

## Chapter 13

# SROs, Marketplaces and Clearing Agencies

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### 13.1 SROs

#### 13.1.1 IIROC – OSC Staff Notice of Request for Comment – Amendments to Universal Market Integrity Rule 1.1 – Definition of Basis Order

##### OSC STAFF NOTICE OF REQUEST FOR COMMENT

##### THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

##### AMENDMENTS TO UNIVERSAL MARKET INTEGRITY RULE 1.1 – DEFINITION OF BASIS ORDER

IIROC is publishing for public comment proposed amendments to Universal Market Integrity Rule 1.1. The proposed amendments would broaden the definition of Basis Order to specifically include Exempt Exchange-traded Funds.

A copy of the IIROC Notice was also published on our website at <http://www.osc.gov.on.ca>.