

## Chapter 13

# SROs, Marketplaces and Clearing Agencies

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### 13.1 SROs

#### 13.1.1 IIROC – OSC Staff Notice of Request for Comment – Proposed Amendments to Universal Market Integrity Rules Regarding Order Protection

##### OSC STAFF NOTICE OF REQUEST FOR COMMENT

##### THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

##### PROPOSED AMENDMENTS TO UNIVERSAL MARKET INTEGRITY RULES (UMIR) REGARDING ORDER PROTECTION

On May 7, 2014, the Board of Directors of IIROC approved the publication for comment of proposed amendments to UMIR (“Proposed Amendments”) that are intended to align UMIR with amendments being proposed by the Canadian Securities Administrators to National Instrument 23-101 Trading Rules regarding order protection. The comment period for the Proposed Amendments ends on September 19, 2014.

A copy of the IIROC Notice including the Proposed Amendments is published on our website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).