

Notice of General Order – Ontario Instrument 31-513 *Temporary Exemption from Certain Financial Statement and Information Delivery Requirements for Registrants and Unregistered Capital Markets Participants, No. 2*

As a result of the Coronavirus pandemic (“**COVID-19**”), the Ontario Securities Commission (the “**Commission**”) is providing temporary relief from certain financial statement and information delivery requirements under Ontario securities law and Ontario commodity futures law that apply to registrants and unregistered capital markets participants.

Description of Order

This Order provides that the due dates for registered dealers, registered advisers and registered investment fund managers to deliver certain financial statements and other information required under sections 12.12 to 12.14 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* and section 15 of R.R.O. 1990, Regulation 90 made under the *Commodity Futures Act* are extended by up to 60 days where the delivery deadlines fall during the period from June 2, 2020 to September 30, 2020. This Order further provides that the due dates for registered firms and unregistered capital markets participants to satisfy certain fee-related requirements under section 3.2 of OSC Rule 13-502 *Fees* and for registered firms to satisfy certain fee-related requirements under section 2.3 of OSC Rule 13-503 (*Commodity Futures Act*) *Fees* are extended by up to 60 days where the delivery deadlines fall during the period from June 2, 2020 to September 30, 2020.

This Order does not provide a further extension of any deadline previously extended under Ontario Instrument 31-510 *Temporary Exemption from Certain Financial Statement and Information Delivery Requirements for Registrants and Unregistered Capital Markets Participants* dated March 23, 2020.

Reasons for the Order

As a result of the outbreak of COVID-19 and the resulting disruptions to travel, access to office facilities and availability of personnel and resources, registrants and unregistered capital markets participants may face challenges in providing the Commission with documents and other information under various reporting requirements of Ontario securities law and Ontario commodity futures law. Under the circumstances, the Commission has determined that it would not be prejudicial to the public interest to grant this temporary relief to assist affected registrants and unregistered capital markets participants in meeting their obligations under Ontario securities law and Ontario commodity futures law.

Effective date and term of the Order

This Order comes into effect on May 28, 2020, and expires on November 30, 2020.