

1.1.3 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2021 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key		
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous		
Reformulation		
Instrument	Title	Status
11-739	Policy Reformulation Table of Concordance and List of New Instruments (Revised)	<i>Published January 21, 2021</i>
33-109	Proposed Amendments to NI 33-109 Registration Information and Related Instruments	<i>Published for comment February 4, 2021</i>
31-103	Proposed Amendments to NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations	<i>Published for comment February 4, 2021</i>
33-506	Proposed Amendments (Commodity Futures Act) Registration Information	<i>Published for comment February 4, 2021</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations- Amendments Related to Syndicated Mortgages	<i>Ministerial approval published February 18, 2021</i>
45-106	Prospectus Exemptions – Amendments related to Syndicated Mortgages	<i>Ministerial approval published February 18, 2021</i>
45-501	Ontario Prospectus and Registration Exemptions – Amendments related to Syndicated Mortgages	<i>Ministerial approval published February 18, 2021</i>
33-507	Exemption from Underwriting Conflicts Disclosure Requirements (Interim Class Order)	<i>Published February 25, 2021</i>
23-328	Order Protection Rule: Market Share Threshold for the Period April 1, 2021, to March 31, 2022	<i>Published February 25, 2021</i>
51-362	Staff Review of COVID-19 Disclosures and Guide for Disclosure Improvements	<i>Published February 25, 2021</i>
48-501	Trading During Distributions, Formal Bids and Share Exchange Transactions- Amendments	<i>Commission approval published March 11, 2021</i>
51-363	Observations on Disclosure by Crypto assets Reporting Issuers	<i>Published March 11, 2021</i>
58-312	Report on Sixth Staff Review of Disclosure regarding Women on Boards and in Executive Officer Positions	<i>Published March 11, 2021</i>
41-307	Concerns regarding an Issuer's Financial Condition and the Sufficiency of Proceeds from a Prospectus Offering	<i>Published March 25, 2021</i>

Notices

For further information, contact:

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