

**13.1.4 IIROC Dealer Member Rules 100.11 and 1900.1 – Over-the-Counter Options and Definition of Option –
Withdrawal of Proposed Rule Amendments**

**INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA –
DEALER MEMBER RULES 100.11 AND 1900.1 –
OVER-THE-COUNTER OPTIONS AND DEFINITION OF OPTION
WITHDRAWAL OF PROPOSED RULE AMENDMENTS**

I Overview

On July 15, 2005 the Ontario Securities Commission published for comment proposed amendments to Investment Dealers Association of Canada (IDA) Regulations 100.11 and 1900.1 relating to over-the-counter options. On May 21, 2008, these amendments were adopted by the Investment Industry Regulatory Organization of Canada (IIROC) Board of Directors as proposed amendments to Dealer Member Rules 100.11 and 1900.1. On March 16, 2009, IIROC was informed that one of its recognizing regulators had important concerns and was unwilling to proceed with these proposed amendments.

II Withdrawal

IIROC has informed the CSA that it has withdrawn the proposed amendments.

Questions may be referred to:

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