

Chapter 13

SRO Notices and Disciplinary Proceedings

13.1.1 CDS Rule Amendment Notice – Technical Amendments to CDS Application for Participation – ACT

THE CANADIAN DEPOSITORY FOR SECURITIES LIMITED ("CDS")

TECHNICAL AMENDMENTS TO CDS APPLICATION FOR PARTICIPATION

AUTOMATED CONFIRMATION TRANSACTION SERVICE

NOTICE OF EFFECTIVE DATE

A. DESCRIPTION OF THE RULE AMENDMENT

Background

CDS has proposed rule amendments that will create a new category of participants for the purpose of accessing the Automated Confirmation Transaction service ("ACT"). To facilitate the application process for prospective ACT participants, CDS has proposed amendments to its Application for Participation.

The Application for Participation marked for the amendments may be accessed at the CDS website at:

<http://www.cds.ca/cdshome.nsf/Main-E?OpenFrameSet>

Description of Proposed Amendments

The proposed amendments update the instructions for completing the Application for Participation for the new limited purpose participant category "ACT Participant". Checkboxes have been added as appropriate to various schedules to the Application for Participation to indicate the selections for the ACT service.

B. REASONS FOR TECHNICAL CLASSIFICATION

The amendments related to ACT proposed pursuant to this Notice are considered technical amendments as they are consequential amendments intended to implement a material rule that has been published for comment pursuant to the "Rule Protocol Regarding The Review And Approval Of CDS Rules By The OSC" and only contain material aspects already contained in the material rule or disclosed in the notice accompanying the material rule.

C. EFFECTIVE DATE OF THE RULE

Pursuant to Appendix A ("Rule Protocol Regarding The Review And Approval Of CDS Rules By The OSC") of the Recognition and Designation Order, as varied and restated, these amendments will be effective on August 24, 2006.

D. QUESTIONS

Questions regarding this notice may be directed to:

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