

13.4 Trade Repositories

13.4.1 CME – Approval to Act as a Trade Repository in Ontario to Which Reporting Counterparties Can Report Trades in the Equity Asset Class – Notice of Commission Approval

NOTICE OF COMMISSION APPROVAL

CHICAGO MERCANTILE EXCHANGE INC. (CME)

**APPROVAL TO ACT AS A TRADE REPOSITORY IN ONTARIO
TO WHICH REPORTING COUNTERPARTIES CAN REPORT TRADES IN THE EQUITY ASSET CLASS**

The Ontario Securities Commission (OSC) approved on May 14, 2019 CME's application for approval for accepting derivatives data for the equity asset class in regards to trades reported by reporting counterparties under the OSC Rule 91-507 *Trade Repositories and Derivatives Data Reporting* (TR Rule).

As a designated trade repository pursuant to subsection to 21.2.2(1) of the *Securities Act*, CME is subject to the terms and conditions of its Order and requirements in the TR Rule. Section 5 of the CME Order states that "*CME shall not act as a trade repository designated in Ontario to which reporting counterparties report trades in an asset class other than commodity, credit, interest rate, and foreign exchange, to meet the requirements under OSC Rule 91-507 without prior written approval of the Commission.*"