

13.1.2 CDS Rule Amendment Notice – Technical Amendments to CDS Procedures Relating to CDS Reporting Procedures

CDS CLEARING AND DEPOSITORY SERVICES INC. (CDS®)

TECHNICAL AMENDMENTS TO CDS PROCEDURES

CDS REPORTING PROCEDURES

NOTICE OF EFFECTIVE DATE

A. DESCRIPTION OF THE RULE AMENDMENT

Background

CDS periodically makes available new reports for use by its Participants and makes changes to the retention periods for certain reports. The proposed amendments are made as a matter of course.

The Procedures marked for the amendments may be accessed at the CDS website at:

<http://www.cds.ca/cdsclearinghome.nsf/Pages/-EN-UserDocumentation?Open>

[en français: <http://www.cds.ca/cdsclearinghome.nsf/Pages/-FR-Documentation?Open>]

Description of Proposed Amendments

The proposed amendments to the *CDS Reporting Procedures* User Guide are as follows:

- The addition of section 16.32 (Domestic Index Receipt Report) This report lists the number of component shares and standard index shares that the participant will deliver to or receive from CNS on trade date+3 days.
- The amendment, at section 22.2, of the retention period for the Issuer Code Warning Report from 7 years to 35 days; it was determined that the 7 year retention period was not required. The information contained in this report is non-transactional in nature and the reduction in the retention period was made to ensure consistency with current CDS practice in the treatment of those informational reports provided on a daily basis.
- The amendment, at section 23.9, of the note accompanying the description of the Unsettled Non-Exchange Trade Report – Post-BNS to clarify the contents of that report.

B. REASONS FOR TECHNICAL CLASSIFICATION

The amendments proposed pursuant to this Notice are considered technical amendments; they are matters of a technical nature in routine operating procedures and administrative practices relating to the settlement services.

C. EFFECTIVE DATE OF THE RULE

Pursuant to Appendix A (“Rule Protocol Regarding The Review And Approval Of CDS Rules By The OSC”) of the OSC Recognition and Designation Order, as amended 1 November, 2006, and *Annexe A (“Protocole d’examen et d’approbation des Règles de Services de Dépôt et de Compensation CDS Inc. par l’Autorité des marchés financiers”)* of AMF Decision 2006-PDG-0180, made effective on 1 November, 2006, CDS has determined that these amendments will be effective on **November 5, 2007**.

D. QUESTIONS

Questions regarding this notice may be directed to:

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