

# Chapter 1

## Notices

### 1.1 Notices

#### 1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

##### OSC STAFF NOTICE 11-739 (REVISED)

##### POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of December 31, 2020 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

Table of Concordance
<p style="text-align: center;"><b>Item Key</b></p> <p>The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous</p>

Reformulation		
Instrument	Title	Status
81-105	Notice of Correction – CSA Notice of Amendments to NI 81-105 Mutual Fund Sales Practices and Related Consequential Amendments Prohibition of Mutual Fund Trailing Commissions Where No Suitability Determination Was Required – Annex F	<i>Published October 8, 2020</i>
11-737	(Revised) Securities Advisory Committee – Vacancies	<i>Published October 8, 2020</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments	<i>Published October 22, 2020</i>
51-361	Continuous Disclosure Review Program Activities for the fiscal years ended March 31, 2020 and March 31, 2019	<i>Published October 29, 2020</i>
13-708	Fees Under OSC Rule 13-502 Fees and OSC Rule 13-503 (Commodity Futures Act) Fees	<i>Published November 12, 2020</i>
11-790	Protecting Aging Investors through Behavioural Insights	<i>Published November 12, 2020</i>
51-731	Corporate Branch 2020 Annual Report	<i>Published November 19, 2020</i>
11-791	Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2022	<i>Published November 19, 2020</i>
51-102 and 41-101	Ministerial Approval of Amendments to NI 51-102 Continuous Disclosure Obligations Related to Business Acquisition Report Requirements	<i>Ministerial Approval published November 19, 2020</i>
25-302	Matters Relating to CDOR, LIBOR and Other Interest Rate Benchmarks	<i>Published November 26, 2020</i>
48-503	OSC Notice of General Order - Temporary Exemption from Certain Provisions of Ontario Securities Commission Rule 48-501 Trading During Distributions, Formal Bids and Share Exchange Transactions	<i>Published December 3, 2020</i>

**Notices**

25-403	Activist Short Selling	<b><i>Published for comment on December 3, 2020</i></b>
32-506	OSC Rule (Under the Commodity Futures Act) Exemptions for International Dealers, Advisers and Sub-Advisers – Proposed Amendment to OSC Rule 91-502 Trades in Recognized Options under the Securities Act	<b><i>Published for comment on December 3, 2020</i></b>
13-315	(Revised) Securities Regulatory Authority Closed Dates 2021	<b><i>Published December 10, 2020</i></b>
45-501	Notice of Amendments to OSC Rule 45-501 Ontario Prospectus and Registration Exemptions relating to Syndicated Mortgages	<b><i>Published December 10, 2020</i></b>
55-317	Automatic Securities Disposition Plans	<b><i>Published December 10, 2020</i></b>
45-317	Ontario's Exempt Market	<b><i>Published December 10, 2020</i></b>
81-105	Mutual Fund Sales Practices and Related Consequential Amendments Prohibition of Mutual Fund Trailing Commissions Where No Suitability Determination Was Required	<b><i>Ministerial Approval published December 10, 2020</i></b>
11-742	(Revised) Securities Advisory Committee	<b><i>Published December 24, 2020</i></b>

For further information, contact:

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