

The Ontario Securities Commission

# OSC Bulletin

## Cumulative Index 2011

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December 23, 2011

The Ontario Securities Commission Administers the  
*Securities Act* of Ontario (R.S.O. 1990, c. S.5) and the  
*Commodity Futures Act* of Ontario (R.S.O. 1990, c. C.20)

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# Introduction to the OSC Bulletin Cumulative Index

This index is a cumulative index of documents published in the OSC Bulletin (Volume 34) for the period January – December 2011, inclusive.

The index includes material found in Chapters 1 (Notices / News Releases), 2 (Decisions, Orders and Rulings), 3 (Reasons: Decisions, Orders and Rulings), 4 (Cease Trading Orders), 5 (Rules and Policies), 6 (Request for Comments), 9 (Legislation), 13 (SROs, Marketplaces and Clearing Agencies) and 25 (Other Information). Chapters 7 (Insider Reporting), 8 (Notice of Exempt Financings) and 11 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters are indexed. The tables found in Chapters 4 and 12 are indexed by corporate name only. Where tables appear in Chapter 25, they are indexed by corporate name only.

Index entries are arranged alphabetically. Each index entry includes an action of concern, a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date and page number. Citations are to the *Securities Act*, if not otherwise specified.

For instance, an entry reading:

**AAER Inc.**

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means that the order titled “AAER Inc.” made under s. 144 of the *Securities Act* appeared in Issue 4 of the Bulletin, which was dated January 28, 2011, on page 1044.

## Supplements in 2011

Supplements are not indexed. The Supplements published in 2010 were:

- **Proposed Amendments to NI 21-101 Marketplace Operation and NI 23-101 Trading Rules (Request for Comments)** – Volume 34, Issue 11, Supplement 1 (March 18, 2011)
- **Repeal and Replacement of NI 43-101 Standards of Disclosure for Mineral Projects, Form 43-101 Technical Report and Companion Policy 43-101CP** – Volume 34, Issue 14, Supplement 2 (April 8, 2011)
- **Amended NI 31-103, NI 33-109 and OSC Rule 33-506** – Volume 34, Issue 15, Supplement 3 (April 15, 2011)
- **Proposed Amendments to Prospectus Rules** – Volume 34, Issue 28, Supplement 4 (July 15, 2011)
- **Proposed NI 51-103 Ongoing Governance and Disclosure Requirements for Venture Issuers and Related Amendments** – Volume 34, Issue 30, Supplement 5 (July 29, 2011)
- **Scholarship Plan Prospectus Form (2nd Request for Comments)** – Volume 34, Issue 47, Supplement 6 (November 25, 2011)

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**Keeler, Rebecca E.**

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**Kikuchi, Craig**

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**Knowles, Gaye**

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**Knowles, Giorgio**

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**Kria Resources Ltd.**

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**Kricfalusi, Mary**

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**Kuszper, Helen**

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**Kuszper,Paul**

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**Kuti, Peter**

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**KV Capital Inc.**

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**LAB Research Inc.**

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**Labanowich, Michael**

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**Labopharm inc.**

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**Lakeview Disciplined Leadership High Income Fund**

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**Lakeview Disciplined Leadership U.S. Equity Fund**

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**Lakota Resources Inc.**

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**Land Syndications Inc.**

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**Lanys, Steven**

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**Lawrence Park Capital Partners Ltd.**

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**Lawson, Susan**

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**Legg Mason Canada Inc.**

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**Legg Mason Western Asset Canadian Core Plus Bond Fund**

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**Legg Mason Western Asset Canadian Core Plus Long Bond Fund**

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**Lehman Brothers & Associates Corp.**

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**Lehman Cohort Global Group Inc.**

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**Leith Wheeler Investment Counsel Ltd.**

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**Leon Frazer & Associates Inc.**

Change in Registration Category ..... #23 (June 10) 6679  
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**Lesperance, Shawn C.**

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**Letko, Brosseau & Associates Inc.**

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**Levack, Robert**

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**Leverage Pro Inc.**

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**Levy, Pauline**

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**Lewis, Carlton Ivanhoe**

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**LGC Skyrota Wind Energy Corp.**

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**Liberty Consulting Ltd.**

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**Life & Banc Split Corp.**

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**Lightwater Partners Ltd.**

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**Lincluden Management Limited**

Change in Registration Category ..... #9 (Mar. 4) 2711

**Linde, James**

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**Liquidity Source Inc.**

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**Lloyd, Paul**

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**Lobban, Maxine**

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**Lobban, Wayne**

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**Locate Technologies Inc.**

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**Loman, Kevin**

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**Lombardi, Paola**

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**Lomiko Metals Inc.**

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**Lounds, Kent Emerson**

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		<b>Mackenzie Universal World Precious Metals Class</b>	
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**Magnolia Capital Corp.**

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**Magnolia Capital Corp.**

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**Malinowski, Klaudiusz**

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**Maloney, Omar**

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**Mankofsky, William**

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**Marks, Greg**

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**Marquis Balanced Growth Portfolio**

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**Marquis Institutional Balanced Growth Portfolio**

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**Marret Asset Management Inc.**

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**Marsulex Inc.**

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**Martin + Becker Financial Management Ltd.**

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**Martin, Andrew J.**

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**Martinez, Wayne Gerard**

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**Marvin & Palmer Associates Inc.**

Change in Registration Category.....#35 (Sept. 2) 9211

**Matco Financial Inc.**

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**Mateyak, Laura**

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<b>MBS Group (Canada) Ltd.</b>		Decision .....	#27 (July 8) 7499
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**McKenzie, Roger**

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**McLean Asset Management Ltd.**

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**McLean Budden Limited**

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**McNicoll, Lewis & Viak LLC**

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**McNulty Private Capital Inc.**

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**McNulty Private Capital Inc.**

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**McQuarrie, Gord**

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**Medifocus Inc.**

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**Medoro Resources (Yukon) Inc.**

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**Medra Corp.**

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**Medra Corporation**

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**Mega-C Power Corporation**

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**Mellon, Richard**

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**Merax Resource Management Ltd.**

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**Merrill Lynch Professional Clearing Corp.**

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**Metro inc.**

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**Mezinski, Tom**

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**MF Global Canada Co.**

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paragraph 2 of subsection 29(1)  
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**MFDA – Proposed Amendments to Sections 1 (Definitions) and 3 (Directors) of MFDA By-law No. 1**

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**MFDA Housekeeping Amendments to Form 1 – Financial Questionnaire and Report (IFRS) and Form 1 – Financial Questionnaire and Report (CGAAP)**

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<b>Microsemi Semiconductor Corp.</b>		Notice from the Office of the Secretary .....	#18 (May 6) 5198
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<b>Miller Tabak Roberts Securities, LLC</b>		Notice of Hearing – ss. 127, 127.1.....	#47 (Nov. 25) 11757
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<b>Miller, Christopher</b>		<b>Mitton, Michael</b>	
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<b>Miller, Howard Jeffrey</b>		Temporary Order – s. 127(8).....	#10 (Mar. 11) 2997
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<b>MKS Inc.</b>		<b>MOSAID Technologies Incorporated</b>	
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<b>Montrose Hammond &amp; Co.</b>		<b>MPA Morrison Park Advisors Inc.</b>	
Suspended for non-payment of		New Registration.....	#44 (Nov. 4) 11247
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<b>Morgan Bay Capital Inc.</b>		Notice from the Office	
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<b>Morgan Stanley Smith Barney LLC</b>		<b>Mulvihill Inc.</b>	
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<b>Morningside Capital Corp.</b>		<b>Mulvihill Pro-AMS RSP Split Share Corp.</b>	
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Notice from the Office		of the Secretary.....	#3 (Jan. 21) 727
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<b>Morningstar Associates Inc.</b>		of the Secretary.....	#4 (Jan. 28) 1011
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<b>Morrison Williams Capital Advisors Inc.</b>		of the Secretary.....	#18 (May 6) 5198
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<b>Morrison Williams Investment Management LP</b>		<b>Mustang Capital Partners Inc.</b>	
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<b>Mortgage Corner Ltd.</b>			
New Registration.....	#6 (Feb. 11) 1727		
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**MX-IV Ltd.**

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Notice from the Office of the Secretary.....	#36 (Sept. 9) 9238
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**NAL Energy Corporation**

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**Nason, Donald**

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**Natcan Investment Management Inc.**

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**National Bank Direct Brokerage Inc.**

Decision.....	#45 (Nov. 11) 11330
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**National Bank Financial Inc.**

Decision.....	#9 (Mar. 4) 2547
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**National Bank Financial Ltd.**

Change in Registration Category.....	#41 (Oct. 21) 10701
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**National Bank Mortgage Fund**

Decision.....	#42 (Oct. 21) 10756
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**National Bank Securities Inc.**

Decision.....	#23 (June 10) 6509
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**Natunola Health Biosciences Inc.**

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**Natural Gas Exchange Inc.**

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**Navina Asset Management Inc.**

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**NBCN Inc.**

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**NCP Northland Capital Partners (USA)**

Order – s. 74(1).....	#11 (Mar. 18) 3231
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**NCP Northland Capital Partners Inc.**

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**Neilas, Dimitrios**

OSC Reasons.....	#17 (Apr. 29) 5059
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**Nelson Financial Group Ltd.**

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of the Secretary.....#20 (May 20) 5797  
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**Nelson Investment Group Ltd.**

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**Taylor Sr., Lewis**

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**Taylor, Colin**

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**Taylor, Jared**

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