



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

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**IN THE MATTER OF  
MARILYN DIANNE STUART**

Wendy Berman, Vice-Chair and Chair of the Panel

File No. 2021-1

March 3, 2021

**ORDER**

(Subsections 127(1) and 127(10) of the  
*Securities Act*, RSO 1990, c S.5)

WHEREAS, the Ontario Securities Commission (the **Commission**) held a hearing in writing to consider a request by Staff of the Commission (**Staff**), for an order imposing sanctions against Marilyn Dianne Stuart (**Stuart**) pursuant to subsections 127(1) and 127(10) of the *Securities Act*, RSO 1990, c S.5 (the **Act**);

**ON READING** the materials filed by Staff, Stuart not having filed any materials, although properly served;

**IT IS ORDERED** that:

1. pursuant to paragraph 2 of subsection 127(1) of the Act, trading in any securities or derivatives by Stuart shall cease permanently;
2. pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by Stuart is prohibited permanently;
3. pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law do not apply to Stuart permanently;
4. pursuant to paragraphs 7, 8.1 and 8.3 of subsection 127(1) of the Act, Stuart resign any positions she holds as a director or officer of an issuer or registrant;
5. pursuant to paragraphs 8, 8.2 and 8.4 of subsection 127(1) of the Act, Stuart is prohibited permanently from becoming or acting as a director or officer of any issuer or registrant; and
6. pursuant to paragraph 8.5 of subsection 127(1), Stuart is prohibited permanently from becoming or acting as a registrant or promoter.

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"Wendy Berman"  
Wendy Berman