

## Chapter 13

# SROs, Marketplaces and Clearing Agencies

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### 13.1 SROs

#### 13.1.1 IIROC – OSC Staff Notice of Request for Comment – Republication of Proposed Consolidation of IIROC Enforcement, Procedural, Examination and Approval Rules

##### OSC STAFF NOTICE OF REQUEST FOR COMMENT

##### INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

##### REPUBLICATION OF PROPOSED CONSOLIDATION OF IIROC ENFORCEMENT, PROCEDURAL, EXAMINATION AND APPROVAL RULES

IIROC has republished for public comment a rule proposal to consolidate and rationalize certain enforcement and related rules currently contained within the Universal Market Integrity Rules and Dealer Member Rules into a set of new rules (“Consolidated Rules”). The Consolidated Rules were originally published for comment on March 23, 2012. IIROC has made certain material revisions to the Consolidated Rules in light of comments received and has republished them for comment. A copy of the IIROC notice including the amended Consolidated Rules is published on our website at <http://www.osc.gov.on.ca>.