

5.1.6 Companion Policy 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations

**LOCAL CHANGES TO
COMPANION POLICY 31-103 REGISTRATION REQUIREMENTS, EXEMPTIONS AND
ONGOING REGISTRANT OBLIGATIONS
IN ONTARIO**

1. *Companion Policy 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations is changed by this document.*
2. *Section 14.2.1 is changed:*
 - (a) *by replacing “purchase” with “redemption” in the second paragraph,*
 - (b) *by deleting “upon the redemption of the security” in the second paragraph, and*
 - (c) *by replacing the second bullet in the fourth paragraph with the following:*
 - the sales charge options available to the client and an explanation as to how such charges work. Any redemption fees or short-term trading fees that may apply should also be discussed.
3. These changes become effective in Ontario on June 1, 2022.