

## Chapter 13

# SROs, Marketplaces and Clearing Agencies

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### 13.1 SROs

#### 13.1.1 IIROC Rules Notice – Request for Comment – Plain Language Rule Re-write Project: Clean Up Amendments

**IIROC RULES NOTICE – REQUEST FOR COMMENT  
PLAIN LANGUAGE RULE RE-WRITE PROJECT:  
CLEAN UP AMENDMENTS**

The Commission is publishing for comment IIROC's proposed Amendments to its Dealer Member Rules. The main objective of these proposed amendments is to ensure that all of the rule provisions that may not have been included in the previously submitted series under the Plain Language Rule Re-write Project have been accounted for. The proposed rules and IIROC's Rule Notice can be found at <http://www.osc.gov.on.ca/en/20447.htm>. Comments on the proposed amendments should be in writing and submitted within 90 days following the date of publication of this notice in the Ontario Securities Commission Bulletin.