

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.1.1 IIROC – Notice of Request for Comments – Proposed Amendments to Rule 100.10(k) – Optional Use of TIMS or SPAN

NOTICE OF REQUEST FOR COMMENTS

THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

AMENDMENTS RELATING TO RULE 100.10(K) – OPTIONAL USE OF TIMS OR SPAN

IIROC is publishing for public comment proposed amendments to Dealer Member Rule 100.10(K) – Optional use of TIMS or SPAN (the “proposed amendments”). The primary objective of the proposed amendments is to harmonize IIROC Dealer Member Rule 100.10(K) with similar amendments being proposed by the Bourse de Montreal. These relate to approved changes made by the Canadian Derivatives Clearing Corporation to address procyclicality of margin. A copy of the IIROC Notice including the amended documents was also published on our website at <http://www.osc.gov.on.ca>. The comment period ends on September 14, 2015.