

## Chapter 13

# SROs, Marketplaces and Clearing Agencies

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### 13.1 SROs

#### 13.1.1. IIROC – Notice of Withdrawal – Amendments Respecting Trading in US OTC Issuers

##### INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

##### AMENDMENTS RESPECTING TRADING IN U.S. OVER-THE-COUNTER ISSUERS

##### NOTICE OF WITHDRAWAL

IIROC has published a Notice withdrawing a rule proposal relating to IIROC Dealer Member Rule 1300.1 concerning trading in securities of U.S. OTC issuers. The amendments were published for comment on May 22, 2009. See IIROC Rules Notice – Request for Comments – *Trading in Securities of U.S. OTC Issuers – Proposed Amendments to Dealer Member Rule 1300.1* (2009) 32 OSCB 4312.

A copy of the IIROC Notice stating the reasons for the withdrawal is published on our website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).