

13.1.2 IIROC – Proposed Amendments to Dealer Member Rules 8.7 and Corollary Amendments to Dealer Member Rule 8.3A Relating to the Requirement to Pay IIROC Membership Fees – Notice of Commission Approval

OSC STAFF NOTICE OF COMMISSION APPROVAL

THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

REQUIREMENT TO PAY IIROC MEMBERSHIP FEES

**AMENDMENTS TO DEALER MEMBER RULE 8.7 AND
COROLLARY AMENDMENTS TO DEALER MEMBER RULE 8.3A**

The Ontario Securities Commission approved amendments to IIROC Dealer Member Rules 8.7 and 8.3A. The amendments address setting the IIROC Dealer Regulation fees that are payable by a Dealer Member that resigns, is suspended, is terminated or surrenders its membership.

The proposed amendments to IIROC Dealer Member Rules 8.7 and 8.3A were published for comment on April 16, 2015 for a 30 day comment period. No comment letters were received.

The amendments are effective immediately. A copy of IIROC's Notice of Approval/Implementation can be found at <http://www.osc.gov.on.ca>.

In addition, the Alberta Securities Commission, the Autorité des marchés financiers, the British Columbia Securities Commission, the Financial and Consumer Affairs Authority of Saskatchewan, the Financial and Consumer Services Commission of New Brunswick, the Manitoba Securities Commission, the Newfoundland and Labrador Office of the Superintendent of Securities Services, the Nova Scotia Securities Commission, and the Prince Edward Island Office of the Superintendent of Securities did not object to or approved the amendments.