

1.1.4 Notice – Technical Amendments to CDS Procedures Relating to Housekeeping Items

**CDS CLEARING AND DEPOSITORY
SERVICES INC. (CDS®)**

TECHNICAL AMENDMENTS TO CDS PROCEDURES

HOUSEKEEPING ITEMS

NOTICE OF EFFECTIVE DATE

A. DESCRIPTION OF THE CDS PROCEDURE AMENDMENT

Please find attached proposed amendments to CDS Participant Procedures concerning Housekeeping items.

The CDS Procedures marked for the amendments may be accessed at the CDS website at:

<http://www.cds.ca/cdsclearinghome.nsf/Pages/-EN-blacklined?Open>

Description of Proposed Amendments

The proposed amendments are housekeeping amendments made in the ordinary course of review of CDS's Participant Procedures. They include the following:

- Update the Customer Profile Menu in FINet, Trade and Settlement Procedures
- Update the Customer Profile Menu in Reviewing CDS profiles, Participating in CDS Services
- Amend the subscriber enrolment process and add CDSX form numbers in CDS participant contingency services, Participating in CDS Services
- Change Harris Trust and Savings Bank to Harris National Association in Introduction to CDSX, Deposit Activities, and Payment exchange activities in CDSX Procedures and User Guide
- Add BEO changes in Issue activities, CDSX Procedures and User Guide
- Change Harris Trust and Savings Bank to Harris National Association in DTC Direct Link cash settlement procedures, DTC Direct Link Participant Procedures
- Change Harris Trust and Savings Bank to Harris National Association in New York Link cash settlement, New York Link Participant Procedures.

CDS Procedure Amendments are reviewed and approved by CDS's Strategic Development Review Committee ("SDRC"). The SDRC determines or reviews, prioritizes and oversees CDS-related systems development and other changes proposed by participants and CDS. The SDRC's membership includes representatives from the CDS Participant community and it meets on a monthly basis.

These amendments were reviewed and approved by the SDRC on December 17, 2009.

B. REASONS FOR TECHNICAL CLASSIFICATION

The amendments proposed pursuant to this Notice are considered technical amendments as they are matters of a technical nature in routine operating procedures and administrative practices relating to the settlement services.

C. EFFECTIVE DATE OF THE CDS PROCEDURE AMENDMENT

Pursuant to Appendix A ("Rule Protocol Regarding The Review And Approval Of CDS Rules By The OSC") of the Recognition and Designation Order, as amended on November 1, 2006, and Annexe A ("Protocole d'examen et d'approbation des Règles de Services de Dépot et de Compensation CDS Inc. par l'Autorité des marchés financiers") of AMF Decision 2006-PDG-0180, made effective on November 1, 2006, CDS has determined that the proposed amendments will become effective on **February 8, 2010**.

D. QUESTIONS

Questions regarding this notice may be directed to:

Susan Cluff
Manager, Information Design & Documentation
CDS Clearing and Depository Services Inc.
85 Richmond Street West
Toronto, Ontario M5H 2C9

Telephone: 416-365-8503
Fax: 416-365-0842
email: scluff@cds.ca