

## Chapter 13

# SROs, Marketplaces, Clearing Agencies and Trade Repositories

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### 13.1 SROs

#### 13.1.1 Investment Industry Regulatory Organization of Canada (IIROC) – Republication of Proposed Amendments Respecting the Derivatives Rule Modernization, Stage 1 – Request for Comment

##### REQUEST FOR COMMENT

##### INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA (IIROC)

##### REPUBLICATION OF PROPOSED AMENDMENTS RESPECTING THE DERIVATIVES RULE MODERNIZATION, STAGE 1

IIROC is republishing for public comment proposed amendments to the IIROC Rules (previously published in IIROC Rules Notice 19-0200) to modernize and simplify its derivatives-related requirements (the Initial publication).

IIROC has made revisions to the proposed amendments set out in the Initial publication which are designed to:

- reproduce most of the proposed amendments using the updated version of the IIROC Rules which was implemented on December 31, 2021, and
- propose changes to some of the proposed amendments to address issues raised and suggestions made in the comment letters received on the Initial publication, as well as comments from the Canadian Securities Administrators.

IIROC has aligned the revisions to the proposed amendments with the objectives and considerations outlined in the Initial publication.

A copy of the IIROC Notice, including the text of the proposed amendments, is also published on our website at [www.osc.ca](http://www.osc.ca). The comment period will end on June 13, 2022.