

Chapter 13

SROs, Marketplaces and Clearing Agencies

13.1 SROs

13.1.1 OSC Staff Notice of Request for Comment – IIROC – Margin requirements for debt security obligations of supranational entities – Amendments to Dealer Member Rule 100.2(a)(ii)

OSC STAFF NOTICE OF REQUEST FOR COMMENT

THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

MARGIN REQUIREMENTS FOR DEBT SECURITY OBLIGATIONS OF SUPRANATIONAL ENTITIES

AMENDMENTS TO DEALER MEMBER RULE 100.2(a)(ii)

On May 7, 2014, the Board of Directors of the Investment Industry Regulatory Organization of Canada (“IIROC”) approved the publication for amendments to margin requirements for debt security obligations of supranational entities to Dealer Member Rule 100.2(a)(ii) (“proposed amendments”). The objective of the proposed amendments is to extend the margin requirements that currently apply solely to debt securities issued by the International Bank for Reconstruction and Development, to debt securities issued by other comparable supranational entities.

A copy of the IIROC Notice including the amended documents was also published on our website at <http://www.osc.gov.on.ca>. The comment period ends on September 10, 2014.