

1.1.4 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2021 has been posted to the OSC Website at www.osc.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
21-329	Guidance for Crypto-Asset Trading Platforms: Compliance with Regulatory Requirements	<i>Published April 1, 2021</i>
95-302	Margin and Collateral Requirements for Non-Centrally Cleared Derivatives	<i>Published April 8, 2021</i>
32-507	Ontario Instrument 32-507 (Commodity Futures Act) Exemptions for International Dealers, Advisers and Sub-Advisers	<i>Published April 15, 2021</i>
91-505	Exemptions from the Options Proficiency Requirement for International Dealers, Advisers and Sub-Advisers	<i>Published April 15, 2021</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments	<i>Published April 15, 2021</i>
14-101	Proposed Amendments to NI 14-101 Definitions	<i>Published for comment April 29, 2021</i>
25-102	Designated Benchmarks and Benchmark Administrators and Companion Policy-	<i>Commission approval published April 29, 2021</i>
25-501	Designated Benchmarks and Benchmark Administrators (Commodity Futures Act)	<i>Commission approval published April 29, 2021</i>
25-102	Designated Benchmarks and Benchmark Administrators and Companion Policy- Amendments	<i>Published for comment April 29, 2021</i>
25-501	Designated Benchmarks and Benchmark Administrators (Commodity Futures Act) – Amendments	<i>Published for comment April 29, 2021</i>
81-731	Next Steps on Deferred Sales Charges	<i>Published May 13, 2021</i>
48-501	Notice of Coming into Force of Amendments to Ontario Securities Commission Rule 48-501 Trading During Distributions, Formal Bids and Share Exchange Transactions	<i>Published May 13, 2021</i>
94-304	Frequency of the Requirement to Deliver Form 94-102F3 Customer Collateral Report: Regulated Clearing Agency	<i>Published May 20, 2021</i>

Notices

Instrument	Title	Status
51-102	Proposed Amendments to NI 51-102 Continuous Disclosure Obligations and Other Amendments and Changes Related to Annual and Interim Filings of Non-Investment Fund Reporting Issuers and Seeking Feedback on a Proposed Framework for Semi-Annual Reporting – Venture Issuers on a Voluntary Basis	<i>Published for comment May 20, 2021</i>
52-112	Non-GAAP and Other Financial Measures Disclosure, Companion Policy 52-112 Non-GAAP and Other Financial Measures Disclosure and Related Consequential Amendments and Changes	<i>Commission approval published May 27, 2021</i>
81-105	Mutual Fund Sales Practices, Local Changes to Companion Policy 81-105 Mutual Fund Sales Practices and Related Consequential Local Amendments and Changes – Prohibition of Deferred Sales Charges for Mutual Funds	<i>Commission approval published June 3, 2021</i>
21-326	Guidance for Reporting Materials Systems Incidents	<i>Published June 10, 2021</i>
45-110	Start-up Crowdfunding Registration and Prospectus Exemptions	<i>Commission approval published June 24, 2021</i>
45-329	Guidance for using the start-up crowdfunding registration and prospectus exemptions	<i>Published June 24, 2021</i>
31-360	Blanket Orders/Class Orders in respect of Transitional Relief Related to the Deferred Sales Charge Option in respect of Client Focused Reforms Enhanced Conflicts of Interest and Client First Suitability Provisions of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations	<i>Commission approval published June 24, 2021</i>
11-792	Notice of Statement of Priorities – Year to end March 31, 2022	<i>Published June 30, 2021</i>
81-105	Amendments to NI 81-105 Mutual Fund Prospectus Disclosure and NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations	<i>Ministerial approval published June 30, 2021</i>

For further information, contact:

Darlene Watson
Project Manager
Ontario Securities Commission
416-593-8148

July 22, 2021