



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

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**IN THE MATTER OF  
ALVIN JONES**

M. Cecilia Williams, Commissioner and Chair of the Panel

File No. 2021-5

July 30, 2021

**ORDER**

WHEREAS on July 30, 2021, the Ontario Securities Commission held a hearing by teleconference in relation to the application brought by Alvin Jones (**Jones**) dated December 24, 2020, amended on July 16, 2021, to review a decision of the Investment Industry Regulatory Organization of Canada (**IIROC**) dated December 10, 2020;

ON READING the amended application and on hearing the submissions of the representatives for Jones, Staff of the IIROC and Staff of the Commission;

IT IS ORDERED THAT:

1. by 4:30 p.m. on September 3, 2021, Jones shall:
  - a. give notice of any intention to rely on documents or things not included in the record of the original proceeding, and shall disclose such documents or things;
  - b. serve and file witness lists, and give notice of any intention to call an expert witness; and
  - c. serve (but not file) anticipated evidence not included in the record of the original proceeding, if any, in the form of affidavits for any proposed witnesses, including Jones, and written expert reports for any proposed expert witnesses.

*"M. Cecilia Williams"*

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M. Cecilia Williams