

Chapter 13

SROs, Marketplaces and Clearing Agencies

13.1 SROs

13.1.1 IIROC – OSC Staff Notice of Request for Comment – Margin requirements for certain cash and security borrowing and lending arrangements – Amendments to Schedules 1, 7 and 7A of Dealer Member Form 1

OSC STAFF NOTICE OF REQUEST FOR COMMENT

THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

MARGIN REQUIRMENTS FOR CERTAIN CASH AND SECURITY BORROWING AND LENDING ARRANGEMENTS – AMENDMENTS TO SCHEDULES 1, 7 AND 7A OF DEALER MEMBER FORM 1

IIROC is publishing for public comment proposed amendments to Schedules 1, 7 and 7A of Dealer Member Form 1. The proposed amendments would reduce margin requirements for certain cash and security borrowing and lending arrangements. A copy of the IIROC Notice including the amended documents was also published on our website at <http://www.osc.gov.on.ca>. The comment period ends on June 11, 2014.