

**1.1.3 Notice of Commission Approval –  
Housekeeping Amendment to MFDA Rule 3.4.4  
Regarding Early Warning Duration**

**THE MUTUAL FUND DEALERS ASSOCIATION (MFDA)**

**AMENDMENT TO MFDA RULE 3.4.4  
REGARDING EARLY WARNING DURATION**

**NOTICE OF COMMISSION APPROVAL**

The Ontario Securities Commission approved the amendment to MFDA Rule 3.4.4 regarding Early Warning Duration. In addition, the Nova Scotia Securities Commission and Saskatchewan Financial Services Commission approved, and the British Columbia Securities Commission did not object to the amendment. The amendment allows MFDA staff to exercise discretion in removing a Member from early warning without waiting until the next month's filing of the monthly financial report, provided there is appropriate evidence or assurance to demonstrate that the issue has been resolved. The amendment is housekeeping in nature. The description and a copy of the amendment is contained in Chapter 13 of this Ontario Securities Commission Bulletin.