

1.1.2 Notice of Coming into Force of Amendments to National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations to Enhance Protection of Older and Vulnerable Clients

**NOTICE OF COMING INTO FORCE OF  
AMENDMENTS TO  
NATIONAL INSTRUMENT 31-103 *REGISTRATION REQUIREMENTS,  
EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS*  
TO ENHANCE PROTECTION OF OLDER AND VULNERABLE CLIENTS**

On December 31, 2021, pursuant to section 143.4 of the *Securities Act* (Ontario), amendments made by the Ontario Securities Commission (the **Commission**) to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (**NI 31-103**) to enhance protection of older and vulnerable clients (the **Rule Amendments**) will come into force.

The Rule Amendments, together with related changes to Companion Policy 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (the **CP Changes**), were published in the Bulletin on July 15, 2021. After the publication, the Commission approved certain changes to the Rule Amendments to resolve a technical issue arising from the concurrent implementation of the Rule Amendments and certain other amendments to NI 31-103 (in respect of Reforms to Enhance the Client Registrant Relationship) (2019), 42 OSCB (Supp-1). A revised version of the Rule Amendments, which clarified the sequencing of the Rule Amendments, was delivered to the Ontario Minister of Finance on August 18, 2021.

The text of the final Rule Amendments and the CP Changes is published in Chapter 5 of this Bulletin.