

13.1.4 IIROC – OSC Staff Notice of Request for Comment – Proposed Plain Language Rule 9600- Compliance Fees

**OSC STAFF NOTICE OF REQUEST FOR COMMENT**

**THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)**

**PROPOSED PLAIN LANGUAGE RULE 9600-COMPLIANCE FEES**

IIROC is publishing for public comment Proposed Plain Language Rule 9600 – Compliance Fees. The Proposed Rule was originally published for comment on March 20, 2012. On September 12, 2013, the Board of Directors of the Investment Industry Regulatory Organization of Canada (“IIROC”) approved the publication for comment of revisions to the previously published proposed plain language rules 9600 *Compliance Fees* that will exclude the provisions relating to compliance fees (the “proposed amendments”). The objective is to eliminate unnecessary rule provisions and redundancy in the IIROC rules, and to ensure that IIROC rules reflect current IIROC practices.

A copy of the IIROC Notice including the amended Proposed Rule is published on our website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).