

IIROC NOTICE

Administrative Notice Notice of Approval Please distribute internally to:
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Finance

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21-0080 April 22, 2021

Removal of the risk component of the annual fees paid by Dealer Members effective April 1, 2021

On December 17, 2020, IIROC published <u>Notice 20-0267</u> – Removal of the risk component of the annual fees paid by Dealer Members, requesting comments on a proposal to remove the risk component of the annual fees paid by Dealer Members. No comments were received.

This amendment which has been approved by the recognizing regulators took effect April 1, 2021.

Further Information

IIROC is publishing updated Fee Model Guidelines reflecting the amendments.

Those wishing additional information concerning the Dealer Member Fee Model should contact:

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