

**B.11.1.2 Mutual Fund Dealers Association of Canada (MFDA) – Amendments to MFDA Rule 1.1.2 (Compliance by Approved Persons) – Notice of Commission Approval**

**NOTICE OF COMMISSION APPROVAL**

**MUTUAL FUND DEALERS ASSOCIATION OF CANADA (MFDA)**

**AMENDMENTS TO MFDA RULE 1.1.2 (COMPLIANCE BY APPROVED PERSONS)**

The Ontario Securities Commission has approved proposed amendments to MFDA Rule 1.1.2 (Compliance by Approved Persons) (**Amendments**). The primary objective of the Amendments is to expressly require compliance with applicable securities legislation relating to the operations, standards of practice and business conduct of MFDA Members and Approved Persons.

The Amendments were published for public comment on January 30, 2020 for a 135-day period ending on June 15, 2020. No comment letters were received. A blacklined copy of the Amendments, showing changes made to the version published for comment, can be found at [www.osc.ca](http://www.osc.ca). The Amendments will be effective on a date to be subsequently determined by the MFDA.

In addition, the British Columbia Securities Commission; the Alberta Securities Commission; the Financial and Consumer Affairs Authority of Saskatchewan; the Financial and Consumer Services Commission of New Brunswick; the Manitoba Securities Commission; the Nova Scotia Securities Commission; and the Prince Edward Island Office of the Superintendent of Securities have either not objected to or have approved the Amendments.