

13.3.2 Notice of Commission Approval – CDS Introduction of daily subscription fee for IIROC compliance reporting – Regulation 800.49

CDS CLEARING AND DEPOSITORY SERVICES INC.

DAILY SUBSCRIPTION FEE FOR IIROC COMPLIANCE REPORTING – REGULATION 800.49

NOTICE OF COMMISSION APPROVAL

In accordance with the Rule Protocol between the Ontario Securities Commission (Commission) and CDS Clearing and Depository Services Inc. (CDS), the Commission approved on October 1, 2013, a subscription fee for a new reporting service *IIROC Compliance Reporting – Regulation 800.49*. The objective of the IIROC compliance reporting service is to assist IIROC Dealer Members in monitoring their Broker-to-Broker trade matching activities for compliance with IIROC Dealer Member Rule 800.49 and to assist them with managing related trade confirmation exemptions stipulated in IIROC Dealer Member Rule 200.1(h). A copy of the CDS notice was published for comment on August 29, 2013 on the Commission's website at: <http://www.osc.gov.on.ca>. No comments were received.