

## Chapter 13

# SROs, Marketplaces and Clearing Agencies

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### 13.1 SROs

#### 13.1.1 IIROC Rules Notice – Notice of Withdrawal – Proposed Amendments to Continuing Jurisdiction Provisions

##### RULES NOTICE

##### NOTICE OF WITHDRAWAL

##### WITHDRAWAL OF PROPOSED AMENDMENTS TO CONTINUING JURISDICTION PROVISIONS

### I OVERVIEW

On June 22, 2007 the Ontario Securities Commission published for comment proposed amendments to Investment Dealers Association of Canada (IDA) Rule 20, relating to continuing jurisdiction. In light of newer proposed amendments to the Dealer Member Rules that would implement a limitation period on IIROC enforcement proceedings, the proposed amendments relating to continuing jurisdiction published on June 22, 2007 are no longer required. Other parts of the proposed amendments of June 22, 2007 not relating to continuing jurisdiction have already been implemented and will remain unaffected.

### II WITHDRAWAL

IIROC has informed the CSA that it has withdrawn the proposed amendments in connection with its proposal to implement a limitation period on enforcement proceedings.

Questions may be referred to:

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