck Resources Limited	Deferred Performance Share Units	Davey, Larry	5	2020-09-30	56	17.579		52
Teck Resources Limited	Deferred Share Units	Davey, Larry	5	2020-09-30	56	17.579		24
Teck Resources Limited	Deferred Share Units	Davey, Larry	5	2020-09-30	56	17.579		24
Teck Resources Limited	Performance Share Units	Davey, Larry	5	2020-09-30	56	17.579		65
Teck Resources Limited	Performance Share Units	Davey, Larry	5	2020-09-30	56	17.579		42
Teck Resources Limited	Performance Share Units	Davey, Larry	5	2020-09-30	56	17.579		42
Teck Resources Limited	Performance Share Units	Dorri, Sepanta	5	2020-09-30	56	17.579		83

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Teck Resources Limited	Performance Share Units	Dorri, Sepanta	5	2020-09-30	56	17.579		53
Teck Resources Limited	Performance Share Units	Dorri, Sepanta	5	2020-09-30	56	17.579		53
Teck Resources Limited	Deferred Share Units	Dowling, Edward Camp	4	2020-09-30	56	17.579	78,292	1,266
Teck Resources Limited	Performance Share Units	Fisher, Justine Beth	5	2020-09-30	56	17.579		56
Teck Resources Limited	Performance Share Units	Fisher, Justine Beth	5	2020-09-30	56	17.579		56
Teck Resources Limited	Performance Share Units	Foley, Real	5	2020-09-30	56	17.579		219
Teck Resources Limited	Performance Share Units	Foley, Real	5	2020-09-30	56	17.579		140
Teck Resources Limited	Performance Share Units	Foley, Real	5	2020-09-30	56	17.579		140
Teck Resources Limited	Deferred Share Units	Fukuda, Eiichi	4	2020-09-30	56	17.579	51,180	1,733
Teck Resources Limited	Deferred Share Units	Higo, Toru	4	2020-09-30	56	17.579	19,214	1,646
Teck Resources Limited	Performance Share Units	Hooper, Nicholas Peter Mayleston	5	2020-09-30	56	17.579		120
Teck Resources Limited	Performance Share Units	Hooper, Nicholas Peter Mayleston	5	2020-09-30	56	17.579		120
Teck Resources Limited	Performance Share Units	Joudrie, Colin	5	2020-09-30	56	17.579		53
Teck Resources Limited	Performance Share Units	Joudrie, Colin	5	2020-09-30	56	17.579		53
Teck Resources Limited	Deferred Share Units	Keevil III, Norman	4	2020-09-30	56	17.579	62,784	171
Teck Resources Limited	Deferred Share Units	Keevil, Norman Bell	6	2020-09-30	56	17.579	6,275	17
Teck Resources Limited	Restricted Share Units	Keevil, Norman Bell	6	2020-09-30	56	17.579	46,365	130
Teck Resources Limited	Deferred Share Units	Lindsay, Donald Richard	5	2020-09-30	56	17.579		2,774
Teck Resources Limited	Deferred Share Units	Lindsay, Donald Richard	5	2020-09-30	56	17.579		2,774
Teck Resources Limited	Performance Share Units	Lindsay, Donald Richard	5	2020-09-30	56	17.579		1,070
Teck Resources Limited	Performance Share Units	Lindsay, Donald Richard	5	2020-09-30	56	17.579		1,070
Teck Resources Limited	Deferred Performance Share Units	McFadyen, Kieron	5	2020-09-30	56	17.579		80
Teck Resources Limited	Deferred Performance Share Units	McFadyen, Kieron	5	2020-09-30	56	17.579		80
Teck Resources Limited	Performance Share Units	McFadyen, Kieron	5	2020-09-30	56	17.579		80
Teck Resources Limited	Performance Share Units	McFadyen, Kieron	5	2020-09-30	56	17.579		80
Teck Resources Limited	Deferred Share Units	McVicar, Tracey	4	2020-09-30	56	17.579	76,141	2,236
Teck Resources Limited	Deferred Share Units	Millos, Ronald Allen	5	2020-09-30	56	17.579		682
Teck Resources Limited	Deferred Share Units	Millos, Ronald Allen	5	2020-09-30	56	17.579		682
Teck Resources Limited	Performance Share Units	Millos, Ronald Allen	5	2020-09-30	56	17.579		265
Teck Resources Limited	Performance Share Units	Millos, Ronald Allen	5	2020-09-30	56	17.579		265
Teck Resources Limited	Performance Share Units	Milner, Andrew Keith	5	2020-09-30	56	17.579		140
Teck Resources Limited	Performance Share Units	Milner, Andrew Keith	5	2020-09-30	56	17.579		140

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Teck Resources Limited	Deferred Share Units	Murray, Sheila A.	4	2020-09-30	56	17.579	52,531	4,503
Teck Resources Limited	Performance Share Units	Phillips, Harold Fraser	5	2020-09-30	56	17.579		101
Teck Resources Limited	Performance Share Units	Phillips, Harold Fraser	5	2020-09-30	56	17.579		101
Teck Resources Limited	Deferred Share Units	Pickering, Kenneth William	4	2020-09-30	56	17.579	67,084	2,209
Teck Resources Limited	Deferred Share Units	Power, Una Marie	4	2020-09-30	56	17.579	42,324	2,311
Teck Resources Limited	Deferred Performance Share Units	Powrie, Douglas	5	2020-09-30	56	17.579		17
Teck Resources Limited	Deferred Performance Share Units	Powrie, Douglas	5	2020-09-30	56	17.579		17
Teck Resources Limited	Deferred Share Units	Powrie, Douglas	5	2020-09-30	56	17.579		161
Teck Resources Limited	Deferred Share Units	Powrie, Douglas	5	2020-09-30	56	17.579		161
Teck Resources Limited	Performance Share Units	Powrie, Douglas	5	2020-09-30	56	17.579		144
Teck Resources Limited	Performance Share Units	Powrie, Douglas	5	2020-09-30	56	17.579		144
Teck Resources Limited	Class A Common Shares	Price, Jonathan Huw	5	2020-10-01	00			
Teck Resources Limited	Class B Subordinate Voting Shares	Price, Jonathan Huw	5	2020-10-01	00			
Teck Resources Limited	Deferred Performance Share Units	Price, Jonathan Huw	5	2020-10-01	00			
Teck Resources Limited	Options	Price, Jonathan Huw	5	2020-10-01	00			
Teck Resources Limited	Options	Price, Jonathan Huw	5	2020-10-01	50	18.54	182,500	182,500
Teck Resources Limited	Performance Share Units	Price, Jonathan Huw	5	2020-10-01	00			
Teck Resources Limited	Performance Share Units	Price, Jonathan Huw	5	2020-10-01	50	17.662	51,000	51,000
Teck Resources Limited	Deferred Performance Share Units	Rozee, Peter Charles	5	2020-09-30	56	17.579		70
Teck Resources Limited	Deferred Performance Share Units	Rozee, Peter Charles	5	2020-09-30	56	17.579		70
Teck Resources Limited	Deferred Share Units	Rozee, Peter Charles	5	2020-09-30	56	17.579		649
Teck Resources Limited	Deferred Share Units	Rozee, Peter Charles	5	2020-09-30	56	17.579		649
Teck Resources Limited	Performance Share Units	Rozee, Peter Charles	5	2020-09-30	56	17.579		129
Teck Resources Limited	Performance Share Units	Rozee, Peter Charles	5	2020-09-30	56	17.579		129
Teck Resources Limited	Deferred Performance Share Units	Sheremeta, Robin	5	2020-09-30	56	17.579		91
Teck Resources Limited	Deferred Performance Share Units	Sheremeta, Robin	5	2020-09-30	56	17.579		91
Teck Resources Limited	Deferred Share Units	Sheremeta, Robin	5	2020-09-30	56	17.579		98
Teck Resources Limited	Deferred Share Units	Sheremeta, Robin	5	2020-09-30	56	17.579		98
Teck Resources Limited	Performance Share Units	Sheremeta, Robin	5	2020-09-30	56	17.579		129
Teck Resources Limited	Performance Share Units	Sheremeta, Robin	5	2020-09-30	56	17.579		129

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Teck Resources Limited	Deferred Performance Share Units	Smith, Marcia	5	2020-09-30	56	17.579		124
Teck Resources Limited	Deferred Performance Share Units	Smith, Marcia	5	2020-09-30	56	17.579		124
Teck Resources Limited	Deferred Share Units	Smith, Marcia	5	2020-09-30	56	17.579		145
Teck Resources Limited	Deferred Share Units	Smith, Marcia	5	2020-09-30	56	17.579		145
Teck Resources Limited	Performance Share Units	Smith, Marcia	5	2020-09-30	56	17.579		157
Teck Resources Limited	Performance Share Units	Smith, Marcia	5	2020-09-30	56	17.579		157
Teck Resources Limited	Deferred Share Units	Snider, Timothy Roy	4	2020-09-30	56	17.579	71,783	2,222
Temas Resources Corp.	Common Shares	CloudBreak Discovery Corp.	3	2020-09-23	00		10,000,000	
Temas Resources Corp.	Common Shares	Hardy, Samuel Anthony Kyler	4, 5	2020-09-23	45	0.2	10,321,500	10,000,000
Temas Resources Corp.	Common Shares	Hardy, Samuel Anthony Kyler	4, 5	2020-09-25	45	0.2		10,000,000
Tembo Gold Corp.	Common Shares	Benstead, Simon Charles	3, 4, 5	2020-09-29	10	0.155	11,419,111	16,667
Tembo Gold Corp.	Common Shares	Benstead, Simon Charles	3, 4, 5	2020-09-30	10	0.14	11,435,111	16,000
TeraGo Inc.	Options	Wetzel, Blake	5	2020-09-25	50	6.75	55,812	11,792
Terraquest Energy Corporation	Common Shares Options	Kanderka, John Stephen	5	2003-06-01	00			
Terraquest Energy Corporation	Common Shares Options	Kanderka, John Stephen	5	2003-06-01	00			
Tervita Corporation	Rights Deferred Share Units (DSUs)	Billing, Grant Donald	4	2020-09-30	56		84,357	22,321
Tervita Corporation	Rights Restricted Share Units (RSUs)	Cooper, John William	4, 5	2020-09-28	59	2.6	82,900	-25,000
Tervita Corporation	Rights Deferred Share Units (DSUs)	Hagerman, Allen R.	4	2020-09-30	56		58,864	15,749
Tervita Corporation	Rights Deferred Share Units (DSUs)	Kramer, Cameron Steven	4	2020-09-30	56		46,278	15,005
Tervita Corporation	Rights Deferred Share Units (DSUs)	Pridham, Gordon E.	4	2020-09-30	56		43,983	14,261
Tervita Corporation	Rights Deferred Share Units (DSUs)	Ramsay, Douglas Robert	4	2020-09-30	56		46,278	15,005
Tervita Corporation	Rights Deferred Share Units (DSUs)	Riddell Rose, Susan	4	2020-09-30	56		43,983	14,261
Tervita Corporation	Rights Deferred Share Units (DSUs)	Thornton, Jay	4	2020-09-30	56		50,377	15,005
Tervita Corporation	Rights Deferred Share Units (DSUs)	WALBRIDGE, KEVIN CHARLES	4	2020-09-30	56		53,302	14,261
Tethys Petroleum Limited	Common Shares	Wells, William Paul Wells	3, 4	2020-09-25	10	0.65	26,325,708	30,000
Tethys Petroleum Limited	Common Shares	Wells, William Paul Wells	3, 4	2020-09-28	10	0.6494	26,356,708	31,000
Tethys Petroleum Limited	Common Shares	Wells, William Paul Wells	3, 4	2020-09-29	10	0.6453	26,386,708	30,000
Tethys Petroleum Limited	Common Shares	Wells, William Paul Wells	3, 4	2020-09-30	10	0.6568	26,459,208	72,500
Tethys Petroleum Limited	Common Shares	Wells, William Paul Wells	3, 4	2020-10-01	10	0.65	26,479,208	20,000

**Insider Reporting**

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TFI International Inc.	Deferred Share Units	ABI-KARAM, LESLIE	4	2020-09-30	56		9,779	880
TFI International Inc.	Deferred Share Units	BOrard, AndrØ	4	2020-09-30	56		111,645	930
TFI International Inc.	Deferred Share Units	Bouchard, Lucien	4	2020-09-30	56		70,201	681
TFI International Inc.	Deferred Share Units	Giard, Diane	4	2020-09-30	56		6,746	627
TFI International Inc.	Deferred Share Units	Guay, Richard	4, 5	2020-09-30	56		50,199	366
TFI International Inc.	Deferred Share Units	KELLY-ENNIS, DEBRA	4	2020-09-30	56		14,911	839
TFI International Inc.	Deferred Share Units	Manning, Neil Donald	4	2020-09-30	56		32,813	690
TFI International Inc.	Deferred Share Units	Saputo, Joey	4	2020-09-30	56	55.26	20,900	314
THC BIOMED INTL LTD.	Options	Chun, Hee Jung	3, 4, 5	2020-09-25	52	0.89	550,000	-500,000
THC BIOMED INTL LTD.	Options	Dave, Ashish	4	2020-09-25	52	0.89	550,000	-500,000
THC BIOMED INTL LTD.	Options	Miller, John	3, 4	2020-09-25	52	0.89	550,000	-500,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	BAMBAWALE, AJAI	5	2020-10-02	51	52.46	675	675
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	BAMBAWALE, AJAI	5	2020-10-02	47	61.79	0	-675
The Toronto-Dominion Bank	Options	BAMBAWALE, AJAI	5	2020-10-02	51	52.46	144,180	-675
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Campbell, Norie Clare	5	2020-09-29	51	36.63	10,325	1,100
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Campbell, Norie Clare	5	2020-09-29	10	62.37	9,225	-1,100
The Toronto-Dominion Bank	Options	Campbell, Norie Clare	5	2020-09-29	51	36.63	242,246	-1,100
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Prezzano, Wilbur J	7	2020-09-30	46	61.57	140,031	715
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Vigue, Peter G	7	2020-09-30	46	61.57	42,328	574
The Very Good Food Company Inc. (formerly The Very Good Butchers Inc.)	Common Shares	Bonnell, Drew	4, 5	2020-09-28	54	0.3	391,675	16,667
The Very Good Food Company Inc. (formerly The Very Good Butchers Inc.)	Warrants	Bonnell, Drew	4, 5	2020-09-28	54		133,336	-16,667
The Westaim Corporation	Deferred Share Units	Cole, Stephen	4	2020-09-30	46	2.28	295,505	22,088
The Westaim Corporation	Deferred Share Units	Gildner, John William	4	2020-10-01	56		294,623	18,250
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.65	1,524,787	63,900
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6499	1,524,987	200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6498	1,526,187	1,200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6496	1,526,987	800
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6494	1,527,287	300
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6493	1,527,587	300

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6492	1,527,787	200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6491	1,532,787	5,000
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.649	1,532,987	200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6488	1,533,987	1,000
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6487	1,534,087	100
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6486	1,534,387	300
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6485	1,534,787	400
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6483	1,534,987	200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6482	1,535,187	200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6481	1,590,387	55,200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.648	1,591,587	1,200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6479	1,591,887	300
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6477	1,592,287	400
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6476	1,592,387	100
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6475	1,592,487	100
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6471	1,592,587	100
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-25	10	1.6357	1,603,887	11,300
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-28	10	1.66	1,604,587	700
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-28	10	1.6588	1,606,287	1,700
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-28	10	1.6586	1,609,187	2,900
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-28	10	1.6585	1,612,687	3,500
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-29	10	1.66	1,617,387	4,700
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-29	10	1.6598	1,617,887	500
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-29	10	1.659	1,618,787	900
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-29	10	1.6586	1,619,387	600
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-29	10	1.6575	1,620,887	1,500
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-29	10	1.6549	1,623,887	3,000
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6599	1,624,787	900
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.66	1,627,487	2,700
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.67	1,632,487	5,000
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.68	1,637,487	5,000
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6815	1,639,187	1,700
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6886	1,642,087	2,900

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.689	1,648,887	6,800
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6892	1,654,887	6,000
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6955	1,657,687	2,800
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6961	1,658,687	1,000
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6963	1,704,187	45,500
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6965	1,710,987	6,800
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6966	1,757,987	47,000
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.6969	1,802,487	44,500
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.7042	1,803,187	700
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.7052	1,864,387	61,200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.7053	1,865,487	1,100
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.7124	1,866,087	600
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.7126	1,867,287	1,200
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.7129	1,868,787	1,500
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.7129	1,882,287	13,500
The Westaim Corporation	Common Shares	Hays, Jim Charles	7	2020-09-30	10	1.72	1,941,287	59,000
The Westaim Corporation	Deferred Share Units	Walter, Bruce V.	4	2020-09-30	46	2.28	171,360	16,057
Theralase Technologies Inc.	Common Shares	Shirazi, Shawn	5	2019-03-01	00			
Theralase Technologies Inc.	Common Shares	Shirazi, Shawn	5	2019-03-01	00			
Theralase Technologies Inc.	Common Shares	Shirazi, Shawn	5	2019-03-01	00			
Theralase Technologies Inc.	Common Shares	Shirazi, Shawn	5	2019-03-01	00			
Theralase Technologies Inc.	Common Shares	Shirazi, Shawn	5	2019-03-01	00			
Theralase Technologies Inc.	Common Shares	Shirazi, Shawn	5	2020-09-25	10	0.16	3,000	3,000
Theralase Technologies Inc.	Common Shares	Shirazi, Shawn	5	2020-09-28	10	0.15	4,000	1,000
Theralase Technologies Inc.	Common Shares	Shirazi, Shawn	5	2020-09-30	10	0.15	5,000	1,000
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Birch Hill Equity Partners Management Inc.	3	2018-09-09	00			
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Birch Hill Equity Partners Management Inc.	3	2020-09-25	90	0.7	51,695,739	51,695,739
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Birch Hill Equity Partners Management Inc.	3	2018-09-09	00			
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Birch Hill Equity Partners Management Inc.	3	2020-09-25	90	0.7	3,449,528	3,449,528
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Birch Hill Equity Partners Management Inc.	3	2020-09-25	90	0.7	29,641,032	26,191,504
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Birch Hill Equity Partners Management Inc.	3	2020-09-25	90	0.7	0	-3,449,528
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Birch Hill Equity Partners Management Inc.	3	2020-09-25	90	0.7	0	-51,695,739
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Birch Hill Equity Partners Management Inc.	3	2020-09-25	90	0.7	0	-26,191,504

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Titan Mining Corporation	Options	Boehner, John	4	2020-09-25	50	0.63	450,000	150,000
Titan Mining Corporation	Options	Boggio, Lenard	4	2020-09-25	50	0.63	450,000	150,000
Titan Mining Corporation	Options	Burkett, Steven	5	2020-09-25	50	0.63	350,000	100,000
Titan Mining Corporation	Options	Gowans, James Kitchener	4	2020-09-25	50	0.63	450,000	150,000
Titan Mining Corporation	Options	Horna, Susy H.	5	2020-09-25	50	0.63	200,000	50,000
Titan Mining Corporation	Options	McClelland, Michael Shawn	5	2020-09-25	50	0.63	650,000	150,000
Titan Mining Corporation	Options	Mulrow, William	4	2020-09-25	50	0.63	450,000	150,000
Titan Mining Corporation	Options	Parikh, Purni	4	2020-09-25	50	0.63	750,000	150,000
Titan Mining Corporation	Options	Pataki, George	4	2020-09-25	50	0.63	550,000	150,000
Titan Mining Corporation	Options	TAYLOR, DONALD RICHARD	4	2020-09-25	50	0.63	900,000	150,000
Titan Mining Corporation	Options	Warke, Richard William	4	2020-09-25	50	0.63	2,150,000	150,000
TMX Group Limited	Common Shares	Kermani, Mohammad	7	2020-09-18	00			
TMX Group Limited	Common Shares	Tessier, Claude	7	2020-09-18	00			
TORC Oil & Gas Ltd.	Common Shares	Brown, Alexander C. (Sandy)	5	2020-09-21	30	1.4957	270,111	16,088
TORC Oil & Gas Ltd.	Common Shares	Brown, Alexander C. (Sandy)	5	2020-09-22	30	1.5238	286,199	16,088
TORC Oil & Gas Ltd.	Common Shares	Brown, Alexander C. (Sandy)	5	2020-09-23	30	1.4908	302,286	16,087
TORC Oil & Gas Ltd.	Common Shares	Brown, Alexander C. (Sandy)	5	2020-09-24	30	1.4593	318,373	16,087
TORC Oil & Gas Ltd.	Common Shares	Brown, Alexander C. (Sandy)	5	2020-09-25	30	1.4516	334,460	16,087
TORC Oil & Gas Ltd.	Common Shares	Brown, Alexander C. (Sandy)	5	2020-09-25	36	1.4842	408,711	74,251
TORC Oil & Gas Ltd.	Common Shares	Brown, Alexander C. (Sandy)	5	2020-09-25	30	1.4842	334,460	-74,251
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2019-12-31	30			2,701
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2019-12-31	30			2,701
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2020-09-21	30	1.5	354,673	3,133
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2020-09-22	30	1.52	357,806	3,133
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2020-09-23	30	1.49	360,939	3,133
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2020-09-24	30	1.5	364,072	3,133
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2020-09-25	30	1.45	367,205	3,133
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2020-09-25	36	1.48	381,666	14,461
TORC Oil & Gas Ltd.	Common Shares	Brussa, John Albert	4	2020-09-25	30	1.48	367,205	-14,461
TORC Oil & Gas Ltd.	Common Shares	Canada Pension Plan Investment Board	3	2020-09-21	57		64,799,837	6,026
TORC Oil & Gas Ltd.	Common Shares	Canada Pension Plan Investment Board	3	2020-09-22	57		64,805,862	6,025
TORC Oil & Gas Ltd.	Common Shares	Canada Pension Plan Investment Board	3	2020-09-23	57		64,811,887	6,025
TORC Oil & Gas Ltd.	Common Shares	Canada Pension Plan Investment Board	3	2020-09-24	57		64,817,912	6,025
TORC Oil & Gas Ltd.	Common Shares	Canada Pension Plan Investment Board	3	2020-09-25	57		64,823,937	6,025
TORC Oil & Gas Ltd.	Common Shares	Case, Mary-Jo	4	2020-09-21	30	1.4957	31,063	2,266
TORC Oil & Gas Ltd.	Common Shares	Case, Mary-Jo	4	2020-09-22	30	1.5238	33,329	2,266
TORC Oil & Gas Ltd.	Common Shares	Case, Mary-Jo	4	2020-09-23	30	1.4908	35,594	2,265
TORC Oil & Gas Ltd.	Common Shares	Case, Mary-Jo	4	2020-09-24	30	1.4593	37,859	2,265
TORC Oil & Gas Ltd.	Common Shares	Case, Mary-Jo	4	2020-09-25	30	1.4516	40,124	2,265
TORC Oil & Gas Ltd.	Common Shares	Case, Mary-Jo	4	2020-09-25	36	1.4842	50,169	10,045
TORC Oil & Gas Ltd.	Common Shares	Case, Mary-Jo	4	2020-09-25	30	1.4842	40,124	-10,045

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TORC Oil & Gas Ltd.	Common Shares	Chernoff, M. Bruce	4	2020-09-21	30	1.4957	146,837	6,026
TORC Oil & Gas Ltd.	Common Shares	Chernoff, M. Bruce	4	2020-09-22	30	1.5238	152,862	6,025
TORC Oil & Gas Ltd.	Common Shares	Chernoff, M. Bruce	4	2020-09-23	30	1.4808	158,887	6,025
TORC Oil & Gas Ltd.	Common Shares	Chernoff, M. Bruce	4	2020-09-24	30	1.4593	164,912	6,025
TORC Oil & Gas Ltd.	Common Shares	Chernoff, M. Bruce	4	2020-09-25	30	1.4516	170,937	6,025
TORC Oil & Gas Ltd.	Common Shares	Gordon, John	4	2020-09-21	30	1.4957	11,253	1,253
TORC Oil & Gas Ltd.	Common Shares	Gordon, John	4	2020-09-22	30	1.5238	12,506	1,253
TORC Oil & Gas Ltd.	Common Shares	Gordon, John	4	2020-09-23	30	1.4908	13,758	1,252
TORC Oil & Gas Ltd.	Common Shares	Gordon, John	4	2020-09-24	30	1.4593	15,010	1,252
TORC Oil & Gas Ltd.	Common Shares	Gordon, John	4	2020-09-25	30	1.4516	16,262	1,252
TORC Oil & Gas Ltd.	Common Shares	Gordon, John	4	2020-09-25	36	1.4842	22,043	5,781
TORC Oil & Gas Ltd.	Common Shares	Gordon, John	4	2020-09-25	30	1.4842	16,262	-5,781
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	2020-09-21	30	1.4957	1,962,191	51,275
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	2020-09-22	30	1.5238	2,013,468	51,277
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	2020-09-23	30	1.4908	2,064,746	51,278
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	2020-09-24	30	1.4593	2,116,024	51,278
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	2020-09-25	30	1.4516	2,167,302	51,278
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	2020-09-25	36	1.4842	2,403,966	236,664
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	2020-09-25	30	1.4842	2,167,302	-236,664
TORC Oil & Gas Ltd.	Common Shares	Johnson, David Daniel	4	2020-09-21	30	1.4957	104,086	3,133
TORC Oil & Gas Ltd.	Common Shares	Johnson, David Daniel	4	2020-09-22	30	1.5238	107,219	3,133
TORC Oil & Gas Ltd.	Common Shares	Johnson, David Daniel	4	2020-09-23	30	1.4908	110,352	3,133
TORC Oil & Gas Ltd.	Common Shares	Johnson, David Daniel	4	2020-09-24	30	1.4593	113,485	3,133
TORC Oil & Gas Ltd.	Common Shares	Johnson, David Daniel	4	2020-09-25	30	1.4516	116,618	3,133
TORC Oil & Gas Ltd.	Common Shares	Johnson, David Daniel	4	2020-09-25	36	1.4842	131,079	14,461
TORC Oil & Gas Ltd.	Common Shares	Johnson, David Daniel	4	2020-09-25	30	1.4842	116,618	-14,461
TORC Oil & Gas Ltd.	Common Shares	Manchester, Shane	5	2020-09-21	30	1.4957	514,785	16,088
TORC Oil & Gas Ltd.	Common Shares	Manchester, Shane	5	2020-09-22	30	1.5238	530,873	16,088
TORC Oil & Gas Ltd.	Common Shares	Manchester, Shane	5	2020-09-23	30	1.4908	546,960	16,087
TORC Oil & Gas Ltd.	Common Shares	Manchester, Shane	5	2020-09-24	30	1.4593	563,047	16,087
TORC Oil & Gas Ltd.	Common Shares	Manchester, Shane	5	2020-09-25	30	1.4516	579,134	16,087
TORC Oil & Gas Ltd.	Common Shares	Manchester, Shane	5	2020-09-25	36	1.4842	653,385	74,251
TORC Oil & Gas Ltd.	Common Shares	Manchester, Shane	5	2020-09-25	30	1.4842	579,134	-74,251
TORC Oil & Gas Ltd.	Common Shares	Shwed, Dale Orest	4	2020-09-21	30	1.495	112,143	3,133
TORC Oil & Gas Ltd.	Common Shares	Shwed, Dale Orest	4	2020-09-22	30	1.523	115,276	3,133
TORC Oil & Gas Ltd.	Common Shares	Shwed, Dale Orest	4	2020-09-23	30	1.49	118,409	3,133
TORC Oil & Gas Ltd.	Common Shares	Shwed, Dale Orest	4	2020-09-24	30	1.459	121,542	3,133
TORC Oil & Gas Ltd.	Common Shares	Shwed, Dale Orest	4	2020-09-25	30	1.451	124,675	3,133
TORC Oil & Gas Ltd.	Common Shares	Shwed, Dale Orest	4	2020-09-25	36	1.484	139,136	14,461
TORC Oil & Gas Ltd.	Common Shares	Shwed, Dale Orest	4	2020-09-25	30	1.484	124,675	-14,461
TORC Oil & Gas Ltd.	Common Shares	Tang, Marvin	5	2020-09-21	30	1.4957	134,267	8,397
TORC Oil & Gas Ltd.	Common Shares	Tang, Marvin	5	2020-09-22	30	1.5238	142,666	8,399
TORC Oil & Gas Ltd.	Common Shares	Tang, Marvin	5	2020-09-23	30	1.4908	151,067	8,401
TORC Oil & Gas Ltd.	Common Shares	Tang, Marvin	5	2020-09-24	30	1.4593	159,468	8,401
TORC Oil & Gas Ltd.	Common Shares	Tang, Marvin	5	2020-09-25	30	1.4516	167,868	8,400
TORC Oil & Gas Ltd.	Common Shares	Tang, Marvin	5	2020-09-25	36	1.4842	206,636	38,768
TORC Oil & Gas Ltd.	Common Shares	Tang, Marvin	5	2020-09-25	30	1.4842	167,868	-38,768
TORC Oil & Gas Ltd.	Common Shares	Wallis, Jeremy	5	2020-09-21	30	1.4957	802,163	19,272
TORC Oil & Gas Ltd.	Common Shares	Wallis, Jeremy	5	2020-09-22	30	1.5238	821,434	19,271
TORC Oil & Gas Ltd.	Common Shares	Wallis, Jeremy	5	2020-09-23	30	1.4908	840,705	19,271
TORC Oil & Gas Ltd.	Common Shares	Wallis, Jeremy	5	2020-09-24	30	1.4593	859,976	19,271
TORC Oil & Gas Ltd.	Common Shares	Wallis, Jeremy	5	2020-09-25	30	1.4516	879,247	19,271
TORC Oil & Gas Ltd.	Common Shares	Wallis, Jeremy	5	2020-09-25	36	1.4842	968,191	88,944
TORC Oil & Gas Ltd.	Common Shares	Wallis, Jeremy	5	2020-09-25	30	1.4842	879,247	-88,944
TORC Oil & Gas Ltd.	Common Shares	Wihak, Michael	5	2020-09-21	30	1.4957	509,856	19,272
TORC Oil & Gas Ltd.	Common Shares	Wihak, Michael	5	2020-09-22	30	1.5238	529,127	19,271
TORC Oil & Gas Ltd.	Common Shares	Wihak, Michael	5	2020-09-23	30	1.4908	548,398	19,271
TORC Oil & Gas Ltd.	Common Shares	Wihak, Michael	5	2020-09-24	30	1.4593	567,669	19,271

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TORC Oil & Gas Ltd.	Common Shares	Wihak, Michael	5	2020-09-25	30	1.4516	586,940	19,271
TORC Oil & Gas Ltd.	Common Shares	Wihak, Michael	5	2020-09-25	36	1.4842	675,884	88,944
TORC Oil & Gas Ltd.	Common Shares	Wihak, Michael	5	2020-09-25	30	1.4842	586,940	-88,944
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	2020-09-21	30	1.4957	919,238	23,684
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	2020-09-22	30	1.5238	942,922	23,684
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	2020-09-23	30	1.4908	966,607	23,685
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	2020-09-24	30	1.4593	990,292	23,685
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	2020-09-25	30	1.4516	1,013,976	23,684
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	2020-09-25	36	1.4842	1,123,289	109,313
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	2020-09-25	30	1.4842	1,013,976	-109,313
Total Energy Services Inc.	Common Shares	Danyluk, Cam	5	2020-10-02	10	2.15	67,561	5,000
Total Energy Services Inc.	Common Shares	Halyk, Daniel Kim	4, 5	2020-10-01	10	2.19	1,740,000	50,000
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	2020-09-28	10	2.25	96,900	5,800
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	2020-09-29	10	2.25	97,600	700
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	2020-09-30	10	2.27	120,000	22,400
TransAlta Corporation	DSU (Deferred Share Units)	Ambrose, Ronalee Hope	4	2020-10-01	56	8.34	39,993	177
TransAlta Corporation	Common Shares	Brookfield Asset Management Inc.	3	2020-09-23	46		19,521,663	6,139
TransAlta Corporation	Common Shares	Brookfield Asset Management Inc.	3	2020-09-23	46		13,667,702	3,831
TransAlta Corporation	DSU (Deferred Share Units)	Dielwart, John Patrick	4	2020-10-01	56	8.34	122,696	623
TransAlta Corporation	DSU (Deferred Share Units)	Farrell, Dawn Lorraine	4, 5	2020-10-01	56	8.34	428,372	2,171
TransAlta Corporation	PSU (Performance Share Units)	Farrell, Dawn Lorraine	4, 5	2020-10-01	56	8.34	623,582	873
TransAlta Corporation	PSU (Performance Share Units)	Farrell, Dawn Lorraine	4, 5	2020-10-01	56	8.34	625,028	1,446
TransAlta Corporation	PSU (Performance Share Units)	Farrell, Dawn Lorraine	4, 5	2020-10-01	56	8.34	625,883	855
TransAlta Corporation	RSU (Restricted Share Units)	Farrell, Dawn Lorraine	4, 5	2020-10-01	56	8.34	283,446	397
TransAlta Corporation	RSU (Restricted Share Units)	Farrell, Dawn Lorraine	4, 5	2020-10-01	56	8.34	284,103	657
TransAlta Corporation	RSU (Restricted Share Units)	Farrell, Dawn Lorraine	4, 5	2020-10-01	56	8.34	284,492	389
TransAlta Corporation	DSU (Deferred Share Units)	Fohrer, Alan John	4	2020-10-01	56	8.34	107,673	530
TransAlta Corporation	PSU (Performance Share Units)	HIGGINS, Kathryn Elizabeth	5	2020-10-01	56	8.34	14,479	11
TransAlta Corporation	PSU (Performance Share Units)	HIGGINS, Kathryn Elizabeth	5	2020-10-01	56	8.34	14,517	38
TransAlta Corporation	PSU (Performance Share Units)	HIGGINS, Kathryn Elizabeth	5	2020-10-01	56	8.34	14,542	25
TransAlta Corporation	RSU (Restricted Share Units)	HIGGINS, Kathryn Elizabeth	5	2020-10-01	56	8.34	7,239	5
TransAlta Corporation	RSU (Restricted Share Units)	HIGGINS, Kathryn Elizabeth	5	2020-10-01	56	8.34	7,258	19
TransAlta Corporation	RSU (Restricted Share Units)	HIGGINS, Kathryn Elizabeth	5	2020-10-01	56	8.34	7,271	13
TransAlta Corporation	PSU (Performance Share Units)	JEFFERS, Scott Thomas	5	2020-10-01	56	8.34	14,560	12

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TransAlta Corporation	PSU (Performance Share Units)	JEFFERS, Scott Thomas	5	2020-10-01	56	8.34	14,598	38
TransAlta Corporation	PSU (Performance Share Units)	JEFFERS, Scott Thomas	5	2020-10-01	56	8.34	14,623	25
TransAlta Corporation	RSU (Restricted Share Units)	JEFFERS, Scott Thomas	5	2020-10-01	56	8.34	13,538	6
TransAlta Corporation	RSU (Restricted Share Units)	JEFFERS, Scott Thomas	5	2020-10-01	56	8.34	13,556	18
TransAlta Corporation	RSU (Restricted Share Units)	JEFFERS, Scott Thomas	5	2020-10-01	56	8.34	13,588	32
TransAlta Corporation	RSU (Restricted Share Units)	JEFFERS, Scott Thomas	5	2020-10-01	56	8.34	13,601	13
TransAlta Corporation	PSU (Performance Share Units)	Kousinioris, John Harry	5	2020-10-01	56	8.34	211,186	248
TransAlta Corporation	PSU (Performance Share Units)	Kousinioris, John Harry	5	2020-10-01	56	8.34	211,632	446
TransAlta Corporation	PSU (Performance Share Units)	Kousinioris, John Harry	5	2020-10-01	56	8.34	212,013	381
TransAlta Corporation	RSU (Restricted Share Units)	Kousinioris, John Harry	5	2020-10-01	56	8.34	113,128	113
TransAlta Corporation	RSU (Restricted Share Units)	Kousinioris, John Harry	5	2020-10-01	56	8.34	113,331	203
TransAlta Corporation	RSU (Restricted Share Units)	Kousinioris, John Harry	5	2020-10-01	56	8.34	113,418	87
TransAlta Corporation	RSU (Restricted Share Units)	Kousinioris, John Harry	5	2020-10-01	56	8.34	113,591	173
TransAlta Corporation	DSU (Deferred Share Units)	Mansour, Yakout	4	2020-10-01	56	8.34	113,351	558
TransAlta Corporation	DSU (Deferred Share Units)	Nelson, Georgia Ricci	4	2020-10-01	56	8.34	104,871	515
TransAlta Corporation	PSU (Performance Share Units)	NOVELLI, Michael Jon	5	2020-10-01	56	8.34	32,737	166
TransAlta Corporation	RSU (Restricted Share Units)	NOVELLI, Michael Jon	5	2020-10-01	56	8.34	14,880	75
TransAlta Corporation	DSU (Deferred Share Units)	Park, Beverlee Faye	4	2020-10-01	56	8.34	88,688	438
TransAlta Corporation	DSU (Deferred Share Units)	Pinney, Bryan Daniel	4	2020-10-01	56	8.34	51,293	235
TransAlta Corporation	DSU (Deferred Share Units)	SHARMAN, SANDY	4	2020-10-01	56	8.34	8,748	19
TransAlta Corporation	DSU (Deferred Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	6,803	35
TransAlta Corporation	PSU (Performance Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	78,927	73
TransAlta Corporation	PSU (Performance Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	79,022	95
TransAlta Corporation	PSU (Performance Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	79,094	72
TransAlta Corporation	PSU (Performance Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	79,256	162
TransAlta Corporation	RSU (Restricted Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	43,683	36
TransAlta Corporation	RSU (Restricted Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	43,731	48
TransAlta Corporation	RSU (Restricted Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	43,757	26
TransAlta Corporation	RSU (Restricted Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	43,796	39

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TransAlta Corporation	RSU (Restricted Share Units)	Stack, Todd John	5	2020-10-01	56	8.34	43,869	73
TransAlta Corporation	PSU (Performance Share Units)	Ward, Brent Vincent	5	2020-10-01	56	8.34	18,950	30
TransAlta Corporation	PSU (Performance Share Units)	Ward, Brent Vincent	5	2020-10-01	56	8.34	18,989	39
TransAlta Corporation	PSU (Performance Share Units)	Ward, Brent Vincent	5	2020-10-01	56	8.34	19,017	28
TransAlta Corporation	RSU (Restricted Share Units)	Ward, Brent Vincent	5	2020-10-01	56	8.34	9,475	15
TransAlta Corporation	RSU (Restricted Share Units)	Ward, Brent Vincent	5	2020-10-01	56	8.34	9,494	19
TransAlta Corporation	RSU (Restricted Share Units)	Ward, Brent Vincent	5	2020-10-01	56	8.34	9,508	14
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Drinkwater, David William	4	2020-09-30	56	16.73	29,290	137
TransAlta Renewables Inc.	DSU (Deferred Share Units)	Hagerman, Allen R.	4	2020-09-30	56	16.73	30,233	141
TransAlta Renewables Inc.	DSU (Deferred Share Units)	McQuade, Kathryn Ann Bova	4	2020-09-30	56	16.73	30,391	141
TransAlta Renewables Inc.	DSU (Deferred Share Units)	TAYLOR, PAUL H. E.	4	2020-09-30	56	16.73	33,855	158
Treasury Metals Inc.	Common Shares	Ferron, Greg	5	2020-09-17	10	1.43	169,466	-14,000
Treasury Metals Inc.	Common Shares	Ferron, Greg	5	2020-09-21	10	1.36	369,199	-8,600
Treasury Metals Inc.	Common Shares	Ferron, Greg	5	2020-09-25	10	1.35	359,199	-10,000
Treasury Metals Inc.	Common Shares	Ferron, Greg	5	2020-09-28	10	1.37	329,199	-30,000
Tree Island Steel Ltd.	Common Shares	Doman, Amar	3, 4	2020-09-30	10	2.1925	9,448,950	3,600
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-08-31	38	2.08	100	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-08-31	38	2.13	2,200	2,100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-08-31	38	2.1055	2,421	221
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-01	38	2.11	2,642	221
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-03	38	2.15	3,242	600
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-04	38	2.1888	4,563	1,321
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-08	38	2.21	4,763	200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-09	38	2.2	8,084	3,321
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-10	38	2.1952	8,505	421
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-14	38	2.14	8,605	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-15	38	2.1684	10,326	1,721
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-16	38	2.1691	11,447	1,121
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-18	38	2.26	11,968	521
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-21	38	2.3255	15,289	3,321
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-22	38	2.3155	15,510	221
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-24	38	2.2939	18,831	3,321
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-25	38	2.2856	21,352	2,521
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2020-09-30	38		0	-21,352
Trigon Metals Inc.	Common Shares	2176423 Ontario Ltd.	3	2020-09-24	16	0.35	19,714,999	1,715,000
Trigon Metals Inc.	Warrants	2176423 Ontario Ltd.	3	2020-09-24	16	0.45	18,857,499	857,500
Trigon Metals Inc.	Common Shares	Sprott, Eric S.	3	2020-09-24	16	0.35	19,714,999	1,715,000
Trigon Metals Inc.	Warrants	Sprott, Eric S.	3	2020-09-24	16	0.45	18,857,499	857,500
Trilogy International Partners Inc.	Rights (Deferred Share Units)	Horn, Alan Douglas	4	2020-09-30	56		112,814	21,440
Trilogy International Partners Inc.	Rights (Deferred Share Units)	Kroloff, Mark	4	2020-09-30	56		127,826	21,439
Trilogy International Partners Inc.	Rights (Deferred Share Units)	Mohamed, Nadir	4	2020-09-30	56		140,151	21,440
Trilogy International Partners Inc.	Rights (Deferred Share Units)	Satchu, Reza Rustom	4	2020-09-30	56		96,863	21,439
Trisura Group Ltd.	Common Shares	Beasley, Michael	7	2020-02-15	00		139	
Trisura Group Ltd.	Deferred Share Units	Gallagher, Paul Joseph	4	2020-09-30	56		6,077	177

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Trisura Group Ltd.	Deferred Share Units	Hedges, Barton Walter	4	2020-09-30	56		7,087	177
Trisura Group Ltd.	Deferred Share Units	Hedges, Barton Walter	4	2020-09-30	56		7,146	59
Trisura Group Ltd.	Deferred Share Units	Morrison, Gregory Ernest Alexander	4, 5	2020-09-30	56		1,891	147
Trisura Group Ltd.	Deferred Share Units	Myhal, George	4, 6	2020-09-30	56		4,106	177
Trisura Group Ltd.	Deferred Share Units	Taylor, Robert Edward	4, 7	2020-09-30	56		5,031	147
True North Commercial Real Estate Investment Trust	Trust Units	Allison, Margaret Ann	5	2020-09-29	10	5.67	4,025	1,500
Tucows Inc.	Common Shares	Investmentaktiengesellschaft für langfristige Investoren TGV	3	2020-09-28	10	68.048	1,609,627	-1,000
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00		633,120	
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00		341,880	
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00		27,255	
TVI Pacific Inc.	Common Shares	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Options	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Options	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Warrants	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Warrants	Richards, Peter C.G.	4	2003-04-03	00		183,120	
TVI Pacific Inc.	Warrants	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Warrants	Richards, Peter C.G.	4	2003-04-03	00			
TVI Pacific Inc.	Warrants	Richards, Peter C.G.	4	2003-04-03	00		591,880	
TWC Enterprises Limited	Common Shares	TWC Enterprises Limited	1	2020-10-01	38	11.1	94,400	94,400
TWC Enterprises Limited	Common Shares	TWC Enterprises Limited	1	2020-10-01	38		0	-94,400
Uni-Select Inc.	Unités d'actions différées (UAD) / Deferred Share Unit Plan	CORMIER, MICHELLE ANN	4	2020-09-30	56	5.466	66,559	10,283
Uni-Select Inc.	Unités d'actions différées (UAD) / Deferred Share Unit Plan	Gonthier, StOphane	4	2020-09-30	56	5.466	27,505	4,616
Uni-Select Inc.	Unités d'actions différées (UAD) / Deferred Share Unit Plan	Gonthier, StOphane	4	2020-10-02	56	5.466		4,616
Uni-Select Inc.	Unités d'actions différées (UAD) / Deferred Share Unit Plan	Kunica, Matthew B.	4	2020-09-30	56	5.466	14,495	4,616
Uni-Select Inc.	Unités d'actions différées (UAD) / Deferred Share Unit Plan	Lenard, Chantel	4	2020-09-30	56	5.466	7,513	4,296
Uni-Select Inc.	Unités d'actions différées (UAD) / Deferred Share Unit Plan	Mifflin, Frederick James	4	2020-09-30	56	5.466	29,488	4,936

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Uni-Select Inc.	UnitOs d'actions diffOrOes (UAD) / Deferred Share Unit Plan	Molenaar, Robert	4	2020-09-30	56	5.466	45,591	4,296
Uni-Select Inc.	UnitOs d'actions diffOrOes (UAD) / Deferred Share Unit Plan	Raymond, Pierre	4	2020-09-30	56	5.466	23,401	2,765
Uni-Select Inc.	UnitOs d'actions diffOrOes (UAD) / Deferred Share Unit Plan	Roy, Richard G	4	2020-09-30	56	5.466	19,925	4,902
Uni-Select Inc.	UnitOs d'actions diffOrOes (UAD) / Deferred Share Unit Plan	Samuel, David G.	4	2020-09-30	56	5.466	17,673	5,530
Unigold Inc.	Options	Acero, Jose Ignacio	4	2020-09-28	50		400,000	250,000
Unigold Inc.	Options	Del Campo, Joseph	4	2020-09-28	50	0.34	850,000	250,000
Unigold Inc.	Options	Del Campo, Joseph	4	2020-09-28	50			250,000
Unigold Inc.	Options	Del Campo, Joseph	4	2020-09-28	50			250,000
Unigold Inc.	Options	Fairhurst, Helga	5	2020-09-28	50		300,000	250,000
Unigold Inc.	Options	Hamilton, Joseph Andrew	4	2020-09-28	50		2,400,000	1,500,000
Unigold Inc.	Options	Hanson, Wesley Clay	5	2020-09-28	50		2,050,000	1,250,000
Unigold Inc.	Options	Lacoste, Jean-Marc	4	2020-09-28	50	0.34	250,000	250,000
Unigold Inc.	Options	McLean, Donna Ruth	5	2020-03-04	50	0.15	50,000	50,000
Unigold Inc.	Options	McLean, Donna Ruth	5	2020-09-28	50	0.34	550,000	500,000
Unigold Inc.	Options	Padilla, Ruben	4	2020-09-28	50		400,000	250,000
Unigold Inc.	Options	PAGE, CHARLES ELIJAH	4	2020-09-28	50		600,000	250,000
Unigold Inc.	Options	Tremblay, Norman	4	2020-09-28	50		400,000	250,000
Valencia Capital Inc.	Common Shares	Daem, Brock	4	2020-08-19	00		500,000	
Valencia Capital Inc.	Options	Daem, Brock	4	2020-08-19	00		134,000	
Vecima Networks Inc.	Options Incentive Stock Options	Elder, Derek Jason	4	2020-09-29	50	12.3	6,000	1,000
Vecima Networks Inc.	Options Incentive Stock Options	Elliott, Thomas Kent	4	2020-09-29	50	12.3	7,000	1,000
Vecima Networks Inc.	Options Incentive Stock Options	Faizullabhoj, Danial	4	2020-09-29	50	12.3	7,000	1,000
Vecima Networks Inc.	Common Shares	Kumar, Sumit	8, 4, 5	2020-09-30	51	12.3	106,415	100,000
Vecima Networks Inc.	Options Incentive Stock Options	Kumar, Sumit	8, 4, 5	2020-09-30	51	12.3	0	-100,000
Velan Inc.	Subordinate Voting Shares	Kernaghan, Edward Hume	3	2020-09-29	10	5.05	1,161,400	200
Velan Inc.	Subordinate Voting Shares	Kernaghan, Edward Hume	3	2020-09-29	10	5.1	1,164,200	2,800
Velan Inc.	Subordinate Voting Shares	Kernaghan, Edward Hume	3	2020-09-29	10	5.19	1,164,400	200
Velocity Minerals Ltd.	Common Shares	Kopple, Robert Charles	3	2020-09-30	10	0.45	3,155,072	30,500
Velocity Minerals Ltd.	Common Shares	Kopple, Robert Charles	3	2020-09-30	10	0.44	3,160,572	5,500
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Adams, George	4, 5	2020-09-28	11	0.075	1,834,909	85,719
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Adams, George	4, 5	2019-09-18	52	0.8	625,000	-200,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Adams, George	4, 5	2020-09-28	50	0.1	1,750,000	1,125,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Adams, George	4, 5	2020-09-28	47	0.32	1,350,000	-400,000

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Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Adams, George	4, 5	2020-09-28	47	0.16	1,275,000	-75,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Adams, George	4, 5	2020-09-28	47	0.31	1,125,000	-150,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Hodgkinson, Robert	4	2019-12-17	00			
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Hodgkinson, Robert	4	2020-09-29	50	0.1	500,000	500,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	MacNaught, Hugh	4	2020-09-30	00		500,000	
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Weichler, Peter	4	2020-02-18	00			
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Weichler, Peter	4	2020-09-28	50		500,000	500,000
Vermilion Energy Inc.	Common Shares	Williams, Catherine L.	4	2020-09-30	30	3.2793	28,388	3,946
Victory Square Technologies Inc.	Common Shares	Shababi Shad, Vahid	5	2018-10-22	00			
Victory Square Technologies Inc.	Common Shares	Shababi Shad, Vahid	5	2019-05-21	46	0.26	225,000	225,000
Village Farms International, Inc.	Options	DeGiglio, Michael Anthony	3, 4, 5	2020-09-30	50		700,000	300,000
Village Farms International, Inc.	Restricted Share Units (Performance-Based)	DeGiglio, Michael Anthony	3, 4, 5	2020-09-30	56		730,000	500,000
Village Farms International, Inc.	Options	Henry, John Patrick	4	2020-09-30	50		116,372	15,372
Village Farms International, Inc.	Options	Holewinski, David	4	2020-09-30	50		116,372	15,372
Village Farms International, Inc.	Options	McLernon, J.R.	4	2020-09-30	50		135,372	15,372
Village Farms International, Inc.	Restricted Share Units (Performance-Based)	Ruffini, Stephen	5	2020-09-30	56		470,000	300,000
Village Farms International, Inc.	Options	Woodward, Christopher Charles	4	2020-09-30	50		121,372	15,372
Vireo Health International, Inc. (formerly Darien Business Development Corp.)	Subordinate Voting Shares	Linton, Bruce	3	2020-10-01	10	1.3616	494,973	-495,000
ViveRE Communities Inc.	Common Shares	Dean, Jeffrey	4	2020-09-03	97	0.24	4,201,570	72,916
ViveRE Communities Inc.	Common Shares	Farrell, Kent Alexander	4	2020-09-03	97	0.24	4,201,570	72,916
ViveRE Communities Inc.	Common Shares	Holmes, Glenn	5	2020-08-13	97	0.22	421,867	17,045
ViveRE Communities Inc.	Common Shares	Jessome, Glenn	5	2020-08-13	97	0.22	803,937	128,181
ViveRE Communities Inc.	Common Shares	Jessome, Glenn	5	2020-09-03	97	0.24	1,004,078	200,141
Volatus Capital Corp.	Common Shares	Collins, John Michael William	4, 5	2020-09-29	16	45		100,000

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Volatus Capital Corp.	Common Shares	Collins, John Michael William	4, 5	2020-09-29	16	0.45	1,180,000	100,000
Volatus Capital Corp.	Common Shares	Collins, John Michael William	4, 5	2020-10-01	16	0.35	1,294,000	114,000
Vox Royalty Corp. (formerly AIM3 Ventures Inc.)	Common Shares	Floyd, Kyle	4, 5	2020-05-19	00			
Vox Royalty Corp. (formerly AIM3 Ventures Inc.)	Common Shares	Floyd, Kyle	4, 5	2020-05-19	00			
Vox Royalty Corp. (formerly AIM3 Ventures Inc.)	Common Shares	Floyd, Kyle	4, 5	2020-05-19	00		1,981,238	
Vox Royalty Corp. (formerly AIM3 Ventures Inc.)	Common Shares	Floyd, Kyle	4, 5	2020-10-02	10	2.87	1,983,655	417
Voyager Digital Ltd.	Common Shares	Eytan, Philip	4	2020-08-31	10	0.99	519,128	-145
VVC Exploration Corporation	Options	Barnes, Kevin	5	2020-09-28	50		3,875,000	1,000,000
VVC Exploration Corporation	Options	Culver, James A.	4, 5	2020-09-28	50		6,850,000	1,250,000
VVC Exploration Corporation	Warrants	Culver, James A.	4, 5	2016-12-02	16			2,132,000
VVC Exploration Corporation	Warrants	Culver, James A.	4, 5	2016-12-02	16		2,792,000	2,132,000
VVC Exploration Corporation	Warrants	Culver, James A.	4, 5	2019-03-04	55		2,132,000	-660,000
VVC Exploration Corporation	Warrants	Culver, James A.	4, 5	2017-07-27	55		0	-656,500
VVC Exploration Corporation	Options	Dimmell, Peter Murray	4	2020-09-28	50	0.05	6,300,000	1,000,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2014-01-20	50	0.065		500,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2014-01-20	50	0.065	500,000	500,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2016-06-07	50	0.05		750,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2016-06-07	50		1,250,000	750,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2017-08-29	50	0.05		1,000,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2017-08-29	50		2,250,000	1,000,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2018-10-09	50	0.05		1,250,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2018-10-09	50		3,500,000	1,250,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2019-08-19	50	0.05		1,000,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2019-08-19	50		4,000,000	1,000,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2020-09-28	50	0.05		1,000,000
VVC Exploration Corporation	Options	Dumais, Bruno	4	2020-09-28	50		5,000,000	1,000,000
VVC Exploration Corporation	Options	Fernet, Patrick	4	2020-09-28	50	0.05	5,125,000	850,000
VVC Exploration Corporation	Options	Hill, Scott Anthony	4	2020-09-28	50		3,750,000	1,000,000
VVC Exploration Corporation	Options	KING, EMILY ROSE	4	2019-04-10	00			
VVC Exploration Corporation	Options	KING, EMILY ROSE	4	2019-04-10	00			
VVC Exploration Corporation	Options	KING, EMILY ROSE	4	2019-04-10	00		200,000	
VVC Exploration Corporation	Options	KING, EMILY ROSE	4	2019-08-19	50	0.05	950,000	750,000

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VVC Exploration Corporation	Options	KING, EMILY ROSE	4	2020-09-28	50	0.05	1,800,000	850,000
VVC Exploration Corporation	Options	Lafrance, Michel Jacques	4, 5	2020-09-28	50		4,400,000	1,000,000
VVC Exploration Corporation	Warrants	Lafrance, Michel Jacques	4, 5	2014-08-31	55		100,000	-225,000
VVC Exploration Corporation	Warrants	Lafrance, Michel Jacques	4, 5	2019-03-04	55		155,000	-100,000
VVC Exploration Corporation	Warrants	Lafrance, Michel Jacques	4, 5	2014-08-31	55		100,000	-225,000
VVC Exploration Corporation	Warrants	Lafrance, Michel Jacques	4, 5	2019-03-04	55		0	-100,000
VVC Exploration Corporation	Common Shares	Martell, Terrence	4	2020-09-30	16	0.05	8,582,000	1,337,800
VVC Exploration Corporation	Options	Martell, Terrence	4	2020-09-28	50		9,250,000	1,250,000
VVC Exploration Corporation	Warrants	Martell, Terrence	4	2017-07-27	55		1,100,000	-1,416,000
VVC Exploration Corporation	Warrants	Martell, Terrence	4	2020-09-30	16		3,747,050	1,337,800
West Fraser Timber Co. Ltd.	Deferred Share Unit	Carter, Reid Ewart	4	2020-09-30	56		10,075	721
West Fraser Timber Co. Ltd.	Deferred Share Unit	Floren, John	4	2020-09-30	56		6,666	341
West Fraser Timber Co. Ltd.	Deferred Share Unit	Kenning, Brian Graham	4	2020-09-30	56		4,876	341
West Fraser Timber Co. Ltd.	Deferred Share Unit	Ketcham, John Kendall	4	2020-09-30	56		8,170	341
West Fraser Timber Co. Ltd.	Deferred Share Unit	Miller, Gerald	4	2020-09-30	56		13,581	341
West Fraser Timber Co. Ltd.	Deferred Share Unit	Phillips, Robert L.	4	2020-09-30	56		15,701	341
West Fraser Timber Co. Ltd.	Deferred Share Unit	Winckler, Gillian	4	2020-09-30	56		5,414	401
Westcore Energy Ltd.	Warrants 2018	MacNeill, Tom	3, 4	2020-09-28	55		24,000	-376,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-09-24	10	0.25	17,622,500	72,500
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-09-25	10	0.255	17,639,500	17,000
Western Energy Services Corp.	Common Shares	Armoyan, Sime	3	2020-10-01	10	0.25	17,730,500	91,000
Westport Fuel Systems Inc.	Common Shares	Buchignani, Michele	4	2020-09-30	57		50,439	9,673
Westport Fuel Systems Inc.	Common Shares	Buchignani, Michele	4	2020-09-30	10	1.6951	44,959	-5,480
Westport Fuel Systems Inc.	Restricted Share Units	Buchignani, Michele	4	2020-09-30	57		0	-9,673
Westport Fuel Systems Inc.	Common Shares	Eprile, Brenda	4	2020-09-25	10	2.16	272,185	6,000
Westport Fuel Systems Inc.	Common Shares	Eprile, Brenda	4	2020-09-30	57	1.6951	281,858	9,673
Westport Fuel Systems Inc.	Common Shares	Eprile, Brenda	4	2020-09-30	10	1.6951	277,378	-4,480
Westport Fuel Systems Inc.	Restricted Share Units	Eprile, Brenda	4	2020-09-30	57		0	-9,673
Westport Fuel Systems Inc.	Common Shares	Forst, Rita	4	2020-09-30	57		47,222	9,673
Westport Fuel Systems Inc.	Common Shares	Forst, Rita	4	2020-09-30	10	1.6951	47,206	-16
Westport Fuel Systems Inc.	Restricted Share Units	Forst, Rita	4	2020-09-30	57		0	-9,673
Westport Fuel Systems Inc.	Common Shares	Hancock, Daniel	4	2020-10-01	57		128,201	17,857
Westport Fuel Systems Inc.	Restricted Share Units	Hancock, Daniel	4	2020-10-01	57		0	-17,857

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Westport Fuel Systems Inc.	Common Shares	Schaller, Karl Hans Viktor	4	2020-09-30	57		36,989	9,673
Westport Fuel Systems Inc.	Common Shares	Schaller, Karl Hans Viktor	4	2020-09-30	10	1.6951	36,973	-16
Westport Fuel Systems Inc.	Restricted Share Units	Schaller, Karl Hans Viktor	4	2020-09-30	57		0	-9,673
Westport Fuel Systems Inc.	Common Shares	Wheatman, Eileen Davis	4	2020-10-01	57		37,408	9,673
Westport Fuel Systems Inc.	Restricted Share Units	Wheatman, Eileen Davis	4	2020-10-01	57		0	-9,673
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Bernardi, Curzio Domenico	5	2020-09-30	51	23.26	58,400	52,500
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Bernardi, Curzio Domenico	5	2020-09-30	10	65.18	5,900	-52,500
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Options	Bernardi, Curzio Domenico	5	2020-09-30	51	23.26	179,860	-52,500
Wheaton Precious Metals Corp. (formerly Silver Wheaton Corp.)	Common Shares	Brack, George Leslie	4	2020-09-29	10	65.408	12,047	-2,500
White Metal Resources Corp.	Options	Fretwell, Gordon	5	2020-10-01	50	0.1	200,000	100,000
White Metal Resources Corp.	Options	Jobin-Bevans, Laurence Scott	4, 5	2020-10-01	50	0.1	725,000	200,000
White Metal Resources Corp.	Options	Stares, Alexander	4	2020-10-01	50	0.1	525,000	200,000
White Metal Resources Corp.	Options	Stares, Michael	4, 5	2020-10-01	50	0.1	875,000	500,000
White Metal Resources Corp.	Common Shares	Strashin, Elliot Phillip	3, 4, 5	2020-10-01	10	0.055	5,304,617	14,000
White Metal Resources Corp.	Common Shares	Strashin, Elliot Phillip	3, 4, 5	2020-10-02	10	0.055	5,554,617	250,000
White Metal Resources Corp.	Options	Strashin, Elliot Phillip	3, 4, 5	2020-10-01	50	0.1	700,000	350,000
White Metal Resources Corp.	Options	Tsimidis, Nick	5	2020-10-01	50		250,000	100,000
Whitecap Resources Inc.	Performance Awards	Armstrong, Joel Maxwell	5	2020-10-01	59		423,000	-61,000
Whitecap Resources Inc.	Performance Awards	Bullock, Andrew	5	2020-10-01	59		190,000	-5,000
Whitecap Resources Inc.	Performance Awards	CULBERT, Heather J.	4	2020-10-01	59		0	-5,000
Whitecap Resources Inc.	Performance Awards	Dunlop, Darin Roy	5	2020-10-01	59		423,000	-61,000
Whitecap Resources Inc.	Common Shares	Fagerheim, Grant Bradley	4, 5	2020-09-28	10	2.4697	2,333,852	25,000
Whitecap Resources Inc.	Performance Awards	Fagerheim, Grant Bradley	4, 5	2020-10-01	59		1,177,000	-162,500
Whitecap Resources Inc.	Performance Awards	Fletcher, Gregory Scott	4	2020-10-01	59		0	-3,000
Whitecap Resources Inc.	Performance Awards	Gilbert, Daryl Harvey	4	2020-10-01	59		0	-3,000
Whitecap Resources Inc.	Performance Awards	Kang, Thanh Chan	5	2020-10-01	59		524,000	-74,500
Whitecap Resources Inc.	Performance Awards	Lebsack, Peter Gary	5	2020-10-01	59		235,000	-39,000
Whitecap Resources Inc.	Performance Awards	McNamara, Glenn	4	2020-10-01	59		0	-3,000
Whitecap Resources Inc.	Performance Awards	Mombourquette, David Michael	5	2020-10-01	59		423,000	-61,000
Whitecap Resources Inc.	Performance Awards	Nikiforuk, Stephen Curtis	4	2020-10-01	59		0	-3,000
Whitecap Resources Inc.	Performance Awards	Premji, Rafik	5	2020-10-01	59		176,403	-4,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Whitecap Resources Inc.	Performance Awards	Stickland, Kenneth	4	2020-10-01	59		0	-3,000
Whitecap Resources Inc.	Performance Awards	Tweit, Travis Bjarne	5	2020-10-01	59		225,143	-7,000
Whitecap Resources Inc.	Performance Awards	Zawalsky, Grant A.	4	2020-10-01	59		0	-3,000
Whitecap Resources Inc.	Performance Awards	Zdunich, Jeffery Byron	5	2020-10-01	59		265,000	-36,250
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Colville, David Cameron	4	2020-09-28	46	1.1387	120,119	25,248
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Colville, David Cameron	4	2020-09-28	56	1.1956	203,760	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	Cupples, Amanda Suzanne	4	2020-09-28	46	1.1387	106,362	23,491
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	Cupples, Amanda Suzanne	4	2020-09-28	56	1.1956	190,003	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Drisdell, Deborah Ann	4	2020-09-28	46	1.1387	133,410	30,078
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Drisdell, Deborah Ann	4	2020-09-28	56	1.1956	217,051	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Erin Jane, Elofson	4	2020-09-28	46	1.1387	121,096	23,491
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Erin Jane, Elofson	4	2020-09-28	56	1.1956	204,737	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Hibben, Alan Roy	4	2020-09-28	46	1.1387	224,367	38,420
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Hibben, Alan Roy	4	2020-09-28	56	1.1956	308,008	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	Landry, Steve Michael	4	2020-09-28	46	0.85	114,643	34,029
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	Landry, Steve Michael	4	2020-09-28	56	0.9	198,284	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Machum, Donald Geoffrey	4	2020-09-28	46	1.1387	125,885	26,784
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Machum, Donald Geoffrey	4	2020-09-28	56	1.1956	209,526	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	McGrath, Thomas Berard	4	2020-09-28	46	0.85	128,122	30,517
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	McGrath, Thomas Berard	4	2020-09-28	56	1.1956		83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	McGrath, Thomas Berard	4	2020-09-28	56	0.9	211,763	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	Whitcher, Jonathan	4	2020-09-28	56		121,875	27,004
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Variable Voting)	Whitcher, Jonathan	4	2020-09-28	56		205,516	83,641
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Wright, Donald Arthur	4	2020-09-28	56	1.1387	157,489	46,543

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
WildBrain Ltd. (formerly, DHX Media Ltd.)	Rights Deferred Share Units (Common Voting)	Wright, Donald Arthur	4	2020-09-28	56	1.1956	241,130	83,641
Winston Gold Corp. (formerly Winston Gold Mining Corp.)	Common Shares	Polinsky, Maxwell A.	4	2020-09-29	10		2,495,100	-1,500,000
Winston Gold Corp. (formerly Winston Gold Mining Corp.)	Options	Polinsky, Maxwell A.	4	2020-09-30	51	0.05	4,000,000	2,000,000
Xebec Adsorption Inc.	Common Shares	Beckett, William K.	4	2020-09-28	10	4.22	402,837	-2,500
Xebec Adsorption Inc.	Common Shares	Beckett, William K.	4	2020-09-28	10	4.23	401,737	-1,100
Xebec Adsorption Inc.	Common Shares	Beckett, William K.	4	2020-09-28	10	4.245	400,337	-1,400
Xebec Adsorption Inc.	Common Shares	Beckett, William K.	4	2020-09-28	10	4.25	390,837	-9,500
Xebec Adsorption Inc.	Common Shares	Beckett, William K.	4	2020-09-28	10	4.26	334,837	-56,000
Xebec Adsorption Inc.	Common Shares	Beckett, William K.	4	2020-09-28	10	4.27	205,337	-129,500
Xebec Adsorption Inc.	Common Shares	RAO, PRABHU KRUTHYVEMTI	4, 5	2020-09-25	10	4.11	300,000	-100,000
Xebec Adsorption Inc.	Common Shares	RAO, PRABHU KRUTHYVEMTI	4, 5	2020-09-25	10	4.18	200,000	-100,000
Xebec Adsorption Inc.	Common Shares	RAO, PRABHU KRUTHYVEMTI	4, 5	2020-09-29	10	4.25	100,000	-100,000
Xebec Adsorption Inc.	Common Shares	RAO, PRABHU KRUTHYVEMTI	4, 5	2020-09-30	51	0.18	1,100,000	1,000,000
Xebec Adsorption Inc.	Common Shares	RAO, PRABHU KRUTHYVEMTI	4, 5	2020-09-30	10	4.2	1,050,000	-50,000
Xebec Adsorption Inc.	Options	RAO, PRABHU KRUTHYVEMTI	4, 5	2020-09-30	51	0.18	1,308,193	-1,000,000
Xebec Adsorption Inc.	Common Shares	Saint-Jacques, Guy	4	2017-06-15	00			
Xebec Adsorption Inc.	Common Shares	Saint-Jacques, Guy	4	2020-09-25	10	4.1694	3,571	3,571
Yellow Pages Limited	Common Shares	Yellow Pages Limited	1	2020-08-28	38		1,403,113	-61,250
Zedcor Inc.	Common Shares	Ladha, Amin Nazamuddin	5	2020-10-01	10	0.085	40,000	15,000

## Chapter 11

# IPOs, New Issues and Secondary Financings

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### INVESTMENT FUNDS

**Issuer Name:**

Black Diamond Global Enhanced Income Fund  
Principal Regulator – Ontario

**Type and Date:**

Preliminary Simplified Prospectus dated Sep 29, 2020  
NP 11-202 Final Receipt dated Oct 2, 2020

**Offering Price and Description:**

Class A units, Class I units and Class F units

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

Project #3103564

**Issuer Name:**

Desjardins Low Volatility Canadian Equity Fund  
Principal Regulator – Quebec

**Type and Date:**

Preliminary Simplified Prospectus dated Oct 1, 2020  
NP 11-202 Final Receipt dated Oct 2, 2020

**Offering Price and Description:**

I-Class units

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

Project #3092476

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**Issuer Name:**

GQG Partners Global Quality Equity Fund  
GQG Partners International Quality Equity Fund  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Simplified Prospectus dated Sep 28, 2020  
NP 11-202 Final Receipt dated Sep 30, 2020

**Offering Price and Description:**

Series I units, Series A units and Series F units

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

Project #3100973

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**Issuer Name:**

Invesco S&P/TSX Composite ESG Index ETF  
Principal Regulator – Ontario

**Type and Date:**

Preliminary Long Form Prospectus dated Sep 28, 2020  
NP 11-202 Final Receipt dated Sep 29, 2020

**Offering Price and Description:**

CAD Units

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

Project #3099165

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**Issuer Name:**

Mackenzie All China Equity Fund  
 Mackenzie Balanced ETF Portfolio  
 Mackenzie Canadian Bond Fund  
 Mackenzie Canadian Dividend Class  
 Mackenzie Canadian Dividend Fund  
 Mackenzie Canadian Equity Class  
 Mackenzie Canadian Equity Fund  
 Mackenzie Canadian Growth Balanced Class  
 Mackenzie Canadian Growth Balanced Fund  
 Mackenzie Canadian Growth Class  
 Mackenzie Canadian Growth Fund  
 Mackenzie Canadian Money Market Fund  
 Mackenzie Canadian Short Term Income Fund  
 Mackenzie Canadian Small Cap Class  
 Mackenzie Canadian Small Cap Fund  
 Mackenzie Conservative ETF Portfolio  
 Mackenzie Conservative Income ETF Portfolio  
 Mackenzie Corporate Bond Fund  
 Mackenzie Cundill Canadian Balanced Fund  
 Mackenzie Cundill Canadian Security Class  
 Mackenzie Cundill Canadian Security Fund  
 Mackenzie Cundill Value Class  
 Mackenzie Cundill Value Fund  
 Mackenzie Diversified Alternatives Fund  
 Mackenzie Emerging Markets Fund  
 Mackenzie Floating Rate Income Fund  
 Mackenzie Global Credit Opportunities Fund  
 Mackenzie Global Dividend Fund  
 Mackenzie Global Environmental Equity Fund  
 Mackenzie Global Equity Fund  
 Mackenzie Global Growth Balanced Fund  
 Mackenzie Global Growth Class  
 Mackenzie Global Leadership Impact Fund  
 Mackenzie Global Resource Class  
 Mackenzie Global Resource Fund  
 Mackenzie Global Small Mid-Cap Fund  
 Mackenzie Global Small-Mid Cap Equity Class  
 Mackenzie Global Small-Mid Cap Equity Fund  
 Mackenzie Global Strategic Income Fund  
 Mackenzie Global Sustainability and Impact Balanced Fund  
 Mackenzie Global Tactical Bond Fund  
 Mackenzie Global Tactical Investment Grade Bond Fund  
 Mackenzie Gold Bullion Class  
 Mackenzie Growth ETF Portfolio  
 Mackenzie Growth Fund  
 Mackenzie Income Fund  
 Mackenzie International Dividend Fund  
 Mackenzie Investment Grade Floating Rate Fund  
 Mackenzie Ivy Canadian Balanced Class  
 Mackenzie Ivy Canadian Balanced Fund  
 Mackenzie Ivy Canadian Fund  
 Mackenzie Ivy European Class  
 Mackenzie Ivy Foreign Equity Currency Neutral Class  
 Mackenzie Ivy Foreign Equity Fund  
 Mackenzie Ivy Global Balanced Class  
 Mackenzie Ivy Global Balanced Fund  
 Mackenzie Ivy International Class  
 Mackenzie Ivy International Fund  
 Mackenzie Maximum Diversification All World Developed ex North America Index Fund  
 Mackenzie Maximum Diversification All World Developed Index Fund

Mackenzie Maximum Diversification Canada Index Class  
 Mackenzie Maximum Diversification Developed Europe Index Fund  
 Mackenzie Maximum Diversification Emerging Markets Index Fund  
 Mackenzie Maximum Diversification US Index Fund  
 Mackenzie Moderate Growth ETF Portfolio  
 Mackenzie Monthly Income Balanced Portfolio  
 Mackenzie Monthly Income Conservative Portfolio  
 Mackenzie North American Corporate Bond Fund  
 Mackenzie Precious Metals Class  
 Mackenzie Private Canadian Focused Equity Pool  
 Mackenzie Private Canadian Focused Equity Pool Class  
 Mackenzie Private Global Conservative Income Balanced Pool  
 Mackenzie Private Global Equity Pool  
 Mackenzie Private Global Equity Pool Class  
 Mackenzie Private Global Fixed Income Pool  
 Mackenzie Private Global Income Balanced Pool  
 Mackenzie Private Income Balanced Pool  
 Mackenzie Private Income Balanced Pool Class  
 Mackenzie Private US Equity Pool  
 Mackenzie Private US Equity Pool Class  
 Mackenzie Strategic Bond Fund  
 Mackenzie Strategic Income Fund  
 Mackenzie Unconstrained Fixed Income Fund  
 Mackenzie US All Cap Growth Fund  
 Mackenzie US Dividend Fund  
 Mackenzie US Growth Class  
 Mackenzie US Mid Cap Opportunities Currency Neutral Fund  
 Mackenzie US Mid Cap Opportunities Fund  
 Mackenzie US Small-Mid Cap Growth Class  
 Mackenzie US Small-Mid Cap Growth Currency Neutral Class  
 Mackenzie USD Global Strategic Income Fund  
 Mackenzie USD Global Tactical Bond Fund  
 Mackenzie USD Ultra Short Duration Income Fund  
 Symmetry Balanced Portfolio  
 Symmetry Balanced Portfolio Class  
 Symmetry Conservative Income Portfolio  
 Symmetry Conservative Portfolio  
 Symmetry Equity Portfolio Class  
 Symmetry Fixed Income Portfolio  
 Symmetry Growth Portfolio  
 Symmetry Growth Portfolio Class  
 Symmetry Moderate Growth Portfolio  
 Symmetry Moderate Growth Portfolio Class  
 Principal Regulator – Ontario

**Type and Date:**

Combined Preliminary and Pro Forma Simplified Prospectus dated Sep 25, 2020  
 NP 11-202 Final Receipt dated Oct 1, 2020

**Offering Price and Description:**

Series PWT5 securities, Series DA securities, Series PWF5 securities, Series T5 Units, Series S5 securities, Series PWFB securities, Series PW securities, , Series PWT8, securities, Series PWF securities, Series F Units, Series PWX securities, Series PWR securities, Series PWT8 securities, Series S8 securities, Series FB5 securities, Series PWR Units, Series D Units, Series PWF8 securities, Series PWX Units, Series AR securities, Series FB5 Units, Series PWFB5 securities, Series PWFB5 Units, Series D

securities, Series A Units, Series B securities, Investor Series Securities, Series PW Units, Series F securities, Series O5 securities, Series F5 securities, Series PWX5 securities, Series AR Units, Series FB Units, Series PWB securities, Series F8 securities, Series GP securities, Series PWFB Units, Investor Series securities, Series PWT8 securities, Series T5 Securities, Series F8 Units, Series A securities, Series FB securities, Series PWX8 securities, Series F5 Securities, Series O Units, Series SC securities, Series LW5 securities, Series T5 securities, Series F5 Units, Series PWT5 Securities, Series LX securities, Series PWFB5 securities, Series AF securities, Series PWT5 Units, Series PWX8 Units, Series C securities, Series T8 securities, Series A securities, Series T8 Units, Series I securities, Series G securities, Series SC securities and Series O securities

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

**Project #3093522**

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**Issuer Name:**

Mackenzie Canadian Equity Class  
Mackenzie Global Growth Class  
Regulator - Ontario

**Type and Date:**

Amendment #3 to Final Simplified Prospectus and  
Amendment #4 to AIF dated September 25, 2020  
NP 11-202 Final Receipt dated Oct 2, 2020

**Offering Price and Description:**

Series LB securities, Series LF securities and Series LW securities

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

**Project #2972290**

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**Issuer Name:**

Veritas Absolute Return Fund  
Principal Regulator - Ontario

**Type and Date:**

Amendment #1 to Final Simplified Prospectus dated  
October 1, 2020  
NP 11-202 Final Receipt dated Oct 5, 2020

**Offering Price and Description:**

Series A Units, Series F Units and Series I Units

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

**Project #3083340**

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**Issuer Name:**

iShares MSCI USA Momentum Factor Index ETF (formerly,  
iShares Edge MSCI USA Momentum  
Factor Index ETF)  
iShares Core Balanced ETF Portfolio  
iShares Core Growth ETF Portfolio  
iShares S&P Global Consumer Discretionary Index ETF  
(CAD-Hedged)  
Principal Regulator - Ontario

**Type and Date:**

Amendment #1 to Final Long Form Prospectus dated  
September 29, 2020  
NP 11-202 Final Receipt dated Oct 1, 2020

**Offering Price and Description:**

CAD Units

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

**Project #3062111**

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**Issuer Name:**

Veritas Canadian Equity Fund  
Principal Regulator - Ontario

**Type and Date:**

Amendment #1 to Final Annual Information Form dated  
October 1, 2020

NP 11-202 Final Receipt dated Oct 5, 2020

**Offering Price and Description:**

Class A Units, Class F Units and Class I Units

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

**Project #3038258**

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**Issuer Name:**

Phillips, Hager & North Balanced Fund  
Phillips, Hager & North Balanced Pension Trust  
Principal Regulator - Ontario

**Type and Date:**

Amendment #1 to Final Simplified Prospectus dated  
September 25, 2020

NP 11-202 Final Receipt dated Sep 29, 2020

**Offering Price and Description:**

Series A units, Series D units, Series F units and Series O  
units

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

**Project #3057455**

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**Issuer Name:**

Mackenzie Global Growth Class  
Principal Regulator - Ontario

**Type and Date:**

Amendment #1 to Final Simplified Prospectus dated  
September 25, 2020

NP 11-202 Final Receipt dated Sep 30, 2020

**Offering Price and Description:**

Q Series securities, H Series securities, L Series securities,  
N Series securities, QF Series securities, QFW Series  
securities and HW Series securities

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

N/A

**Project #3072711**

NON-INVESTMENT FUNDS

**Issuer Name:**

Adventus Mining Corporation  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 30, 2020

**Offering Price and Description:**

C\$100,000,000.00 - Common Shares, Debt Securities,  
Warrants, Subscription Receipts, Units

**Underwriter(s) or Distributor(s):**

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**Promoter(s):**

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**Project #3118281**

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**Issuer Name:**

AF2 Capital Corp.  
Principal Regulator - British Columbia

**Type and Date:**

Preliminary CPC Prospectus dated October 2, 2020  
NP 11-202 Preliminary Receipt dated October 5, 2020

**Offering Price and Description:**

\$300,000.00 (3,000,000 Common Shares) Price: \$0.10 per  
Common Share

**Underwriter(s) or Distributor(s):**

HAYWOOD SECURITIES INC.

**Promoter(s):**

-

**Project #3120300**

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**Issuer Name:**

Aurania Resources Ltd.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Short Form Prospectus dated September 30,  
2020

NP 11-202 Preliminary Receipt dated September 30, 2020

**Offering Price and Description:**

\$10,000,000.00 • Units

PRICE: \$• PER UNIT

**Underwriter(s) or Distributor(s):**

CANTOR FITZGERALD CANADA CORPORATION

CANACCORD GENUITY CORP.

ECHELON WEALTH PARTNERS INC.

EIGHT CAPITAL

HAYWOOD SECURITIES INC.

RAYMOND JAMES LTD.

**Promoter(s):**

Keith Barron

**Project #3118800**

**Issuer Name:**

Aurania Resources Ltd.  
Principal Regulator - Ontario

**Type and Date:**

Amendment dated October 1, 2020 to Preliminary Short  
Form Prospectus dated September 30, 2020

NP 11-202 Preliminary Receipt dated October 2, 2020

**Offering Price and Description:**

\$10,019,000.00 - 2,330,000 Units

PRICE: \$4.30 PER UNIT

**Underwriter(s) or Distributor(s):**

CANTOR FITZGERALD CANADA CORPORATION

CANACCORD GENUITY CORP.

ECHELON WEALTH PARTNERS INC.

EIGHT CAPITAL

HAYWOOD SECURITIES INC.

RAYMOND JAMES LTD.

**Promoter(s):**

Keith Barron

**Project #3118800**

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**Issuer Name:**

Brookfield Asset Management Inc.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 29, 2020

**Offering Price and Description:**

US\$3,500,000,000.00 - Debt Securities, Class A  
Preference Shares, Class A Limited Voting Shares

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

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**Project #3117908**

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**Issuer Name:**

Brookfield Finance I (UK) PLC  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 29, 2020

**Offering Price and Description:**

US\$3,500,000,000.00 - Debt Securities

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #3117936**

**Issuer Name:**

Brookfield Finance II Inc.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 29, 2020

**Offering Price and Description:**

US\$3,500,000,000.00 - Debt Securities

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #3117923**

---

**Issuer Name:**

Brookfield Finance II LLC  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 29, 2020

**Offering Price and Description:**

US\$3,500,000,000.00 - Preferred Shares (representing limited liability company interests)

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #3117931**

---

**Issuer Name:**

Brookfield Finance Inc.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 29, 2020

**Offering Price and Description:**

US\$3,500,000,000.00 - Debt Securities

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #3117910**

---

**Issuer Name:**

Brookfield Finance LLC  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 29, 2020

**Offering Price and Description:**

US\$3,500,000,000.00  
Debt Securities

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #3117913**

**Issuer Name:**

Brookfield Finance (Australia) Pty Ltd  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 29, 2020

**Offering Price and Description:**

US\$3,500,000,000.00 - Debt Securities

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #3117941**

---

**Issuer Name:**

Caldas Gold Corp. (formerly Bluenose Gold Corp.)  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Short Form Prospectus dated October 5, 2020  
NP 11-202 Preliminary Receipt dated October 5, 2020

**Offering Price and Description:**

US\$83,066,000.00 - 83,066 Units Issuable upon Conversion of 83,066 Subscription Receipts  
Price of US\$1,000 per Subscription Receipt

**Underwriter(s) or Distributor(s):**

SCOTIA CAPITAL INC.  
CANACCORD GENUITY CORP.  
STIFEL NICOLAUS CANADA INC.  
RED CLOUD SECURITIES INC.

**Promoter(s):**

-

**Project #3120206**

---

**Issuer Name:**

Canadian Western Bank  
Principal Regulator - Alberta

**Type and Date:**

Preliminary Shelf Prospectus dated October 5, 2020  
Received on October 5, 2020

**Offering Price and Description:**

\$1,000,000,000.00 - Debt Securities (subordinated indebtedness), Common Shares, First Preferred Shares

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #3120307**

**Issuer Name:**

Cuspis Capital II Ltd.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary CPC Prospectus dated October 2, 2020  
NP 11-202 Preliminary Receipt dated October 5, 2020

**Offering Price and Description:**

Minimum of \$500,000.00 - 2,500,000 Common Shares  
Maximum of \$1,500,000.00 - 7,500,000 Common Shares  
Price: \$0.20 per Common Share

**Underwriter(s) or Distributor(s):**

INDUSTRIAL ALLIANCE SECURITIES INC.

**Promoter(s):**

-

**Project #**3120003

---

**Issuer Name:**

HAW Capital 2 Corp.  
Principal Regulator - Alberta

**Type and Date:**

Preliminary CPC Prospectus dated September 30, 2020  
NP 11-202 Preliminary Receipt dated October 1, 2020

**Offering Price and Description:**

OFFERING: \$400,000.00 (4,000,000 COMMON SHARES)  
Price: \$0.10 per Common Share

**Underwriter(s) or Distributor(s):**

RICHARDSON GMP LIMITED

**Promoter(s):**

Scott McGregor

**Project #**3119108

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**Issuer Name:**

OceanaGold Corporation  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Short Form Prospectus dated October 5, 2020  
NP 11-202 Preliminary Receipt dated October 5, 2020

**Offering Price and Description:**

C\$150,380,000.00 - 73,000,000 Common Shares C\$2.06  
per Common Share

**Underwriter(s) or Distributor(s):**

SCOTIA CAPITAL INC.  
BMO NESBITT BURNS INC.  
CITIGROUP GLOBAL MARKETS CANADA INC.  
RAYMOND JAMES LTD.  
NATIONAL BANK FINANCIAL INC.  
CORMARK SECURITIES INC.  
STIFEL NICOLAUS CANADA INC  
CANACCORD GENUITY CORP.  
CIBC WORLD MARKETS INC.

**Promoter(s):**

-

**Project #**3118180

**Issuer Name:**

Standard Uranium Ltd.  
Principal Regulator - British Columbia

**Type and Date:**

Preliminary Short Form Prospectus dated September 29,  
2020

NP 11-202 Preliminary Receipt dated September 30, 2020

**Offering Price and Description:**

Up to \$3,000,000.00  
Up to 7,500,000 Units at a price of \$0.20 per Unit  
Up to 6,818,181 FT Units at a price of \$0.22 per FT Unit

**Underwriter(s) or Distributor(s):**

RED CLOUD SECURITIES INC.

**Promoter(s):**

Jon Bey

**Project #**3118685

---

**Issuer Name:**

Sunshine Silver Mining & Refining Corporation  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Long Form Prospectus dated October 1, 2020  
NP 11-202 Preliminary Receipt dated October 2, 2020

**Offering Price and Description:**

US\$ □ □ Shares of Common Stock  
Price: US\$ per Share

**Underwriter(s) or Distributor(s):**

BMO NESBITT BURNS INC.  
GOLDMAN SACHS CANADA INC.  
RBC DOMINION SECURITIES INC.

**Promoter(s):**

THE ELECTRUM GROUP LLC  
ELECTRUM SILVER US LLC

**Project #**3119650

---

**Issuer Name:**

The Green Organic Dutchman Holdings Ltd.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Short Form Prospectus dated October 2, 2020  
NP 11-202 Preliminary Receipt dated October 2, 2020

**Offering Price and Description:**

\$11,115,840.00 - 46,316,000 Units  
Price: \$0.24 per Unit

**Underwriter(s) or Distributor(s):**

CANACCORD GENUITY CORP.

**Promoter(s):**

-

**Project #**3119848

**Issuer Name:**

Trimel Capital Corp.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary CPC Prospectus dated September 29, 2020  
NP 11-202 Preliminary Receipt dated September 30, 2020

**Offering Price and Description:**

\$0.20 per Common Share  
Minimum of 1,500,000 and Maximum of 5,000,000  
Common Shares

**Underwriter(s) or Distributor(s):**

MACKIE RESEARCH CAPITAL CORPORATION

**Promoter(s):**

Eugene Melnyk  
Project #3118197

**Issuer Name:**

CanBud Distribution Corp. (formerly, Cannabis Clonal Corporation)

**Type and Date:**

Final Long Form Prospectus dated September 30, 2020  
Received on October 1, 2020

**Offering Price and Description:**

No securities are being offered or sold pursuant to this Prospectus.

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

Robert Tjandra  
Rajkumar (Raj) Ravindran  
Project #3080982

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**Issuer Name:**

Volcanic Gold Mines Inc  
Principal Regulator - British Columbia

**Type and Date:**

Preliminary Short Form Prospectus dated October 2, 2020  
NP 11-202 Preliminary Receipt dated October 2, 2020

**Offering Price and Description:**

\$6,000,500.00 - 10,910,000 Units  
Price: \$0.55 per Unit

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

Project #3117497

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**Issuer Name:**

Frontenac Mortgage Investment Corporation  
Principal Regulator - Ontario

**Type and Date:**

Amendment #4 dated September 29, 2020 to Final Long Form Prospectus dated May 26, 2020  
NP 11-202 Receipt dated October 1, 2020

**Offering Price and Description:**

Unlimited Number of Common Shares  
Price: \$30.00 per Common Share

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

W.A. ROBINSON ASSET MANAGEMENT LTD.  
Project #3055756

---

**Issuer Name:**

Willow Biosciences Inc.  
Principal Regulator - Alberta

**Type and Date:**

Preliminary Shelf Prospectus dated September 30, 2020  
NP 11-202 Preliminary Receipt dated September 30, 2020

**Offering Price and Description:**

\$50,000,000 Common Shares, Preferred Shares, Debt Securities, Subscription Receipts, Warrants, Units

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

Project #3118998

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**Issuer Name:**

Northview Canadian High Yield Residential Fund  
Principal Regulator - Ontario

**Type and Date:**

Final Long Form Prospectus dated September 29, 2020  
NP 11-202 Receipt dated September 30, 2020

**Offering Price and Description:**

Maximum: \$430,000,000.00 of Class A Units and/or Class F Units

Price: \$12.50 per Class A Unit \$12.50 per Class F Unit

**Underwriter(s) or Distributor(s):**

CIBC WORLD MARKETS INC.

**Promoter(s):**

STARLIGHT GROUP PROPERTY HOLDINGS INC.  
Project #3049970

**Issuer Name:**

VIVO Cannabis Inc.  
Principal Regulator - Ontario

**Type and Date:**

Final Shelf Prospectus dated October 2, 2020  
NP 11-202 Receipt dated October 5, 2020

**Offering Price and Description:**

\$50,000,000 Common Shares Debt Securities Subscription  
Receipts Warrants Convertible Securities Units

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #3073713**

---

**Issuer Name:**

Vox Royalty Corp. (formerly AIM3 Ventures Inc.)  
Principal Regulator - Ontario

**Type and Date:**

Final Shelf Prospectus dated October 2, 2020  
NP 11-202 Receipt dated October 2, 2020

**Offering Price and Description:**

C\$200,000,000.00 - Ordinary Shares Preferred Shares  
Debt Securities Warrants Subscription Receipts Units

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

Kyle Floyd

**Project #3116733**

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**Issuer Name:**

Wallbridge Mining Company Limited  
Principal Regulator - Ontario

**Type and Date:**

Final Short Form Prospectus dated September 28, 2020  
NP 11-202 Receipt dated September 29, 2020

**Offering Price and Description:**

\$56,350,000.00 - 49,000,000 Common Shares  
\$1.15 per Common Share

**Underwriter(s) or Distributor(s):**

BMO NESBITT BURNS INC.  
EIGHT CAPITAL  
RBC DOMINION SECURITIES INC.  
PARADIGM CAPITAL INC.

**Promoter(s):**

-

**Project #3113698**

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## Chapter 12

# Registrations

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### 12.1.1 Registrants

Type	Company	Category of Registration	Effective Date
Voluntary Surrender	Responsive Capital Management Inc.	Portfolio Manager	September 29, 2020
Consent to Suspension (Pending Surrender)	Surepath Capital Partners Inc.	Exempt Market Dealer	September 29, 2020
Voluntary Surrender	Michael Graham Investment Services Inc.	Portfolio Manager	September 29, 2020
New Registration	Hyperion Capital Inc.	Exempt Market Dealer	October 2, 2020

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## Chapter 13

# SROs, Marketplaces, Clearing Agencies and Trade Repositories

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### 13.1 SROs

#### 13.1.1 Investment Industry Regulatory Organization of Canada (IIROC) – Notice of Proposed Amendments Respecting the Trading of Derivatives on a Marketplace – Request for Comment

##### REQUEST FOR COMMENT

##### INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

##### NOTICE OF PROPOSED AMENDMENTS RESPECTING THE TRADING OF DERIVATIVES ON A MARKETPLACE

IIROC is publishing for public comment proposed amendments (**Proposed Amendments**) to the Universal Market Integrity Rules and the Dealer Member Rules / IIROC Rules that would regulate the trading of listed derivatives on a marketplace for which IIROC acts as the regulation services provider.

The main objective of the Proposed Amendments is to provide a framework for the regulation of listed derivatives trading on a marketplace that strengthens market integrity and investor protection. The Proposed Amendments:

- expand, where appropriate, the scope of certain current securities trading requirements to apply to derivatives trading
- limit, where appropriate, certain current requirements to apply only to securities trading
- introduce new requirements that are specific to derivatives trading.

A copy of the IIROC Notice, including the text of the Proposed Amendments, is also published on our website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca). The comment period ends on December 7, 2020.

**13.1.2 Investment Industry Regulatory Organization of Canada (IIROC) – Proposed Amendments Regarding Exemptions for Bulk Account Movements – Request for Comment**

**REQUEST FOR COMMENT**

**INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)**

**PROPOSED AMENDMENTS REGARDING EXEMPTIONS FOR BULK ACCOUNT MOVEMENTS**

IIROC is publishing for public comment proposed amendments to Dealer Member Rule 2300 and IIROC Rule 4800 relating to bulk account movements (“Proposed Amendments”). The main purpose of the Proposed Amendments is to set out in the rules the authority of IIROC Staff to grant exemptive relief to Dealer Members from the obligation to complete certain account documentation requirements within the applicable timeline in bulk account movement situations.

IIROC anticipates that the Proposed Amendments will add clarity to Dealer Members, their clients and other stakeholders and enable IIROC to grant exemptive relief for bulk account movements in an efficient manner, limiting unnecessary delays of planned transactions. IIROC will exercise such an exemptive authority in consideration of the interest of clients, the public and Dealer Members and will impose any terms and conditions it deems necessary given the particularities of each transaction.

A copy of the IIROC Notice including the text of the Proposed Amendments is also published on our website at <http://www.osc.gov.on.ca>. The comment period ends on December 7, 2020.

**13.3 Clearing Agencies**

**13.3.1 CDS Clearing and Depository Services Inc. – Material Amendments to CDS External Procedures – Interest Charges**

**CDS CLEARING AND DEPOSITORY SERVICES INC.**

**MATERIAL AMENDMENTS TO CDS EXTERNAL PROCEDURES – INTEREST CHARGES**

In accordance with the Rule Protocol between the Ontario Securities Commission (Commission) and CDS Clearing and Depository Services Inc. (CDS), the Commission approved on September 23, 2020 Material Amendments to CDS External Procedures related to interest charges.

A copy of the [CDS notice](#) was published for comment on July 16, 2020 on the Commission's website at: <http://www.osc.gov.on.ca>. No comments were received.

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