### **ONTARIO SECURITIES COMMISSION**

# IN THE MATTER OF THE SECURITIES ACT R.S.O. 1990, c. S. 5, as amended

## AND IN THE MATTER OF JOSEPH DEBUS

## AND IN THE MATTER OF A DECISION OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA

FILE NO: 2019-16

## **MOTION OF**

### **JOSEPH DEBUS**

(Motion for stay of decision and penalty dated August 31st, 2021)

### Returnable

The Applicant, Joseph Debus, makes a motion for a stay of decision and penalty  $\mathbf{d}$  ated August  $31^{st}$ , 2021.

### A. ORDER SOUGHT

The Moving Party, Joseph Debus, requests with notice, that the Ontario Securities Commission make the following order(s):

- 1. An Order staying the decision of Commissioner Cecilia Williams dated August 31<sup>st</sup>, 2021;
- 2. An Order staying the penalty pursuant to the decision of Commissioner Cecilia Williams dated August 31<sup>st</sup>, 2021; and
- 3. Such further and other relief as counsel may advise and the Panel may permit.

## **B. GROUNDS**

The grounds for the motion are:

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1. The Applicant, Joseph Debus, is appealing the decision of Commissioner Cecilia Williams

dated August 31st, 2021.

2. The Applicant, Joseph Debus, is raising serious issues in regards to errors of law and

procedural fairness in the decision of Commissioner Cecilia Williams dated August 31st,

2021.

3. The Applicant, Joseph Debus, will suffer sever prejudice and irreparable harm if he is not

allowed to continue in his employment pending the outcome of the application for judicial

review;

4. The continuing employment of the Applicant, Joseph Debus, is not against the public

interest, as he is under continuing strict supervision; and

5. Such further and other grounds as counsel may advise and the Panel may permit.

C. EVIDENCE

The Moving Party intends to rely on the following evidence for the motion:

1. Any documentary evidence filed in the original proceedings, subject to any limitation

expressly imposed by any statute, regulation or rules;

2. Any other relevant documents in the original proceedings;

3. Any transcript of the oral evidence given at the original hearings;

4. The oral testimony of Joseph Debus;

5. Notice of Appeal dated September 29<sup>th</sup>, 2021; and

6. Any and all other evidence that may counsel may advise that may assist the Panel.

**DATED** this 29<sup>th</sup> day of September, 2021

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