Chapter 13

SROs, Marketplaces and Clearing Agencies

- 13.1 SROs
- 13.1.1 IIROC Staff Notice and Request for Comment Proposed Requirements for Debt Securities Transaction Reporting

OSC STAFF NOTICE OF REQUEST FOR COMMENT

THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

PROPOSED REQUIREMENTS FOR DEBT SECURITIES TRANSACTION REPORTING

IIROC has re-published for public comment Proposed Rule 2800C - Transaction Reporting for Debt Securities (the Proposed Rule). The Proposed Rule was originally published for comment on February 20, 2013. IIROC has made certain material revisions to the Proposed Rule in light of the comments received and has re-published them for comment.

A copy of the IIROC Notice including the amended Proposed Rule is published on our website at www.osc.gov.on.ca.

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